



Issuance Date: February 20, 2015

Closing Date: August 19, 2015

Submit Concept Papers to: brazilapps@usaid.gov

SUBJECT: Annual Program Statement (APS) Number APS-512-15-000001
Brazil Partnership Development Program

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the United States Agency for International Development in Brazil (USAID/Brazil) is seeking concept papers from qualified U.S. and non-U.S., Non-Governmental Organizations (NGOs) and commercial (for-profit) entities to create new partnerships to support USAID/Brazil's Environmental priorities in the areas of biodiversity conservation; protection of indigenous lands and natural resources; and applied biodiversity science, technology and innovation.

USAID/Brazil is committed to working together in partnership with civil society and public and private sectors to overcome social, economic and environmental challenges in Brazil. Strategic partnerships can further increase the impact of sustainable development to advance global, peace, and prosperity. The Mission's objective is to increase the overall strategic value of our partnerships for fostering sustainable development. Please refer to the Program Description section for a complete statement of goals and expected results.

This APS will be open for a period of six months from the issuance date of August 19, 2015. Concept Papers will be accepted throughout the period of this APS as long as there are funds available. Funding under this APS is limited and awards will be apportioned on a rolling basis so applicants are strongly encouraged to submit their applications as early as possible.

Subject to availability of funds, the United States Government intends to award two to three Cooperative Agreements/Grants lasting up to 5 years under this APS, with a total funding of approximately \$2,500,000. The award(s) will be made in accordance with evaluation procedures provided in Section E.

Issuance of this APS does not constitute an award commitment on the part of the Government, nor does it commit the Government to pay for costs incurred in the preparation and submission of a concept paper or application. Concept papers are submitted at the risk of the applicant as all preparation and submission costs are at the applicant's expense. USAID/Brazil reserves the right to not enter into any awards. Please note, that if requested to submit a full application, your organization must be registered in SAM before submitting the application, your application must provide a DUNS number and if a Federal Award is awarded an active SAM registration must be maintained for the period of the award. The SAM webpage address is: <https://www.sam.gov/portal/SAM/##11>. Also, if your organization has never received an award from USAID, you may be subject to a pre-award survey of your systems to determine if your organization has the necessary experience; accounting and operational controls; and technical skills to achieve the objectives of the program.

All concept papers, applications and awards regarding this APS will be managed by the Regional Office of Acquisition and Assistance (ROAA) in USAID/Peru. All interested parties are highly encouraged to register on www.grants.gov to receive automatic notification of amendments to this APS as this APS and any future amendments can be downloaded from www.grants.gov. It is the responsibility of the Recipient of the application document to ensure that they have received the APS in its entirety as USAID bears no responsibility for data errors resulting from transmission or conversion processes. If you have difficulty accessing the APS, please contact Cecilia Yanez at cyanez@usaid.gov

Applicants should retain for their records a copy of all enclosures that accompany their application.

Thank you for your consideration of this USAID initiative. We look forward to your participation.

Sincerely,



G. Michael Junge
Regional Agreement
Officer USAID/Peru

SECTION I – PROGRAM DESCRIPTION

BRAZIL PARTNERSHIP DEVELOPMENT PROGRAM

1.BACKGROUND

USAID/Brazil has a successful track record in developing strategic alliances with an extensive range of partners; international and local NGOs, the Government of Brazil, Universities, bilateral and multilateral donor agencies and the private sector.

In 2006, the U.S. Diplomatic Mission in Brazil, through USAID, created +Unidos (“More United” in English), which is a corporate social responsibility (CSR) group made up of U.S. companies established in Brazil. The +Unidos group’s mission is to strengthen public and private alliances to optimize and enhance U.S. CSR investments in Brazil and sustainably achieve Millennium Development Goals. The objective of the group is to promote visibility for its participant’s investments in environment, socio and economic-related projects, as well as to promote the theme of CSR among different segments of Brazilian society. This is done through the dissemination of best practices, success stories, and the establishment of public-private partnerships.

Based on the experience gained in the implementation of the +Unidos Program, this activity intends to engage both local and US private sector companies with the aim to fuel economic growth, innovation, and job creation; deliver broad-based development impact; and achieve scalable solutions via market-led approaches for both US as well as local Brazilian partners. These partnerships are critical to achieve new models in development, for which USAID serves as a catalyst of sustainable long-term development solutions by local stakeholders and markets.

2. OBJECTIVE

This APS is to improve biodiversity conservation using science, technology and innovation through an Environmental Public Private Partnership activity.

In collaboration with the Government of Brazil, USAID/Brazil’s new Environmental Program “Partnership to Conserve Amazon Biodiversity” will improve Amazonian biodiversity conservation by developing innovative approaches within priority protected areas. Specifically, the Partnership to Conserve the Amazon Biodiversity will seek to improve natural resource management of approximately 5.5 million hectares of biological significance.

This Environmental Public Private Partnership activity falls under Intermediate Result 3 of USAID/Brazil’s new Environment Program (for more information see Annex 1): government, academia and other key partners apply science, technology and innovation to improve conservation.

Successful partnerships will follow these precepts:

- Bring significant new resources, ideas, technologies and/or partners to address development problems in Brazil. Of particular interest are innovative partnerships that address development challenges in new ways and which leverage resources of non-traditional partners in replicable, sustainable and scalable ways.
- Partners are encouraged to provide a one-to-one cost share of resources for corporate social responsibility partnerships. Cost sharing can include in-kind contributions.
- Although there is no requirement for a minimum leverage ratio for concept papers proposed,

partners are encouraged to demonstrate significant new, non-public resources – whether money, ideas, technologies, experience, and or expertise.

3. AREA OF FOCUS

This Annual Program Statement (APS) seeks to support multiple activities that will be responsive to critical developmental needs and challenges with in the following area.

Environment – Biodiversity

USAID/Brazil’s Environment Program has supported and will continue to support the U.S. Foreign Assistance Objective of generating sustained economic growth under the Program Area “Environment” by ensuring that environmental and natural resources are effectively managed.

The Environment Program is conducted under the Program Element “Natural Resources and Biodiversity” with a view to increasing the contribution of sustainable landscapes to biodiversity conservation and climate stability.

Proposed activities should be aligned with conservation programs in the Brazilian Amazon Basin.

USAID/Brazil envisions a balanced partnership approach, reaching out to civil society, the public sector and the private sector to achieve sustainable landscapes that conserve biodiversity while contributing to climate stability. Proposed partnership activities may include, but are not limited to the following: environmental technical assistance, institutional strengthening of key actors, environmental advocacy, training programs and workshops on biodiversity conservation, biodiversity analyses and studies, environmental monitoring, sustainable production and value chain development, etc.

4. AUTHORIZING LEGISLATION

This program is authorized in accordance with the Foreign Assistance Act of 1961, as amended, 2 CFR 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal awards, and 2 CFR 700 - USAID Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

SECTION II – FEDERAL AWARD INFORMATION

1 ESTIMATE OF FUNDS AVAILABLE

Subject to the availability of funds, USAID intends to provide approximately \$2,500,000 (two million five hundred thousand Dollars) in total USAID funding for various awards and various amounts.

2 NUMBER OF AWARDS CONTEMPLATED

USAID estimates that two to three awards will be made under this APS. USAID reserves the right to fund or not fund any application submitted.

3 PERIOD OF PERFORMANCE

The anticipated period of performance will vary, but shall not exceed a period of 5 years.

4. TYPE OF INSTRUMENT

USAID intends to award multiple Cooperative Agreements/Grants in response to this APS. Determination of the assistance instrument will be made based on the type of program proposed.

5. PLACE OF PERFORMANCE

Place of performance for this award is Brazil as identified in the program description above.

6. AUTHORIZED GEOGRAPHIC CODE

The authorized geographic code for procurement of goods and services under the resultant agreement(s) is 937.

SECTION III– ELIGIBILITY INFORMATION

1. Partner Eligibility Criteria

This Annual Program Statement focuses on establishing public-private partnerships to provide solutions, identify needs, and combine resources to achieve far-reaching, mutually agreed upon results. The following list of potential partners is for illustrative purposes only. We welcome other types of partners. Potential partners include foundations, U.S. and non-U.S. NGOs, faith-based organizations, U.S. and non-U.S. private businesses, business and trade associations, international organizations, U.S. and non-U.S. colleges and universities, and other government agencies. Please ensure that in your Cover page/Introduction you clearly state your organization type.

Potential for-profit applicants should also note that USAID policy prohibits the payment of fee/profit to the prime recipient under assistance instruments. Forgone profit does not qualify as cost-sharing or leveraging.

Alliance partners that were funded under previous public private alliance APS's and RFA's are also eligible to apply.

2. Program Eligibility Criteria

To be considered for funding under this APS proposed programs must meet the following requirements:

- I. Partnership applications must have clearly-defined objectives agreed to by all partners.
- II. Partnership applications must demonstrate significant new, non-public resources – whether money, ideas, technologies, experience or expertise – to address development challenges.
- III. Partnerships must operate in Brazil and must fit within the strategic objectives of USAID/Brazil's Environment Program.
- IV. Partnership applications must reasonably achieve significant development impact, as measured, for example, by the number of direct and indirect beneficiaries of the program, and sustainability by the creation of activities able to continue without USG funding after the end of the project, and/or by the potential for replication or scaling-up over time.
- V. Partnership applications must be feasible from a technical, economic, financial, and social perspective.

3. Cost Share/Leverage

Cost sharing is an important element of the USAID-recipient relationship. In addition to USAID funds, applicants are encouraged to contribute resources from its own, private or local sources for the implementation of this program. Contributions can be either cash or in kind (in accordance with ADS 303.3.10, and 2 CFR 200).

The minimum cost share contribution ratio for the Environmental Partnership Program must be 1:1.

Cost leverage is encouraged to the maximum extent possible but there is no minimal requirement.

4. Number of Concept Papers

An applicant may not submit more than 2 Concept Papers; this limitation applies to the organization, individual investigator/program director, or both.

SECTION IV – APPLICATION AND SUBMISSION INFORMATION

1. Point of Contact Information

The Point of Contact (POC) person for this APS is:

Cecilia Yañez
Sup. Acquisition and Assistance Specialist
Regional Office of Acquisition and Assistance
USAID/Peru
Av. La Encalada s/n Cuadra 17
Lima, Peru
Email: cyanez@usaid.gov
Tel: 51-1-618-1432

2. General Information

USAID/Brazil invites U.S. and non-U.S., Non-Governmental Organizations (NGOs) and commercial (for-profit) entities to submit brief concept papers to create new partnerships to support USAID Brazil Mission priorities in environment through initiatives in the area of biodiversity conservation, protection of indigenous lands and natural resources, and applied biodiversity science, technology and innovation.

Prospective partners must be organizations with a reputation for integrity and the highest standard of conduct plus a proven track record in their particular areas of expertise. They should demonstrate a respect for human rights; gender sensitivity; integration of people with disabilities and other vulnerable groups; decent work conditions; environmental consciousness; and community involvement in their operational practices. They should also provide evidence of a strong commitment to the proposed partnership and experience in working in partnership with others.

This is a two-stage process. During the first stage and per the instructions below, applicants will submit a concept paper (maximum of 6 pages) with a summary budget. The objective of the concept paper is to give USAID an understanding of the project that is being proposed. It is not intended to provide budget detail, staffing plans, organizational background, or similar detailed information. The paper should only discuss the project concept itself; including the approach and a description of the results to be achieved. This submission will be reviewed by a panel of experts to determine whether or not a full application will be requested. Applicants will be advised as to whether they are invited to submit a full application. Full applications received pursuant to an invitation will then also be evaluated by a panel of experts.

Full applications may only be submitted by invitation from the USAID/Peru Regional Office of Acquisition and Assistance.

Interested Applicants should submit their concept paper via e-mail to brazilapps@usaid.gov. Only those concept papers that are received while this APS is open will be reviewed for responsiveness to the requirements set forth in this APS.

3. Concept Paper Instructions

All concept papers must be formatted on standard 8 ½" x 11" paper, with single space, 12 point font Times New Roman or similar font with margins no less than one inch on each border, and each page numbered consecutively.

All concept papers must contain the items below and must not exceed 6 pages in total.

Concept papers can be in English or Portuguese and submitted electronically via email.

The concept paper shall include:

Cover Page/Introduction (does not count in the page limitation):

1. Include the Funding Opportunity Number (APS-512-15-000001);
2. Name, address, and website of organization;
3. Type of organization (e.g., for-profit, non-profit, university, etc.);
4. Contact point (lead contact name, relevant telephone, and e-mail information);
5. Names of other proposed alliance/activity partners, if applicable;
6. Signature of authorized representative of the applicant

Technical Information:

1. Concise title and objective of proposed activity;
2. Description, approach, and duration of activity;
3. Anticipated results and development impact;
4. How the alliance/activity will help accomplish USAID/Brazil's Environmental Program objectives (see Annex No. 1);
5. Brief discussion of exploratory discussions with stakeholders, if any, including community member and other partners;
6. Commitment of the private sector to provide resources and contributions.

Summary Budget (does not count in the page limitation):

1. Proposed estimated cost;
2. Proposed cost share amount, financial as well as in-kind participation (clearly identify which resources are cash and which are in-kind and provide information on the nature of the in-kind contributions);
3. Proposed alliance leverage amount cash as well as in-kind participation;

Applicants are reminded that concept papers/applications that are aligned with the goals of USAID Brazil Mission Environmental Program, are feasible, and innovative will receive higher consideration. Applications that identify how they plan to leverage USAID resources will be considered more competitive. For information about specific USAID Brazil Mission goals and objectives you may consult: www.usaid.gov/brazil

4. Full Technical and Cost Application

If as a result of the Concept Paper evaluation it is decided to request the applicant to submit a “Full Technical and Cost Application,” the applicant must be registered in SAM before submitting its application, provide a valid DUNS number in its application, and continue to maintain an active SAM registration with current information at all times during which it has an active Federal Award (see instructions in the cover letter for SAM).

SECTION V – APPLICATION REVIEW INFORMATION

1. Merit Review Criteria for the Concept Paper

The three criteria below will be given equal consideration.

- **Technical Quality and Innovation; including Clear Objectives and Goals:**
Concept papers will be evaluated based on those that provide the broadest anticipated results, the greatest development impact, and the commitment of the private sector to provide resources and contributions. Partnership applications must reasonably achieve significant development impact as measured for example by the number of direct and indirect beneficiaries of the program. Objectives and goals must be clear and agreed to by all partners.
- **Institutional Capability and Capacity:**
Applicant’s organization strengths, resources, organizational structures, partnerships, and human capital to perform and achieve results and promote biodiversity conservation, in particular in the Amazon will also be evaluated.
- **Feasibility and Sustainability:**
Successful applications will indicate a commitment to the sustainable implementation of proposed activities, as well as to the replication and scalability of the project – if applicable – by forming strong partnerships and working together in the field or through networks and working groups. Partnership applications must demonstrate significant new, non-public resources – whether money, ideas, technologies, experience or expertise to address development challenges. They should indicate how the goals of the project will continue to be met beyond USAID financial support with the creation of activities able to continue without USG funding after the end of the project, and/or by the potential for replication or scaling-up over time. Partnership applications must be feasible from a technical, economic, financial, and social perspective.

Concept papers must be feasible, innovative and aligned with the goals of the USAID Brazil Mission’s Environmental program.

Cost effectiveness will not be part of the technical scoring of the application but shall be used as a separate evaluative factor in determining the overall strength of the application.

- **Cost effectiveness**
Analyze the design of a project so that it meets the needs of beneficiaries. Compare project costs to its benefits.

2. Merit Review Criteria for Full Applications

Full Proposal Merit Review Criteria will be provided should a Full Application be requested.

SECTION VI – FEDERAL AWARD ADMINISTRATION INFORMATION

This section will be provided at the time a Full Application is requested.

SECTION VII - FEDERAL AWARDED AGENCY CONTACTS

The Agreement Officer for this APS is:

Mr. G. Michael Junge
Regional Agreement Officer
Regional Acquisition and Assistance Office (ROAA)
USAID/Peru
Av. La Encalada s/n, cdra. 17
Monterrico, Lima 33
Peru

Tel: 51-1-618-1435
gjunge@usaid.gov

The A&A Specialist for this Award is:

Cecilia Yañez
Sup. A&A Specialist
Regional Acquisition and Assistance Office (ROAA)
USAID/Peru
Av. La Encalada s/n, cdra. 17
Monterrico, Lima 33
Peru

Tel: 51-1-618-1432
cyanez@usaid.gov

Agreement Officer's Representatives (AORs) for these Awards are:

Successful applicants will be informed of their Agreement Officer's Representative at the time a Full Proposal is requested.

SECTION VIII - OTHER INFORMATION

Annex 01 – USAID/Brazil Environmental Program

(See continuation page)



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FROM THE AMERICAN PEOPLE

Annex 1 -USAID/Brazil Environmental Program

Programa de Parceria Em Meio Ambiente na Biodiversidade Amazônica

Desde 1990, os investimentos da USAID na Amazônia brasileira tem impactado positivamente nos desafios ambientais chave incluindo governança florestal, manejo ambiental, gestão florestal, e conservação da biodiversidade. Coletivamente, esses investimentos ajudaram governos estaduais a projetar e implementar leis e regulações, ajudou municípios a implementar a lei federal obrigatória de Planos Ambientais, e treinou milhares de oficiais federais, estaduais, locais, da sociedade civil e grupos indígenas para de forma mais eficaz advogar para a conservação de recursos da Amazônia.

Em 2013, a USAID/Brasil realizou consultas extensas com as agências governamentais brasileiras, organizações da sociedade civil e outros doadores. A USAID perguntou como nossa assistência poderia complementar e ajudar o Brasil a conquistar suas metas e objetivos ambientais.

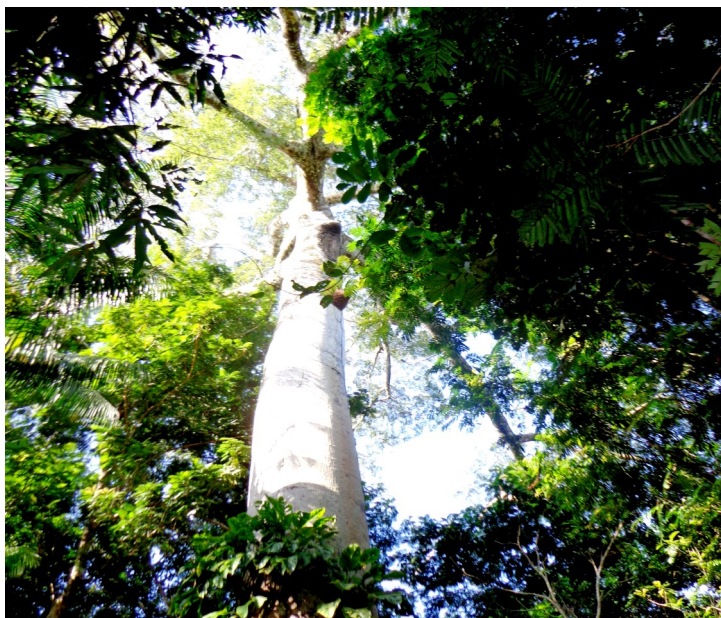


Foto: Arquivos USAID BRASIL



Foto: Arquivos USAID BRASIL

As consultas ressaltaram que a USAID é reconhecida por fornecer assistência técnica, treinamento e tecnologia para ajudar a preservar e conservar o meio ambiente.

O processo de consulta está ajudando a USAID a desenhar sua nova estratégia ambiental de 5 anos. A estratégia foi projetada para ser implementada através do trabalho colaborativo em parceria com o Governo do Brasil, onde a USAID e seus parceiros brasileiros vão trabalhar em direção ao objetivo primordial de ampliar a conservação da biodiversidade no sistema de áreas protegidas da Amazônia brasileira.

Essa estratégia foca em:

- Fortalecer os esforços de conservação da biodiversidade em mosaicos e áreas protegidas prioritárias;
- Aumentar a proteção de terras indígenas e seus recursos naturais; e
- Aplicar ciência, tecnologia e inovação para melhoria das práticas de conservação da biodiversidade.



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FROM THE AMERICAN PEOPLE

Environment Partnership Program in Amazon

Biodiversity

Since 1990, USAID's investments in the Brazilian Amazon have had a significant and positive impact on key challenges including forest governance, environmentally sound and sustainable forest management, and biodiversity conservation. Collectively, these investments assisted state governments to design and implement laws and regulations, helped municipalities to implement federal law mandated Environmental Plans, and trained thousands of federal, state and local officials, as well as dozens of civil society, traditional, and indigenous groups to more effectively advocate for conservation of Amazonian resources.

In 2013, USAID/Brazil undertook extensive consultations with Brazilian government agencies, civil society organizations and other donors. USAID asked how our assistance could complement and help Brazil to achieve its environmental goals and objectives.



Photo: USAID BRAZIL files

Consultations underscored that USAID is valued for providing technical expertise, training and technology to help preserve and conserve the environment.

The consultation process is helping USAID design its new five-year environment strategy. The strategy was designed to be implemented through collaborative work in partnership with the Government of Brazil, where USAID and Brazilian partners will work toward the overarching goal that the biodiversity conservation for Brazilian Amazon protected areas system is enhanced.

This strategy will focus on:

- Strengthen biodiversity conservation efforts in priority protected areas and mosaics;
- Enhance protection of indigenous lands and its natural resources; and
- Apply science, technology and innovation to improve biodiversity conservation practices.



Photo: USAID BRAZIL files