



Issue Date: May 18, 2017
Deadline for Question: May 31, 2017
Response to Questions: June 01, 2017
Closing Date: November 17, 2017
Closing Time: 16:00 hours – Lima, Peru Time

SUBJECT: Annual Program Statement (APS) Number APS-512-17-000002

PROGRAM TITLE: Brazil Environment Program- Territorial and Environmental Management of Indigenous Lands

Ladies/Gentlemen:

The United States Agency for International Development (USAID) is seeking applications for up to four cooperative agreements from qualified U.S. and Non-U.S. organizations to fund a program entitled Territorial and Environmental Management of Indigenous Lands. Eligibility for this award is not restricted; see Section C of this APS for eligibility requirements.

Subject to the availability of funds an award will be made to that responsible applicant(s) whose concept paper(s)/application(s) best meets the objectives of this funding opportunity and the selection criteria contained herein. While up to four awards are anticipated as a result of this APS, USAID reserves the right to fund any or none of the concept papers/applications submitted.

For the purposes of this APS the term "Grant" is synonymous with "Cooperative Agreement"; "Grantee" is synonymous with "Recipient"; and "Grant Officer" is synonymous with "Agreement Officer". Eligible organizations interested in submitting a concept paper are encouraged to read this funding opportunity thoroughly to understand the type of program sought, concept paper/application submission requirements and evaluation process.

To be eligible for award, the applicant must provide all information as required in this APS, and if selected for application submission, meet eligibility standards in Section C of this APS. This APS is posted on www.grants.gov, and may be amended. Potential applicants should regularly check the website to ensure they have the latest information pertaining to this APS. Applicants will need to have available or download Adobe program to their computers in order to view and save the Adobe forms properly. It is the responsibility of the applicant to ensure that the entire APS has been received from the internet in its entirety and USAID bears no responsibility for data errors resulting from transmission or conversion process. If you have difficulty registering on www.grants.gov or accessing the APS, please contact the Grants.gov Helpdesk at 1-800-518-4726 or via email at support@grants.gov for technical assistance.

The successful Applicant will be responsible for ensuring the achievement of the program objectives. Please read each section of the APS.

U.S. Agency for International Development
USAID/Perú, Regional Office of Acquisition and Assistance (ROAA)
Avenida La Encalada, cuadra 17
Monterrico – Surco
Lima 33, Perú

Please send any questions to the point(s) of contact identified in section D. The deadline for questions is shown above. Responses to questions received prior to the deadline will be furnished to all potential applicants through an amendment to this notice posted to www.grants.gov.

Issuance of this APS does not constitute an award commitment on the part of the Government nor does it commit the Government to pay for any costs incurred in preparation or submission of comments/suggestions, concept paper or an application. Concept papers/applications are submitted at the risk of the applicant. All preparation and submission costs are at the applicant's expense.

Thank you for your interest in USAID programs.

Sincerely,



J. Dulanto-Hassenstein
Regional Agreement Officer
USAID/Peru

SECTION A – PROGRAM DESCRIPTION
SUPPORT TO TERRITORIAL AND ENVIRONMENTAL MANAGEMENT OF INDIGENOUS
LANDS IN SELECTED AREAS OF THE STATES OF MARANHÃO, RONDÔNIA AND
RORAIMA

1. BACKGROUND

Consistent with goals established through extensive consultation and active partnership with the Government of Brazil (GOB), USAID/Brazil has established with the Brazilian Government a Partnership for Conservation in the Brazilian Amazon (PCAB). The overall objective of this program is to enhance biodiversity conservation in Brazilian Amazon Protected Area Systems. For the purposes of this APS, Protected Areas (PAs) include strict protection conservation units, sustainable use conservation units, and Indigenous lands. The three sub-purposes under the overall program objective are:

1. Strengthen biodiversity conservation efforts in priority protected area mosaics.
2. Support the Brazilian national policy for land and environmental management in Indigenous lands The National Policy for Territorial and Environmental Management of Indigenous Lands (PNGATI).
3. Apply science, technology and innovation to improve biodiversity conservation.

The National Policy for Territorial and Environmental Management of Indigenous Lands (PNGATI) and the Territorial and Environmental Management Plans (PGTAs)

The National Policy for Territorial and Environmental Management of Indigenous Lands (PNGATI) was launched in June 5th, 2012, through Presidential Decree 7747. It is a public policy that answers Indigenous movements' demands for protection and conservation of Indigenous lands in Brazil. It results from a participatory process that involved Fundação Nacional do Índio (FUNAI), the Ministry of Environment and Indigenous representatives. PNGATI objectives, structured in axes, are:

1. Territorial protection and conservation of natural resources;
2. Governance and Indigenous participation;
3. Protected Areas, Conservation Units and Indigenous lands;
4. Prevention and restoration/recovery of environmental damage;
5. Sustainable use of natural resources and sustainable indigenous productive activities;
6. Intellectual property and genetic resources;
7. Capacity building, continuous training, exchanges and environmental education

One of the tools for implementing PNGATI are Territorial and Environmental Management Plans (PGTAs), dynamic instruments that aim at valuing material and non-material indigenous assets; restoration/recovery, conservation and sustainable use of natural resources; improving livelihoods and maintaining conditions for physical and cultural reproduction of present and future indigenous generations. PGTAs will provide guidance for public policies and internal and external agreements. They will facilitate dialogue

channels with the Government and foster partnerships and institutional arrangements that contribute to ethno development and sustainability of Indigenous lands and peoples.

Please consult the PNGATI Presidential Decree (available at http://www.planalto.gov.br/ccivil_03/_ato2011-2014/2012/decreto/d7747.htm) for further details about the axis.

2. AREA OF FOCUS

This Annual Program Statement (APS) seeks to support variety of creative approaches towards developing methodologies to assess and implement development objectives activities in the following area:

Environment – Biodiversity

USAID/Brazil's Environment Program has supported and will continue to support the U.S. Foreign Assistance Objective of generating sustained economic growth under the Program Area "Environment" by ensuring that environmental and natural resources are effectively managed.

The Environment Program is conducted under the Program Element "Natural Resources and Biodiversity" with a view to increasing the contribution of sustainable landscapes to biodiversity conservation and climate stability. Proposed activities must be aligned with conservation programs in the Brazilian Amazon Basin.

3. OBJECTIVE

The objective of this APS is to support projects by one or more organizations, individually or organized under a consortium (one leader organization and other as partners) that wish to take on a role as implementing institutions that will manage projects of one or more networks of indigenous organizations. These projects must have as main objective the territorial and environmental management of Indigenous Lands located in one or more of the following geographic areas:

- Rondônia and Tupi-Mondé Corridor: Indigenous Lands located (total or partial) in the State of Rondonia, besides the Aripuanã (MT), Serra Morena (MT) and Zoró (MT) Indigenous Lands.
- Maranhão and Adjacencies: Indigenous Lands located in the State of Maranhão, besides the Alto Rio Guamá (PA), Apinayé (TO), and Krolandia (TO) Indigenous Lands.
- Roraima: Indigenous Lands located (total or partial) in the State of Roraima.

Concept Papers/Applications must comply with the objectives of the National Policy for Territorial and Environmental Management of Indigenous Lands (PNGATI), and preferably should be based in existing diagnosis or discussion processes. It is not necessary that all Indigenous Lands of a specific area be included. Illustrative activities include, but are not limited to:

1. Protection, surveillance and environmental monitoring in indigenous lands and their borders.
2. Data generation and information gathering on the environmental state of Indigenous Lands with the participation of Indigenous Peoples.
3. Restoration/recovery of water resources and degraded lands that are essential for Indigenous Peoples.
4. Participatory ethno-zoning.
5. Promoting participation of Indigenous representatives, including women, in decision making processes regarding PNGATI, climate change, biodiversity and in protected areas councils.
6. Studies and discussions on interfaces of Conservation Units and Indigenous lands, mosaics of protected areas and definition of agreements/solutions.
7. Promoting sustainable economic activities and value chains in Indigenous lands, including technical assistance; and,
8. Capacity-building and institutional strengthening for indigenous organizations, learning exchanges and environmental education on PNGATI and its objectives/axes.

4. AUTHORIZING LEGISLATION

This program is authorized in accordance with the Foreign Assistance Act of 1961, as amended, 2 CFR 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal awards, and 2 CFR 700 - USAID Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

SECTION B - FEDERAL AWARD INFORMATION

1. ESTIMATE OF FUNDS AVAILABLE

Subject to the availability of funds, USAID intends to provide up to \$7,500,000 in total USAID funding over a three (3) year period. The ceiling for this program is \$7,500,000. Actual funding amounts are subject to availability of funds.

USAID intends to award up to four Cooperative Agreements pursuant to this notice of funding opportunity. USAID intends to destine approximately one third part of the estimated amount of funds available to each geographic area (Maranhao/Para, Rondonia and Roraima).

USAID, after joint analysis with GOB partners reserves the right to fund any one or none of the applications submitted.

2. START DATE AND PERIOD OF PERFORMANCE FOR FEDERAL AWARDS

The period of performance anticipated herein is of 36 months. The estimated start date will be upon the signature of the award.

3. SUBSTANTIAL INVOLVEMENT

- a) Approval of the Recipient's Implementation Plans
- b) Approval of Specified Key Personnel
- c) Agency and Recipient Collaboration or Joint Participation
 - Approval of the recipients' monitoring and evaluation plans
 - Concurrence on the substantive provisions of sub-awards.
 - Approval of partnerships, memorandums of understanding, etc. between recipient and other parties.

4. TITLE TO PROPERTY

Property title under the resultant agreement shall vest with the recipient in accordance with the requirements of Standard Provision - Title To and Care of Property

5. AUTHORIZED GEOGRAPHIC CODE

The geographic code for this program is 937.

6. PURPOSE OF THE AWARD

The principal purpose of the relationship with the recipient and under the subject program is to transfer funds to accomplish a public purpose of support or stimulation of the “USAID/Brazil Environment Program Support to Territorial and Environmental Management of Indigenous Lands in the states of Rondonia, Roraima, and Maranhao” activity which is authorized by federal statute.

The successful recipient will be responsible for ensuring the achievement of the program objectives and the efficient and effective administration of the award through the application of sound management practices. The recipient will assume responsibility for administering federal funds in a manner consistent with underlying agreements, program objectives, and the terms and conditions of the federal award. The recipient using its own unique combination of staff, facilities, and experience, has the primary responsibility for employing whatever form of sound organization and management techniques may be necessary in order to assure proper and efficient administration of the resulting award.

SECTION C - ELIGIBILITY INFORMATION

1. ELIGIBLE APPLICANTS

USAID welcomes applications from many types of organizations including U.S. and non-U.S. private businesses, business and trade associations, foundations, U.S. and non-U.S. Non-Governmental Organizations (NGOs), faith-based organizations, international organizations, U.S. and non-U.S. colleges and universities, civic groups, regional organizations, etc.; individually or organized under a consortium (one leader organization and others as partners). All applicants must be legally recognized organizational entities under applicable law. An individual cannot apply as an applicant.

2. PROGRAM ELIGIBILITY CRITERIA

To be considered for funding under this APS, applications must meet the following requirements:

1. Applications must be fully aligned with PNGATI objectives and PGTAs (when existing).
2. Applications must be feasible from a technical, economic, financial and social perspective.
3. Applications must demonstrate sustainability of activities after the end of the project and/or potential for replication and scaling-up over time.
4. The group of Indigenous Lands to be included should be consistent with previous initiatives conducted in the geographic areas mentioned in Section A.3.

3. INDIGENOUS LANDS & CONSERVATION UNITS REQUIREMENTS

1. Agreements with Brazil's National Indigenous People Foundation (FUNAI) and the Indigenous People involved, and adherence to the requirements of Free and Prior Informed Consent (FPIC) will be required as part of the Concept Paper/Application process. Work in conservation units must comply with legislation applicable to access and specific authorizations.
2. In areas with detected presence of isolated or recently contacted indigenous peoples, a manifestation from FUNAI's General Coordination of Isolated and Recently Contacted Indians (CGIIRC), who reserves the power of veto, is needed.

4. COST SHARE

Cost sharing is an important element of the USAID-recipient relationship. In addition to USAID funds, applicants may contribute resources from their own private or local sources for the implementation of this program. Contributions can be either cash or in kind (in accordance with ADS 303.3.10, and 2 CFR 200).

Although no cost share is required, where possible, applications should include activities that are integrated with activities in other sectors, layered with other investments in the same indigenous lands, and/or sequenced with activities that will further enhance the implementation of PNGATI and biodiversity conservation

5. NUMBER OF CONCEPT PAPERS

An entity/organization wishing to become an implementing institution may not submit more than one Concept Paper. Organizations that have already applied as implementing institutions can, however, participate as partners in applications by other implementing institutions.

Applications may propose implementation in one or more of the geographic areas included in Section A.3.

SECTION D - APPLICATION AND SUBMISSION INFORMATION

1. POINT OF CONTACT

The point of contact for this APS is:

Cecilia Yañez
Sup. Acquisition and Assistance Specialist
Regional Office of Acquisition and Assistance
USAID/Peru
Av. La Encalada s/n Cuadra 17
Lima, Peru
Email: cyanez@usaid.gov
Tel: 51-1-618-1432

2. CONTENT AND FORM OF APPLICATION SUBMISSION

This is a two-stage process. During the first stage applicants will submit a 6-page concept paper with a summary budget to give USAID a clear understanding of the application. At this point it is not intended to provide budget details, staffing plans, organizational background or similar detailed information. The paper should only discuss the project concept itself, including the approach and a description of results to be achieved. This submission will be reviewed by a Selection Committee with representatives from USAID and the Government of Brazil to determine whether or not a full application will be requested (second stage). Full applications will then also be reviewed by the Selection Committee. Full applications may only be submitted by invitation from the USAID/Peru Regional Office of Acquisition and Assistance (ROAA).

Prospective partners should be organizations with a reputation for integrity and the highest standards of conduct, as well as proven track record in their area of expertise. They should also have established relationships with the indigenous peoples.

Interested applicants should submit their concept paper by e-mail to brazilapps@usaid.gov. Only those concept papers that are received while this APS is open will be reviewed.

3. CONCEPT PAPER INSTRUCTIONS

All concept papers must be formatted on standard 8.5" x 11" paper (Letter size), with single space, 12 point Times New Roman or similar font with margins no less than one inch on each border, and each page numbered consecutively.

Concept papers may be in English or Portuguese and submitted electronically to the email above. When Full Technical and Cost Application is requested, the applicant must submit its application in English.

The concept paper shall include:

Cover Page/Introduction (does not count in the page limit):

1. APS Number;
2. Name, address, and website of the organization;
3. Type of organization (e.g., for-profit, non-profit, university, etc.);
4. Contact point (lead contact name, relevant telephone, and e-mail information);
5. Names of other proposed activity partners, if applicable.

Technical Information:

1. Concise title and objective of proposed activity;
2. Description, approach, and duration of activity;
3. Anticipated results and development impact;
4. Description of the relations with the Indigenous Peoples, see Section C.3.

Summary Budget (does not count in the page limit):

1. Proposed estimated cost;
2. Proposed cost share amount, if any, financial as well as in-kind participation (clearly identify which resources are cash and which are in-kind and provide information on the nature of the in-kind contributions).

4. FULL TECHNICAL AND COST APPLICATION

If as a result of the Concept Paper evaluation it is decided to request the applicant to submit a “Full Technical and Cost Application,” the applicant must be registered in SAM before submitting its application, provide a valid DUNS number in its application, and continue to maintain an active SAM registration with current information at all times during which it has an active Federal Award.

SECTION E – APPLICATION REVIEW INFORMATION

USAID will review for merit all Concept Papers that comply with the criteria below.

1. MERIT REVIEW CRITERIA FOR THE CONCEPT PAPER

The merit review criteria factors mentioned below, I through IV, have equal importance in the evaluation process.

I - Technical Quality

Successful applications shall specify and anticipate clear and tangible results/outcomes, innovative, unique and multidisciplinary ideas and approaches.

II - Institutional Capability

This criterion considers applicant organization's prior, cumulative and relevant experience in working with Indigenous peoples.

III - Established relations with the Indigenous Groups

Due to the unique nature of work with Indigenous groups, the proposed program will build on existing relations and institutional arrangements. Applicants must demonstrate prior work with Indigenous groups.

IV - Sustainability of the Project

Successful concept papers must indicate a commitment to the sustainable implementation of proposed activities, as well as to the replication and scalability of the project. They should indicate how the goals of the project will be met beyond USAID's financial support.

V - Cost Effectiveness (Cost effectiveness will be evaluated as a separate factor in determining its overall strength)

The design of a project should meet the needs of beneficiaries with a cost-benefit analysis.

2. MERIT REVIEW CRITERIA FOR FULL APPLICATION

Merit review criteria for full applications will be included in full applications requests.

SECTION F: FEDERAL AWARD ADMINISTRATION INFORMATION

1. FEDERAL AWARD NOTICES

Award of the agreement contemplated by this APS cannot be made until funds have been appropriated, allocated and committed through internal USAID procedures. While USAID anticipates that these procedures will be successfully completed, potential applicants are hereby notified of these requirements and conditions for the award. The Agreement Officer is the only individual who may legally commit the Government to the expenditure of public funds. No costs chargeable to the proposed Agreement may be incurred before receipt of either a fully executed Agreement or a specific, written authorization from the Agreement Officer.

Below is the procedure for the Award issuance process:

1. A Notice of Award signed by the Agreement Officer which is the authorizing document, which shall be transmitted to the Recipient for countersignatures to the authorized agent of the successful organization electronically, to be followed by original copies for execution.
2. Notification of the appointment of Agreement Officer's Representative (AOR) and alternate AOR.
3. Post-award Orientation.
4. Mobilization and preparation of Implementation Plan.
5. Commencement of implementation of Project activities.
6. Award Administration.

2. ADMINISTRATIVE & NATIONAL POLICY REQUIREMENTS

The following regulations, policies, and directives shall apply in the administration of the Cooperative Agreement.

For U.S. organizations, the 2 CFR 700, 2 CFR 200, and ADS 303maa, Standard Provisions for U.S. Non-governmental Organizations are applicable.
[<https://www.usaid.gov/ads/policy/300/303maa>]

For non-U.S. organizations, the Standard Provisions for Non-U.S. Non-governmental Organizations in ADS 303mab will apply.

The recipient has full responsibility for the conduct of project or activity supported under the Cooperative Agreement and for the results achieved. The recipient should monitor the performance of the project to assure adherence to performance goals, time schedules or other requirements as appropriate to the project or the terms of the agreement.

The applicable standard provisions will be attached to the final award document.

SECTION G - FEDERAL AWARDING AGENCY CONTACTS

The Agreement Officer for this APS is:

J. Dulanto-Hassenstein
Regional Agreement Officer
Regional Acquisition and Assistance Office (ROAA)
USAID/Peru
Av. La Encalada s/n, cdra. 17
Monterrico, Lima 33
Peru
Tel: 51-1-618-1435
jdulantohassenstein@usaid.gov

The A&A Specialist for this Award is:

Cecilia Yañez
Sup. A&A Specialist
Regional Acquisition and Assistance Office (ROAA)
USAID/Peru
Av. La Encalada s/n, cdra. 17
Monterrico, Lima 33
Peru
Tel: 51-1-618-1432
cyanez@usaid.gov

SECTION H - OTHER INFORMATION

USAID reserves the rights to fund any or none of the applications submitted in response to this APS.

Concept papers will be considered if received within the due date indicated in the cover page. Concepts will be reviewed as they are received, those that are received earlier in this period will have a greater probability of consideration.