

FY2024 Ruth D. Gates Restoration Innovation Grants

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NOTICE OF FUNDING OPPORTUNITY

EXECUTIVE SUMMARY

Federal Agency Name(s): National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

Funding Opportunity Title: FY2024 Ruth D. Gates Restoration Innovation Grants

Announcement Type: Initial

Funding Opportunity Number: NOAA-NMFS-HCPO-2024-2008273

Federal Assistance Listings Number: 11.482, Coral Reef Conservation Program

Dates: Applications must be postmarked, provided to a delivery service or received via Grants.gov by 11:59 p.m. Eastern Time on February 14, 2024

Funding Opportunity Description: The Ruth D. Gates Coral Restoration Innovation Grants competition is a tribute to the work and life of Dr. Ruth Gates and aims to build on her efforts to address the decline in the abundance and health of coral reefs due to climate change, through innovative research. The work funded through this program is aimed at promoting long-term persistence of corals by supporting the science needed to incorporate resilient corals into restoration activities, enhance coral survivorship, and improve restoration methods. The principal objective of this grant program is to move coral intervention and restoration science towards field application in order to increase restoration scalability and coral survivorship and to promote resilient, genetically diverse, and reproductively viable coral populations. Projects funded through the Ruth D. Gates Restoration Innovation Grants are expected to have an overarching goal of bringing coral intervention research or techniques into restoration efforts. These projects should 1) advance the research and development of coral interventions to improve resilience to environmental stressors or 2) research innovations to improve coral restoration practices, survivorship of post larval settlers, and growth/survival of mid-sized corals.

Selected proposals will be funded through cooperative agreements. NOAA will consider applications for one, two, or three funding years. The funding for the second and/or third year (2025 and/or 2026) will be estimated in the 2024 application, with final funding amounts determined in those future years, pending future federal appropriations and progress towards project milestones. Proposals requesting multi-year funding should detail the costs expected for each year separately in this proposal (see Section IV.B for more details about the budget narrative for multi-year awards). NOAA anticipates typical federal funding for awards will range from \$100,000-\$250,000/year for a total \$100,000 to \$750,000 over one to three years. NOAA will not accept proposals with a federal funding request of less than \$100,000 or more than

\$1,000,000 total over three years. NOAA anticipates approximately \$1,000,000 will be available under this announcement in fiscal year 2024. The Office of Habitat Conservation in conjunction with the NOAA Coral Reef Conservation Program (CRCP) will administer the funds. Funding may be divided among the U.S. Pacific and Atlantic regions to maintain the geographic balance of the Ruth D. Gates Coral Reef Conservation Grant Program as required by the reauthorized Coral Reef Conservation Act, 16 U.S.C. § 6401-15. NOAA anticipated an additional \$2,000,000 may be available in the next two years (fiscal year 2025 - fiscal year 2026) to support selected awards.

Awards are dependent upon the amount of federal appropriations made available to NOAA in the fiscal year 2024 through fiscal year 2026 budgets. Applicants must be U.S. based and all NOAA funded in-water work must take place within U.S. jurisdictions (i.e., American Samoa, Commonwealth of Northern Mariana Islands, Florida, Guam, Hawaii, Puerto Rico or the U.S. Virgin Islands).

FULL ANNOUNCEMENT TEXT

I. Funding Opportunity Description

A. Program Objective

The NOAA Ruth D. Gates Coral Restoration Innovation Grants competition supports research needed to apply interventions for restoration that promote and persistence of resilient, genetically diverse, and reproductively viable coral populations. This grant program is intended to promote long-term persistence of corals by not only supporting innovative research and restoration techniques, but also by increasing collaboration between research scientists and management entities to ensure these innovative techniques align with predicted needs of U.S. states and territories in the face of environmental changes and will get integrated into coral restoration activities.

Coral reefs are among the most complex and diverse ecosystems on earth. They support important fishing and tourism industries, protect coasts from wave, storm, and flood damage, create tropical islands, contain an array of potential pharmaceuticals, and provide food security, livelihood, and cultural, among other benefits. As shallow-water, near shore coral reef ecosystems are ecologically linked to adjacent watersheds and are highly vulnerable to human activity. Stressors in the coral reef environment include poor water quality from runoff and inadequate sewage treatment, sedimentation, destructive fishing practices, recreational overuse and misuse, disease outbreaks, and severe impacts from climate change, including frequent and severe bleaching, storm damage, and ocean acidification.

In 2018, the NOAA CRCP established a strategic plan, which uses a resilience-based management approach that focuses on the ability of corals to withstand or recover from stress. The plan focuses NOAA's efforts in four areas: improving fisheries sustainability, reducing land-based sources of pollution, increasing resilience to climate change impacts, and restoring viable coral populations. This grant competition directly contributes to restoring viable coral populations, particularly focused on the 'enhance population resilience strategy'. A copy of the Strategic Plan is available at https://www.coris.noaa.gov/activities/strategic_plan2018/.

Building on the 2018 CRCP Strategic Plan, NOAA recognized that conventional management (e.g., fisheries management, marine protected areas, and water quality control) is insufficient to foster coral recovery in the short- to medium-term given the magnitude of environmental change, which is outpacing corals' natural ability to adapt. As such, NOAA commissioned the National Academy of Sciences to convene a panel of experts to review and evaluate novel ecological and genetic coral intervention strategies, such as stress-

hardening, translocation of non-native stocks, manipulation of symbiotic partnerships, selective breeding, and genetic modification, that have the potential to enhance recovery and sustainability of corals under near-future environmental scenarios. A copy of the National Academy of Science's review can be found here: <https://www.nap.edu/catalog/25279/a-research-review-of-interventionsto-increase-the-persistence-and-resilience-of-coral-reefs>.

In response to this review, NOAA developed an Action Plan on Coral Interventions (https://www.coris.noaa.gov/activities/coral_interventions/welcome.html). This Action Plan guides NOAA supported research for four intervention action areas: 1) research and test priority interventions; 2) Develop local or regional structured decision support; 3) Review policy implications of coral interventions; 4) Invest in infrastructure, research, and coordination. This grant competition is focused on addressing action area 1 with and bringing these coral interventions closer to being applied in the field.

B. Program Priorities

The aim of the NOAA Ruth D. Gates Coral Restoration Innovation Grant competition is to improve the success of shallow water (generally <30 m [<100 ft] depth) coral reef restoration in an increasingly variable climate. Applicants must be U.S. based, and all U.S. funded in-water activities must take place within the U.S. coral jurisdictions: American Samoa, the Commonwealth of Northern Mariana Islands, Florida, Guam, Hawaii, Puerto Rico, or the U.S. Virgin Islands.

Projects should partner with or collaborate with restoration practitioners and/or local resource management agencies to ensure that the proposed work meets identified needs, supports jurisdictional restoration plans, and/or federal/state large-scale restoration initiatives such as Mission: Iconic Reefs (<https://www.fisheries.noaa.gov/southeast/habitat-conservation/restoring-seven-iconic-reefs-mission-recover-coral-reefs-florida-keys>). Furthermore, NOAA is interested in enhancing local scientific and restoration capacity within the U.S. coral jurisdictions, and therefore is prioritizing support for projects that train, educate, or employ students, volunteers, or individuals from the jurisdictions where the in-water work is proposed.

Given the accelerating pace of threats to shallow-water coral reef ecosystems, NOAA recognizes the need to innovate, develop, test, apply and scale-up coral interventions that increase the persistence and resilience of corals and coral reefs. Coral interventions vary in their readiness to be applied in the ocean with some interventions requiring basic biological research and others that are ready to be field tested or applied experimentally.

NOAA is seeking projects that push coral intervention science from the lab into larger

mesocosm studies, in-water experimentation, pilot/field testing, or expansion of pilot/field testing, while ensuring the science is translated /transferred for management and restoration application. Successful projects will include a collaborative process between the research team and restoration practitioners/resource managers to increase information sharing and the likelihood of application.

All proposals should include information on how activities and project milestones will be assessed for success. In-water pilot testing should include a description of the planned monitoring to assess the effectiveness of the intervention technique, including data on coral survival and/or other physiological and ecological metrics. If appropriate, proposals should provide a short overview of any anticipated monitoring beyond the duration of award as knowledge of potential long-term effectiveness of intervention techniques used is critical.

Proposed projects must align with goals and objectives of the CRCP Strategic Plan and/or action items in the NOAA Action Plan on Coral Interventions, and be consistent with criteria in the authority for this program (16 U.S.C. 6410(f)). NOAA has identified the following two priority areas for this funding announcement:

1.) Collaborate with restoration practitioners to research, develop, and advance the application of innovative intervention techniques in coral restoration to improve coral survivorship by enhancing coral resilience to threats, such as ocean warming or coral disease. Proposals that address one or more of the following issues will be a higher priority:

- * Enhance understanding of the role the environment has on the coral holobiont 'omics in relation to colony fitness (e.g., susceptibility to disease and bleaching) and response trade offs (e.g., disease resistance vs. growth rates) as it applies to resilience and survival of restored corals;
- * Advance stress-hardening techniques to understand the longevity of the hardening method, effects of other stressors on hardening memory, dose/treatment, and interspecific/species variation; and
- * Research into algal symbiont evolution and physiology, frequency of algal symbiont community shuffling and/or persistence, and algal symbiont manipulation to improve coral holobiont thermal tolerance, with an emphasis on bringing this intervention closer to field-testing.

2.) Collaborate with restoration practitioners to research and develop methods to improve coral restoration practices, survivorship of post larval settlers, and growth/survival of mid-sized corals. Proposals that address one or more of the following issues will be a higher priority:

- * Develop cost-effective, scalable techniques that reduce the time needed for coral

maintenance in nurseries (in-situ and ex-situ) and/or that reduce the time to prepare coral outplanting sites; and

* Develop and test cost-effective, scalable methods, novel devices, and/or technologies that facilitate coral settlement, improve post settlement survival, and/or increase growth and survival of mid-size corals for long-term population enhancement.

Projects that are solely focused on implementing coral outplanting with no scientific component are not appropriate for this funding announcement. Expenditures on large equipment (e.g., boats and coral raceways), real property (e.g., land and buildings), capital expenditures (e.g., improvements, lab development, and general purpose equipment), and/or infrastructure are not a priority for funding under this program. Additionally, travel supported through this program must be directly related to the implementation of activities described in the project narrative.

The amount of funding for each award will depend on the number of eligible applications received, the amount of funds requested for each project, the merit and ranking of the proposals and individual tasks/projects. Federal funds have not yet been appropriated for this program, and there is no guarantee that sufficient funds will be available to make awards for all qualified projects. Publication of this notice does not obligate NOAA to provide any available funds to projects.

C. Program Authority

The Coral Reef Conservation Act, 16 U.S.C. § 6410.

II. Award Information

A. Funding Availability

One-year or multi-year awards up to three funding years will be considered, and recipients of multi-year awards will not need to compete for funding in subsequent years. NOAA anticipates typical federal funding awards will range from \$100,000 to \$750,000 over one to three years. NOAA will not accept proposals with a federal funding request of less than \$100,000 or more than \$1,000,000 over three years. Funding may be divided among the U.S. Pacific and Atlantic regions to maintain the geographic balance of the Ruth D. Gates Coral Reef Conservation Grant Program as required by the reauthorized Coral Reef Conservation Act, 16 U.S.C. § 6401-15.

NOAA anticipates approximately \$1,000,000 will be available under this announcement in fiscal year (FY)2024, and may support 3 to 6 new awards. Depending on the level of

available funding, NOAA anticipates an additional \$500,000 to \$2,000,000 may be available over the next two years (FY2025 - FY2026) to support selected awards.

Funds will be administered by the NOAA Office of Habitat Conservation in conjunction with the CRCP. The exact amount of funds that may be awarded will be determined in pre-award negotiations between the applicant and NOAA. Any funds provided to successful applicants for subsequent-year funding requests will be determined by progress towards stated milestones, availability of funding, and at the discretion of the NOAA Office of Habitat Conservation, the CRCP, and the NOAA Grants Management Division.

NOAA or the Department of Commerce are not responsible for direct costs of application preparation. Publication of this announcement does not oblige NOAA to award any specific project or to obligate any available funds. There is no guarantee that sufficient funds will be available to make awards for all top-ranked applications. Proposals not funded in the current fiscal period (FY2024) may be considered for funding in a subsequent fiscal period without NOAA repeating the competitive process outlined in this announcement. If proposals not funded in the current fiscal period are considered and selected for funding in the next fiscal year (i.e., FY2025), the applicants will be allowed to revise their requested award period based on the status of the project when funding is offered.

Once funds are awarded, recipients of incrementally funded multi-year awards will not need to compete for funding in subsequent years. NOAA expects, but is not obligated, to provide additional funding to multi-year awards in subsequent years. Adding funds to multi-year awards is at the discretion of NOAA.

B. Project/Award Period

Applications should cover a period of performance between 12 and 36 months. NOAA anticipates that the period of performance for most awards will be for 36 months; however, applicants may select a project where the period of performance extends up to 48 months. The earliest anticipated start date for awards will be September 1, 2024. Both federal and match pre-award costs, incurred up to 90 days prior to the award start date, may be requested and will be considered during pre-award negotiations between the applicant and NOAA. Incurring pre-award costs before NOAA Grants Management Division offers a grant is at the applicant's own risk.

C. Type of Funding Instrument

Selected applications will be funded through cooperative agreements, as described in 2 C.F.R. Sec. 200.1, meaning that NOAA expects to be substantially involved in many aspects of the awards. Substantial involvement may include, but is not limited to, collaboration on

the scope of work; providing assistance with technical aspects of the project; review and comment on in-water plans to pilot restoration innovations; assisting with or helping to facilitate dissemination of results to partners and other stakeholders; and review of procurement materials to the extent authorized by 2 C.F.R. Sec. 200.325. While NOAA determines its substantial involvement, applicants may also specifically suggest anticipated federal roles and responsibilities in their proposals. NOAA will add a term to each funded award describing the substantial federal involvement.

III. Eligibility Information

A. Eligible Applicants

Eligible applicants are nongovernmental organizations or research institutions that have demonstrated expertise in coral reef conservation or restoration and/or scientific research; U.S. states, territories, or local governments with authority over coral reefs; and Native entities with interests in a coral reef ecosystem.

Applications from federal agencies or employees of federal agencies will not be considered. NOAA employees are not permitted to assist in the preparation of applications. NOAA CRCP staff are available to provide general information on programmatic goals and objectives, ongoing coral reef conservation programs/activities, and regional funding priorities. Federal agencies and employees are not allowed to receive funds under this announcement, but may serve as collaborative project partners. If federal agencies are collaborators, applicants are expected to provide detail on the planned level of federal engagement in the application.

B. Cost Sharing or Matching Requirement

NOAA CRCP financial assistance awards are subject to statutory and regulatory matching fund policies. Federal funds for any coral conservation project under 16 U.S.C. § 6410(b) may not exceed 50 percent of the total cost of the award. Therefore, projects funded under this Grant Program require a 1:1 contribution of non-Federal matching funds.

Cost sharing or matching is defined by 2 C.F.R. § 200.29 as “the portion of project costs not paid by Federal funds (unless otherwise authorized by Federal statute).” Any shared costs or matching funds and all contributions, including cash and third party in-kind contributions of property and services, will be accepted as part of an applicant’s cost sharing or matching when the contributions meet the criteria listed in 2 C.F.R. § 200.306(b). That is, the contributions: (1) are verifiable from the non-Federal entity's records; (2) are not included as contributions for any other Federal award; (3) are necessary and reasonable for

accomplishment of project or program objectives; (4) are allowable under Subpart E—Cost Principles of this part; (5) are not paid by the Federal Government under another Federal award, except where the Federal statute authorizing a program specifically provides that Federal funds made available for such a program can be applied to matching or cost sharing requirements of other Federal programs; (6) are provided for in the approved budget when required by the Federal awarding agency; and (7) conform to other provisions of this part, as applicable. Contributions can come from a variety of public and private sources and can include third party in-kind goods and services such as private boat use, lab space or equipment, and volunteer labor. In addition, applicants are permitted to combine contributions for allowable costs from multiple non-Federal partners in order to meet the 1:1 match requirement, consistent with the standards described in 2 C.F.R. § 200.306.

Applicants should specify the source(s) of match in the budget narrative and should provide or may be asked to provide letters of commitment to confirm stated match contributions. Foreign-sourced contributions to overall objectives may be included as part of the match. Applicants whose proposals are selected for funding will be bound by the percentage of cost sharing reflected in the award document signed by the NOAA Grants Officer. Applicants should be prepared to carefully document matching contributions for each project selected for funding. Valuation of proposed cost sharing is described in 2 C.F.R. § 200.306(d)-(k). Applicants may choose to designate part or all of their Federally-negotiated indirect costs as match. This may be convenient because the valuation of such costs has already been Federally-approved and documentation is readily available. Refer to the “Indirect Costs” policies in Section IV.B.3. of this funding announcement.

The NOAA Administrator or designee may waive all or part of the matching requirement if the Administrator determines that the project meets two requirements identified in 16 U.S.C. § 6410(b)(3):

*No reasonable means are available through which an applicant can meet the matching requirement, and

*The probable benefit of such project outweighs the public interest in the matching requirement.

In the case of a waiver request, the applicant must provide a detailed justification explaining the need for the waiver, including attempts to obtain sources of matching funds, how the benefit of the project outweighs the public interest in providing match, and any other extenuating circumstances preventing the availability of match. Match waiver requests including the appropriate justification should be submitted as part of the final application package. Please address all waiver requests to Jennifer Koss, CRCP Manager, NOAA National Ocean Service, 1305 East West Highway, 10th Floor, SSMC4, N/ORM, Silver

Spring, MD 20910.

C. Other Criteria that Affect Eligibility

Full applications submitted through Grants.gov should be submitted by the due date and time provided in Section IV.D. Late applications will not be considered for funding.

The following information describes ineligible project proposal types and activities:

- 1) Activities that alleviate the liability associated with legally required mitigation for the adverse environmental impacts of an activity regulated or otherwise governed by local, state, or federal law are ineligible.
- 2) Activities that constitute compensatory restoration for natural resource damages under federal, state, or local law are ineligible.
- 3) Activities that are required by a separate consent decree, court order, statute, or regulation are ineligible. Applicants planning to combine grant or matching funds with mitigation should review the Compensatory Mitigation for Losses of Aquatic Resources; Final Rule at 73 Fed. Reg. 19594 (April 10, 2008). NOAA plans to follow the approach adopted by some other Federal agencies on Page 19,636 that describes scenarios where mitigation credits may or may not be obtained in association with federal financial incentives.

IV. Application and Submission Information

A. Address to Request Application Package

Complete application packages, including required federal forms and instructions, can be found on www.Grants.gov. If a prospective applicant is having difficulty downloading the application forms from www.Grants.gov, contact www.Grants.gov Customer Support at 1-800-518-4726 or support@Grants.gov. Instructions for these forms are available at <https://www.grants.gov>. Information about the recommended format for full applications is contained in Section IV.B.

B. Content and Form of Application

Applicants should apply through the www.Grants.gov website. A complete standard NOAA financial assistance application package should be submitted, as described below.

Each full proposal must include the following Federal application forms:

- 1) Standard Form (SF)-424: Application for Federal Assistance. The SF-424 form family may be downloaded from the form section on <https://www.grants.gov>.
- 2) SF-424A: Budget Information for Non-construction Programs. Selected applications will require an SF-424A for each funding year.

- 3) SF-424B: Assurances for Non-construction Programs.
- 4) CD-511: Certification Regarding Lobbying.
- 5) SF-LLL (if applicable): Disclosure of Lobbying Activities.

In addition to the Federal application forms, NOAA recommends the following components as part of a complete application package. All application materials should use a legible 11- or 12-point font with 1-inch margins on all sides. For each application, the information provided in elements 1-4 (described below) may not exceed 45 pages total; the 45-page limit does not apply to required federal forms (listed above), data management plan (element 5 below), and negotiated indirect cost rate agreement (element 6). Applications that exceed the 45-page limit will be shortened by removing pages before it is forwarded to merit and panel reviewers. Pages excised from lengthy applications will not be reviewed and any activities described therein will not be eligible for funding consideration.

Applications submitted through www.Grants.gov should have no more than three attachments in addition to the Federal Forms: 1) Cover page and project narrative (elements 1-2 below), 2) Budget table and budget narrative (element 3), and 3) all additional information (elements 4-6) combined into one file. Applications should be either submitted as either Adobe Acrobat (.PDF) or Microsoft Word files; however, PDF is the preferred format. The total electronic file size of the proposal narrative and appendices combined should not exceed five megabytes in storage space. Files that are larger than five megabytes may not be properly downloaded, uploaded, or received by the agency or the reviewers. Files that cannot be opened or downloaded will not be reviewed.

Each application should include the following information:

Element 1: Cover Sheet and Project Summary - A one-page cover sheet that includes:

- a) Short title of the proposed cooperative agreement project;
- b) Requested start date and end date;
- c) Applicant organization name, address, phone number;
- d) Principal investigator responsible for conducting the project and their contact information;
- e) Geographic locations of the project and the site names;
- f) Amount of grant funds requested per year (2024, 2025, and 2026);
- g) Amount of matching funds provided per year (2024, 2025, and 2026);
- h) Funding priority application addresses; and
- 1) One to two paragraph project summary emphasizing the project objectives and activities.

Element 2: Project Narrative

Provide sufficient background and contextual information for reviewers to independently

assess the significance of the proposed project.

a) Importance and Applicability. The applicant should provide a brief summary of relevant background information that justifies the need for the proposed project or task. This information should identify the problem/issue the project intends to address; describe how the project is expected to improve the resilience of corals/coral populations, or enhance survivorship, and/or improve restoration methods; and the overall collaboration with restoration practitioners/resource managers. Narratives should also include information on state of the intervention science and how the proposed work will advance the science (e.g., filling key gaps needed to move the forward, lab to mesocosm, lab to small pilot/field test, mesocosm to pilot test, or small pilot/field test to a slightly larger application) to allow for its application in coral reef restoration efforts. Identify the specific priority, listed under Section I.B., to which the project's objective(s) corresponds. Project objectives should be simple and understandable, as specific and quantitative as possible, and attainable within the proposed time frame, the described budget, and the human resources available. Projects should be accomplishment-oriented and identify attainable goals, outcomes, and products.

b) Technical/Scientific Merit. Proposals should describe in detail the actions to be undertaken to resolve the problem(s)/issue(s). The project narrative is the scientific or technical plan of the task(s) that are to be accomplished to meet the project objectives, and must be written with sufficient detail to allow a review of suitability of the proposed work and the likelihood of successful completion in the stated time frame. Please ensure your project narrative includes detailed descriptions of each task, including the rationale for the task, how it will be accomplished, specific milestones, project evaluation and assessment (i.e., how success will be measured for each task/phase of the project), and you may troubleshoot problems or issues that arise.

For activities involving pilot/field testing intervention methods, testing methods to enhance coral survivorship, or testing novel restoration methods, applicants need to describe collaboration efforts with restoration practitioners or resources managers to ensure the work is feasible and the areas where in-water efforts are to take place are appropriate to test the application. The applicant should also include information on how any pilot activities will be monitored for effectiveness.

If the work is a component of a larger project (or the activity is a pilot project for a larger initiative) and proposed activities will continue after the end of the grant period, provide a short description of any future activities including monitoring, and if known, potential future funding sources (and other mechanisms) to continue the work.

The applications should also note the use of Endangered Species Act listed corals; anticipated impacts to other Endangered Species Act listed species and any designated and/or proposed critical habitat; any National Historic Preservation Act resources in the action area, as well as a commitment to quickly provide information needed by NOAA to analyze project impacts under the National Environmental Policy Act (NEPA, see Section IV.B.4.f. below).

c) **Timeline and Milestone Schedule.** The project narrative should include a timeline (i.e., monthly or quarterly) with key milestones outlining the distinct phases of the project), expected implementation of each phase, major activities related to each phase, and frequency of these activities (e.g., daily, weekly, biweekly, or monthly). The timeline should also account for all consultation and permitting requirements for in-water activities. As described in Section II.A, NOAA will consider progress towards identified milestones when making funding decisions for multi-year awards in subsequent years.

d) **Outreach and Education.** The project narrative should describe specific outreach goals and activities. This includes collaboration with restoration practitioners, resource managers or other user groups on planning of activity and/or the involvement in the execution of the activity either through information sharing and/or through a subaward or sharing of resources as part of implementation of work. The applicant should also include information on how project outcomes will be disseminated to the wider coral restoration community so that it can be incorporated into restoration practices. Whether there is a plan to disseminate the results to other user groups or the public (e.g., dive groups, fishing communities, or local communities). The narrative should describe any involvement of students from the local jurisdictions in order to support those students in future Science, Technology, Engineering, and Math (STEM) careers and build capacity within these jurisdictions.

e) **Table of Anticipated Products/Outputs and Outcomes.** Please provide a table to summarize the anticipated products/outputs and outcomes that will be produced under the award. All products developed under Federally-funded awards must be provided to NOAA within 120 days of the end of the award period, must be of publishable quality, and must be Sec. 508 compliant (<https://www.gsa.gov/policy-regulations/policy/information-technology-policy/it-accessibilitysection-508>). Except where limited by law, regulation, policy or security, recipients are requested to include a statement on the front page of all products to indicate the material is "Approved for public release; distribution is unlimited." If the applicant has requested publication costs, resulting journal publications should be made available to the public free of charge. NOAA may make award products available to the public, so recipients are encouraged to omit sensitive information (e.g., budget or salary information) from products submitted to NOAA.

The information in the table must include all anticipated products and outputs that will be developed in conjunction with the award. For each entry, please provide a description of the product, a working title for the product, the type or format of the product (e.g., journal publication, public service announcement, presentation, newsletter, metadata record, monitoring data), and the expected date(s) when the product will be submitted to NOAA. NOAA will use this information to ensure that products are submitted to the agency in a timely manner and made available to the public as soon as possible.

Element 3: Budget Justification

Reviewers will evaluate project costs by reviewing your budget justification. The budget justification narrative must include a detailed breakdown by category of applicable costs (i.e., object class) separated into federal and non-federal shares as they relate to specific aspects of the award, with a detailed narrative justification for both the federal and non-federal (if applicable) shares. The object classes should match those found in the SF-424A.

If requesting funds for a multi-year proposal, award costs should be broken into annual/interim funding requests up to three years in duration. One, two, or three-year proposals will be accepted. If funding will be used to complete part of a larger project, a budget overview for the entire project to demonstrate how the NOAA request relates to the overall project budget and outcomes should be provided.

If the project has been submitted for funding consideration elsewhere, the amount(s) requested or secured from other sources, and whether the funds requested/secured are federal or non-federal should be included. If the proposed project includes a monitoring component, the applicant should provide an estimate of all monitoring-related costs within the proposed budget.

The NOAA Habitat Conservation and NOAA Grants Management Division staff will review budget information in recommended applications to determine if costs are allowable, allocable, reasonable, and realistic. The narrative budget justification should be sufficiently detailed to enable a clear understanding of the cost breakdown and calculations used to derive the line item subtotals in each object class of the SF-424A budget form. An SF-424A for each year of requested funding will be required prior to an award offer, but not as part of the initial application. Additional budget development guidance is available at <https://www.fisheries.noaa.gov/s3/2023-08/Supplemental-Application-Instructions-and-Budget-Guidance.pdf#page=11> or <https://coastalscience.noaa.gov/about/funding-opportunities/requirements/>.

Element 4: Supplemental Information

All supplemental attachments should be combined into one file which includes a list of the documents and associated page numbers.

- a) Project Location. For in-water activities, include a site location map such as a U.S. Geographic Survey topographic quadrangle map or aerial photo with site location(s) highlighted.
- b) Key Personnel Qualifications. Include brief resumes or curriculum vitae for key personnel and any examples of projects similar in scope and nature that have been successfully completed by the project team.
- c) Supporting Materials. Include any other relevant supporting materials, such as letters of support (including those from jurisdictional resource management agencies, restoration practitioners, and other partners documenting collaboration and/or financial and in-kind support), monitoring plans, etc. NOAA CRCP requires evidence of support from appropriate representatives of States or other government jurisdictions prior to final selection and encourages applicants to provide such evidence in their applications. Note: Letters of support from NOAA employees are not allowable and will not be included among the application materials considered by merit reviewers. For proposals that involve collaboration with current NOAA projects or staff or take place within a National Marine Sanctuary, NOAA employees may provide a limited statement verifying the nature and extent of the collaboration and confirming prior coordination activities or a statement related to management utility of the proposed work.
- d) Match waiver request (if applicable). See Section III. B. Cost Share Matching Requirements, above.
- e) Permits. A list or copy of all applicable permits that will be required to perform the proposed work. It is the responsibility of the applicant to obtain all necessary federal, state, and local government permits and approvals for the proposed work. For work within National Marine Sanctuaries, National Parks, National Seashores, and other federally designated protected areas, it is the responsibility of the applicant to request and obtain any necessary permits or letters of agreement from the appropriate government agencies prior to commencement of an award. Applicants are expected to design their proposals so that they minimize potential adverse impact on the environment. If applicable, documentation of requests or approvals of environmental permits should be included in the proposal package. Failure to apply for and/or obtain federal, state, and local permits, approvals, letters of agreement, or failure to provide environmental analyses where necessary will delay or

prevent the award of funds for projects that have been preliminarily selected for funding.

f) NEPA and Environmental Compliance. As part of the application package, applicants should provide detailed information in the Project Narrative or in the supplementary materials about the location and state of the environment in which the activities are to be conducted and any environmental concerns. This information should include locations and/or maps showing project sites, species and habitats that may be affected, in-situ activities, and all other environmental concerns that may exist (e.g., use and disposal of hazardous or toxic chemicals, effects on human health and safety, level of controversy of the proposed work, introduction of non-indigenous species, impacts to endangered and threatened species, impacts to historical or cultural sites).

Under NEPA, NOAA must analyze the potential environmental impacts of projects or proposals seeking funding from NOAA. After the application is submitted, NOAA may require additional information to fulfill NEPA and other compliance requirements. The applicants selected for funding may be required to complete a subset of questions (based on activities outlined your application as identified by the Federal Program officer) of the Environmental Compliance Questionnaire for NOAA Federal Financial Assistance applicants accessible at <http://www.nepa.noaa.gov/questionnaire.pdf>. Applicants may also be required to cooperate with NOAA in identifying and implementing feasible measures to reduce or avoid any identified adverse environmental impacts of their proposal. The failure to work with NOAA on environmental compliance or refusal to implement any required action (e.g., best management practices, conservation recommendations, or mitigation measures) related to consultations may be grounds for the denial of an application.

The CRCP has a Programmatic Environmental Impact Statement (PEIS; <https://coralreef.noaa.gov/about/enviro-compliance.html>) and a joint Programmatic Biological and Conference Opinion and Magnuson-Stevens Fishery Conservation and Management Act Essential Fish Habitat Consultation on the National Coral Reef Conservation Program and Mission: Iconic Reefs (BiCoP; https://repository.library.noaa.gov/view/noaa/44324/noaa_44324_DS1.pdf) that streamlines the environmental evaluation and compliance process. NOAA will need to ensure the proposed work aligns with actions and level of impacts described in these documents and applicants must implement relevant 1) required best management practices (Appendix A of PEIS), 2) reasonable and prudent measures and conservation recommendations from the BiCoP, and/or 3) any other required actions from other environmental consultations (e.g., National Historic Preservation Act). If a successful applicant chooses not to implement requirements in the CRCP programmatic documents, NOAA will need to complete independent environmental compliance actions, which may delay the funding or starting of

the work.

In cases where additional information is required after an application is selected, funds can be withheld by the Grants Officer under a special award condition requiring the recipient to submit additional environmental compliance information sufficient to enable NOAA to make an assessment on any impacts that a project may have on the environment.

Detailed information on NOAA compliance with NEPA can be found at the following NOAA NEPA websites: <http://www.nepa.noaa.gov/> including NOAA Administrative Order 216-6A for NEPA, <https://www.noaa.gov/organization/administration/nao-216-6a>.

Element 5: Data Management Plan (2 page limit)

Environmental data and information collected or created under NOAA grants or cooperative agreements must be made discoverable by and accessible to the public, in a timely fashion (typically within two years), free of charge or at no more than the cost of reproduction, unless an exemption is granted by the NOAA Program. Data should be available in at least one machine-readable format, preferably a widely-used or open-standard format, and should also be accompanied by machine-readable documentation (metadata), preferably based on widely used or international standards.

Proposals submitted in response to this Announcement must include a Data Management Plan of up to two pages describing how these requirements will be satisfied.

The Data Management Plan should be aligned with the Data Management Guidance provided by NOAA below in this Announcement. The contents of the Data Management Plan (or absence thereof), and past performance regarding such plans, will be considered as part of proposal review. A typical plan should include descriptions of the types of environmental data and information expected to be created during the course of the project; the tentative date by which data will be shared; the standards to be used for data/metadata format and content; methods for providing data access; approximate total volume of data to be collected; and prior experience in making such data accessible. The costs of data preparation, accessibility, or archiving may be included in the proposal budget unless otherwise stated in the Guidance. Accepted submission of data to the NOAA National Centers for Environmental Information is one way to satisfy data sharing requirements; however, the NOAA National Centers for Environmental Information is not obligated to accept all submissions and may charge a fee, particularly for large or unusual datasets.

NOAA may, at its own discretion, make publicly visible the Data Management Plan from funded proposals, or use information from the Data Management Plan to produce a formal

metadata record and include that metadata in a Catalog to indicate the pending availability of new data.

Proposal submitters are hereby advised that the final pre-publication manuscripts of scholarly articles produced entirely or primarily with NOAA funding will be required to be submitted to NOAA Institutional Repository after acceptance, and no later than upon publication. Such manuscripts shall be made publicly available by NOAA one year after publication by the journal. NOAA's Administrative Order on the Management of Environmental Data Management and Information is available at:
<https://www.noaa.gov/organization/administration/nao-212-15-management-of-environmental-data-and-information>.

Principal Investigators should indicate how and when they have made their data accessible and usable by the community in the past.

More information about the NOAA Data Sharing Policy is available on NOAA's Environmental Data Management Committee website at:
https://nosc.noaa.gov/EDMC/documents/EDMC_PD-Data_Sharing_Policy_v1.pdf.

Data Management Guidance to Proposal Writers Responsible NOAA Official for questions regarding this guidance and for verifying accessibility of data produced by funding recipients: Liz Fairey, Federal Program Officer, liz.fairey@noaa.gov, 301-427-8632.

***Data Accessibility:** The NOAA CRCP requires that public access to grant/contract-produced data be enabled. Funding recipients are expected to submit data to the NOAA National Centers for Environmental Information, which will provide public access and permanent archiving. The CRCP has held preliminary consultation with the NOAA National Centers for Environmental Information regarding these pending data.

***Technical Recommendations:** The NOAA CRCP is not offering specific technical guidance. Proposals are to describe their proposed approach. Use of open-standard formats and methods is encouraged.

***Resources:** NOAA CRCP resources for data sharing or archiving have already been identified; proposals should not include such costs (unless data volume is expected to exceed 20GB).

NOAA may, at its own discretion, make publicly visible the Data Management Plan from funded proposals, or use information from the Data Management Plan to produce a formal metadata record and include that metadata in a catalog to indicate the pending availability of new data.

Element 6: Negotiated Indirect Cost Rate Agreement (if applicable)

The proposed budget may include an amount for indirect or Facilities and Administrative costs if the applicant has an established indirect cost rate with the federal government.

Indirect costs are essentially overhead costs for basic operational functions (e.g., utilities, rent, and insurance) that are incurred for common or joint objectives and, therefore, cannot be identified specifically within a particular project. See 2 CFR §§ 200.1 and 2 CFR §§ 200.412-.415.

A copy of the current, approved negotiated indirect cost agreement with the federal government should be included with the application package. The applicant may elect to use the de minimis rate of 10% of modified total direct cost as described at 2 CFR § 200.414. If applied, the de minimis indirect cost rate should be used for all federal awards.

If the applicant does not have a current negotiated rate and plans to establish a new negotiated indirect cost rate agreement, documentation necessary to establish a rate must be submitted within 90 days of receiving an award. See Section IV.F Funding Restrictions for more information on indirect cost rates and establishing a new indirect cost rate with the DOC.

C. Unique Entity Identifier and System for Award Management (SAM)

To enable the use of a universal identifier and to enhance the quality of information available to the public as required by the Federal Funding Accountability and Transparency Act, 31 U.S.C. 6101 note, to the extent applicable, any proposal awarded in response to this announcement will be required to use the System for Award Management (SAM), which may be accessed online at [SAM.gov](https://sam.gov).

Each applicant, unless the applicant has an exception approved by the federal awarding agency under 2 C.F.R. § 25.110(d), is required to: (1) Be registered in SAM before submitting its application; (2) Provide a valid Unique Entity Identifier (UEI) in its application; and (3) Continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by a federal awarding agency. NOAA may not make a federal award to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements and, if an applicant has not fully complied with the requirements by the time NOAA is ready to make a federal award, NOAA may determine that the applicant is not qualified to receive a federal award and use that determination as a basis for making a federal award to another applicant.

Applicants should allow a minimum of two weeks to complete the SAM registration, and if

problems are encountered, significantly longer; registration is required only once, but must be periodically renewed.

D. Submission Dates and Times

Applications must be postmarked, provided to a delivery service or received via Grants.gov by 11:59 p.m. Eastern Time on February 14, 2024. See Section IV. G. for more information, including a limit on transit time and address to submit paper applications.

E. Intergovernmental Review

Applications submitted by state and local governments are subject to the provisions of Executive Order 12372, "Intergovernmental Review of Federal Programs." Any applicant submitting an application for funding is required to complete item 19 on SF-424 regarding clearance by the State Single Point of Contact established as a result of Executive Order 12372. To find out about and comply with a State's process under Executive Order 12372, contact the official listed in Section VII of this announcement for referral information.

F. Funding Restrictions

Funds awarded cannot necessarily pay for all the costs that the recipient might incur in the course of carrying out an award. Generally, costs that are allowable include salaries, equipment and supplies, as long as these are "necessary and reasonable" specifically for the purpose of the award. Allowable costs are determined by reference to the OMB Uniform Guidance at 2 CFR Part 200, codified by the Department of Commerce at 1327.101. All cost reimbursement sub-awards (i.e., subgrants or subcontracts) are subject to those federal cost principles applicable to the particular type of organization concerned.

The budget may include indirect (facilities & administrative [F&A]) costs if the applicant has an established indirect cost rate with the federal government. As defined at 2 C.F.R. § 200.1, indirect (F&A) costs are incurred for a common or joint purpose benefitting more than one cost objective, and not readily assignable to the cost objectives specifically benefited, without effort disproportionate to the results achieved (e.g., lights, rent, water, and insurance). A copy of the current, approved negotiated indirect (F&A) cost agreement with the federal government should be included with the application. In addition, an eligible applicant under 2 C.F.R. § 200.414(f), may elect to charge a de minimis rate of 10% of modified total direct costs. An applicant may also describe all costs as direct costs in the budget narrative, or establish a new rate through the Department of Commerce by contacting Michele Mazzocchetti, Grants Officer, NOAA Grants Management Division, 1315 East West Highway, 9th Floor Silver Spring, Maryland 20910 or emailing Michele.Mazzocchetti@noaa.gov. Applicants may elect to propose the reduction of part, or

all, of allowable indirect costs as a component of cost sharing.

G. Other Submission Requirements

Applicants should submit full applications electronically through www.Grants.gov. Users of Grants.gov will be able to create an online application workspace to submit the application. If an applicant has problems accessing the online workspace at Grants.gov, contact Grants.gov Customer Support at 1-800-518-4726 or support@Grants.gov.

Full applications must be submitted by the due date and time provided in Section IV.D. Late applications will not be considered for funding. We highly recommend that applicants do not wait until the application deadline to begin the application process through Grants.gov, as registration with SAM and DUNS are required, as described in Section IV.C. Submission time for full applications will be documented by electronic submission to www.Grants.gov.

After electronic submission of the application, applicants will receive an automatic acknowledgment from Grants.gov that contains a Grants.gov tracking number. Applications submitted through Grants.gov will be accompanied by THREE automated responses (the first confirms receipt; the second validates that the submission is acceptable and timely; and the third confirms that the application has been forwarded to NOAA for further processing). If all notifications are not received, applicants should follow up with both the Grants.gov help desk and the NOAA to confirm NOAA receipt of the complete submission. After submitting the application package, applicants should download a copy of the submitted application for offline record-keeping and to verify the contents of the submission zip file. Grants.gov recommends downloading the submitted application via the Details tab of the workspace and verifying the contents of each file in the zip.

If an applicant submits multiple electronic versions of the proposal, the applicant should advise the federal agency of the tracking number that should be withdrawn. Applicants shall not electronically submit packages with files embedded within files as any such files may not be reviewed or factored into the merit review process.

Paper submission must have a U.S. Postal Service postmark, or a delivery service receipt for paper applications. Facsimile or electronic mail applications will not be accepted. Applications submitted via the U.S. Postal Service must have an official postmark; private metered postmarks are not acceptable. Applications received later than ten business days following the closing date will not be accepted. Paper applications should be sent to: Elizabeth Fairey, ATTN: Ruth Gates Coral Restoration Innovation Grant Applications, NOAA Fisheries Office of Habitat Conservation, 1315 East West Highway, SSMC3, Silver Spring, MD 20910.

All applications MUST contain ALL required forms; if these forms are not signed via the www.Grants.gov application process, they MUST be signed and dated in ink (SF-424, SF-424b, CD-511). Failure to submit signed forms shall result in disqualification from this competition. The full application must arrive in one package, either through Grants.gov or hard copy. Applicants are responsible for tracking their own applications. Please notify the contact in Section VII. of this announcement by email if you are submitting by paper.

The federal program office has a process to review for completeness. Administrative reviews generally take place after deadlines because the majority of applicants apply just before deadlines. If there are no time constraints and resources are available, the federal agency may reach back to applicants who have submitted incomplete packages.

If selected for funding, applicants must register with eRA Commons (<https://public.era.nih.gov/commonsplus>) using their unique entity identifier obtained from SAM.gov; eRA Commons registration can take up to four weeks to complete. The authorizing official, the individual with signature authority to legally bind the organization in grants administration matters, must register for the eRA commons account. If your organization is already registered with eRA Commons because you've applied for a federal grant that currently uses eRA systems, you do not need to register again.

V. Application Review Information

A. Evaluation Criteria

Evaluation Criteria

Reviewers will assign scores to applications ranging from 0 to 100 points based on the following five standard NOAA evaluation criteria and respective weights specified below. Applications that best address these criteria will be most competitive.

1.) Importance and Applicability of Proposal (Score = 0-25): This criterion ascertains whether there is intrinsic value in the proposed work and/or relevance to NOAA, Federal, regional, state, or local activities. Reviewers will consider:

- * How well does the proposed work align with the goals and objectives of the CRCP strategic plan and/or address issues identified in the NOAA Action Plan for Coral Interventions?
- * How well does the proposed work address the priority areas and issues listed in Section 1.B. of the funding announcement?
- * What is the level of collaboration, support or need identified by state and local resource

management agencies, restoration practitioners, or other resource managers as documented through direct, established partnerships and/or letters of collaboration or support?

* If applicable, does the applicant specifically describe how they will conduct the research project in such a way as to employ and/or educate students, volunteers, individuals from the local jurisdictions?

For this section reviewers consider how well the application addresses the four questions above and score using this scale: (Poor = 0-5, Fair = 6-10, Good = 11-15, Commendable = 16-20, and Excellent = 21-25)

2.) Technical/Scientific Merit (Score = 0-40): This criterion assesses whether the approach is technically sound, if the methods are appropriate, and whether there are clear project goals and objectives. Reviewers will consider:

* Does the proposed work address an identified gap in coral intervention restoration science or improve the survivorship of corals as well as advance these methodologies to the next level of application (e.g., lab to mesocosm, mesocosm to pilot/field testing)?

* Does the application include clear goals and objectives, a realistic implementation plan, timeline, milestones, and effective mechanisms to evaluate the progress of project tasks and project success (e.g., steps describing the expected stages of laboratory experimentation or moving from laboratory-based work to in-water field-testing), and the likelihood of meeting these milestones within the proposed project period?

* Is the project's overall technical approach appropriate, feasible, and sound and is this approach likely to achieve the project's goals and objectives (including any designs for field implementation and monitoring of field work)?

* Does the applicant acknowledge potential problem areas and consider ways to resolve the issues or implement alternative tactics?

For this section reviewers consider how well the application addresses the four questions above and score using this scale: Poor = 0-9, Fair = 10-19, Good = 20-29, Commendable = 30-37, and Excellent = 38-40.

3.) Overall Qualification of Applications (Score = 0-10): This criterion ascertains whether the applicant possesses the necessary education, experience, training, facilities, and administrative resources to accomplish the project. Reviewers will consider:

* Does the applicant and associated project personnel (including any identified proposed contractors and/or subawardees) have the qualifications to conduct the scope and scale of the proposed work, as indicated by descriptions of past experience of the project leaders and/or partners in designing, implementing and effectively managing and overseeing similar types of projects?

* Does the application include adequate institutional support, equipment, and other physical resources to complete the proposed work?

For this section reviewers consider how well the application addresses the two questions above and score using this scale: Poor = 0, Fair = 1-3, Good = 4-6, Commendable = 7-8, and Excellent = 9-10.

4.) Project Costs (Score = 0-10): This criterion evaluates the budget to determine if it is realistic and commensurate with the project needs and the proposed time-frame. Reviewers will consider:

* Is the proposed budget cost-effective, reasonable and reflects the ability of the work to be completed for the funding proposed including cost effective travel that takes into consideration resources, personnel, and expertise that may be available at proposed field locations?

* Has the applicant demonstrated a realistic understanding of costs by providing a budget (broken down by SF-424A object classes: personnel, fringe, travel, equipment, supplies, other, and indirect costs) that provides sufficient detail and credible cost estimates and justifications for both federal and non-federal shares? For multiyear or multi-phase award requests, does the application include an annual/interim funding breakdown that corresponds to logical increments?

For this section reviewers consider how well the application addresses the two questions above and score using this scale: Poor = 0, Fair = 1-3, Good = 4-6, Commendable = 7-8, and Excellent = 9-10.

5.) Outreach and Education (Score = 0-15): This criterion assesses whether the project provides a focused and effective education and outreach strategy regarding NOAA's mission to protect the Nation's natural resources. Reviewers will consider:

* What is the level of collaboration or partnership with restoration practitioners/resource managers including regular meetings to discuss the project, the translation of science, dissemination of information, data, results) in order to facilitate incorporation of the intervention into coral restoration?

* Does the work include outreach to interested community/user groups (e.g., dive groups and fishing community)?

* If the application includes the collection of environmental data, has the applicant provided a sufficient data management plan as described in the funding announcement?

For this section reviewers consider how well the application addresses the three questions above and score using this scale: Poor = 0, Fair = 1-3, Good = 4-7, Commendable = 8-11,

and Excellent = 12-15.

B. Review and Selection Process

Applications will undergo an initial administrative screening to determine if they are eligible and complete. NOAA, in its sole discretion, may continue the review process for applications with non-substantive issues that may be easily rectified or cured. Applications are screened to ensure that they were received by the deadline date (see Section IV.C Submission Dates and Times); the applicant is eligible to apply; and includes a project summary, project description, budget, and supporting documentation as outlined in Section IV.B Content and Form of Application. NOAA does not have to screen applications before the submission deadline in order to identify deficiencies that would cause the application to be rejected or receive a poor evaluation. However, if deficiencies are identified by NOAA or the applicant, the applicant may correct any deficiencies in their application before the deadline. After the deadline, the application must remain as submitted; no changes can be made to it.

Eligible applications will undergo a technical review, ranking, and selection process to determine how well they meet the program priorities and evaluation criteria of this solicitation and the mission and goals of NOAA. Eligible applications will be evaluated by three or more merit reviewers as part of a technical review based on the evaluation criteria listed in Section V.A. NOAA will also request and consider comments from the respective government management agency (i.e., designated U.S. Coral Reef Task Force point of contact) from the jurisdiction where the proposed project will occur. The point of contact will be asked to prioritize proposals and provide comments on all applications submitted for work in their jurisdiction. This is intended to not only enhance coordination of coral reef conservation activities in each jurisdiction, but also ensure proposed activities are appropriate and do not duplicate other efforts.

After the technical review, a panel may meet to make final recommendations to the Selecting Official regarding which proposals best meet the program objectives and priorities (Sections I.A and I.B). The panel will include federal employees or NOAA contractors that were not part of the technical review. The panel may convene in person or by teleconference, video conference, or other electronic means to discuss applications.

If convened, the panel will be presented with the top-ranked applications, per the results of the technical review. Panelists will also receive the technical review scores and comments for each application. The panel will rate all top-ranked proposals on the following scale:

1- Fair: application marginally addresses program priorities outlined in Section I.A and I.B

and was moderately responsive to the Evaluation Criteria;

2 – Good: application adequately addresses program priorities outlined in Section I.A and I.B and was strongly responsive to the Evaluation Criteria.

3 – Excellent: application exceptionally addresses program priorities outlined in Sections I.A and I.B, and was highly responsive to the Evaluation Criteria.

If a panel is held, the panel's ranked list will be the ranking considered by the Selecting Official for recommending applications for funding. If a panel is not held, the technical review ranking will be the ranking considered by the Selecting Official for recommending applications for funding.

C. Selection Factors

The Selecting Official will recommend applications for funding in rank order unless an application is justified to be selected out of rank order based upon one or more of the following selection factors:

- 1) Availability of funding;
- 2) Balance/distribution of funds: a) by geographic area, b) by type of institutions, c) by type of partners, d) by research areas, or e) by project types;
- 3) Whether this project duplicates other projects funded or considered for funding by NOAA or other federal agencies;
- 4) Program priorities and policy factors set out in Sections I.A and I.B;
- 5) An applicant's prior award performance;
- 6) Partnerships and/or participation of targeted groups; and
- 7) Adequacy of information necessary for NOAA staff to make a NEPA determination and draft necessary documentation before recommendations for funding are made to the NOAA Grants Management Division.

Hence, awards may not necessarily be made to the highest-scored applications. Unsuccessful applicants will be notified that their application was not among those recommended for funding.

NOAA may select all, some, or none of the applications, or part of any application, ask applicants to work together or combine projects, defer applications to the future, or reallocate funds to different funding categories, to the extent authorized. Applicants may be asked to modify objectives, work plans or budgets, and provide supplemental information required by the agency prior to the award. The exact amount of funds to be awarded, the final scope of activities, the project duration, and specific NOAA cooperative involvement with the activities of each project will be determined in pre-award negotiations among the applicants, the NOAA Grants Management Division, and NOAA program staff.

In addition, the NOAA Grants Officer will review financial and grants administration aspects of a proposed award, including conducting an assessment of the risk posed by the applicant in accordance with 2 C.F.R. 200.206. NOAA is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (previously the Federal Awardee Performance and Integrity Information System, see 41 U.S.C. 2313). An applicant, at its option, may review information in the designated integrity and performance systems accessible through SAM and comment on any information about itself that a federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through SAM. NOAA will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in §200.206 Federal awarding agency review of risk posed by applicants.

In addition to reviewing repositories of government-wide eligibility, qualifications or financial integrity information, the risk assessment conducted by NOAA may consider items such as the financial stability of an applicant, quality of the applicant's management systems, an applicant's history of performance, previous audit reports and audit findings concerning the applicant and the applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-federal entities. Applicants should be in compliance with the terms of any existing NOAA grants or cooperative agreements and otherwise eligible to receive federal awards, or make arrangements satisfactory to the Grants Officer, to be considered for funding under this competition. All reports due should be received and any concerns raised by the agency should be addressed in a timely fashion in order to receive a new award. Upon review of these factors, if appropriate, specific award conditions that respond to the degree of risk may be applied by the NOAA Grants Officer pursuant to 2 C.F.R. 200.208. In addition, NOAA reserves the right to reject an application in its entirety where information is uncovered that raises a significant risk with respect to the responsibility or suitability of an applicant. The final approval of selected applications and issuance of awards will be by the NOAA Grants Officer. The award decision of the Grants Officer is final.

D. Anticipated Announcement and Award Dates

Applicants will receive notification whether or not their application has been recommended for funding to the NOAA Grants Management Division by June 30, 2024. The earliest anticipated start date for awards will be September 1, 2024. Applicants that initiate award activities in anticipation of federal funding do so at their own risk, and are advised not to

begin award-related work until a notice of award is received electronically from the NOAA NOAA Grants Management Division in the grants electronic management system (eRA Commons), NOAA's online financial assistance management system.

VI. Award Administration Information

A. Award Notices

The exact amount of funds to be awarded, the final scope of activities including monitoring, the award duration, and specific NOAA cooperative involvement with the activities of each Ruth Gate Coral Restoration Innovation Grant award will be determined in pre-award negotiations among the applicant, the NOAA Grants Management Division, and NOAA staff that will administer these restoration awards.

The official notice of award is the Standard Form CD-450, Financial Assistance Award, issued by the NOAA Grants Officer electronically through NOAA's grants electronic management system. The authorizing document, the CD-450 award cover page, is provided to the appropriate business office of the recipient organization. The official notice of award is the Commerce Form 450 (CD-450), Financial Assistance Award, issued by the NOAA Grants Officer Electronically through NOAA's electronic grants management system.

B. Administrative and National Policy Requirements

1) PRE-AWARD COSTS. Per 2 C.F.R. § 200.458, NOAA authorizes award recipients to expend pre-award costs up to 90 days before the period of performance start date at the applicant's own risk without approval from NOAA, and in accordance with the applicant's internal policies and procedures. Such costs are allowable only to the extent that they would have been allowable if incurred after the date of the federal award. This does not include direct proposal costs (as defined at 2 C.F.R. § 200.460). NOAA or the Department of Commerce are not responsible for direct proposal preparation costs. Pre-award costs will be a portion of, not in addition to, the approved total budget of the award. Pre-award costs expended more than 90 days prior to the period of performance start date require approval from the Grants Officer. This does not change the period of performance start date.

2) GRANTS OFFICER SIGNATURE. Proposals submitted in response to this solicitation are not considered awards until the Grants Officer has signed the grant or cooperative agreement. Only Grants Officers can bind the Government to the expenditure of funds. The Grants Officer's digital signature constitutes an obligation of funds by the federal government and formal approval of the award.

3) DEPARTMENT OF COMMERCE PRE-AWARD NOTIFICATION REQUIREMENTS FOR GRANTS AND COOPERATIVE AGREEMENTS. The Department of Commerce Pre-Award Notification Requirements for Grants and Cooperative Agreements contained in the Federal Register notice of December 30, 2014 (79 FR 78390) are applicable to this solicitation and may be accessed online at: <http://www.gpo.gov/fdsys/pkg/FR-2014-12-30/pdf/2014-30297.pdf>.

4) UNIFORM ADMINISTRATIVE REQUIREMENTS. Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 2 C.F.R. 200, implemented by the Department of Commerce at 2 C.F.R. 1327.101, apply to awards in this program. Refer to <http://go.usa.gov/SBYh> and <http://go.usa.gov/SBg4>.

5) DEPARTMENT OF COMMERCE (DOC) TERMS AND CONDITIONS. Successful applicants who accept a NOAA award under this solicitation will be bound by the DOC Financial Assistance Standard Terms and Conditions. This document will be provided in the award package in NOAA's eRA grants management system. A current version may be viewed at <https://www.commerce.gov/oam/policy/financial-assistance-policy>, and more specifically, https://www.commerce.gov/sites/default/files/2020-11/DOC%20Standard%20Terms%20and%20Conditions%20-%202012%20November%202020%20PDF_0.pdf.

6) BUREAU TERMS AND CONDITIONS. Successful applicants who accept an award under this solicitation will be bound by bureau-specific standard terms and conditions. These terms and conditions will be provided in the award package in NOAA's eRA Commons. For NOAA awards only, the Administrative Standard Award Conditions for National Oceanic and Atmospheric Administration (NOAA) Financial Assistance Awards U.S. Department of Commerce are applicable to this solicitation and may be accessed online at <https://www.noaa.gov/organization/acquisition-grants/financial-assistance>.

7) LIMITATION OF LIABILITY. Funding for programs listed in this notice is contingent upon the availability of continuing appropriations. Applicants are hereby given notice that funds may not yet have been appropriated for the programs listed in this notice. NOAA or the Department of Commerce are not responsible for direct costs of proposal preparation except as allowed by the indirect cost agreement. Publication of this announcement does not oblige NOAA to award any specific project or to obligate any available funds.

NOAA reserves the right to halt activity under the award through enforcement procedures under 2 C.F.R. 200.339-.343, Remedies for Non-compliance, if the recipient is not fulfilling the requirements of the project as outlined in the grant award. Non-compliance with a

federally approved project may result in termination of the award as described in 2 C.F.R.200.340.

8) ACCESS TO INFORMATION. The applicant acknowledges and understands that information and data contained in applications for financial assistance, as well as information and data contained in financial, performance and other reports submitted by applicants, may be used by the Department of Commerce in conducting reviews and evaluations of its financial assistance programs. For this purpose, applicant information and data may be accessed, reviewed and evaluated by Department of Commerce employees, other Federal employees, and also by Federal agents and contractors, and/or by non-Federal personnel, all of whom enter into appropriate conflict of interest and confidentiality agreements covering the use of such information. As may be provided in the terms and conditions of a specific financial assistance award, applicants are expected to support program reviews and evaluations by submitting required financial and performance information and data in an accurate and timely manner, and by cooperating with the Department of Commerce and external program evaluators. In accordance with 2 C.F.R. § 200.303(e), applicants are reminded that they must take reasonable measures to safeguard protected personally identifiable information and other confidential or sensitive personal or business information created or obtained in connection with a Department of Commerce financial assistance award.

In addition, Department of Commerce regulations implementing the Freedom of Information Act (FOIA), 5 U.S.C. Sec. 552, are found at 15 C.F.R. Part 4, Public Information. These regulations set forth rules for the Department regarding making requested materials, information, and records publicly available under the FOIA. Applications submitted in response to this Notice of Funding Opportunity may be subject to requests for release under the Act. In the event that an application contains information or data that the applicant deems to be confidential commercial information that should be exempt from disclosure under FOIA, that information should be identified, bracketed, and marked as Privileged, Confidential, Commercial or Financial Information. In accordance with 15 CFR § 4.9, the Department of Commerce will protect from disclosure confidential business information contained in financial assistance applications and other documentation provided by applicants to the extent permitted by law.

9) MINORITY SERVING INSTITUTION - DOC/NOAA is strongly committed to increasing the participation of Minority Serving Institutions, i.e., Historically Black Colleges and Universities, Hispanic-serving institutions, Tribal colleges and universities, Alaskan Native and Native Hawaiian institutions, and institutions that work in underserved communities.

10) NOAA SEXUAL ASSULT AND SEXUAL HARASSMENT PREVENTION AND RESPONSE POLICY. NOAA requires organizations receiving federal assistance to report findings of sexual harassment, or any other kind of harassment, regarding a Principal Investigator (PI), co-PI, or any other key personnel in the award.

NOAA expects all financial assistance recipients to establish and maintain clear and unambiguous standards of behavior to ensure harassment-free workplaces wherever NOAA grant or cooperative agreement work is conducted, including notification pathways for all personnel, including students, on the awards. This expectation includes activities at all on and offsite facilities and during conferences and workshops. All such settings should have accessible and evident means for reporting violations and recipients should exercise due diligence with timely investigations of allegations and corrective actions.

For more information, please visit: <https://www.noaa.gov/organization/acquisition-grants/noaa-workplace-harassment-training-for-contractors-and-financial>.

11) NEPA REQUIREMENTS - See Section IV.B.5, above.

12) DATA SHARING POLICY - See Section IV.B.6., above.

13) REQUIRED USE OF AMERICAN IRON, STEEL, MANUFACTURED PRODUCTS, AND CONSTRUCTION MATERIALS. If applicable, and pursuant to the Infrastructure Investment and Jobs Act (“IIJA”), Pub.L. No. 117- 58, which includes the Build American, Buy American (BABA) Act, Pub. L. No. 117-58, §§ 70901-52 and OMB M-22-11, recipients of an award of Federal financial assistance from the Department of Commerce (DOC) are hereby notified that none of the funds provided under this award may be used for a project for infrastructure unless: 1) all iron and steel used in the project are produced in the United States—this means all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States; 2) all manufactured products used in the project are produced in the United States—this means the manufactured product was manufactured in the United States; and the cost of the components of the manufactured product that are mined, produced, or manufactured in the United States is greater than 55 percent of the total cost of all components of the manufactured product, unless another standard for determining the minimum amount of domestic content of the manufactured product has been established under applicable law or regulation; and 3) all construction materials are manufactured in the United States—this means that all manufacturing processes for the construction material occurred in the United States. The Buy America preference only applies to articles, materials, and supplies that are consumed in, incorporated into, or affixed to an infrastructure project. As such, it does not apply to tools, equipment, and supplies, such as temporary scaffolding, brought to the construction site and removed at

or before the completion of the infrastructure project. Nor does a Buy America preference apply to equipment and furnishings, such as movable chairs, desks, and portable computer equipment, that are used at or within the finished infrastructure project but are not an integral part of the structure or permanently affixed to the infrastructure project. WAIVERS. When necessary, recipients may apply for, and DOC may grant, a waiver from these requirements. DOC will notify the recipient for information on the process for requesting a waiver from these requirements. 1) When DOC has made a determination that one of the following exceptions applies, the awarding official may waive the application of the domestic content procurement preference in any case in which DOC determines that: a. applying the domestic content procurement preference would be inconsistent with the public interest; b. the types of iron, steel, manufactured products, or construction materials are not produced in the United States in sufficient and reasonably available quantities or of a satisfactory quality; or c. the inclusion of iron, steel, manufactured products, or construction materials produced in the United States will increase the cost of the overall project by more than 25 percent. A request to waive the application of the domestic content procurement preference must be in writing. DOC will provide instructions on the format, contents, and supporting materials required for any waiver request. Waiver requests are subject to public comment periods of no less than 15 days and must be reviewed by the Made in America Office. There may be instances where an award qualifies, in whole or in part, for an existing waiver described at whitehouse.gov/omb/management/made-in-america. DEFINITIONS. “Construction materials” includes an article, material, or supply— other than an item of primarily iron or steel; a manufactured product; cement and cementitious materials; aggregates such as stone, sand, or gravel; or aggregate binding agents or additives—that is or consists primarily of: non-ferrous metals; plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables); glass (including optic glass); lumber; or drywall. “Domestic content procurement preference” means all iron and steel used in the project are produced in the United States; the manufactured products used in the project are produced in the United States; or the construction materials used in the project are produced in the United States. “Infrastructure” includes, at a minimum, the structures, facilities, and equipment for, in the United States, roads, highways, and bridges; public transportation; dams, ports, harbors, and other maritime facilities; intercity passenger and freight railroads; freight and intermodal facilities; airports; water systems, including drinking water and wastewater systems; electrical transmission facilities and systems; utilities; broadband infrastructure; and buildings and real property. Infrastructure includes facilities that generate, transport, and distribute energy. “Project” means the construction, alteration, maintenance, or repair of infrastructure in the United States. -- 1 Excludes cement and cementitious materials, aggregates such as stone, sand, or gravel, or aggregate binding agents or additives. 2 IIA, § 70917(c)(1).

C. Reporting

Award recipients will be required to submit financial and performance (technical) reports in accordance with 2 C.F.R. 200.328-9 and the Department of Commerce Financial Assistance Standard Terms and Conditions.

Research project progress reports will be due every six months based on the start date of the award and financial reports are due Oct. 30 and April 30 covering April 1 - September 30 and October 1 - March 31, respectively. A written comprehensive final report covering all activities during the award period is required and must be received by NOAA within 120 days after the end date of this award. Copies of all materials (including but not limited to peer reviewed publications, brochures, posters, videos, DVDs, reports, public service announcements, workshop proceedings) produced through the award, along with copies of any reports submitted by subcontractors as part of the award, must be provided to the program office within 120 days of the end of the award. Except where limited by law, regulation, policy or security, recipients are requested to include a statement on the front page of all products to indicate the material is "Approved for public release; distribution is unlimited." If the applicant has requested publication costs, resulting journal publications must be made available to the public free of charge.

All products must be appropriate for public dissemination and acknowledge the support provided by NOAA Coral Reef Conservation Program as described at: <http://coralreef.noaa.gov/about/graphicid.html>. Because products may be made available to the public via the Coral Reef Information System (CoRIS, <https://coris.noaa.gov>), it is the recipient's responsibility to ensure that products are professionally written and edited and do not contain sensitive information (e.g., personnel identification and budget data). Products must be Section 508 compliant (<https://www.access-board.gov/ict/>).

The Federal Funding Accountability and Transparency Act, 31 U.S.C. 6101 Note, includes a requirement for awardees of applicable federal grants to report information about first-tier subawards and executive compensation under federal assistance awards. All awardees of applicable grants and cooperative agreements are required to report to the Federal Subaward Reporting System (FSRS) available at www.FSRS.gov on all subawards of \$30,000 and over. See 2 CFR 170 at <https://www.ecfr.gov/current/title-2/subtitle-A/chapter-I/part-170>.

VII. Agency Contacts

For administrative or technical questions regarding this announcement, contact the competition manager: Liz Fairey. She can be reached by phone at 301-427-8632 or by email at Liz.Fairey@noaa.gov.

Prospective applicants are strongly encouraged to contact NOAA staff before submitting an application to discuss their NOAA project ideas with respect to technical merit and NOAA's objectives. NOAA will make every effort to respond to prospective applicants on a first come, first served basis. These discussions will not include review of draft proposals or site visits during the application period.

VIII. Other Information

Not applicable.