

U.S. Fish and Wildlife Service

FWS - Fisheries

Pre-proposals due 31 March 2024, see section D2 & D4.

F24AS00226 - Aquatic Invasive Species Grants to Great Lakes Tribes - Fiscal Year 2024 Great
Lakes Restoration Initiative
Fiscal Year: 2024
F24AS00226

Due Date for Applications: 12/31/2024

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A. Program Description

A1. Authority

Federal Water Pollution Control Act—Great Lakes (33 U.S.C. §1268)

A2. Assistance Listing Number

15.662

A3. Background, Purpose and Program Requirements

Using appropriations to the Great Lakes Restoration Initiative (GLRI), the U.S. Fish and Wildlife Service (FWS) anticipates providing grants to support development and/or implementation of Great Lakes Tribal Aquatic Invasive Species Management Plans (Tribal AIS Plans). Therefore, a Tribal AIS Plan must be in place that supports the proposed work, or development of such a plan must be a part of the proposed work. All tribal grants will be awarded based on a competitive process for which only Great Lakes tribes or their designee are eligible.

Proposed work must either be within the Great Lakes Basin or near enough to the basin that it contributes substantially to the prevention and/or control of AIS in the Great Lakes basin. Activities such as outreach, boat ramp inspections, and/or law enforcement are often done outside the basin but make substantial contributions when work is done in areas where people are likely to transit (and thereby potentially transfer AIS) into the Great Lakes basin. Early detection, rapid response, and/or control efforts outside the basin must address:

- Species with a substantial potential for interbasin transfer (e.g., hydrilla in a waterbody near the Great Lakes basin that receives heavy boat use).
- Species of significant concern to the Great Lakes community within a Great Lakes state.
- Activities where the primary motivation is to prevent transfer of AIS into the Great Lakes basin.

In the end, we are pursuing and funding whatever actions have the greatest benefit to the Great Lakes Basin.

INVASIVE CARP WORK IS ONLY ELIGIBLE FOR CONSIDERATION IF IT HAS BEEN INITIALLY SUBMITTED AND VETTED THROUGH THE ANNUAL DEVELOPMENT AND APPROVAL PROCESS FOR THE INVASIVE CARP REGIONAL COORDINATING COMMITTEE'S (ICRCC) INVASIVE CARP ACTION PLAN. Invasive carp work funded and implemented through the Action Plan is coordinated through the ICRCC. The ICRCC develops an annual work plan that is largely supported through a separate source of GLRI funding and

which undergoes a separate review process that includes Office of Management and Budget (OMB) review and approval.

A4. Funding Opportunity Goals

Fund Great Lakes Tribes to develop and/or implement AIS Management Plans.

B. Federal Award Information

B1. Total Funding

Estimated Total Funding

\$300,000

B2. Expected Award Amount

Maximum Award

\$100,000

Minimum Award

\$20,000

Proposals should not exceed the estimated award ceiling. Proposals for larger amounts are difficult to review/rank alongside those within the award ceiling.

B3. Expected Award Funding and Anticipated Dates

Expected Award Funding

\$300,000

Expected Award Date

December 31, 2024

Allocation of funding will require, within 1 year of the grant agreement becoming fully executed, completion of either one rapid response action or one rapid response training session.

Grant awards of 1-2 years (up to 4 years with strong justification) will be used by tribes for activities in their Tribal AIS Plans that contribute to the protection and restoration of the Great Lakes and Great Lakes Basin. These awards are being made to provide “support for new work and for enhancements which do not replace existing Great Lakes base activities” (i.e., funds “supplement rather than supplant” existing tribal agency AIS programs/activities in the Great Lakes basin). We anticipate making most awards by September 30th of this year and expect project activities to begin within 60 days of making the award.

B4. Number of Awards

Expected Number of Awards

5

Funding is available to tribes with established Tribal AIS Plans

B5. Type of Award

Funding Instrument Type

G - Grant

CA - Cooperative Agreement

For cooperative agreements, the substantial involvement by the Service will be unique to the project proposed, given the potential breadth of possible AIS projects that could be proposed. Anyone applying for a cooperative agreement must have worked directly with the Service office/entity that will be cooperating on the project to ensure substantial involvement by the Service has been clearly identified and is acceptable to the providing office/entity.

C. Eligibility Information

C1. Eligible Applicants

Eligible Applicants

99 – Unrestricted (i.e. open to any type of entity above), subject to any clarification in the text field entitled "Additional Information on Eligibility"

Additional Information on Eligibility

We are seeking applications from tribal natural resource agencies/organizations in the Great Lakes Basin. However, a tribal agency may designate an entity (of any type) to apply for the award on their behalf.

C2. Cost Sharing or Matching

Cost Sharing / Matching Requirement

No

Percentage of Cost Sharing / Matching Requirement

0

C3. Other

Foreign Entities or Projects:

State Sponsors of Terrorism: This program will not fund projects in [countries determined by the U.S. Department of State to have repeatedly provided support for acts of international terrorism](#) and therefore are subject to sanctions restricting receipt of U.S. foreign assistance and other financial transactions.

Office of Foreign Assets Control Sanctions: This program will not fund projects in countries subject to [comprehensive sanction programs administered by the U.S. Department of Treasury, Office of Foreign Asset Control](#) without proper licenses.

In-Country Licenses, Permits, or Approvals: Entities conducting activities outside the U.S. are responsible for coordinating with appropriate U.S. and foreign government authorities as necessary to obtain all required licenses, permits, or approvals before undertaking project activities. The Service does not assume responsibility for recipient compliance with the laws, regulations, policies, or procedures of the foreign country in which they are conducting work.

Excluded Parties:

The DOI conducts a review of the SAM.gov Exclusions database for all applicant entities and their key project personnel prior to award. The DOI cannot award funds to entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or otherwise excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits, as their ineligibility condition applies to this Federal program.

D. Application and Submission Information**D1. Address to Request Application Package****Program Website Link**

Pre-proposals due 31 March 2024, see section D2 & D4.

D2. Content and Form of Application Submission**SF-424, Application for Federal Assistance**

All applicants must submit the Standard Form (SF)-424, Application for Federal Assistance. This form is available with the announcement on Grants.gov and in GrantSolutions. The form must be complete and signed by an Authorized Representative. For all applicants except individuals and commercial entities, the Authorized Representative's signature on a standard application form submitted to the Service represents their certification that the entity's financial management system meets [2 CFR §200.302](#) financial management requirements. The non-Federal entity's financial management system must be sufficient to:

1. Permit the preparation of required reports;
2. Trace funds to a level of expenditures adequate to establish that the entity has used such funds per Federal statutes, regulations, and terms and conditions of the Federal award;
3. Provide for the requirements in [2 CFR §200.302\(b\)](#); and
4. Comply with [§200.334](#) Retention requirements for records, [§200.335](#) Requests for transfer of records, [§200.336](#) Methods for collection, transmission, and storage of information, and [§200.337](#) Access to records.

If this application requests more than \$100,000 in Federal funds, the Authorized Representative's signature on or submission of the SF-424 form in GrantSolutions also represents their certification of the statements in 43 CFR Part 18, Appendix A-Certification Regarding Lobbying.

When completing the SF-424 Application form, enter only the amount requested from this Federal program in Box 18a, Estimated Federal Funding. Include any other Federal sources of funding in Box 18e. Estimated Other Funding and identify any such sources and amounts in the required Budget Narrative (see below). For individuals applying as a private citizen (i.e., unrelated to any business or nonprofit organization you may own or operate in your name), do NOT include your Social Security Number on this or any other document to be submitted with your application! When completing the SF-424 Application form, individuals must enter in Box 8b. Employee/Taxpayer Identification Number (EIN/TIN) the substitute number "444-44-4444." Individuals may register in SAM.gov but are not required to have a SAM.gov registration. For

individuals without a SAM.gov registration enter in Box 8c. the substitute Unique Entity Identifier (UEI) "KA5HQCLKUVW1".

Pre-proposal: Pre-proposals are acceptable application submissions for the project ranking phase and must contain the information described in the Project Narrative and Budget Narrative below. Pre-proposals will be ranked to determine funding levels for each at which time applicants will be contacted via email and required to submit full proposals described below. Pre-proposals should be submitted via email to fws3_fisheries_grants@fws.gov. SEE SECTION D4 FOR COMPLETE PRE-PROPOSAL SUBMISSION INSTRUCTIONS.

Full Proposals: Once funding levels are established, applicants will be notified of approved funding amounts via email and must then submit each of the application materials listed below via GrantSolutions. This full proposal may also include an individual agency's tasks from any Interjurisdictional AIS Projects they are involved in (Interjurisdictional AIS Projects are requested under a separate NOFO). Any interjurisdictional tasks that are added must be clearly and distinctly separate from tasks under the individual state proposal so the task and cost can be easily tracked back to the interjurisdictional NOFO for accountability purposes and to help address any appearance of overlap/duplication.

Project Abstract Summary (OMB Number 4040-0019)

Applicants must complete and submit the Project Abstract Summary form. The Project Abstract Summary form must provide a brief award description. The description must be in plain language that the public can understand without viewing the full application proposal. It should include a brief, simple description of the project purpose, activities to be performed, deliverables and expected outcomes, intended beneficiaries, and subrecipient activities, if known at the time of submission.

Do not include personally identifiable, sensitive, or proprietary information in the award description as this is available to the public. Use only English characters, numbers, punctuation, and standard symbols. Use of non-English, non-standard characters (also referred to as special or extended ASCII characters) will result in the award description failing to be reported correctly to USASpending.gov. Award descriptions are limited to 4,000 characters or less. Applicants should check the length of the award description and proofread for proper grammar and spelling.

For applicants applying through Grants.gov: Applicants must download and complete the Grants.gov "Project Abstract Summary" form from the full text announcement. To submit the Grants.gov "Project Abstract Summary" form with the application, applicants must add the form as an attachment to the Grants.gov "Attachments" form that is included in the application package.

For applicants applying through GrantSolutions-Grants Management Module (GS-GMM): Applicants must enter the information in the Project Abstract Summary screen. Do not upload a document in place of entering the information directly into GS-GMM Project Abstract Screen.

Project Narrative

Applications are rated using the criteria in the Application Review Information section later in this document. Be sure Project Narrative or other application materials include information that allows for an accurate assessment using those criteria (applicants are encouraged, but not

required, to include a self-rating as part of Project Narrative). Project Narratives may be NO MORE THAN 25 pages and based on past submissions are often far less. They include:

- Project title;
- Project justification;
- Goals and objectives;
- Activities, including estimated cost for each major project activity;
- Methods;
- Timetable that breaks out each work activity on a quarterly (or monthly) basis so that it is clear when activities/sub-activities will be completed throughout the life of the grant. This timetable breakdown will also aid in identifying any potential overlap with existing grants of a similar nature;
- Information to support environmental compliance review requirements. This includes sufficient project detail to assess potential effects on species listed under the Endangered Species Act, potential environmental effects under NEPA, and to determine project compliance with Section 106 of the National Historic Preservation Act. Additional documentation to complete these requirements is very helpful and varies some across USFWS regions, so reach out to the grant contact who will receive your final application package to determine the most useful documentation to provide [these additional documents do not count toward the 25 page limit for Project Narrative];
- Required project monitoring and evaluation plan, or other means to assess project success;
- Qualifications of key agencies and/or project personnel; and
- Details on the project location.

SF-424A, Budget Information for Non-Construction Programs

Applicants must complete and submit the SF-424A Budget Information form for Non-Construction Programs or Projects. All required application forms are available with this announcement on Grants.gov or in GrantSolutions. Federal award recipients and subrecipients are subject to Federal award cost principles in Title 2 of the Code of Federal Regulations (CFR) part 200. Applicants must show funds requested from this Federal program separately from any other Federal sources of funding. In “Section A – Budget Summary” on the SF-424A form enter the funding requested from this Federal program in the first row. Identify any other Federal funding sources and amounts in the required Budget Narrative (see below). In the SF-424A “Contractual” category total, do not combine estimated subawards and contractual costs. Use the “Contractual” category to reflect estimated contractual costs only. Enter estimated subaward costs in the SF-424A “Other” category. Provide a separate description and total estimated costs for both contractual and subaward costs in the required Budget Narrative (see below).

SF-424C, Budget Information for Construction Program

Applicants must submit the appropriate SF-424C Budget Information form for Construction Programs or Projects. All required application forms are available with this announcement on Grants.gov and in GrantSolutions. Federal award recipients and subrecipients are subject to Federal award cost principles in 2 CFR 200. Applicants must show funds requested from this Federal program separately from any other Federal sources of funding. Identify any other Federal funding sources and amounts in the required Budget Narrative (see below).

SF-429 Request to Acquire, Improve, or Furnish Real Property

Applicants seeking approval to acquire real property under an award must complete and submit the SF-429, “Real Property Status Report (Cover Page)” and the SF-429-B, “Real Property Status Report Attachment B (Request to Acquire, Improve, or Furnish)”. These forms are required if the real property is acquired with Federal funds, with recipient cost share or matching funds, or as an in-kind contribution under the award. The SF-429 forms are not available with this announcement on Grants.gov; they are available on the [Grants.gov Post-Award Reporting Forms page](#). Please submit these completed forms as attachments to your application.

Budget Narrative

Applicants must include a budget narrative that describes and justifies requested budget items and costs. In your budget narrative, describe how the SF-424 Budget Information, “Object Class Category” totals were determined. For personnel salary costs, generally describe how estimates were determined by identifying what type of staff will support the project and how much time they will contribute to the project (in hours or workdays). Describe any proposed [items of cost that require prior approval](#) under the [Federal award cost principles](#), including any anticipated subawarding, transferring, or contracting out of any work under the award. Provide a separate description and total estimated costs for both contractual and subaward costs. If equipment previously purchased with Federal funds is available for the project, provide a list of that equipment and identify the Federal funding source. Identify any third-party cash or in-kind contributions that a partner or other entity will contribute to the project and describe how the contributions directly and substantively benefit completion of the project. For in-kind contributions, identify the source, the amount, and the valuation methodology used to determine the total value. See [2 CFR §200.306](#) for more information. Please note the prohibitions on certain telecommunications and video surveillance services or equipment in [2 CFR 200.216](#). The Department of the Interior’s [Unmanned Aircraft web page](#) provides a list of approved unmanned aircraft and related equipment and software.

Conflict of Interest Disclosure

Per the Financial Assistance Interior Regulation (FAIR), [2 CFR §1402.112](#), applicants must state in their application if any actual or potential conflict of interest exists at the time of submission.

a. Applicability.

1. This section intends to ensure that non-Federal entities and their employees take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements.
2. In the procurement of supplies, equipment, construction, and services by recipients and by sub recipients, the conflict of interest provisions in [2 CFR §200.318](#) apply.

b. Notification.

1. Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass-through entity in accordance with [2 CFR §200.112](#).
2. Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the

Financial Assistance Officer in writing of any conflicts of interest that may arise during the life of the award, including those that have been reported by sub recipients.

- c. *Restrictions on lobbying.* Non-Federal entities are strictly prohibited from using funds under a grant or cooperative agreement for lobbying activities and must provide the required certifications and disclosures pursuant to [43 CFR §18](#) and [31 USC §1352](#).
- d. *Review procedures.* The Financial Assistance Officer will examine each conflict of interest disclosure on the basis of its particular facts and the nature of the proposed grant or cooperative agreement, and will determine whether a significant potential conflict exists and, if it does, develop an appropriate means for resolving it.

Enforcement. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award. Failure to make required disclosures may result in any of the remedies described in [2 CFR §200.339](#), Remedies for noncompliance, including suspension or debarment (see also [2 CFR §180](#)).

Uniform Audit Reporting Statement

All U.S. states, local governments, Indian tribes, institutions of higher education, and non-profit organizations expending \$750,000 USD or more in Federal award funds in the applicant's fiscal year must submit a Single Audit report for that year through the [Federal Audit Clearinghouse's Internet Data Entry System](#), in accordance with 2 CFR 200 subpart F. U.S. state, local government, Indian tribes, institutions of higher education, and non-profit applicants must state if your organization was or was not required to submit a Single Audit report for the most recently closed fiscal year. If your organization was required to submit a Single Audit report for the most recently closed fiscal year, provide the EIN associated with that report and state if it is available through the [Federal Audit Clearinghouse](#) website.

Certification Regarding Lobbying

Applicants requesting more than \$100,000 in Federal funding must certify to the statements in [43CFR Part 18, Appendix A-Certification Regarding Lobbying](#). If this application requests more than \$100,000 in Federal funds, the Authorized Official's signature on the appropriate SF-424, Application for Federal Assistance form also represents the entity's certification of the statements in [43 CFR Part 18, Appendix A](#).

Disclosure of Lobbying Activities

Applicants and recipients must not use any federally appropriated funds (annually appropriated or continuing appropriations) or matching funds under a Federal award to pay any person for lobbying in connection with the award. Lobbying is influencing or attempting to influence an officer or employee of any U.S. agency, a Member of the U.S. Congress, an officer or employee of the U.S. Congress, or an employee of a Member of the U.S. Congress connection with the award. Applicants and recipients must complete and submit the [SF-LLL, "Disclosure of Lobbying Activities"](#) form if the Federal share of the proposal or award is more than \$100,000 and the applicant or recipient has made or has agreed to make any payment using non-appropriated funds for lobbying in connection with the application or award. The SF-LLL form is available with this Funding Opportunity on Grants.gov. See 43 CFR, Subpart 18.100 for more information on when additional submission of this form is required.

Overlap or Duplication of Effort Statement

Applicants must provide a statement indicating if there is any overlap between this Federal application and any other Federal application, or funded project, in regard to activities, costs, or time commitment of key personnel. If no such overlap or duplication exists, state, “There are no overlaps or duplication between this application and any of our other Federal applications or funded projects, including in regard to activities, costs, or time commitment of key personnel”. If any such overlap exists, provide a complete description of overlaps or duplications between this proposal and any other federally funded project or application in regard to activities, costs, and time commitment of key personnel, as applicable. Provide a copy of any overlapping or duplicative proposal submitted to any other potential funding entity and identify when that proposal was submitted, to whom (entity name and program), and when you anticipate being notified of their funding decision. When overlap exists, your statement must end with “We understand that if at any time we receive funding from another source that is duplicative of the funding we are requesting from the U.S. Fish and Wildlife Service in this application, we will immediately notify the U.S. Fish and Wildlife Service point of contact identified in this Funding Opportunity in writing.”

D3. Unique Entity Identifier and System for Award Management (SAM)

Identifier and System for Award Management (SAM.gov) Registration:

This requirement does not apply to individuals applying for funds as an individual (i.e., unrelated to any business or nonprofit organization you may own, operate, or work within), or any entity with an exception to bypass SAM.gov registration with prior approval from the funding bureau or office in accordance with bureau or office policy. All other applicants are required to register as a financial assistance recipient in SAM.gov prior to submitting a Federal award application and obtain a [Unique Entity Identifier \(UEI\)](#). A Federal award may not be made to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the program is ready to make an award, the program may determine the applicant is not qualified to receive an award. Federal award recipients must also continue to maintain an active SAM.gov registration with current information through the life of their Federal award(s). Entities already registered in SAM.gov should review their registration to confirm that they are registered as a financial assistance recipient, which requires completion of the SAM.gov “Financial Assistance General Certifications and Representations”. See the “Submission Requirements” section of this document below for more information on SAM.gov registration.

Applicants can register on the [SAM.gov](#) website. The “Help” tab on the website contains User Guides and other information to assist you with registration. The Grants.gov “[Register with SAM](#)” page also provides detailed instructions. Applicants can contact the supporting Federal Service Desk for help registering in SAM. Once registered in SAM, entities must renew and revalidate their SAM registration at least once every 12 months from the date previously registered. Entities are strongly encouraged to revalidate their registration as often as needed to ensure their information is up to date and reflects changes that may have been made to the entity’s IRS information. If applicable, foreign entities who want to receive payment directly to a U.S. bank account must enter and maintain valid, current banking information in SAM.

D4. Submission Dates and Times

Due Date for Applications

12/31/2024

Application Due Date Explanation

- ***All pre-proposals (including Project Narrative, Budget Narrative) must be received no later than 11:59 pm Central Standard Time 60 days (March 31, 2024) after this NOFO is posted to grants.gov.***
- ***All pre-proposals will be submitted via email to:*** fws3_fisheries_grants@fws.gov.
- Pre-proposals will be ranked to determine funding levels for each at which time applicants will be notified via email of approved funding amounts.
- Full proposals are due within 60 days of email notification of funding level (by 11:59 pm Central Standard Time). If grant request will be combined with Interjurisdictional AIS Grant (solicited under separate NOFO), then full proposal will be due 60 days after notice of both Interjurisdictional AIS Grant opportunity funding level and this NOFO funding level. Applicants must submit full proposal applications, for the approved funding amounts, via GrantSolutions.

D5. Intergovernmental Review

An intergovernmental review may be required for applications submissions from a U.S. state or local government prior to submission. Applicants must contact their State's Single Point of Contact (SPOC) to comply with the state's process under [Executive Order 12372](#). The State Single Point of Contact list is available on the [OMB Office of Federal Financial Management website](#).

D6. Funding Restrictions

Indirect Costs: Individuals

Individuals applying for and receiving funds separate from a business or non-profit organization they may operate are not eligible to charge indirect costs to their award. If you are an individual applying for funding, you must not include any indirect costs in your proposed budget.

Indirect Costs: Organizations

The Federal awarding agency that provides the largest amount of direct funding to your organization is your cognizant agency for indirect costs, unless otherwise assigned by the White House Office of Management and Budget (OMB). If the Department of the Interior (DOI) is your organization's cognizant agency, the Interior Business Center (IBC) will negotiate your indirect cost rate. Contact the IBC by phone 916-930-3803 or using the [IBC Email Submission Form](#). See the [IBC Website](#) for more information.

Organizations must have an active Federal award before they can submit an indirect cost rate proposal to their cognizant agency. Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award. Recipients may not shift unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.

Required Indirect Cost Statement to be submitted by Organization:

U.S. state or local government entities receiving more than \$35 million in direct Federal funding must include the following statement in their application and attach a copy of their most recently negotiated rate agreement:

- We are a U.S. state or local government entity receiving more than \$35 million in direct Federal funding. We submit our indirect cost rate proposals to our cognizant agency. Our current indirect cost rate is [insert rate]. Attached is a copy of our most recently negotiated rate agreement/certification.

U.S. state or local government entities receiving \$35 million or less in direct Federal funding must include the applicable statement from this list:

- We are a U.S. state or local government entity receiving \$35 million or less in direct Federal funding. We prepare and retain for audit an indirect cost rate proposal and documentation per 2 CFR 200, Appendix VII. Our current indirect cost rate is [insert rate], which is charged against [insert a complete description of the direct cost base used to distribute indirect costs to the award].
- We are a U.S. state or local government entity receiving \$35 million or less in direct Federal funding. We have not prepared an indirect cost rate proposal and documentation per 2 CFR §200, Appendix VII and elect to charge the de minimis rate of 10% of Modified Total Direct Costs as defined in 2 CFR §200.1. We understand we must use this methodology consistently for all Federal awards until we choose to establish a rate per 2 CFR §200. We understand we must notify the Service in writing if we establish a rate that changes the methodology used to charge indirect costs during the award period. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs during the project period and that such changes are subject to review, negotiation, and prior approval by the Service.

All other organizations must include the applicable statement from this list and any related documentation in their application. Please note, an organization with a current negotiated (including provisional) rate may not elect to charge the 10% de minimis rate of Modified Total Direct Costs during the period covered by their current negotiated rate.

- We are an organization with a current negotiated indirect cost rate. In the event we receive an award, we will charge indirect costs per our current negotiated rate agreement. Attached is a copy of our current rate agreement.
- We are an organization with a negotiated indirect cost rate that has expired. Attached is copy of our most recently negotiated rate agreement. If we receive an award, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award date. We understand we must provide the Service a copy of our approved rate agreement before charging indirect costs to the Federal award.
- We are an organization that has never negotiated an indirect cost rate with our cognizant agency. Our indirect cost rate is [insert rate], which is charged against [insert a complete description of the direct cost base used to distribute indirect costs to the award]. If we receive an award, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award date. We understand we must provide the Service

a copy of our approved rate agreement before charging indirect costs to the Federal award.

- We are an organization that does not have a current negotiated (including provisional) rate. In the event an award is made, we elect to charge the de minimis rate of 10% of Modified Total Direct Costs as defined in 2 CFR §200.1. We understand we must use this methodology consistently for all Federal awards until such time as we negotiate a different rate with our cognizant agency. We understand that we must notify the Service in writing if during the award period we establish a rate that changes the methodology used to charge indirect costs to the award. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs and that such changes are subject to review, negotiation, and prior approval by the Service.
- We are an organization submitting a [insert either “Cooperative Fish and Wildlife Research Unit Program” or “Cooperative Ecosystem Studies Unit Network”] project proposal, which has an indirect cost rate cap of [insert rate; CRU is currently 15%; CESU is currently 17.5%]. In the event we receive an award, we understand that if we have a current negotiated (including provisional) indirect cost rate agreement we must charge the capped indirect cost rate to the same base identified in our approved indirect cost rate agreement. We understand we must request prior approval from the awarding program to use the [2 CFR 200.1 Modified Total Direct Costs](#) (MTDC) base instead of our approved base and that we must submit such requests with our application, including a calculation showing how use of the MTDC base results in an overall reduction in the total indirect costs recovered. If we do not have current negotiated (including provisional) rate, we understand we must charge the capped indirect cost rate against Modified Total Direct Costs (MTDC) as defined in 2 CFR §200.1. If we have never negotiated a rate, we understand we must use the de minimis rate of 10% of MTDC.
- We are an organization that will charge all costs directly.

Awards will allow reimbursement of appropriate pre-award costs as requested in application.

D7. Other Submission Requirements

The Service uses the GrantSolutions system to manage financial assistance applications and awards. Applicants must register in and conduct any subsequent award business with the Service in GrantSolutions. To apply, your organization and organization officials must be established in GrantSolutions. To register your organization in GrantSolutions, send an email to help@grantsolutions.gov with the following information:

Subject: New Organization Request

- Organization/Individual Name
- Point of Contact first and last name, email, and phone number
- Organization Type
- SAM.gov Unique Entity Identifier (not required for individuals or Service-waived entities)
- Organization Employer Identification Number (Applicants that are INDIVIDUALS DO NOT include your social security number)

- Address

Organizational details should match those in the organization's SAM.gov registration. To establish organization official accounts and user role(s), complete a Recipient User Account Request Form for each official and email it to help@grantsolutions.gov. The GrantSolutions entity user roles are: Authorizing Official (ADO); Principal Investigator/Program Director (PI/PD); Support Specialist (GSS); Financial Officer (FO); and Financial Support Staff (FSS). All roles can do the following: enter applications, amendments, and reports, view awards, and view and create notes. The ADO and the PI/PD roles can also submit applications, amendments, and reports. The FO role can also submit reports. At a minimum, registered organizations must assign someone to the ADO and PI/PD roles. For more information, see the GrantSolutions Recipient Training and FAQs web page. For GrantSolutions registration, submission, and other assistance contact their Customer Support by telephone at 1-866-577-0771 or by email at help@grantsolutions.gov.

Applications must be submitted via GrantSolutions. Applications submitted through Grants.gov will not be accepted. Applicants selected for funding must register in GrantSolutions to conduct award business with FWS.

E. Application Review Information

E1. Criteria

- a. To what degree does the project demonstrate interagency/inter-organizational coordination and collaboration?
 - i. Low: project identifies little if any interagency/inter-organizational coordination and collaboration, beyond transferring funds to a sub-recipient.
 - ii. Medium: project demonstrates meaningful interagency/inter-organizational coordination and collaboration with multiple small or moderate size entities/organizations, beyond transferring funds to a sub-recipient.
 - iii. High: project has major component(s) that substantially involve other state(s) or large entities/organizations, beyond transferring funds to a sub-recipient.
- b. Applicant has developed a Tribal AIS Management Plan or will develop one as part of this proposal. [Review Team Lead will provide plan information to all reviewers.]
 - i. Pass: Applicant provides evidence of an existing AIS plan (or one is known by USFWS to exist), describes development of an AIS plan that is currently substantially underway, or includes development of an AIS plan as part of the proposal.
 - ii. Fail: Applicant does not meet "pass" option for criterion.
- c. What is the potential for the proposed activity to substantially (in scope or scale) address vectors for AIS infiltration (i.e., prevention) into the Great Lakes?
 - i. NA
 - ii. Low: small level of progress or vector of minor importance.
 - iii. Medium: moderate-high level of progress on a vector of moderate importance.
 - iv. High: moderate-high level of progress on a vector of major importance.

- d. What is the potential for the proposed activity to substantially (in scope or scale) implement/improve early detection efforts in key areas in the Great Lakes (key areas in Great Lakes proper in Appendix A; key areas in Great Lakes watershed based on a scientifically defensible state/tribal prioritization scheme as described in your proposal)?
 - i. NA
 - ii. Low: small level of progress.
 - iii. Medium: moderate-high level of progress on a small to medium-sized scope or scale.
 - iv. High: moderate-high level of progress on a large scope or scale.
- e. What is the potential for the proposed activity to substantially (in scope or scale) implement/improve rapid response efforts (i.e., in the water actions to eliminate an AIS that **IS NOT** widely established in the Great Lakes, from a new location) in the Great Lakes?
 - i. NA
 - ii. Low: small level of progress.
 - iii. Medium: moderate-high level of progress on a small to medium scope or scale.
 - iv. High: moderate-high level of progress on a large scope or scale.
- f. What is the potential for the proposed activity to substantially (in scope or scale) implement/improve containment efforts (e.g., blocking movement with barrier or commercial harvest; DOES NOT INCLUDE other activities that are more appropriately captured under prevention, rapid response, or control criteria) in the Great Lakes?
 - i. NA
 - ii. Low: small level of progress.
 - iii. Medium: moderate-high level of progress on a small to medium-sized scope or scale.
 - iv. High: moderate-high level of progress on a large scope or scale.
- g. What is the potential for the proposed activity to substantially (in scope or scale) reduce the abundance of established AIS (i.e., in the water actions to eliminate an AIS that **IS** widely established in the Great Lakes, from a new location) in the Great Lakes?
 - i. NA
 - ii. Low: small level of progress.
 - iii. Medium: moderate-high level of progress on a small to medium-sized scope or scale.
 - iv. High: moderate-high level of progress on a large scope or scale.
- h. To what degree does the proposal promote long-term societal, economic, and environmental sustainability goals?
 - i. Low: project benefits are likely to be short lived and are not necessary steps that will lead to long-term implementation of the project outside of GLRI funding.
 - ii. Medium: project benefits are likely to last for 5-10 years or may lead to long-term implementation of the project outside of GLRI funding.
 - iii. High: project benefits are likely to persist for decades or are necessary steps that will lead to long-term implementation of the project outside of GLRI funding.

- i. To what degree is the plan for carrying out the proposed activities well-reasoned, well-organized, based on a sound rationale, and based on sound science?
 - i. Low: steps in the proposed activities are disjointed or don't appear entirely likely to achieve the desired results.
 - ii. Medium: generally sound approach but with some reservation.
 - iii. High: steps in the proposed activities are very well reasoned, sequenced well, and are based on solid principles of science.
- j. To what degree does the project incorporate a mechanism to assess success?
 - i. Low: none identified other than possibly quantifying numbers of actions completed.
 - ii. Medium: moderate level of assessment with perhaps some elements done reasonably well and others with low level of assessment.
 - iii. High: thorough, well-designed assessment procedures identified that measure the beneficial "outcome" of the activities and not just reporting the "output" of the activities.
- k. How well qualified is the individual, team, or organization to conduct the proposed activities?
 - i. Low: difficult to ascertain or little indication of relevant qualifications.
 - ii. Medium: generally appears to have basic qualifications (e.g., work to be completed by state/tribal natural resource agency), but no specific qualifications provided.
 - iii. High: qualifications of key staff included in proposal and generally indicates skilled individuals with substantial relevant expertise either thru education, experience, or both.
- l. What is the applicant's rate of expenditure for similar FY 2020 grant awards? [Review Team Lead will provide expenditure rate and rating based on FBMS review around time when NOFO is posted.]
 - i. NA
 - ii. Low: <30%.
 - iii. Medium: 30-50%.
 - iv. High: >50%.
- m. How quickly will major elements of the project be substantially underway (does not include hiring staff, purchasing equipment, writing contracts/agreements)?
 - i. Low: after next fiscal year.
 - ii. Medium: within second half of next fiscal year.
 - iii. High: in first half of next fiscal year.

Appendix A

<u>State</u>	<u>Location</u>	<u>Fish</u>	<u>Plant</u>	<u>Invert</u>
IL	Chicago/Chicago River Mouth	1	1	4
IL	Chicago-Calumet Port			9

IL	Evanston/North Shore Channel Mouth	11	15	23
IN	Buffington and Indiana Harbor Ports			24
IN	Calumet River Mouth/Lake Michigan	8	11	16
IN	East Chicago/Indiana Harbor Canal	10	14	22
IN	Portage/Portage-Burns Waterway	4	6	7
MI	Alpena/Thunder Bay River Mouth			13
MI	Benton Harbor/St. Joseph River Mouth	9	9	
MI	Detroit River/Rouge River Mouth		18	
MI	Detroit/Detroit River			6
MI	Detroit/Detroit River	20		
MI	Grand Haven/Grand River Mouth	14	19	
MI	Grosse Pointe Shores/Lake St. Claire	21	10	20
MI	Lake St. Clair/Clinton River Mouth	23	12	
MI	Lakeside/Lake St. Clair	18	16	
MI	Marquette/Dead River Mouth	25		5
MI	Rogers City/Calcite			17
MI	Saginaw Bay/Saginaw River Mouth	6	5	14
MN	Duluth/St. Louis River Mouth	15		1
MN	Two Harbors			18

NY	Buffalo/Niagara River	5	8	11
NY	Oswego/Oswego River Mouth	3	4	10
NY	Rochester/Genesee River Mouth	12	17	25
OH	Ashtabula/Ashtabula River Mouth			19

E2. Review and Selection Process

Prior to award, the program will review any applicant statement regarding potential overlap or duplication between the project to be funded and any other funded or proposed project in terms of activities, funding, or time commitment of key personnel. Depending on the circumstances, the program may request modification to the application, other pending applications, or an active award, as needed to eliminate any duplication of effort, or the FWS may choose not to fund the selected project.

Prior to award, the program will conduct and document a review of the proposed budget to ensure figures are calculated correctly, proposed costs are clearly linked to the project narrative and seem necessary and reasonable, no obviously unallowable costs are included, costs requiring prior approval are identified and described, indirect cost are applied correctly, and any program match or cost share requirements are addressed.

The program may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the Bureau is ready to make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant.

Prior to award, the program will evaluate the risk posed by applicants as required in [2 CFR §200.206](#). Prior to approving awards for Federal funding in excess of the simplified acquisition threshold (currently \$250,000), the Bureau is required to review and consider any information about or from the applicant found in the Federal Awardee Performance and Integrity Information System. The Bureau will consider this information when completing the risk review. The Bureau uses the results of the risk evaluation to establish monitoring plans, recipient reporting frequency requirements, and to determine if one or more of the specific award conditions in [2 CFR §200.208](#) should be applied to the award.

Given projects have the potential to be inter-related, we will look at the entire body of projects after the merit review to determine if there is substantial duplication/overlap that could be avoided or possible synergy among projects to be gained that would cause us to modify our rankings and/or suggest collaboration among applicants.

If requests for funds are less than the available funding, then all projects will be funded as requested after each proposal is reviewed by the review team lead to identify/address any

technical concerns. In this case, the review team lead will keep the merit review criteria in mind while reviewing, but proposals will not be formally rated. If requests exceed the available funding, then awards will be made using the remaining process below.

Funding allocations will be made based on how well a project rates following the review process. If during the merit review, duplicate or otherwise unnecessary work is identified, proposals will be scaled back accordingly to identify a new “requested level.” Natural breaks in ratings will be used to identify the highest ranking projects, which will be funded at the requested level or estimated award ceiling, whichever is lower. The next tier of projects will be funded at 80% of the requested level or estimated award ceiling, whichever is lower. The final tier of remaining projects (if any fall out into a third tier based on natural breaks) will be funded at 60% of requested level or estimated award ceiling, whichever is lower. The tiered scenario is designed to provide funding for all proposals. **After funding is initially applied at the above percentages, any surplus or overage will be proportionally added to or subtracted from proposals in the second and third tiers.** This often results in changes to the amount of the award from what is requested, therefore we will accept pre-proposals as described earlier in the NOFO by the submission deadline so that only the final submission (after ranking is completed, and funding amounts are determined) will require an SF-424 and other application materials in addition to the project and budget narratives that are required for the pre-proposal.

E3. CFR – Regulatory Information

See the [Service’s General Award Terms and Conditions](#) for the general administrative and national policy requirements applicable to Service awards. The Service will communicate any other program- or project-specific special terms and conditions to recipients in their notices of award.

E4. Anticipated Announcement and Federal Award Dates

We anticipate making all awards under this opportunity by the end of the Current Calendar Year.

F. Federal Award Administration Information

F1. Federal Award Notices

Notice of federal awards will be delivered electronically.

F2. Administrative and National Policy Requirements

See the [DOI Standard Terms and Conditions](#) for the administrative and national policy requirements applicable to DOI awards.

See the [Service’s General Award Terms and Conditions](#) for the general administrative and national policy requirements applicable to Service awards.

Buy America Provision for Infrastructure: Required Use of American Iron, Steel, Manufactured Products, and Construction Materials.

As required by Section 70914 of the Infrastructure Investment and Jobs Act (Pub. L. 117-58), on or after May 14, 2022, none of the funds under a federal award that are part of a Federal financial assistance program for infrastructure may be obligated for a project unless all the iron, steel, manufactured products, and construction materials used in the project are produced in the United States, unless subject to an approved waiver. Recipients conducting infrastructure projects under the award must include related requirements all subawards, including all contracts and purchase orders for infrastructure work or products under this program. For the full text term applicable to infrastructure and related waiver request standards and procedures, see the Service's General Award Terms and Conditions.

F3. Reporting

Financial Reports

All recipients must use the [SF-425, Federal Financial Report](#) form for financial reporting. At a minimum, all recipients must submit a **final** financial report. Final reports are due no later than 120 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award. The only exception to the interim financial reporting requirement is if the recipient is required to use the SF 270/271 to request payment and requests payment at least once annually through the entire award period of performance. We will describe all financial reporting requirements in the Notice of Award.

Semi-Annual and Final PPRs and FFRs will be required to be completed in GrantSolutions.

Non-Construction Performance Reports

Performance reports must contain a comparison of actual accomplishments with the established goals and objectives of the award; a description of reasons why established goals was not met, if appropriate; and any other pertinent information relevant to the project results. **Final** reports are due no later than 120 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim performance** reports on the frequency established in the Notice of Award.

“EAGL” reporting is required no more than semi-annually, generally at the end of April and October, upon 30 days written notice. This is a brief reporting of accomplishments toward the most recent GLRI Performance Measures. A suggested format to capture results will be provided to help with consistency, interpretation of the measures, and ease in reporting. Measures reporting must follow the detailed reporting guidance contained in the “Measures Reporting Plan” under the GLRI Guidance and Implementation section at <https://www.glri.us/documents>. Specifically see “Focus Area 2 – Invasive Species” measures.

Construction Performance Reports

For construction awards, onsite technical inspections and certified percentage of completion data may be relied on to monitor progress for construction. Additional performance reports for construction activities may be required only when considered necessary. However, awards that

include both construction and non-construction activities require performance reporting for the non-construction activities. See [2 CFR§200.329](#) for more information. The USFWS will describe all performance reporting requirements in the Notice of Award.

Significant Development Reports

Events may occur between the scheduled performance reporting dates which have significant impact upon the supported activity. In such cases, recipients are required to notify the Bureau in writing as soon as the recipient becomes aware of any problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation. The recipient should also notify the Service in writing of any favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Any significant developments should be emailed to fws3_fisheries_grants@fws.gov.

Real Property Reports

Recipients and subrecipients are required to submit status reports on the status of real property acquired under the award in which the Federal government retains an interest. The required frequency of these reports will depend on the anticipated length of the Federal interest period. The Bureau will include recipient-specific real property reporting requirements, including the required standard form or data elements, reporting frequency, and report due dates, in the Notice of Award when applicable.

Conflict of Interest Disclosures

Per 2 CFR §1402.112, non-Federal entities and their employees must take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements. In the procurement of supplies, equipment, construction, and services by recipients and by subrecipients, the provisions in [2 CFR §200.318](#) apply. Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass-through entity in accordance with [2 CFR §200.112](#). Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the Service Project Officer identified in their notice of award in writing of any conflicts of interest that may arise during the life of the award, including those that reported by subrecipients. The Service will examine each disclosure to determine whether a significant potential conflict exists and, if it does, work with the applicant or recipient to develop an appropriate resolution. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award.

Other Mandatory Disclosures

The Non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Non-Federal entities that receive a Federal award including the terms and conditions outlined in 2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies for noncompliance described in 2 CFR §200.339, including suspension or debarment.

Reporting Matters Related to Recipient Integrity and Performance

If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the [System for Award Management](#) that is made available in the designated integrity and performance system (currently the [Federal Awardee Performance and Integrity Information System](#)) about civil, criminal, or administrative proceedings in accordance with [Appendix XII to 2 CFR 200](#).

G. Federal Awarding Agency Contact(s)

G1. Program Technical Contact

For **programmatic technical assistance**, contact:

First and Last Name:

Nathan Evans

Telephone:

612-286-4212

Email:

nathan_evans@fws.gov

G2. Program Administration

For **program administration assistance**, contact:

First and Last Name:

Mallory Mackey

Telephone:

612-713-5106

Email:

G3. Application System Technical Support

For Grants.gov technical registration and submission, downloading forms and application packages, contact:

Grants.gov Customer Support

Numeric Input Field: 1-800-518-4726

Support@grants.gov

For GrantSolutions technical registration, submission, and other assistance contact:

GrantSolutions Customer Support

1-866-577-0771

Help@grantsolutions.gov

H. Other Information

Payments

Domestic recipients are required to register in and receive payment through the U.S. Treasury's Automated Standard Application for Payments (ASAP), unless approved for a waiver by the Service program. Foreign recipients receiving funds to a final destination bank outside the U.S. are required to receive payment through the U.S. Treasury's International Treasury Services (ITS) System. Foreign recipients receiving funds to a final destination bank in the U.S. are required to enter and maintain current banking details in their SAM.gov entity profile and receive payment through the Automated Clearing House network by electronic funds transfer (EFT). The Bureau will include recipient-specific instructions on how to request payment, including identification of any additional information required and where to submit payment requests, as applicable, in all Notices of Award.

PAPERWORK REDUCTION ACT STATEMENT:**OMB Control Number: 1018-0100**

Per the Paperwork Reduction Act of 1995 (PRA; 44 U.S.C. 3501 et seq.), the U.S. Fish and Wildlife Service (Service) collects information in accordance with program authorizing legislation to conduct a review and select projects for funding and, if awarded, to evaluate performance. Your response is required to obtain or retain a benefit. We may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Privacy Act Statement: This information collection is authorized by 5 U.S.C. 5701 et seq. The information provided will be used to administer all Service financial assistance programs and activities including to: (1) determine eligibility under the authorizing legislation and applicable program regulations; (2) determine allowability of major cost items under the Cost Principles at 2 CFR 200; (3) select those projects that will provide the highest return on the Federal investment; and (4) assist in compliance with laws, as applicable, such as the National Environmental Policy Act, the National Historic Preservation Act, and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. This information may be shared in accordance with the Privacy Act of 1974 and the routine uses listed in INTERIOR/DOI-89, Grants and Cooperative Agreements: FBMS - 73 FR 43775 (July 28, 2008). Furnishing this information is voluntary; however, failure to provide all requested information may prevent the Service from awarding funds.

Estimated Burden Statement: We estimate that it will take you on average about 40 hours to complete an initial application, about 3 hours to revise the terms of an award, and about 8 hours per report to prepare and submit financial and performance reports, including time to maintain records and gather information. Actual times for these activities will vary depending on program-specific requirements. Direct comments regarding the burden estimates or any other aspect of the specific forms to the Service Information Clearance Officer, USFWS, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB (JAO/3W), Falls Church, VA 22041-3803, or by email to Info_Coll@fws.gov.