

SOUTHEAST NEW ENGLAND PROGRAM

2023 Request for Applications for Rhode Island Decentralized Wastewater Improvement Grant

Federal Agency Name: U.S. Environmental Protection Agency, EPA Region 1

Funding Opportunity Title: Southeast New England Program (SNEP) Rhode Island Decentralized Wastewater Improvement Grant

Announcement Type: Request for Applications (RFA)

Funding Opportunity Number: EPA-I-R1-SNEP-2023A

Catalog of Federal Domestic Assistance (CFDA) Number: 66.129

Important Dates:

Information Session (Webinar)	Thursday January 26, 2023, 12:30-1:30PM (EST) Please check the following website for webinar log-in information in early January: https://www.epa.gov/snep/funding-and-technical-assistance-southeast-new-england-program
Deadline to Submit Questions via Email Questions should be directed to SECoastalNE@EPA.GOV	Thursday February 2, 2023, 11:59PM (EST)
Closing Date/Application Deadline Application packages must be submitted electronically to EPA through Grant.gov (see Section IV for details)	Friday March 10, 2023, 11:59PM (EST)
Anticipated Applicant Notification	Spring 2023
Anticipated Announcement of Award	Spring 2023

Dates: Applications must be received by electronic submission through Grants.gov on or before **03/10/2023 at 11:59PM (EST)**. Late applications will not be considered for funding. Questions about this RFA must be submitted in writing via e-mail to SECoastalNE@epa.gov by **02/02/2023 11:59PM (EST) (please include EPA-I-R1-SNEP-2023A in the subject line)**. EPA will post written responses on EPA’s website at: <http://www.epa.gov/snep>. Following evaluation of applications, EPA will notify all applicants regarding their status.

NOTE: Prior to naming a contractor or subrecipient in your application, please carefully review the “Contracts and Subawards” solicitation clause found in Section IV of this RFA.

The Infrastructure Investment and Jobs Act, P.L. 117-58, 2021 (IIJA), also known as the Bipartisan Infrastructure Law (BIL), provides \$15 million in funds to the Southeast New

England Program (SNEP) for fiscal years 2022 through 2026. This RFA is part of the EPA's commitment to implement the BIL in an effective and timely manner.

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Section I: Funding Opportunity Description

A. Background for Southeast New England Program

The objective of the federal Clean Water Act (CWA) is to "restore and maintain the chemical, physical, and biological integrity of the nation's waters." Despite continuing progress in achieving these goals, coastal ecosystems are recognized as particularly vulnerable to upstream pollution sources, fragmented management, and the impacts of climate change. The coastal watersheds of southeast New England are prime examples of this vulnerability. The economy and community health of this region are directly tied to its unique ecological systems, but these systems are currently impaired by excessive nutrients from wastewater and septic systems, by the legacies of past industrial uses, by shifting socio-economic patterns, and other factors. Traditionally, these problems have been addressed independently, separated by jurisdictions, institutional identities, and sometimes parochial interests. It has become clear, however, that the scope and scale of these environmental stressors calls urgently for a more holistic approach to protecting aquatic ecosystems and sustaining local communities. Created by Congress to take this approach, SNEP's and EPA's role as a partnership facilitator among multiple organizations is central to its mission to empower collective action in these areas, and its focus on the region's ecosystems offers an opportunity to address complex common issues while also working at the local scale to align restoration and management efforts more broadly to achieve greater effectiveness and efficiency. SNEP's priorities and goals have been laid out in the [Southeast New England Program 2021-2025 Strategic Plan](#).

B. Environmental Issue to be Addressed

SNEP's Five-Year Strategic Plan specifically includes priority actions to increase available solutions and demonstrate ways to address common challenges. Furthermore, the Plan identifies nutrient pollution from traditional septic systems as a significant challenge that continues to threaten coastal ecosystems throughout our region. Traditional septic systems are effective at treating some components of residential wastewater, such as bacteria, but are not designed specifically to remove nutrients, like nitrogen, during treatment. Additionally, as septic systems age, they can begin to leak their contents into nearby groundwater without proper treatment and contribute significantly to nitrogen and bacterial pollution downstream. All this septic-born nitrogen can travel through

groundwater and out to embayments where it can cause harmful algal blooms, low dissolved oxygen, and fish kills. Excess bacteria can lead to beach closures and fish/shellfish contamination. These traditional septic systems are a dominant form of wastewater treatment in many parts of the SNEP region. The Cape Cod Commission estimates that 78% of all controllable nitrogen pollution on Cape Cod can be contributed to septic systems ([2015, Cape Cod Area Wide Water Quality Management Plan Update](#)). Similarly, the Narragansett Bay National Estuary Program estimates that 38% of the Narragansett Bay watershed is served by septic systems, with local utilization as high as 90% in some areas ([2017, State of the Bay Report](#)).

Such a large number of systems spread throughout the region presents a challenge for proper management. Operation and maintenance tasks can often be left to homeowners (with mixed results), older style systems can be allowed to remain by “grandfathering,” and without holistic oversight, aggregate contribution of individual systems to nitrogen and bacterial load or the aggregate impact of climate change on decentralized wastewater treatment are not always considered. All of these have broad impact on our region’s ecosystems.

C. Goals of this Solicitation

Topline Goal: Improve the management and state of practice of decentralized wastewater infrastructure in Rhode Island to achieve improved coastal water quality and resilience in the SNEP region.

This RFA sets out a competitive process to select up to three (3) projects that will improve the management and state of practice of decentralized wastewater (i.e., septic systems) in the **Rhode Island** portion of the SNEP region. The awards will be for between \$310,000-\$465,000 and will last up to three (3) years. The total amount of funding anticipated for all grants under the RFA is \$930,000.

Broadly, the goal of this solicitation is to work towards achieving SNEP’s Strategic Plan goals of healthy waters and resilient communities and further SNEP’s priority actions regarding increasing available solutions and capacity around decentralized wastewater treatment (see Strategic Plan). Ultimately, projects selected under this solicitation should in some way improve, or work towards improving, regional coastal waters and/or build resilience to the impacts of climate change. It should be noted that projects do not necessarily need to be located near the coast to have an impact on coastal waters. Applicants should, however, be able to explain how their project has a nexus with coastal waters (e.g., by being upstream of them).

Within the above-mentioned broader goals, SNEP is seeking project proposals that address any, or multiple, of the following topics leading to the enhancement of decentralized wastewater treatment management.

- Development, expansion, or improvement of [Responsible Management Entities](#) (either through a third party or by a municipality) to administer, operate, and/or manage decentralized systems on a community-wide scale.
- Creation of or updates to data systems related to decentralized wastewater, such as performance monitoring, operation and maintenance monitoring, or location tracking.
 - Examples may include developing ways to use data to optimize inspection and oversight or increase data driven planning and decision making.
- Creation or expansion of innovative financial mechanisms to upgrade old/failing traditional septic systems or cesspools, provide assistance to residents unable to replace old/failing systems, including planning/incentivizing upgrading to Alternative/Experimental (A/E) nitrogen reducing systems, especially, in nitrogen sensitive areas. This may include developing programs to assist non-residential wastewater treatment as well.
- Commercial district decentralized wastewater planning.
- Evaluation of climate change impacts on the performance of decentralized systems, impact timelines, and development of plans to act on that information. Potential impacts from climate change include, but are not limited to, changes in precipitation patterns, flooding, sea level rise, groundwater rise/ salt intrusion, and rising temperatures.
- Evaluation of where sewerage needs to replace decentralized systems in light of climate change.
- Creation or updates of Onsite Wastewater Managements Plans.
- Execution of decentralized wastewater aspects identified in existing Hazard Mitigation Plans.
- Creation or updates to local ordinances regarding onsite wastewater management to reduce their impact on coastal water quality or withstand hazards projected by climate change.
- Development of sustained direct municipal capacity to more effectively manage decentralized wastewater operations in their jurisdiction. Examples could include development of fee systems, fund raising mechanisms, staffing, education, and efficiency tools.
- Evaluation of age, location, risk, and status of existing and planned decentralized systems.

Proposals may address multiple topics listed above **but must address at least one**. Other decentralized wastewater topics may be considered as part of an application which addresses at least one of the priority topics, but the applicant should sufficiently justify their inclusion in the proposal.

D. Proposal Details

Applicants may find the following questions useful in developing their proposal and in fully addressing the evaluation criteria listed in Section V.C. While not required, it is recommended that applicants follow a similar structure to the headings below and consider answering these questions in some manner in their proposal.

Problem Description/Site Selection

- Where is the proposed project to be located?
- What is the current state of decentralized wastewater treatment in the project area?
 - What supporting evidence is there?
- What are the current environmental conditions and/or issues in the project area and how do decentralized systems impact coastal water quality?
 - What supporting evidence is there?
 - What nexus does your project have with coastal waters?
- Why has the project area been chosen?
- How is the proposed project area/scope manageable given the constraints of the grant?

Project Description/Project Impact

- What is to be accomplished through this project?
 - What are the ultimate goals of the project?
 - What steps will be taken to accomplish those goals?
 - What is the timeline to accomplish those steps?
- How will this project help to improve coastal water quality, climate resilience, and the conditions/issues in the project area?
- What benefits will this project bring to people and/or the environment?
- Will this project benefit communities with environmental justice concerns?
 - Environmental justice (EJ) is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation and enforcement of environmental laws, regulations and policies. Fair treatment means no group of people should bear a disproportionate share of the negative environmental consequences resulting from industrial, governmental and commercial operations or policies. Meaningful involvement means people have an opportunity to participate in decisions about activities that may affect their environment and/or health; the public's contribution can influence the regulatory agency's decision; community concerns will be considered in the decision-making process; and decision makers will seek out and facilitate the involvement of those potentially affected.
 - For purposes of this competition and the evaluation of applications, “underserved communities” means people/communities of color, low income, tribal and indigenous populations, and other vulnerable populations such as the elderly, children, and those who pre-existing medical conditions.

Priority Topics

- Which priority topic(s) (Section I.B) does the project address?
- How does the project contribute to solving the addressed topic(s)?
- What impact on Rhode Island’s decentralized wastewater management will this project have?
- If non-priority topics are proposed, what are they and how do they impact coastal water quality and Rhode Island’s decentralized wastewater management?

Leveraged Funds/Partnerships

- What additional resources, funding, and/or partnerships will the applicant bring to the project?
- What role, specifically, will partners play during the project?
 - Will project partners contribute to, or just benefit from, the project?
- How much leveraged funding will be used on the project? Who is contributing? What is the type of contribution (e.g., in-kind service, cash, equipment, etc.)?
- What vested interest do project partners have in the outcome of the project?
- How committed are project partners?

Programmatic Capabilities

- Has the applicant organization worked on similar grant-funded projects recently (last 5 years)?
 - What were those projects?
 - How has the applicant performed on those projects?
 - How has the applicant met the requirements (reporting, financial, etc.) of grant conditions?
- What technical experience/expertise does the project team have to contribute to the project?
- What organizational administrative experience/expertise (drawdown of funds, project reporting, fiscal management, etc.) does the applicant organization contribute to the project?

Cost Effectiveness

- How much requested funding will go towards project cost versus overhead?
- How is the proposed project cost effective for the funds requested?
- Why are the proposed costs justified based on the project proposed?
- What additional funding resources will the applicant bring to the project?

Monitoring/Tracking of Results

- What are the proposed outputs, outcomes, metrics and deliverables from the proposed project? **Please see Section I.F for further information, especially for potential metrics which shall be selected from a list provided by SNEP.**
 - **Which metrics (at least one and no more than five) will the applicant use to describe their project results? How do those metrics relate to the project and SNEP's goals?**
- How will the applicant monitor/track the outputs, outcomes, metrics, and deliverables from the proposed project?
- Why are the proposed outputs, outcomes, and metrics adequate to comprehensively monitor/track the project's success?

Transferability of Results

- When/how will the results of this project be relevant to other SNEP region (or elsewhere) communities?
- How common is the problem to be addressed by the project?

- How will the applicant gather and disseminate project information to other interested communities?

Timely Expenditure of Funds

- How will the applicant ensure funds will be used on eligible activities in a timely manner (i.e., before the end of the grant period)?
- What corrective procedures will the applicant follow should any problems arise?

E. EPA Strategic Plan Linkage

Section 6a of EPA Order 5700.7 requires EPA to link proposed assistance agreements to our Strategic Plan. EPA also requires grant applicants and recipients to adequately describe environmental outputs and outcomes to be achieved under assistance agreements. See EPA Order 5700.7, Environmental Results under Assistance Agreements (www.epa.gov/sites/production/files/2015-03/documents/epa_order_5700_7a1.pdf).

The activities to be funded under this solicitation support the [FY 2022-2026 Strategic Plan](#). Awards made under this solicitation will support Goal 5 “Ensure Clean and Safe Water for All Communities,” Objective 5.2 – Protect and Restore Waterbodies and Watersheds; Goal 2 “Take Decisive Action to Advance Environmental Justice and Civil Rights,” Objective 2.2 – Embed Environmental Justice and Civil Rights into EPA’s Programs, Policies, and Activities; and Goal 1 “Tackle the Climate Crisis,” Objective 1.2 – Accelerate Resilience and Adaptation to Climate Change Impacts of the [Strategic Plan](#).

F. Outputs, Outcomes, and Performance Metrics

The SNEP Rhode Island Decentralized Wastewater Improvement Grant is intended to provide EPA funding to improve the management and state of practice of decentralized wastewater infrastructure in Rhode Island to achieve improved coastal water quality and resilience in the SNEP region.

For this RFA, the term “outcome” means the result, effect, or consequence that will be achieved by carrying out an environmental activity, effort, and/or associated work product that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related, or programmatic in nature, must be quantitative, and may not necessarily be achievable within a grant funding period. For this RFA “Desired Outcomes” describe the project metrics and conditions that we aim to achieve for the SNEP region that are found in the SNEP Strategic Plan. Efforts to achieve these outcomes will steer the actions necessary to meet specific targets. These outcomes include two of three overarching SNEP goals:

- Prevent, reduce and eliminate pollution to waters to support native seagrasses and aquatic life, plentiful native fish and shellfish, and a variety of water-based recreation opportunities.
- Prevent, reduce and eliminate pollution to waters to encourage the development of communities that share robust water-based economies and strive to foster equity in health and economic outcomes, prevent, reduce and eliminate pollution to waters by protecting and providing access to natural lands, open spaces and parks, and prevent,

reduce and eliminate pollution to air by encouraging local food sources, and adapting for resilience to expected impacts of climate change.

For this RFA, the term “output” means an environmental activity, effort, and/or associated work product related to an environmental goal or objective that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable over the term of the grant funding period. For this RFA, “Outputs” are detailed activities that SNEP, our grantees and partners will undertake to meet quantitative targets, work towards accomplishing desired outcomes, and ultimately achieve the SNEP 2050 regional vision.

Applications should consider the following questions when developing output and outcome measures of quantitative and qualitative results:

- What are the measurable short term and longer-term results the funded project will achieve?
- How will progress be measured in achieving the expected results (including outputs and outcomes) and how will their proposed approach use resources effectively and efficiently?
- Are there way to incorporate social and/or economic benefits as part of a broader environmental improvement effort?

For this RFA, the term “metrics” refers to specific, trackable measures specified by SNEP (**see Appendix B**). Applicants shall select at least one (1) and no more than five (5) SNEP metrics related to the outcomes/outputs of the proposed project. Please note, selected grantees shall work with SNEP to determine final metrics for the grantee to track and report on through the life of the grant. Some projects may require more than five metrics, however for the purposes of your proposal please indicate only the most important.

G. Statutory Authority

The SNEP program is authorized by the Consolidated Appropriations Act, 2022 (Public Law 117-103). This authority allows EPA to award grants and cooperative agreements to carry out the SNEP, including planning, research, monitoring, outreach, and implementation projects within the SNEP geographic area.

Funding for this award will come from the Infrastructure, Investment and Jobs Act of 2021 (Bipartisan Infrastructure Law or BIL), Public Law 117-58.

H. Additional Provisions for Applicants Incorporated into this Solicitation

Additional provisions that apply to section III, IV, V, and VI of this solicitation and/or awards made under this solicitation, can be found at EPA Solicitation Clauses. These provisions are important for applying to this solicitation and applicants must review them when preparing applications for this solicitation. If you are unable to access these provisions electronically at the website above, please contact the EPA point of contact listed in this solicitation to obtain the provision.

Section II: Award Information

A. Funding Amount and Expected Number of Awards

EPA expects to award up to three (3) cooperative agreement for the activities under this RFA. The total estimated funding available for the awards under this competitive opportunity is up to **\$930,000**, with individual awards between **\$310,000 and \$465,000**.

All funding decisions are subject to funding availability, quality of applications, and other applicable considerations.

B. Partial Funding Provision

In appropriate circumstances, EPA reserves the right to partially fund applications by funding discrete portions or phases of proposed projects. If EPA decides to partially fund an application, it will do so in a manner that does not prejudice any applicants or affect the basis upon which the application, or portion thereof, was evaluated and selected for award, and therefore maintains the integrity of the competition and selection process.

C. No Awards Provision

EPA reserves the right to reject all proposals and make no award under this announcement.

D. Additional Awards

EPA reserves the right to make additional awards under this solicitation, consistent with Agency policy and guidance, if additional funding becomes available after the original selections are made. Any additional selections for awards will be made no later than 6 months after the original selection decisions.

E. Award Type

EPA intends to award up to three (3) cooperative agreements under this solicitation. Cooperative agreements provide for substantial involvement between the EPA Project Officer and the selected applicant(s) in the performance of the work supported. Although EPA will negotiate precise terms and conditions relating to substantial involvement as part of the award process, the anticipated substantial federal involvement for these projects may include:

- Close monitoring of the successful applicant's performance to verify the results proposed by the applicant.
- Collaboration during performance of the scope of work.
- Review of proposed procurements in accordance with 2 C.F.R. § 200.317 and 2 C.F.R. § 200.318.
- Approving qualifications of key personnel (EPA will not select employees or contractors employed by the award applicant).
- Review and comment on reports prepared under the cooperative agreement (the final decision on the content of the report rests with the applicant).

EPA personnel do not have the authority to select employees or contractors employed by the successful applicant or to direct, recommend or direct that the pass-through entity make a subaward to a particular organization. EPA personnel may, if requested by the successful applicant, participate in selection panels to the extent permitted by section 10(b) of the EPA Subaward Policy. EPA staff may also approve the substantive terms of subawards to ensure compliance with legal or policy requirements.

F. Expected Project Period

For this RFA, it is EPA's expectation that the award will have a maximum period of performance of up to three years (**June 2023 – May 2026**). No commitment of funding will be made beyond the first year. The expected start date for the award resulting from this RFA is **06/01/2023**.

Section III: Eligibility Information

Note: Additional provisions that apply to this section can be found at [EPA Solicitation Clauses](#).

A. Eligible Applicants

In accordance with Assistance Listing 66.129, assistance under this SNEP RFA is available to state, local, and tribal governments; institutions of higher education; nonprofit institutions and organizations; and interstate agencies. Private businesses, federal agencies, and individuals are not eligible to apply; however, they may work in partnership with eligible applicants on these projects.

For-profit organizations are not an eligible entity for this funding opportunity. Additionally, non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.

Consistent with the definition of Nonprofit organization at 2 CFR § 200.1, the term nonprofit organization means any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest and is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. The term includes tax-exempt nonprofit neighborhood and labor organizations. Note that 2 CFR 200.1 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. While not considered to be a nonprofit organization(s) as defined by 2 CFR 200.1, public or nonprofit Institutions of Higher Education are, nevertheless, eligible to submit applications under this RFA. For-profit colleges, universities, trade schools, and hospitals are ineligible.

Nonprofit organizations that are not exempt from taxation under section 501 of the Internal Revenue Code must submit other forms of documentation of nonprofit status; such as certificates of incorporation as nonprofit under state or tribal law. Nonprofit organizations exempt from taxation under section 501(c)(4) of the Internal Revenue Code that lobby are not eligible for EPA funding as provided in the Lobbying Disclosure Act, 2 U.S.C. 1611.

B. Cost Share/Match Requirements

No matching funds are required under this competition. Although cost sharing/matching is not required as a condition of eligibility under this competition, under Section V of this announcement EPA will evaluate proposals based on a leveraging criterion.

Leveraging is generally when an applicant proposes to provide its own additional funds/resources or those from third party sources to support or complement the project they are awarded under the competition which are above and beyond the EPA grant funds awarded. Any leveraged funds/resources, and their source, must be identified in the proposal (See Section IV of the announcement). Leveraged funds and resources may take various forms as noted below.

Voluntary cost share is a form of leveraging. Voluntary cost sharing is when an applicant voluntarily proposes to legally commit to provide costs or contributions to support the project when a cost share is not required. Applicants who propose to use a voluntary cost share **must** include the costs or contributions for the voluntary cost share in the project budget on the SF-424. If an applicant proposes a voluntary cost share, the following apply:

- A voluntary cost share is subject to the match provisions in the grant regulations (2 CFR 200.306).
- A voluntary cost share may only be met with eligible and allowable costs.
- The recipient may not use other sources of federal funds to meet a voluntary cost share unless the statute authorizing the other federal funding provides that the federal funds may be used to meet a cost share requirement on a federal grant.
- The recipient is legally obligated to meet any proposed voluntary cost share that is included in the approved project budget. If the proposed voluntary cost share does not materialize during grant performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR 200.

Other leveraged funding/resources that are not identified as a voluntary cost share. This form of leveraging may be met by funding from another federal grant, from an applicant's own resources, or resources from other third-party sources. This form of leveraging should not be included in the budget and the costs need not be eligible and allowable project costs under the EPA assistance agreement. While this form of leveraging should not be included in the budget, the grant workplan should include a statement indicating that the applicant is expected to produce the proposed leveraging consistent with the terms of the announcement and the applicant's proposal. If applicants propose to provide this form of leveraging, EPA expects them to make the effort to secure the leveraged resources described in their proposals. If the proposed

leveraging does not materialize during grant performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR Parts 200 or 1500.

C. Threshold Eligibility Criteria

Only proposals from eligible entities (see Section III.A above) that meet the following threshold eligibility criteria will be evaluated. Applicants deemed ineligible for funding consideration as a result of the threshold eligibility review will be notified within 15 calendars days of the ineligibility determination.

- a. Applicant activities and subaward projects funded under this RFA must be eligible for funding under the SNEP CFDA (66.129) and carried out within the Rhode Island portion of the SNEP geographic region (see map in Appendix A).
- b. Applications must address at least one of the priority topics listed in Section 1.C.
- c. Applications must substantially comply with the application submission instructions and requirements set forth in Section IV of this solicitation or else they will be rejected. However, where a page limit is expressed in Section IV with respect to the application, or parts thereof, pages in excess of the page limitation will not be reviewed. Applicants are advised that readability is of paramount importance and should take precedence in application format, including selecting a legible font type and size for use in the application.
- d. In addition, initial applications must be submitted through Grants.gov as stated in Section IV of this solicitation (except in the limited circumstances where another mode of submission is specifically allowed for as explained in Section IV) on or before the application submission deadline published in Section IV of this solicitation. Applicants are responsible for following the submission instructions in Section IV of this solicitation to ensure that their application is timely submitted. Please note that applicants experiencing technical issues with submitting through Grants.gov should follow the instructions provided in Section IV, which include both the requirement to contact Grants.gov and email a full application to EPA prior to the deadline.
- e. Applications submitted outside of Grants.gov will be deemed ineligible without further consideration unless the applicant can clearly demonstrate that it was due to EPA mishandling or technical problems associated with Grants.gov or SAM.gov. An applicant's failure to timely submit their application through Grants.gov because they did not timely or properly register in SAM.gov or Grants.gov will not be considered an acceptable reason to consider a submission outside of Grants.gov. Applicants should confirm receipt of their application with SECoastalNE@epa.gov as soon as

possible after the submission deadline- failure to do so may result in your application not being reviewed.

Section IV: Application and Submission Information

Note: Additional provisions that apply to this section can be found at [EPA Solicitation Clauses](#).

A. Programmatic Capability and Past Performance

Submit a list of federally and/or non-federally funded assistance agreements (assistance agreements include Federal grants and cooperative agreements but not Federal contracts) that your organization performed within the last three years (no more than 5 agreements, and preferably EPA agreements) and describe: (i) whether, and how, you were able to successfully complete and manage those agreements; and (ii) your history of meeting the reporting requirements under those agreements including whether you adequately and timely reported on your progress towards achieving the expected outputs and outcomes of those agreements (and if not, explain why not) and whether you submitted acceptable final technical reports under the agreements; and (iii) your organizational experience and plan for timely and successfully achieving the objectives of the proposed project, and your staff expertise/qualifications, staff knowledge, and resources or the ability to obtain them, to successfully achieve the goals of the proposed project. In evaluating applicants under these factors in Section V, EPA will consider the information provided by the applicant and may also consider relevant information from other sources, including information from EPA files and from current/prior grantors (e.g., to verify and/or supplement the information provided by the applicant). If you do not have any relevant or available past performance or past reporting information, please indicate this in the application and you will receive a neutral score for these factors (a neutral score is half of the total points available in a subset of possible points). If you do not provide any response for these items, you may receive a score of 0 for these factors.

B. Coalition Coverage

Groups of two or more eligible applicants may choose to form a coalition and submit a single application under this RFA; however, one entity must be responsible for the grant. Coalitions must identify which eligible organization will be the recipient of the grant and which eligible organization(s) will be subrecipients of the recipient (the “pass-through entity”). Subawards must be consistent with the definition of that term in 2 CFR 200.1 and comply with EPA’s Subaward Policy. The pass-through entity that administers the grant and subawards will be accountable to EPA for proper expenditure of the funds and reporting and will be the point of contact for the coalition. As provided in 2 CFR 200.332, subrecipients are accountable to the pass-through entity for proper use of EPA funding. For-profit organizations are not eligible for subawards under this grant program but may receive procurement contracts. Any contracts for services or products funded with EPA financial assistance must be awarded under the competitive procurement procedures of 2 CFR Part 200 and/or 2 CFR Part 1500, as applicable. The regulations at 2

CFR 1500.10 contain limitations on the extent to which EPA funds may be used to compensate individual consultants. Refer to the Best Practice Guide for Procuring Services, Supplies, and Equipment Under EPA Assistance Agreements for guidance on competitive procurement requirements and consultant compensation. Do not name a procurement contractor (including a consultant) as a “partner” or otherwise in your application unless the contractor has been selected in compliance with competitive procurement requirements.

C. Requirement to Submit Through Grants.gov and Limited Exception Procedures

Applicants must apply electronically through [Grants.gov](#) under this funding opportunity based on the grants.gov instructions in this announcement. If your organization has no access to the internet or access is very limited, you may request an exception for the remainder of this calendar year by following the procedures outlined [here](#). Please note that your request must be received at least 15 calendar days before the application due date to allow enough time to negotiate alternative submission methods. Issues with submissions with respect to this opportunity only are addressed in section e. *Technical Issues with Submission* below.

D. Submission Instructions

1. SAM.gov (System for Award Management) Registration Instructions

Organizations applying to this funding opportunity must have an active SAM.gov registration. If you have never done business with the Federal Government, you will need to register your organization in SAM.gov. If you do not have a SAM.gov account, then you will create an account using [login.gov](#) (1) to complete your SAM.gov registration. SAM.gov registration is FREE. The process for entity registrations includes obtaining Unique Entity ID (UEI), a 12-character alphanumeric ID assigned an entity by SAM.gov, and requires assertions, representations and certifications, and other information about your organization. Please review the [Entity Registration Checklist](#) for details on this process.

If you have done business with the Federal Government previously, you can check your entity status using your government issued UEI to determine if your registration is active. SAM.gov requires you renew your registration every 365 days to keep it active.

Please note that SAM.gov registration is different than obtaining a UEI only. Obtaining an UEI only validates your organization's legal business name and address. Please review the [Frequently Asked Question](#) on the difference for additional details.

Organizations should ensure that their SAM.gov registration includes a current e-Business (EBiz) point of contact name and email address. The EBiz point of contact is critical for Grants.gov Registration and system functionality.

Contact the [Federal Service Desk](#) for help with your SAM.gov account, to resolve technical issues or chat with a help desk agent: (866) 606-8220. The Federal Service desk hours of operation are Monday - Friday 8am - 8pm ET.

2. Grants.gov Registration Instructions

Once your SAM.gov account is active, you must register in Grants.gov. Grants.gov will electronically receive your organization information, such as e-Business (EBiz) point of contact email address and UEI. Organizations applying to this funding opportunity must have an active Grants.gov registration. Grants.gov registration is FREE. If you have never applied for a federal grant before, please review the [Grants.gov Applicant Registration](#) instructions. As part of the Grants.gov registration process, the EBiz point of contact is the only person that can affiliate and assign applicant roles to members of an organization. In addition, at least one person must be assigned as an Authorized Organization Representative (AOR). Only person(s) with the AOR role can submit applications in Grants.gov. Please review the [Intro to Grants.gov-Understanding User Roles](#) and [Learning Workspace - User Roles and Workspace Actions](#) for details on this important process.

Please note that this process can take a month or more for new registrants. Applicants must ensure that all registration requirements are met in order to apply for this opportunity through Grants.gov and should ensure that all such requirements have been met well in advance of the application submission deadline.

Contact [Grants.gov](#) for assistance at 1-800-518-4726 or support@grants.gov to resolve technical issues with Grants.gov. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a Grants.gov representative by calling 606-545-5035. The Grants.gov Support Center is available 24 hours a day 7 days a week, excluding federal holidays.

3. Application Submission Process

To begin the application process under this grant announcement, go to [Grants.gov](#) and click the red "Apply" button at the top of the view grant opportunity page associated with this opportunity.

The electronic submission of your application to this funding opportunity must be made by an official representative of your organization who is registered with Grants.gov and is authorized to sign applications for Federal financial assistance. If the submit button is grayed out, it may be because you do not have the appropriate role to submit in your organization. Contact your organization's EBiz point of contact or contact [Grants.gov](#) for assistance at 1-800-518-4726 or support@grants.gov

Applicants need to ensure that the Authorized Organization Representative (AOR) who submits the application through Grants.gov and whose UEI is listed on the application is an AOR for the applicant listed on the application. Additionally, the UEI listed on the application must be registered to the applicant organization's

SAM.gov account. If not, the application may be deemed ineligible.

4. Application Submission Deadline

Your organization's AOR must submit your complete application package electronically to EPA through [Grants.gov](https://www.grants.gov) no later than **03/10/2023 11:59 PM ET**. Please allow for enough time to successfully submit your application and allow for unexpected errors that may require you to resubmit.

Applications submitted through Grants.gov will be time and date stamped electronically. Please note that successful submission of your application through Grants.gov does not necessarily mean your application is eligible for award. Any application submitted after the application deadline time and date deadline will be deemed ineligible and not be considered.

E. Technical Issues with Submission

If applicants experience technical issues during the submission of an application that they are unable to resolve, follow these procedures **before** the application deadline date:

- Contact Grants.gov Support Center **before** the application deadline date.
- Document the Grants.gov ticket/case number.
- Send an email with EPA-I-R1-SNEP-2023A in the subject line to **SECoastalNE@epa.gov** **before** the application deadline time and date and **must** include the following:
 - Grant.gov ticket/case number(s)
 - Description of the issue
 - The entire application package in PDF format

Without this information, EPA may not be able to consider applications submitted outside of grants.gov. Any application submitted after the application time and date deadline will be deemed ineligible and not be considered.

Please note that successful submission through Grants.gov or email does not necessarily mean your application is eligible for award.

EPA will make decisions concerning acceptance of each application submitted outside of Grants.gov on a case-by-case basis. EPA will only consider accepting applications that were unable to submit through Grants.gov due to [Grants.gov](https://www.grants.gov) or relevant [SAM.gov](https://www.sam.gov) system issues or for unforeseen exigent circumstances, such as extreme weather interfering with internet access. Failure of an applicant to submit prior to the application submission deadline date because they did not properly or timely register in SAM.gov or Grants.gov is not an acceptable reason to justify acceptance of an application outside of Grants.gov.

F. Application Materials

The following forms and documents are required under this announcement:

Mandatory Documents:

1. Application for Federal Assistance (SF-424)
2. Budget Information for Non-Construction Programs (SF-424A)
3. EPA Key Contacts Form 5700-54
4. EPA Form 4700-4 Preaward Compliance Review Report
5. Budget Detail (Budget Narrative Attachment Form)
6. Project Narrative Attachment Form: Use this to submit your Project Narrative, prepared as described in Section IV.G
7. Supporting Documents (Other Attachments Form)
 - a) Resumes or curriculum vitae of staff that will be working on the grant
 - b) Letters of commitment from any partners identified in the proposal in order to confirm their partnership.
 - c) List documenting past or ongoing federal and/or non-federally funded assistance agreements administered by the applicant, including documentation supporting grant performance and meeting of reporting requirements.
 - d) Documents of non-profit status if appropriate.
 - e) Verification of proposed sources of leveraged funding.

G. Format for Proposal Narratives

Proposal narratives must be no longer than 12 numbered pages (“Pages” refers to one side of a single-spaced, typed page, i.e., a double-sided page equals two pages) 8.5x11 inches, single spaced, font size no smaller than 10pt) and must address the evaluation criteria in Section V.B. The first page should include applicant contact information and the proposal’s title. The 12 pages do not include the cover page, Support Materials listed above or, the budget detail/narrative, the SF-424, SF-424A, Key Contacts Form, or EPA Form 4700-4.

Applicants should fully address the criteria listed in Section V.B.

Applicants may find addressing the questions posed in Section I.D useful in developing their proposal. While not required, it is recommended that applicants follow a similar structure to the headings below and consider answering the questions in Section I.D in some manner in their proposal.

- Problem Description/Site Selection
 - Describe current conditions in the proposed project area and how they would benefit from the project activities.
 - Where is the proposed project to be located?
 - What is the current state of decentralized wastewater treatment in the project area?
 - What supporting evidence is there?
 - What are the current environmental conditions and/or issues in the project area and how do decentralized systems impact coastal water quality?
 - What supporting evidence is there?
 - What nexus does your project have with coastal waters?

- Describe the impact project activities will have on coastal waters.
- Describe and justify the project's areal extent.
 - Why has the project area been chosen?
 - How is the proposed project area/scope manageable given the constraints of the grant?
- Project Description/Project Impact
 - Describe the proposed project.
 - What is to be accomplished through this project?
 - What are the ultimate goals of the project?
 - What steps will be taken to accomplish those goals?
 - What is the timeline to accomplish those steps?
 - Describe how the proposed project will contribute to improved coastal water quality and/or resilience to expected impacts from climate change.
 - How will this project help to improve coastal water quality, climate resilience, and the conditions/issues in the project area?
 - What benefits will this project bring to people and/or the environment?
 - Describe how the proposed project fits with SNEP's five-year strategic plan.
 - Describe the benefits the proposed project will have for decentralized wastewater management.
 - Describe any benefits the proposed project may have for communities with EJ concerns.
 - Will this project benefit communities with environmental justice concerns?
- Priority Topics
 - List which priority topics the proposed project encapsulates and describe how.
 - Which priority topic(s) (Section I.B) does the project address?
 - How does the project contribute to solving the addressed topic(s)?
 - What impact on Rhode Island's decentralized wastewater management will this project have?
 - If non-priority topics are proposed, what are they and how do they impact coastal water quality and Rhode Island's decentralized wastewater management?
- Leveraged Funds/Partnerships
 - Describe how the project partners (if any) will partake in collaboration on the project.
 - What additional resources, funding, and/or partnerships will the applicant bring to the project?
 - What role, specifically, will partners play during the project?
 - Will project partners contribute to, or just benefit from, the project?
 - How much leveraged funding will be used on the project? Who is contributing? What is the type of contribution (e.g., in-kind service, cash, equipment, etc.)?

- What vested interest do project partners have in the outcome of the project?
 - How committed are project partners?
- Leveraging: Demonstrate how you will coordinate the use of EPA funding with other Federal and/or non-Federal sources of funds/resources to leverage additional resources beyond the grant funds awarded [also include the following language only if there is a cost share requirement-- "and any required cost share for applicants specified in Section III of the announcement"] to carry out the proposed project(s) and/or that EPA funding will complement activities relevant to the proposed project(s) carried out by the applicant with other sources of funds or resources. Describe the type and amount of leveraging proposed, how you will obtain the leveraged resources, the likelihood the leveraging will materialize during grant performance, the strength of the leveraging commitment, and the role the leveraged funds/resources will play in the overall project. Selected applicants are expected to abide by their proposed leveraging commitments during grant performance and the failure to do so may affect the legitimacy of the award.
- Programmatic Capabilities
 - Describe organizational past performance in completing and managing assistance agreements, including meeting reporting requirements.
 - Has the applicant organization worked on similar grant-funded projects recently (last 5 years)?
 - What were those projects?
 - How has the applicant performed on those projects?
 - How has the applicant met the requirements (reporting, financial, etc.) of grant conditions?
 - Describe organizational experience.
 - What organizational administrative experience/expertise (drawdown of funds, project reporting, fiscal management, etc.) does the applicant organization contribute to the project?
 - Describe staff expertise and qualifications.
 - What technical experience/expertise does the project team have to contribute to the project?
- Cost Effectiveness
 - Describe how the proposed project is cost effective.
 - How much requested funding will go towards project cost versus overhead?
 - How is the proposed project cost effective for the funds requested?
 - Why are the proposed costs justified based on the project proposed?
 - What additional funding resources will the applicant bring to the project?
- Monitoring/Tracking of Results
 - Describe plans to monitor and track the outcomes, outputs, and metrics related to the proposed project.

- What are the proposed outputs, outcomes, metrics and deliverables from the proposed project? Please see Section I.F for further information, especially for potential metrics which shall be selected from a list provided by SNEP.
 - Which metrics (at least one and no more than five) will the applicant use to describe their project results? How do those metrics relate to the project and SNEP's goals?
 - How will the applicant monitor/track the outputs, outcomes, metrics, and deliverables from the proposed project?
 - Why are the proposed outputs, outcomes, and metrics adequate to comprehensively monitor/track the project's success?
- Describe the specific SNEP metrics to be used to track the proposed project.
- Transferability of Results
 - Describe how the results of the proposed project could benefit other towns/regions/areas.
 - When/how will the results of this project be relevant to other SNEP region (or elsewhere) communities?
 - How common is the problem to be addressed by the project?
 - Describe plans to gather and develop information to support dissemination of project results.
 - How will the applicant gather and disseminate project information to other interested communities?
- Timely Expenditure of Funds
 - Describe your approach to using project funds in a timely manner during the life of the award.
 - How will the applicant ensure funds will be used on eligible activities in a timely manner (i.e., before the end of the grant period)?
 - What corrective procedures will the applicant follow should any problems arise?

H. Environmental Justice Screening Tool

Environmental Justice Web-based Screening Tool - Applicants are encouraged, as appropriate, to include data from EPA's EJSCREEN tool (or other EJ-focused geospatial mapping tools) as part of their application to help characterize and describe the affected communities/populations and areas and address the environmental justice criterion in Section V. Data from other sources (e.g. studies, census, and third-party reports) should also be included to give a more complete picture of the impacted communities and populations. Instructions, resources, and tutorials on how to use EJSCREEN are included at the hyperlink above.

Section V: Application Review Information

Note: Additional provisions that apply to this section can be found at [EPA Solicitation Clauses](#).

A. Evaluation Process

After EPA reviews applications for threshold eligibility as described in Section III, eligible applications will be reviewed by a team of EPA, State, and/or Non-Governmental Organization professionals with a working knowledge of the technical and programmatic aspects needed to rigorously evaluate applications. EPA will not consider the qualifications, experiences, and expertise of named subrecipients and/or named contract(s) during the application evaluation process.

B. Evaluation Criteria

Eligible applications will be evaluated and scored by reviewers using the criteria listed below. Each application may receive up to 100 points. Applications should fully address these criteria.

Criterion	Description of Evaluation Criteria	Point Value
Problem Description/Site Selection	Under this criterion, the reviewers will evaluate the application based on the extent and quality to which the applicant: • demonstrates that the current conditions in the proposed project area would benefit from proposed project activities. • demonstrates that the problem(s) to be addressed in their proposal impacts coastal water quality. • Justifies their proposed project area.	20
Project Description/Project Impact	Under this criterion, the reviewers will evaluate the application based on the extent and quality to which the applicant: • Clearly and concisely describes their proposed project. • Demonstrates how their proposed project will contribute to improved coastal water quality, and/or resilience to expected impacts of climate change. • Demonstrates that their project is in line with SNEP's Strategic Plan. • Demonstrates that the project will improve operation and management of decentralized systems. • Describes whether and to what extent this project benefits communities with Environmental Justice concerns. ○ Applications will be evaluated based on the extent to which they demonstrate how the project will address the disproportionate and adverse (see below) human health, environmental, climate-related and other cumulative impacts, as	25

	well as the accompanying economic challenges of such impacts, resulting from industrial, governmental, commercial and/or other actions that have affected and/or currently affect the underserved communities described in Section I of the solicitation. As part of this evaluation, applications will be evaluated based on: how the project benefits the underserved communities including those that have experienced a lack of resources or other impediments to addressing the impacts identified above that affect their community and; the extent to which the project addresses engagement with these communities, especially local residents in these communities who will be affected by the project, to ensure their meaningful participation with respect to the design, project planning, and performance of the project. Disproportionate and adverse environmental, human health, climate-related and other cumulative impacts, as well as the accompanying economic challenges of such impacts, may result when greater pollution burdens and/or consequences, and the impact of them, are more likely to affect or have affected the underserved communities described in this solicitation. The impacts may result from various factors including but not limited to being a function of historical trends and policy decisions. Factors that may indicate disproportionate and adverse impacts as referenced above include: differential proximity and exposure to adverse environmental hazards; greater susceptibility to adverse effects from environmental hazards (due to causes such as age, chronic medical conditions, lack of health care access, or limited access to quality nutrition); unique environmental exposures because of	
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	practices linked to cultural background or socioeconomic status (for example, subsistence fishing or farming); cumulative effects from multiple stressors; reduced ability to effectively participate in decision-making processes (due to causes such as lack of or ineffective language access programs, lack of programs to make processes accessible to persons with disabilities, inability to access traditional communication channels, or limited capacity to access technical and legal resources); and degraded physical infrastructure, such as poor housing, poorly maintained public buildings (e.g., schools), or lack of access to transportation.	
Leveraged Funds/Partnerships	Under this criterion, the reviewers will evaluate the application based on the extent and quality to which the applicant: • (i) how they will coordinate the use of EPA funding with other Federal and/or non-Federal sources of funds/resources to leverage additional resources beyond the grant funds awarded to carry out the proposed project(s) and/or (ii) that EPA funding will complement activities relevant to the proposed project(s) carried out by the applicant with other sources of funds or resources. Applicants will also be evaluated based on the type and amount of leveraging proposed, how the applicant will obtain the leveraged resources, the likelihood the leveraging will materialize during grant performance, the strength of the leveraging commitment, and the role the leveraged resources will play to support the proposed project activities. • Includes a clear and concise description of how the proposed project promotes collaboration with partners. • Describes planned roles for each partner, including how each partner will contribute resources to the project and has a vested interest in working in the partnership.	10

	• Includes letters of commitment from partners in their application package. • Demonstrates the expertise and financial resources brought to the project by partners and the applicant.	
Programmatic Capabilities	Under this criterion, applicants will be evaluated based on their ability to successfully complete and manage the proposed project considering their: (i) past performance in successfully completing and managing the assistance agreements identified in response to Section IV.A of the solicitation, (ii) history of meeting the reporting requirements under the assistance agreements identified in response to Section IV.A of the solicitation including whether the applicant submitted acceptable final technical reports under those agreements and the extent to which the applicant adequately and timely reported on their progress towards achieving the expected outputs and outcomes under those agreements and if such progress was not being made whether the applicant adequately reported why not, (iii) organizational experience and plan for timely and successfully achieving the objectives of the proposed project, and (iv) staff expertise/qualifications, staff knowledge, and resources or the ability to obtain them, to successfully achieve the goals of the proposed project. Note: In evaluating applicants under items i and ii of this criterion, the Agency will consider the information provided by the applicant and may also consider relevant information from other sources including agency files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). If you do not have any relevant or available past performance or past reporting information, please indicate this in the application and you will receive a neutral score for these subfactors (items i and	5

	ii above-a neutral score is half of the total points available in a subset of possible points). If you do not provide any response for these items, you may receive a score of 0 for these factors.	
Cost Effectiveness	Under this criterion, the reviewers will evaluate the application based on the degree to which it is cost-effective, considering the following factors: organizational overhead, indirect cost, prudent cost allocation over the life of the award, organizational and partner resources brought to the effort; consistency with proposed budget detail; and ability to perform the duties within the operational range of budgets provided by SNEP.	5
Monitoring/Tracking of Results	Under this criterion, the reviewers will evaluate the application based on the extent and quality to which the applicant: - describes the plan to monitor and track the outcomes, outputs, and metrics related to their proposed project. - Describes the specific SNEP metrics they propose to track and report on during the life of the grant. (See Appendix B)	5
Transferability of Results	Under this criterion, the reviewers will evaluate the application based on the extent and quality to which the applicant: - Demonstrates that their proposed project could serve as an example for other groups/areas. - Plans to gather and develop information to support and communicates lessons learned from the proposed activities. - Plans to share and disseminate their results to serve as a model for other groups/areas to learn from.	10
Timely Expenditure of Funds	Under this criterion, applicants will be evaluated based on their approach, procedures, and controls for ensuring that awarded grant funds will be expended in a timely and efficient manner.	5
Priority Topics	Under this criterion, the reviewers will evaluate the application based on the extent and quality to which the applicant addresses one or more of the priority topics described in section I.B.	15

C. Review and Selection Process

Once eligible applications have been reviewed and ranked by the panel of reviewers using the criteria stated in Section V.B, the review team will forward their rankings and evaluations to the EPA Region 1 Water Division's Selection Official for final selection.

Section VI: Award Administration Information

NOTE: Additional provisions that apply to this section can be found at [EPA Solicitation Clauses](#)

A. Award Notification

EPA anticipates successful applicants will be notified by electronic mail by **Spring 2023**. The notification will be sent to the original signer of the application, or the project contact listed in the application. This notification informs you that your application has been selected and is being recommended for award. It is not an authorization to begin work. The official notification of an award will be made the EPA Region 1 Grants Management Office. Only a grants management officer is authorized to bind the Government to the expenditure of funds. Selection does not guarantee an award will be made since issues discovered during the award process may affect EPA's ability to make the award to an applicant. In addition, a successful applicant may need to prepare and submit additional documents and forms (e.g., work plan), which must be approved by EPA, before the grant can officially be awarded. The award notice, signed by an EPA grants management officer, is the authorizing document and will be provided through electronic or postal mail. The time between notification of selection and award of a grant can take up to 90 days or longer.

B. Administrative and National Policy Requirements

Applicants selected for federal funding may need to adjust their forms prior to award. EPA reserves the right to negotiate and/or adjust the final cooperative agreement amount and work plan content prior to award consistent with agency policies.

Indirect Costs: Indirect costs (IDCs) may be budgeted and charged by recipients of Federal assistance agreements in accordance with [2 C.F.R. Part 200](#). EPA's [Indirect Cost Policy for Recipients of EPA Assistance Agreements](#) (IDC Policy) implements the Federal regulations, and the following applies to all EPA assistance agreements, unless there are [statutory or regulatory limits on IDCs](#).

In order for an assistance agreement recipient to use EPA funding for indirect costs, the IDC category of the recipient's assistance agreement award budget must include an amount for IDCs and at least one of the following must apply:

- With the exception of "exempt" agencies and Institutions of Higher Education as noted below, all recipients must have one of the following current (not expired) IDC rates, including IDC rates that have been extended by the cognizant agency:
 - Provisional;
 - Final;

- Fixed rate with carry-forward;
- Predetermined;
- 10% de minimis rate authorized by 2 C.F.R. § 200.414(f); and
- EPA-approved use of one of the following:
 - 10% de minimis as detailed in section 6.3 of the IDC Policy; or
 - Expired fixed rate with carry-forward as detailed in section 6.4.a. of the IDC Policy.
- “Exempt” state or local governmental departments or agencies are agencies that receive up to and including \$35,000,000 in Federal funding per the department or agency’s fiscal year, and must have an IDC rate application developed in accordance with [2 C.F.R. Part 200 Appendix VII](#), with documentation maintained and available for audit.
- Institutions of Higher Education must use the IDC rate in place at the time of award for the life of the assistance agreement (unless the rate was provisional at time of award, in which case the rate will change once it becomes final). As provided by [2 C.F.R. Part 200, Appendix III\(C\)\(7\)](#), the term “life of the assistance agreement” means each competitive segment of the project. Additional information is available in the regulation.

IDCs incurred during any period of the assistance agreement that are not covered by the provisions above are not allowable costs and must not be drawn down by the recipient. Recipients may budget for IDCs pending approval of their IDC rate by the cognizant Federal agency or an exception granted by EPA under section 6.3 or 6.4 of the IDC Policy. However, recipients may not draw down IDCs until their rate is approved or EPA grants an exception.

The IDC Policy does not govern indirect rates for subrecipients or recipient procurement contractors under EPA assistance agreements. Pass-through entities are required to comply with [2 C.F.R. § 200.331\(a\)\(4\)](#) when establishing indirect cost rates for subawards.

See the [Indirect Cost Guidance for Recipients of EPA Assistance Agreements](#) for additional information.

Incurred Costs: Funding eligibility ends on the date specified in the award. The time expended and costs incurred in either the development of the proposal or the final assistance application, or in any subsequent discussions or negotiations prior to the award, are neither reimbursable nor recognizable as part of the recipient’s cost share.

Allowable Costs: EPA project officers and grant specialists have been provided guidance on determining the allowability and reasonableness of certain cost items under assistance agreements. The guidance indicates that the use of EPA grant funds for evening banquets, evening receptions or for light refreshments and meals at meetings, conferences, training workshops, and outreach activities (events) must be justified by the assistance recipient, identified in the budget detail, allowable under the OMB Cost Principles (2 C.F.R. Part 200 Subpart E), and approved by the EPA Award Official. Further, EPA will not approve

the use of grant funds for any portion of an event where alcohol is served, purchased, or otherwise available even if grant funds are not used to purchase the alcohol.

EPA Requirements for Quality Management Plans and Quality Assurance Plans: In accordance with 2 C.F.R. § 1500.12, projects that include the generation or use of environmental data are required to submit a Quality Management Plan (QMP) or Quality Assurance Project Plan (QAPP).

The QMP must document quality assurance policies and practices that are sufficient to produce data of adequate quality to meet program objectives. The QMP should be prepared in accordance with EPA QA/R-2: EPA Requirements for Quality Management Plans (refer to <https://www.epa.gov/quality/epa-qar-2-epa-requirements-quality-management-plans>, Chapter 2). The recipient's QMP should be reviewed and updated annually as needed. The QMP must be submitted to the EPA project officer at least 45 days prior to the initiation of data collection or data compilation.

The recipient must develop and implement quality assurance and quality control procedures, specifications and documentation that are sufficient to produce data of adequate quality to meet project objectives. The QAPP is the document that provides comprehensive details about the quality assurance/quality control requirements and technical activities that must be implemented to ensure that project objectives are met. The QAPP should be prepared in accordance with EPA QA/R-5: EPA Requirements for Quality Assurance Project Plans. The QAPP must be submitted to the EPA project officer at least 30 days prior to the initiation of data collection or data compilation. Requirements for QAPPs can be found at <https://www.epa.gov/quality/guidance-quality-assurance-project-plans-epa-qag-5>.

C. Reporting

Semi-annual reports sent via email to the Project Officer will be required as a condition of this award. The reports must be Microsoft Office Suite (i.e., Word, Excel) format. Semi-annual reports should include information on expenditures, project progress, SNEP project metrics, outreach, collaborative efforts, and any other information that will inform the Project Officer of the nature of the recipient's work. The specific format of these progress reports will be agreed upon by the recipient and Project Officer at the outset of the grant.

Upon completion of the grant period the recipient will also need to submit a final report to the Project Officer no later than 90 days after the end of the grant period. The nature and format of this final report will be agreed upon by the recipient and Project Officer during the final year of the grant.

Section VII: Agency Contacts

For administrative and technical issues regarding this RFA, please contact SNEP via email at SECoastalNE@EPA.GOV. All questions must be received in writing via email with the reference line referring to this RFA (RE: RFA EPA-I-R1-SNEP-2023A) by **02/02/2023 11:59PM (EST)**. All questions and answers will be posted on <http://www.epa.gov/snep>.

Section VIII: Other Information

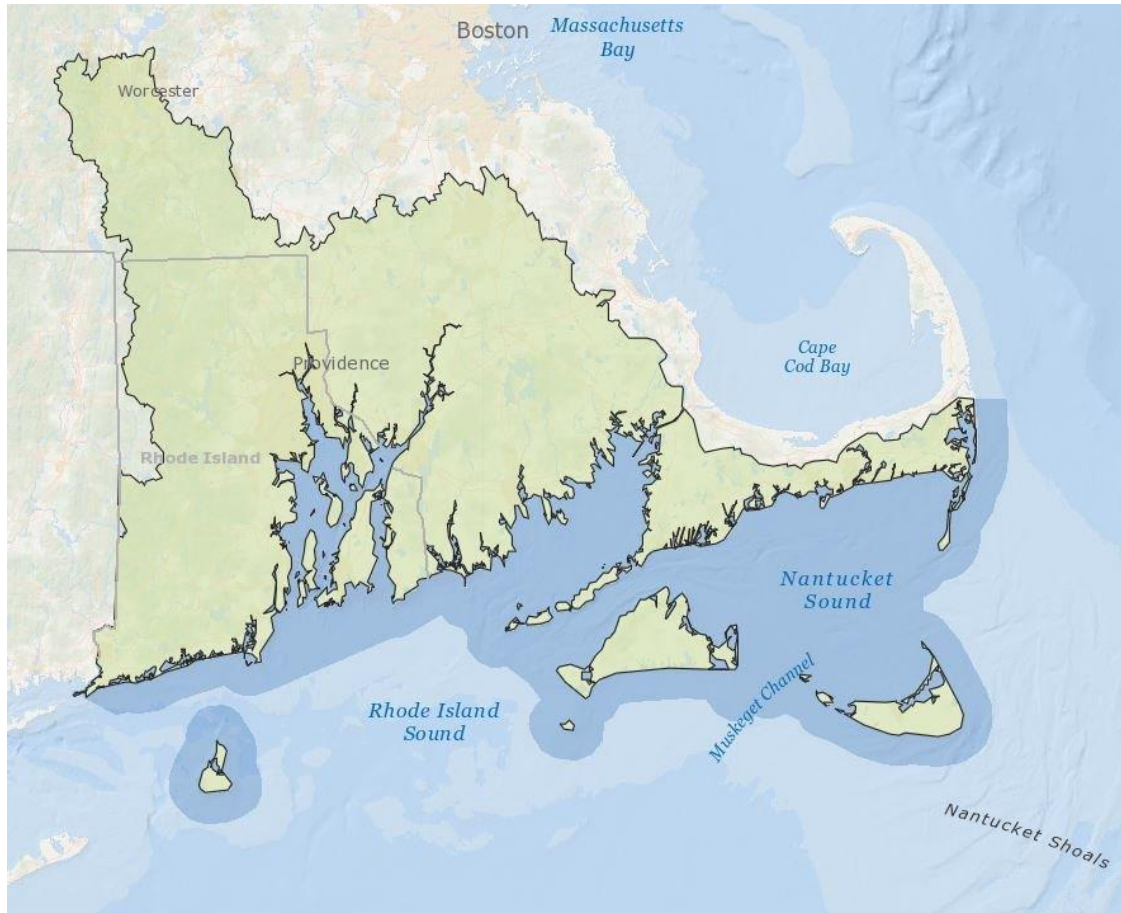
In developing a proposal, the applicant may find the following documents helpful:

- Southeast New England Program Website: <https://www.epa.gov/snep>
- Southeast New England Program 2020-2025 Strategic Plan: <https://www.epa.gov/snep/snep-program-plans-and-reports>
- EPA Requirements for Quality Management Plans and Quality Assurance Plans: <https://www.epa.gov/quality>
- EPA FY2022-2026 Strategic Plan: <https://www.epa.gov/planandbudget/strategicplan>
- EPA's Grant Website: <https://www.epa.gov/grants>

Appendix A: SNEP Regional Map

Weblink to Map:

<https://epa.maps.arcgis.com/apps/webappviewer/index.html?id=596401a1439e48a8a02ce9473b453c41>



Appendix B: SNEP Metrics

Please note that for your convenience these are a subset of SNEP metrics most relevant to onsite wastewater. If you'd like a full list of all possible SNEP metrics, please contact SECoastalNE@EPA.GOV.

Onsite Septic Systems	
Metric	Additional Guidance
# onsite wastewater treatment systems providing improved nutrient reduction (ex. innovative/alternative septic systems, composting toilets, etc.)	Enter # and type of improved onsite wastewater treatment. Examples include innovative/alternative nitrogen reduction septic systems, composting, packaging, incinerating, and urine diverting toilets installed.
# pounds reduced nitrogen load from improved onsite wastewater systems (pounds/year)	Enter estimated # pounds of nitrogen per year that is no longer discharged to surface water or groundwater through use of onsite wastewater system (innovative/alternative nitrogen reduction septic system, composting, packaging, incinerating, and urine diverting toilets installed).
# communities receiving technical assistance to plan and design site-level septic system remediation.	Enter # communities that receive septic planning and design assistance
# towns that include use of nitrogen-reducing IA onsite septic systems as means to reduce their nitrogen impacts.	Enter # towns that have new IA nitrogen-reducing septic systems installed.