

U.S. Fish and Wildlife Service

Region 7 U. S. Fish & Wildlife Service – Fairbanks Field Office

Yukon River Salmon Research and Management Assistance FY2017

Catalog of Federal Domestic Assistance (CFDA) Number: 15.671

Funding Opportunity Announcement Number: **F17AS00194**

SUBMISSION DEADLINE: May 17, 2017 @ 5:00 pm AKST

Notice of Funding Opportunity

I. Description of Funding Opportunity

The U.S. Fish and Wildlife Service (Service) receives funds for implementation of the Yukon River Salmon Agreement of 2000 (Agreement) with Canada. The Agreement authorizes funds for implementation of the Agreement including funds for cooperative research and management projects on the Alaska portion of the Yukon River. The Service administers these funds through financial assistance on a competitive basis for projects, studies, and events that advance the scientific and public community's understanding of the biology and management of salmon, with focus on Yukon River Chinook and chum salmon stocks in Alaska. The following is a request for **Detailed Project Proposals of one year in length** addressing the needs of the priority general Categories and Factors and specific near-term needs listed below. For additional information about Research and Management Fund, please visit https://www.fws.gov/alaska/fisheries/fieldoffice/fairbanks/subsistence/r_m_fund.htm.

Funding will support work beginning July, 2017 with project activities completed and final reports due October 2018. Applicants are strongly encouraged to develop projects that incorporate local capacity with members of Yukon River communities in which they may be working. Coordinating projects with state and federal regulatory agencies is also recommended, but not mandatory.

The U.S. Delegation of the Yukon River Panel (Panel) has laid out the following general **Categories** and corresponding **Factors** for the Fiscal Year 2017 Research and Management Fund. The four categories will be used as evaluation criteria for each proposal and are listed in descending order of importance from highest (Priority 1) to lowest (Priority 4). The factors within each category are not prioritized.

Category: Assess and achieve fishery management objectives (Priority 1).

- a) Develop and/or improve in-season run size and stock specific estimates at the mouth of the Yukon River.
- b) Develop or incorporate new methodologies and/or approaches to improve management and research capability.
- c) Develop and expand the genetic baseline for Alaskan Yukon River Basin salmon stocks.
- d) Identify stock composition of salmon runs through genetic stock identification.
- e) Monitor salmon escapements by Alaskan Genetic Groupings.

Category: Build and maintain public support of, and meaningful participation in, salmon resource management (Priority 2).

- a) Build and maintain community capacity.
- b) Develop mutual understandings between agencies and the public, best demonstrated by a third party.
- c) Encourage conservation principles of the salmon resources.
- d) Encourage stewardship of the resource.
- e) Promote public values of the salmon resource.

Category: Improve understanding of salmon biology and ecology (Priority 3).

- a) Assessment of the quality of escapements (e.g., age/size/sex; health).
- b) Develop population estimates for Alaskan Yukon River Basin salmon stocks.
- c) Investigate relationships between salmon and their physical environment.
- d) Investigate relationships between salmon and other organisms.

Category: Assess, conserve and restore salmon habitats (Priority 4).

- a) Habitat monitoring.
- b) Identify and characterize salmon spawning and rearing habitats.
- c) Identify and evaluate potential impacts to habitat.
- d) Identify and monitor key salmon spawning streams/areas (index streams).
- e) Identify and implement restoration opportunities.

The Panel has also identified three **near term priority needs**. Proposals that fall within the scope of the following priorities will receive additional points during the review process and may receive funding up to three years (upon annual review). After three years, the priority focus may change depending on management needs at that time. Each proposal should incorporate elements of the Factors listed above.

- 1) Yukon River fall Chum Salmon stock assessment and genetics.
 - *Description of Focused Priority:* Fall Chum Salmon are an important resource within the Yukon, with the decline in the Chinook Salmon populations, there has been a trend towards a greater utilization of fall Chum Salmon particularly in communities unable to harvest other species of salmon throughout the year. Although recent annual run sizes of fall Chum Salmon have been healthy, the Panel is seeking proposals that utilize genetics to improve the mixed stock analysis of fall Chum Salmon in the harvest or the stock assessment of fall Chum Salmon returning to the Chandalar and Porcupine rivers.
- 2) Chena River watershed Chinook salmon productivity.
 - *Description of Focused Priority:* The Chena River flows through interior Alaska's largest community and historically produced one of the largest Chinook salmon runs in the Alaska portion of the Yukon River Basin. Annual returns of Chinook salmon have been below the Biological Escapement Goal since 2010 and have been near the lower end of the range (2,800-5,700) for the majority of the past decade. The Panel seeks proposals that document, investigate, and or mitigate factors that may be influencing the production rates of Chena River Chinook salmon. Example project areas include: juvenile survival, habitat

connectivity/restoration, sources of pollution (e.g. chemical, sediment, thermal), and spawning success (e.g. effects of human disturbance, fish health).

3) Yukon River salmon management awareness and participation.

- *Description of Focused Priority:* There are over 42 communities within the Alaska portion of the Yukon River Basin. These communities have diverse needs; however they all significantly rely on salmon for a food resource. An informed and involved public is critical to effectively manage and conserve Yukon salmon stocks. The Panel seeks to build on past successes through supporting projects that increase awareness and participation in management and conservation of Yukon River salmon stocks. The Panel invites proposals that work towards public education and multi-stakeholder planning efforts necessary to develop and sustain locally-supported approaches for management.

II. Federal Award Information

Up to \$120,000 may be awarded in the federal fiscal year 2017. Currently, the source of general funding is federally appropriated funds directed to the Service for the implementation of the Yukon River Research and Management Fund that called for proposals from July, 2016 through January, 2017. These funds were not fully utilized and a second call for proposals is being issued. The funds shall be used for salmon related projects, with focus on Chinook and chum salmon research and management located in the Alaska portion of the Yukon River drainage as recommended by the Yukon River Panel after review for scientific and technical merit. The period of performance for projects funded under this program is one year, starting on the date the award is signed by the Service. In general, past and present recipients of awards under this program are eligible and all applicants must submit new proposals to compete for funding each year. Applicants who had projects funded with these funds in 2016, and who have applications that successfully compete for funding through this notification, will not receive their 2016 funds until the Technical Review Committee reviews and accepts their final report for the work funded in 2016. This program uses grant agreements as the primary assistance instrument.

III. Eligibility Information

Eligible Applicants:

Applications are encouraged from nonprofit organizations, public and private educational organizations, federal, state, local, and tribal governments and organizations, and individuals. Individuals and organizations submitting investigation plans should have the necessary technical and administrative abilities and resources to ensure successful completion of studies.

U.S. non-profit, non-governmental organizations **must** provide a copy of their Section 501(c)(3) or (4) status determination letter received from the Internal Revenue Service.

Federal law mandates that all entities applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the System for Award Management (SAM). See Title 2 of the Code of Federal Regulations (CFR), Part 25 for more information. Exemptions: The SAM registration requirement does not apply to individuals submitting an application on their own behalf and not on behalf of a company or other for-profit entity, state, local or Tribal government, academia or other type of organization.

Federal Award may not be made to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements additionally if an applicant has not fully complied with the requirements by the time the Service is ready to make the award, the Service may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

A. DUNS Registration

Request a DUNS number at <http://fedgov.dnb.com/webform>. For technical difficulties, contact Dun & Bradstreet by email at: govt@dnb.com, or by calling the Government Customer Resource Center at voice phone: 866-705-5711 or TTY line: 877-807-1679 (hearing impaired customers only). Once assigned a DUNS number, entities are responsible for maintaining up-to-date information with Dun & Bradstreet.

B. Entity Registration in SAM

Register in SAM at www.sam.gov. Once registered in SAM, entities must renew and revalidate their SAM registration at least every 12 months from the date previously registered. Entities are strongly urged to revalidate their registration as often as needed to ensure that their information is up to date and in synch with changes that may have been made to DUNS and IRS information. Foreign entities who wish to be paid directly to a United States bank account must enter and maintain valid and current banking information in SAM.

Note: The official U.S. government website address for SAM is www.sam.gov. There is NO COST to register in or access SAM.gov. There are third-party vendors who charge a fee in exchange for registering entities in SAM; please be aware that you can register to do business with the U.S. government FOR FREE directly in SAM at www.sam.gov.

C. Excluded Entities

Applicant entities identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits will not be considered for Federal funding, as applicable to the funding being requested under this Federal program.

D. Cost Sharing or Matching:

Cost sharing or matching is not required for this funding opportunity; however, it is strongly encouraged.

Applicants may attribute some or all of their allowable indirect costs as voluntary committed cost-share/match.

IV. Application Requirements:

Applicants may request an application package from Matthew Keyse, 101 12th Ave Room 110, Fairbanks Fish and Wildlife Field Office, Fairbanks, Alaska, 99701, phone: 907-456-0418, fax 907-455-1853, email: matthew_keyse@fws.gov. The application package may also be accessed on the web at http://alaska.fws.gov/fisheries/fieldoffice/fairbanks/subsistence/r_m_fund.htm, or at www.Grants.gov.

To be considered for funding under this opportunity, an application must contain:

A. Application for Federal Assistance form

A completed, signed and dated Application for Federal Assistance form. A completed, signed and dated Standard Form (SF) 424, Application for Federal Assistance (i.e., SF 424 or SF 424-Individual), must be submitted. Individuals applying on their own (unrelated to any business or non-profit organization s/he may own or operate in her/his own name) must use the SF 424, Application for Federal Assistance-Individual form (<http://apply07.grants.gov/apply/FormLinks?family=12>). All other applicants can use Application for Federal Assistance form 424 located at (<http://apply07.grants.gov/apply/FormLinks?family=15>).

Do not include other Federal sources of funding, requested or approved, in the total entered in the "Federal" funding box on the Application for Federal Assistance form. Enter only the amount being

requested under this program in the “Federal” funding box. Include any other Federal sources of funding in the total funding entered in the “Other” box.

B. Project Summary

Project Number: Number assigned by fund administrator

Project Title: Use the following format for titles: Location, Species, Method;

Ex: Black River Chinook Salmon Genetic Sample Collection

Project Leader or Principal Investigator: For the Principal Investigator, include name, agency or organization, address, phone number, email (if available), FAX number.

Project Partner: For each co-investigator identify the name, agency or organization.

List one Category and its corresponding Factor from the R&M Priority List within the Notice of Funding Availability and Application Instructions that best fits the intent of your project.

Stock: Identify the stock or stock group being addressed (specify the unit identified e.g. population, stock grouping, etc.).

Is this a continuing project or does it require multiple years of funding? Yes / No

If so, provide the number of years this project has been funded and/or would need funding.

Year ____ of ____.

Justification for Project Duration: Describe the biological, ecological, and/or social reasoning behind your selection of the duration necessary for the project to be completed if it is a multi-year project.

Note An answer from proponents stating the project will continue until the Panel decides otherwise will not be accepted.*

Project Period of Performance: Start (beginning month, year) and End (submission of approved Final Report- month, year). The start is the date the funding instruments would be completed, signed and work is to begin.

C. Project Narrative

1. Introduction:

Project Justification: Describe in detail why there is a need for your project and why it should receive funding from the R&M fund. The specific issue being addressed must be related to one or more of the following specific needs: developing or refining current management techniques, improving public support/participation in management, filling gaps in knowledge of biology and ecology; or improving aquatic habitat.

Project Relevance: Briefly explain the goal and value of the proposed project and describe how the proposed project will address: specific management needs; improving aquatic habitat; gaps in knowledge of biology and ecology; or improving public support/participation in management of Yukon River salmon within the Alaskan portion of the Yukon River Basin. To earn a higher ranking under this criteria, investigators should clearly describe how the information collected would ultimately be applied to conservation and regulation of the Yukon River salmon fisheries within the Alaskan portion of the Yukon River Basin.

2. Project Goals and Objectives: Provide a numbered, annotated listing of your project objectives in the sequence they would be completed; this should not be a narrative in paragraph form. Objectives are the specific outcomes to be accomplished in order to reach the stated goal(s). The project objectives must be specific, measurable, achievable, repeatable, and time bound. If there are multiple investigators, clearly describe which investigator(s) would be responsible for each objective.

This section must also provide a list of clear hypotheses that will be addressed through the project if a research component exists.

a. **Objective 1:**

a. **Hypothesis:**

b. **Objective 2:**

a. **Hypothesis:**

3. Project Activities, Methods and Timetable:

A. Methods and Technical Merit:

To improve clarity, please divide this section into the following subsections that represent different components of the project:

- i. **Study Area:** List the nearest communities, major watershed and land status (e.g. village or native corporation, state, National Park/Preserve, National Wildlife Refuge, etc.) of project area. Include map of project area. Map should clearly delineate the project area and be large enough to be legible. Label any sites referenced in the project narrative or if **not** applicable to your proposal please mark this is Not Applicable and justify why.
- ii. **Methods:** Provide details (sampling procedures, etc.) on the methods you will use to address each of the objectives listed above. Demonstrate that you have evaluated or established the most appropriate methods to meet your objectives. That is, what have you already done to prepare for this project (i.e. pilot studies, conducted project in previous years)? Descriptions of the methods employed should be detailed enough to enable reviewers to fully assess the technical components of the project. Provide justification and support for the methodology that you will use
- iii. **Project Design:** Describe and justify the experimental design, assumptions, required sample size, and/or the type of analyses that will be used for the proposed project. In projects that have a sample size component, please articulate the reasoning for your selected size (i.e. results of power analyses). If project does not contain a statistical design, explain your reasoning for designing the project the way it is. Concisely state the analytical approach.

B. Project Operation Schedule: Provide a date (mm/dd/year) of when each project objective will begin and end and who will be responsible for achieving the objective if multiple proponents are involved.

i. **Objective 1:**

ii. **Objective 2:**

In addition, please complete the table below with timing of when specific portions of your project will be completed.

Task	May-June	July – Aug	Sept – Oct	Nov – Dec	Jan-Feb	Mar-Apr
Project Start						
Sampling						
Data Analysis						
Performance Report				11/06/17		
Report Writing						
Draft Final Report	6/30/2018					
Final Report		8/30/2018				

4. **Stakeholder Coordination/Involvement:** Describe the ways in which this project would develop partnerships and build the capability and expertise of members of the communities this project would be occurring in or near. Describe specific plans to hire and train local residents and the type of skills that would be taught. Summarize how the project would promote interaction among rural residents, agencies and other organizations in information gathering, data analysis, reporting, and information sharing. If not applicable to your proposal please mark this is Not Applicable.
5. **Project Monitoring and Evaluation:** This section should include the vision of this project beyond this current funding year. Describe how the results of your project will help manage and conserve Yukon River salmon stocks. Describe the anticipated outcomes and/or benefits of the project and identify how you will monitor and/or evaluate the short-term and long-term success of your project. Identify what you will measure and how you will measure if you have achieved your stated objectives. Reference the stated project timetable (i.e., process indicators) and budget information (i.e., input indicators). Identify the products/services to be delivered and how/to whom they will be delivered (i.e., output indicators). Detail the expected direct effect(s) of the project on beneficiaries (i.e., outcome indicators). Include any available questionnaires, surveys, curricula, exams/tests or other assessment tools to be used for project evaluation. Describe the resources and organizational structure available for gathering, analyzing and reporting monitoring and evaluation data. If applicable, describe how project participants and beneficiaries will participate in monitoring and evaluation activities. Describe how findings will be fed back into decision making and project activities throughout the project period.
6. **Description of Entities Undertaking the Project:** Provide a brief description of the applicant organization and all participating entities and/or individuals. Identify which of the proposed activities each agency, organization, group, or individual is responsible for conducting or managing. Provide complete contact information for the individual within the organization that will oversee/manage the project activities on a day-to-day basis. To prevent unnecessary transmission of Personally Identifiable Information, ***do not include Social Security numbers, the names of family members, or any other personal or sensitive information including marital status, religion or physical characteristics on the description of key personnel qualifications.***
 - a. Provide the following information in each description:
 - i. Education and training
 - ii. Duties of current position
 - iii. Experience in duties related to the proposal
 - iv. Past work on R&M projects
7. **Sustainability:** As applicable, describe which project activities will continue beyond the proposed project period, who will continue the work or act on the results achieved, and how and at what level you expect these future activities will be funded or if not applicable to your proposal please mark this is Not Applicable.
8. **Required Licenses and Permits:** Demonstrate that you have considered what permits or licenses you may be required for this study.
9. **Literature Cited:** Provide complete citations for published literature referenced in the above sections. If not applicable to your proposal please mark this is Not Applicable.
10. **Map of Project Area:** Map should clearly delineate the project area and be large enough to be legible. Label any sites referenced in the project narrative or if not applicable to your proposal please mark this is Not Applicable.

11. Single Audit Statement: Enter the appropriate single audit language from Section F below.

D. Budget Form

Complete the **Budget Information for Non-Construction Programs (SF 424A)** or **Budget Information for Construction Programs (SF 424C) form**. Use the SF 424A if your project does not include construction and the SF 424C if the project includes construction or land acquisition. The budget forms are available on the Internet at <http://apply07.grants.gov/apply/FormLinks?family=15>. When developing your budget, keep in mind that financial assistance awards and subawards are subject to the Federal cost principles in Title 2 of the Code of Federal Regulations Part 200, as applicable to the recipient organization type.

Links to the full text of the Federal cost principles are available on the Internet at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Multiple Federal Funding Sources: If the project budget includes multiple Federal funding sources, you must show the funds being requested from this Federal program *separately* from any other requested/secured Federal sources of funding on the budget form. For example, enter the funds being requested from this Federal program in the first row of the Budget Summary section of the form and then enter funding related to other Federal programs in the subsequent row(s). Be sure to enter each Federal program's CFDA number in the corresponding fields on the form. The CFDA number for this Federal program appears on the first page of this funding opportunity.

E. Budget Justification

In a separate narrative titled "**Budget Justification**", explain and justify all requested budget items/costs. Detail how the Budget Object Class Category totals on the SF-424A were determined and demonstrate a clear connection between costs and the proposed project activities. For personnel salary costs, include the base-line salary figures and the estimates of time (as percentages) to be directly charged to the project. Describe any item that under the applicable Federal cost principles requires the Service's approval and estimate its cost.

If Federally-funded equipment will be used for the project, provide a list of that equipment including the Federal funding source.

Required Indirect Cost Statement: All applicants except individuals applying for funds separate from a business or non-profit organization he/she may operate **must** include in the budget justification narrative one of the following statements and attach to their application any required documentation identified in the applicable statement:

"We are:

1. A U.S. state or local government entity receiving more than \$35 million in direct Federal funding each year with an indirect cost rate of [insert rate]. We submit our indirect cost rate proposals to our cognizant agency. A copy of our most recently approved rate agreement/certification is attached.
2. A U.S. state or local government entity receiving less than \$35 million in direct Federal funding with an indirect cost rate of [insert rate]. We are required to prepare and retain for audit an indirect cost rate proposal and related documentation to support those costs.
3. A [insert your organization type; U.S. states and local governments, please use one of the statements above or below] that has previously negotiated or currently has an approved indirect cost rate with our cognizant agency. Our indirect cost rate is [insert rate]. [Insert either: "A copy of our most recently approved but expired rate agreement is attached. In the event an award is made, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar

days after the award is made.” or “A copy of our current, approved rate agreement(s) is attached.”]

4. A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency. Our indirect cost rate is [insert rate]. In the event an award is made, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award is made.
5. A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency and has an indirect cost rate that is lower than 10%. Our indirect cost rate is [insert rate; must be lower than 10%]. However, in the event an award is made, we will not be able to meet the requirement to submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after award. We request as a condition of award to charge a flat indirect cost rate of [insert rate; must be lower than 10%] of [insert a clear description of the direct cost base against which your rate is charged (e.g., salaries; salaries and fringe benefits; or modified total direct costs)]. However, please note that your organization cannot charge indirect costs in excess of the indirect costs that would be recovered if applied against modified total direct costs as defined in 2 CFR 220.68]. We understand that we must notify the Service in writing immediately if we establish an approved rate with our cognizant agency at any point during the award period.
6. A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency and has an indirect cost rate that is 10% or higher. Our indirect cost rate is [insert your organization’s indirect rate; must be 10% or higher]. However, in the event an award is made, we will not be able to meet the requirement to submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after award. We request as a condition of award to charge a flat *de minimis* indirect cost rate of 10% of modified total direct costs as defined in 2 CFR 200.68. We understand that we must notify the Service in writing immediately if we do establish an approved rate with our cognizant agency at any point during the award period. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs during the project period and such changes are subject to review, negotiation, and prior approval by the Service.
7. A [insert your organization type] that is submitting this proposal for consideration under the [insert either “Cooperative Fish and Wildlife Research Unit Program” or “Cooperative Ecosystem Studies Unit Network”], which has a Department of the Interior-approved indirect cost rate cap of [insert program rate]. If we have an approved indirect cost rate with our cognizant agency, we understand that we must apply this reduced rate against the same direct cost base as identified in our approved indirect cost rate agreement. If we do not have an approved indirect cost rate with our cognizant agency, we understand that the basis for direct costs will be the modified total direct cost base defined in 2 CFR 200.68 “Modified Total Direct Cost (MTDC)”. We understand that we must request prior approval from the Service to use the MTDC base instead of the base identified in our approved indirect cost rate agreement, and that Service approval of such a request will be based on: 1) a determination that our approved base is only a subset of the MTDC (such as salaries and wages); and 2) that use of the MTDC base will still result in a reduction of the total indirect costs to be charged to the award. In accordance with 2 CFR 200.405, we understand that indirect costs not recovered due to a voluntary reduction to our federally negotiated rate are not allowable for recovery via any other means.
8. A [insert your organization type] that will charge all costs directly.

All applicants are hereby notified of the following:

- Recipients without an approved indirect cost rate are prohibited from charging indirect costs to a Federal award. Accepting the 10% *de minimus* rate as a condition of award is an approved rate.

- Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award.
- Only the indirect costs calculated against the Federal portion of the total direct costs may be charged to the Federal award. Recipients may not charge to their Service award any indirect costs calculated against the portion of total direct costs charged to themselves or charged to any other project partner, Federal and non-Federal alike.
- Recipients must have prior written approval from the Service to transfer unallowable indirect costs to amounts budgeted for direct costs or to satisfy cost-sharing or matching requirements under the award.
- Recipients are prohibited from shifting unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.”

Applicants who are individuals applying for funds separate from a business or non-profit organization he/she may operate are not eligible to charge indirect costs to their award. If you are an individual applying for funding, do not include any indirect costs in your proposed budget.

For more information on indirect cost rates, see the Service’s **Indirect Costs and Negotiated Indirect Cost Rate Agreements** guidance document on the Internet at <https://www.fws.gov/grants/atc.html> under the “Resources” tab.

Negotiating an Indirect Cost Rate with the Department of the Interior:

Entities that do not have a NICRA, must have an open, active Federal award before they can submit an indirect cost rate proposal to their cognizant agency. The Federal awarding agency that provides the largest amount of direct funding to your organization is your cognizant agency, unless otherwise assigned by the White House Office of Management and Budget (OMB). If the Department of the Interior is your cognizant agency, your indirect cost rate will be negotiated by the Interior Business Center (IBC). For more information, contact the IBC at:

Indirect Cost Services
Acquisition Services Directorate, Interior Business Center
U.S. Department of the Interior
2180 Harvard Street, Suite 430
Sacramento, CA 95815
Phone: 916-566-7111 - Fax: 916-566-7110
Email: Through <https://www.doi.gov/ibc/contactus/ibcfeedback> web form
Internet address: <https://www.doi.gov/ibc/services/finance/indirect-cost-services>

- F. Single Audit Reporting Statements:** As required in [Title 2 of the Code of Federal Regulations Part 200, Subpart F Audit Requirements](#), all U.S. states, local governments, federally-recognized Indian tribal governments, and non-profit organizations expending \$750,000 USD or more in Federal award funds in a fiscal year must submit a Single Audit report for that year through the Federal Audit Clearinghouse’s Internet Data Entry System.

All U.S. state, local government, federally-recognized Indian tribal government and non-profit applicants must provide a statement regarding if your organization was or was not required to submit a Single Audit report for the organization’s most recently closed fiscal year. If required, state that the report is available on the Federal Audit Clearinghouse Single Audit Database website (<https://harvester.census.gov/facweb/>) and provide the EIN under which that report was submitted.

See the following statements **and include all applicable statements at the end of the Project Narrative in number 10, titled: Statement(s) Regarding Single Audit Reporting**

Single Audit Report **was** required:

My organization was required to submit a Single Audit report last year. The reporting period covered was from (insert date) to (insert date). This report, filed under EIN #(insert EIN), is available on the Federal Audit Clearinghouse Single Audit Database website (<https://harvester.census.gov/facweb/>) or will be by (insert date).

OR

Single Audit Report **was not** required:

My organization was not required to submit a Single Audit report last year.

G. Assurances

Include the appropriate signed and dated Assurances form available online at <http://apply07.grants.gov/apply/FormLinks?family=15>. Use the **Assurances for Construction Programs (SF 424D)** for construction and land acquisition projects. Use the **Assurances for Non-Construction Programs (SF 424B)** for all other types of projects. Signing this form does not mean that all items on the form are applicable. The form contains language that states that some of the assurances may not be applicable to your organization and/or your project or program.

H. Certification and Disclosure of Lobbying Activities: Under Title 31 of the United States Code, Section 1352, an applicant or recipient must not use any federally appropriated funds (both annually appropriated and continuing appropriations) or matching funds under a grant or cooperative agreement award to pay any person for lobbying in connection with the award. Lobbying is defined as influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress connection with the award. Submission of an application also represents the applicant's certification of the statements in [43 CFR Part 18, Appendix A-Certification Regarding Lobbying](#). If you/your organization have/has made or agrees to make any payment using non-appropriated funds for lobbying in connection with this proposal AND the Federal share exceeds \$100,000, complete and submit the [SF LLL, Disclosure of Lobbying Activities](#). See 43 CFR, Subpart 18.100 for more information on when additional submission of this form is required.

I. Required Overlap/Duplication Statement: Applicants must provide a statement that addresses if there is any overlap between the proposed project and any other active or anticipated projects in terms of activities, costs, or time commitment of key personnel. If any overlap exists, applicants must provide a description of the overlap in their application. Applicants must also state if the proposal submitted for consideration under this program is/is not in any way duplicative of any proposal that was/will be submitted for funding consideration to any other potential funding source (Federal or non-Federal). If such a circumstance exists, applicants must detail when the other duplicative proposal(s) were submitted, to whom (entity name and program), and when funding decisions are expected to be announced. If at any time a proposal is awarded funds that would be duplicative of the funding requested from the Service, applicants must notify the Service point of contact for this funding opportunity immediately.

Application Checklist

(All applicants expect Federal Entities; Federal Entities see checklist below)

- ☐ DUNS Registration (see requirement in Section III Basic Eligibility Requirements, A Duns Registration)
- ☐ SAM active registration (see requirement in Section III Basic Eligibility Requirements, B Entity Registration in SAM)
- ☐ **Evidence of non-profit status:** If a non-profit organization, a copy of their Section 501(c)(3) or (4) status determination letter received from the Internal Revenue Service.
- ☐ **SF 424, Application for Federal Assistance:** A complete, signed and dated SF 424, SF 424-Mandatory, or SF 424- Individual form
- ☐ **SF 424 Budget form:** A complete SF 424A or SF 424C Budget Information form
- ☐ **SF 424 Assurances form:** Signed and dated SF 424B or SF 424D Assurances form
- ☐ **Project Summary**
- ☐ **Project Narrative**
- ☐ **Timetable**
- ☐ **Description of key personnel qualifications**
- ☐ **Single Audit Reporting statement:** If a U.S. state, local government, federally-recognized Indian tribal government, or non-profit organization, statements regarding applicability of and compliance with 2 CFR 200, Subpart F, Audit Requirement
- ☐ **Budget Justification**
- ☐ **Federally-funded equipment list:** If Federally-funded equipment will be used for the project, a list of that equipment
- ☐ **NICRA:** When applicable, a copy of the organization's current Negotiated Indirect Cost Rate Agreement
- ☐ **Conflict of Interest Disclosures:** When applicable, written notification of any actual or potential conflicts of interest that may arise during the life of this award.
- ☐ **SF LLL form:** If applicable, completed SF-LLL Disclosure of Lobbying Activities form

Federal Applicant Checklist (for Federal Applicants only)

- ☐ Project Summary, if applicable
- ☐ Conflict of Interest Disclosures: When applicable, written notification of any actual or potential conflicts of interest that may arise during the life of this award.
- ☐ Project Narrative
- ☐ Timetable
- ☐ Budget Justification and a detailed budget table
- ☐ Description of key personnel qualifications, if applicable
- ☐ Federally funded equipment, if applicable

Failure to provide complete information may cause delays, postponement, or rejection of the application.

V. Submission Instructions

SUBMISSION DEADLINE: Detailed proposal packets are to be received by May 17, 2017, 5:00 pm Alaska Standard Time. Applicants are encouraged to submit their packets well before the submission deadline.

Intergovernmental Review: Before submitting an application, **U.S. state and local government** applicants should visit the following website (https://whitehouse.gov/omb/grants_spoc) to determine whether their application is subject to the state intergovernmental review process under Executive Order (E.O.) 12372 “Intergovernmental review of Federal Programs.” E.O. 12372 was issued to foster the intergovernmental partnership and strengthen federalism by relying on state and local processes for the coordination and review of proposed Federal financial assistance and direct Federal development. The E.O. allows each state to designate an entity to perform this function. The official list of designated entities is posted on the website. Contact your state’s designated entity for more information on the process the state requires to be followed when applying for assistance. States that do not have a designated entity listed on the website have chosen not to participate in the review process.

Other Submission Requirements: For consideration in the Yukon River Salmon Research and Management (R&M) review process, applicants must prepare and submit a Detailed Proposal as laid out in this document and the application instructions document. The principle investigator has the lead for the project and the proposal must include all pertinent information from the parties involved in the project. The Panel will review the prioritized list and select proposals to be funded in the spring of 2017.

Final/Detailed Proposals:

STEP	DATE	DESCRIPTION
Step 1	May 17, 2017 @ 5:00 p.m. AKST	Deadline for receipt of Detailed Proposal Packets.
Step 2	~ June 9, 2017	U.S. Panel selects successful Detailed Proposals.
Step 3	~ June 19, 2017	E-mail response to each DP applicant indicating Yukon River Panel decision.

Detailed proposals are limited to no more than 10 pages, not including references and appendices. Pages beyond the allowed amount will not be reviewed. Prepare and submit your proposal in Microsoft Word using Times New Roman and size 11 font.

The **Detailed Proposal** application may be mailed or electronically emailed to: Matthew Keyse, U.S. Fish and Wildlife Service, 101 12th Avenue, Room 110, Fairbanks, Alaska 99701, phone: 907-456-0418, fax: 907-455-1853, email: matthew_keyse@fws.gov. Proposals may be submitted electronically through Grants.gov as described in the Grants.gov funding opportunity #F17AS00194.

Download the Application Package linked to this Funding Opportunity on Grants.gov to begin the application process. Downloading and saving the Application Package to your computer makes the required government-wide standard forms fillable and printable. Completed applications may be submitted by mail, by email, electronically through Grants.gov, or as otherwise described in the Grants.gov funding opportunity. Please select **ONE** of the submission options:

To submit an application by mail:

Number all pages of your printed application. Mail one, single-sided, unbound copy (do not staple or otherwise permanently bind pages) of your complete application to the Service program point of contact identified in the Grants.gov funding opportunity.

The required SF 424 Application for Federal Assistance and Assurances forms and any other required standard forms **MUST** be signed by your organization’s authorized official. The Signature and Date

fields on the standard forms downloaded from Grants.gov are pre-populated with the text “Completed by Grants.gov upon submission” or “Completed on submission to Grants.gov”. **Remove this text (manually or digitally) before signing the forms.**

To submit an application by e-mail (preferred method):

Format all of your documents to print on Letter size (8 ½” x 11”) paper. Format all pages to display and print page numbers. Scanned documents should be scanned in Letter format, as black and white images only. Where possible, save scanned documents in .pdf format. E-mail your application to Matthew Keyse at matthew_keyse@fws.gov.

The required SF 424 Application for Federal Assistance and Assurances forms and any other required standard forms MUST be signed by your organization’s authorized official. The Signature and Date fields on the standard forms downloaded from Grants.gov are pre-populated with the text “Completed by Grants.gov upon submission” or “Completed on submission to Grants.gov”. Remove this text (manually or digitally) before signing the forms.

To submit an application through Grants.gov:

Go to the Grants.gov Apply for Grants page (<http://www.grants.gov/web/grants/applicants/apply-for-grants.html>) for an overview of the process to apply through Grants.gov. You/your organization must complete the Grants.gov registration process before submitting an application through Grants.gov. Registration can take between three to five business days, or as long as two weeks if all steps are not completed in a timely manner.

Important note on Grants.gov application attachment file names: Please do not assign application attachments file names longer than 20 characters, including spaces. Assigning file names longer than 20 characters will create issues in the automatic interface between Grants.gov and the Service’s financial assistance management system.

Federal Agency Applicants may submit proposal for funding under this NOFO as authorized under [enter authorizing authority] and must submit their proposals directly to the granting agency point of contact identified in Section VIII. Agency Contacts. Submission must be either by mail or email as described above (Federal agencies will be rated using the same merit review process as all other applicants).

NOTE: In the event a Fish and Wildlife Service Program submits a proposal and is awarded funding, if they intend to issue a subaward or contract, they MUST go through the applicable standard procurement process. They CANNOT use this announcement for the purpose of awarding a separate contract or financial assistance award!

VI. Application Review

Criteria: The *Detailed Proposals* will be evaluated by an interagency review committee. Detailed Proposals will be ranked with respect to 12 criteria. Scores will be summed across criteria and ranked by the summed value.

1. Contribution to the identified Priority Categories and Factors,
2. Adequately addresses one or two of the Near Term Priorities,
3. Justification for the duration of the project,
4. Project relevance and description,
5. Clarity and significance of objectives and hypotheses,
6. How well the proposal describes the methods and project design,
7. How well the proposal describes how the short-term and long-term goals of the project will be measured. Also, if it describes any future monitoring work associated with the project.

8. The duration in which the results of the project will aid in the conservation and management of Yukon River salmon
9. How well the proponent laid out the operation plan for achieving each objective.
10. The description of the organizations doing the project.
11. How well the project incorporates partnerships and capacity building.
12. Cost effectiveness and how well the proponent described each budget line item.

Yukon River Salmon Research and Management Fund Detailed Proposal Scoring Rubric (29.5 points possible)		
Overview (4 pts)	Category Priority (0.5-2)	
	2	Category: Assess and achieve fishery management objectives
	1.5	Category: Build and maintain public support of, and meaningful participation in, salmon resource management
	1	Category: Improve understanding of salmon biology and ecology
	0.5	Category: Assess, conserve and restore salmon habitats
	Near Term Priority (0-2)	
	2	Results of the proposed project will directly address <u>two</u> of the near term priorities upon completion.
	1	Results of the proposed project will directly address <u>one</u> of the near term priorities upon completion.
Introduction (8 pts)	0	Proposal does not address either of the near term priority needs.
	Justification (0-2)	
	2	Clearly describes how the information collected would ultimately be applied to conservation and regulation of Alaskan Yukon River salmon.
	0	Did not describe how the information collected would ultimately be applied to conservation and regulation of Alaskan Yukon River salmon.
	Relevance (1-3)	
	3	Project contributes to addressing or improving knowledge towards crucial data gaps and/or management needs of Yukon River salmon species.
	1	Project is unlikely to improve knowledge towards the understanding and/or management of Yukon River salmon species.
	Objectives (1-3)	
Technical Implementation Details (10 pts)	3	Objectives are well described, address the needs of the Panel, and are achievable as presented.
	1	Objectives are poorly described making it difficult to know if they address the needs of the Panel.
	Methods (0-3)	
	3	Methods were adequately detailed with sound explanations and reasoning.
	1.5	Methods were presented but lacked detail.
	0	Nothing was provided regarding monitoring or evaluation methods or information was not applicable to the project objectives;
	Monitoring and Evaluation (0-3)	
	2	Descriptions were detailed and complete with sound reasoning and consistent with project objectives
	1	Minimal explanation or information provided to understand how monitoring or evaluation would be completed and reported
	0	Nothing was provided regarding monitoring or evaluation methods or information was not applicable to the project objectives;
	Sustainability (0-2)	
	2	The results of this project will aid in the conservation and management of Yukon River salmon beyond this year of funding.
	1	The results of this project will only assist in the conservation and management of Yukon River salmon during this year.
	Operation (0-2)	
Capacity to Deliver and Cost (7.5 pts)	2	Objectives and project milestones are well described and appear to be achievable.
	1	Objectives and project milestones are poorly described making it difficult to know if they are achievable as presented.
	Description of Organizations (0-1.5)	
	1.5	Clearly identifies the roles of the Project Leader and other participants.
	0	Does not identify the Project Leader or the roles of the Principal Project Participants.
	Labor (1-2)	
	2	Wages are appropriate for the level of work being conducted.
	1	Wages are excessive for the level of work being conducted
	Administration (0-2)	
	2	Overhead costs are 0<15% of the total project costs
	1	Overhead costs are 15-30% of the total project costs
	0	Overhead costs >30% of the total project cost
	Budget Justification (1-2)	
	2	Project costs are consistent with other similar projects.
	1	Project costs are excessive when compared to other similar projects.

Review and Selection Process:

The ranking will be provided as recommendations to the U.S. Delegation of the Yukon River Panel (Panel) for their final approval. Authors of selected Conceptual Proposals will be notified (December 2015) by the Service to submit Detailed Proposals for final review and selection. The Panel reserves the right to ask questions for clarification and conduct negotiations with promising proposal authors prior to final selection of the conceptual or detailed proposal. The Panel also reserves the right to eliminate from consideration any proposals whose author does not adhere to proposal deadlines or provide clarification in a timely manner, if and when clarification is sought.

Prior to participating in any review or evaluation process, all staff and peer reviewers, evaluators, panel members, and advisors must complete the applicable Conflict of Interest form; Conflict of Interest FWS FA Source Selection form or Conflict of Interest Form Other than FWS FA Source Selection.

Prior to award, the Service reviews the selected applicant's statement regarding potential overlap or duplication in terms of activities, funding, or time commitment of key personnel and makes a determination regarding Service funding. Depending on the circumstances, modification of the application, other pending applications, or an active award may be necessary, or the Service might choose to not fund the proposed project.

Each fiscal year, for every entity receiving one or more awards in that fiscal year, the Service conducts a risk assessment based on eight risk categories. The result of this risk assessment is used to establish a monitoring plan for all awards to the entity in that fiscal year. For a copy of the Service's risk assessment form, go to <https://www.fws.gov/grants/atc.html>.

Prior to approving an award with a Federal funding amount that exceeds or is expected to exceed the simplified acquisition threshold, as adjusted (see 2 CFR 200.88), the Service must review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (currently FAPIIS; <https://www.fapiis.gov/fapiis/index.action>) to determine if, at a minimum, the information found in the system for the applicant demonstrates a satisfactory record of Federal award performance and integrity and business ethics (see 2 CFR 200.205(a)(2)). The Service must also report to FAPIIS if an applicant subject to this review is found not qualified for a particular award due to its prior record of integrity or performance under Federal awards (see 2 CFR 200.212).

VII. Federal Award Administration

Federal Award Notices: Following review, applicants may be requested to revise the project scope and/or budget before an award is made. You may receive an information notice of intent from the Service program Project Officer stating that your application has been selected for the intent to award, this is not the official Notice of Award. Successful applicants will receive written notice in the form of a notice of award document. Notices of award are typically sent to recipients by e-mail. If e-mail notification is unsuccessful, the documents will be sent by courier mail (e.g., FedEx, DHL or UPS). Award recipients are not required to sign/return the Notice of Award document. Acceptance of an award is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by, the Service. The notice of award document will include instructions specific to each recipient on how to request payment. If applicable, the instructions will detail any additional information/forms required and where to submit payment requests. Applicants whose projects are not selected for funding will receive written notice, most often by e-mail, within 30 days of the final review decision.

Administrative and National Policy Requirements:

Award Notices: Following review, applicants may be requested to revise the project scope and/or budget before an award is made. Successful applicants will receive written notice in the form of a notice of award document. Notices of award are typically sent to recipients by e-mail. If e-mail notification is unsuccessful, the documents will be sent by courier mail (e.g., FedEx, DHL or UPS). Award recipients are not required to sign/return the Notice of Award document. Acceptance of an award is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by, the Service. The notice of award document will include instructions specific to each recipient on how to request payment. If applicable, the instructions will detail any additional information/forms required and where to submit payment requests. Applicants whose projects are not selected for funding will receive written notice, most often by e-mail, within 30 days of the final review decision.

Domestic Recipient Payments: Prior to award, the Service program office will contact you/your organization to either enroll in the U.S. Treasury's Automated Standard Application for Payments (ASAP) system or, if eligible, obtain approval from the Department of the Interior to be waived from using ASAP.

Foreign Recipient Payments: Foreign recipients receiving funds to a bank outside of the United States will be paid electronically through U.S. Treasury's International Treasury Services (ITS) system.

Foreign recipients receiving funds electronically to a bank in the United States will be paid by Electronic Funds Transfer (EFT) through the Automated Clearing House network. Foreign recipients who wish to be paid to a bank account in the United States must enter and maintain current banking information in SAM (see Section III).

The Notice of Award document from the Service will include instructions specific to each recipient on how to request payment. If applicable, the instructions will detail any additional information/forms required and where to submit payment requests.

Transmittal of Sensitive Data: Recipients are responsible for ensuring any sensitive data being sent to the Service is protected during its transmission/delivery. The Service strongly recommends that recipients use the most secure transmission/delivery method available. The Service recommends the following digital transmission methods: secure digital faxing; encrypted emails; emailing a password protected zipped/compressed file attachment in one email followed by the password in a second email; or emailing a zipped/compressed file attachment. The Service strongly encourages recipients sending sensitive data in paper copy to use a courier mail service. Recipients may also contact their Service Project Officer and provide any sensitive data over the telephone.

Award Terms and Conditions: Acceptance of a financial assistance award (i.e., grant or cooperative agreement) from the Service carries with it the responsibility to be aware of and comply with the terms and conditions applicable to the award. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service and are subject to the terms and conditions incorporated into the notice of award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Service's Standard Award Terms and Conditions are available on the Internet at <https://www.fws.gov/grants/atc.html>. If you do not have access to the Internet and require a full text copy of the award terms and conditions, contact the Service point of contact identified in the Agency Contacts section below.

Acknowledgement of Support in Publications:

The outreach or presentation material produced under this award must include the following statement acknowledging support from the U.S. Fish and Wildlife Service: Yukon River Salmon Research and Management Fund.

Promotional Partnership with a Non-Government Entity:

Recipient shall not publicize or otherwise circulate promotional material (such as advertisements, sales brochures, press releases, speeches, still and motion pictures, articles, manuscripts, or other publications) which states or implies governmental, Departmental, bureau, or government employee endorsement of a product, service, or position that the recipient represents. No release of information relating to this award may state or imply that the Government approves of the recipient's work products, or considers the recipient's work product to be superior to other products or services. All information submitted for publication or public releases of information regarding this project shall carry the following disclaimer: "The views and conclusions contained in this document are those of the authors and should not be interpreted as representing the opinions or policies of the U.S. Government. Mention of trade names or commercial products does not constitute their endorsement by the U.S. Government." Recipient must obtain prior Government approval for any public information releases concerning this award which refer

to the Department of the Interior or any bureau or employee (by name or title). The specific text, layout, photographs, etc. of the proposed release must be submitted with the request for approval. Recipient must include this provision in subawards made under this award to any recipient other than a state, local, or Federally-recognized Indian tribal government.

Purchases by Non-Government Entities:

It is a national policy to place a fair share of purchases with minority business firms. The Department of the Interior is strongly committed to the objectives of this policy and encourages all recipients of its grants and cooperative agreements to take affirmative steps to ensure such fairness. Positive efforts shall be made by recipients to utilize small businesses, minority-owned firms, and women's business enterprises, whenever possible. Recipients of Federal awards shall take all of the following steps to further this goal: (1) Ensure that small businesses, minority-owned firms, and women's business enterprises are used to the fullest extent practicable; (2) Make information on forthcoming opportunities available and arrange time frames for purchases and contracts to encourage and facilitate participation by small businesses, minority-owned firms, and women's business enterprises; (3) Consider in the contract process whether firms competing for larger contracts intend to subcontract with small businesses, minority-owned firms, and women's business enterprises; (4) Encourage contracting with consortiums of small businesses, minority-owned firms, and women's business enterprises when a contract is too large for one of these firms to handle individually; and (5) Use the services and assistance, as appropriate, of such organizations as the Small Business Administration and the Department of Commerce's Minority Business Development Agency in the solicitation and utilization of small business, minority-owned firms, and women's business enterprises.

Transferring Title of Equipment to Federal Government or Third Party:

Equipment purchased under this award will become the property of the Recipient at the end of the project. This is contingent upon the Recipient demonstrating that the equipment was used only for the purposes of the award and there exists a commitment to continue to use the equipment for the same purpose throughout its useful life. If these requirements are not met, the disposition of the equipment will be renegotiated with the USFWS. Equipment authorized for purchase and use under this award will be managed according to 43 CFR 1.934

Recipient Reporting Requirements:

- i. **Financial and Performance Reports:** Interim financial reports and performance reports may be required. Interim reports will be required no more frequently than quarterly, and no less frequently than annually. A final financial report and a final performance report will be required and are due within 90 calendar days of the end date of the award. Performance reports must contain: 1) a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work; 2) a description of reasons why established goals were not met, if appropriate; and 3) any other pertinent information relevant to the project results.
- ii. **Significant Development Reports (SDR):**
Events may occur between the scheduled performance reporting dates that have significant impact upon the supported activity. In such cases, recipients are required to notify the Service in writing as soon as the following types of conditions become known:
 - Problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation.
 - Favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

The Service will specify in the notice of award document the reporting and reporting frequency applicable to the award.

iii. Conflict of Interest Disclosures (COI):

The recipient must establish safeguards to prohibit its employees and subrecipients from using their positions for purposes that constitute or present the appearance of a personal or organizational conflict of interest. The recipient is responsible for notifying the Grants Officer in writing of any actual or potential conflicts of interest that may arise during the life of this award. Conflicts of interest include any relationship or matter which might place the recipient or its employees in a position of conflict, real or apparent, between their responsibilities under the agreement and any other outside interests. Conflicts of interest may also include, but are not limited to, direct or indirect financial interests, close personal relationships, positions of trust in outside organizations, consideration of future employment arrangements with a different organization, or decision-making affecting the award that would cause a reasonable person with knowledge of the relevant facts to question the impartiality of the recipient and/or recipient's employees and subrecipients in the matter.

The Grants Officer and the servicing Ethics Counselor will determine if a conflict of interest exists. If a conflict of interest exists, the Grants Officer will determine whether a mitigation plan is feasible. Mitigation plans must be approved by the Grants Officer in writing. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award.

Failure to make required disclosures may result in any of the remedies described in 2 CFR 200.338; including suspension or debarment (see also 2 CFR part 180).

iv. Other Mandatory Disclosures:

The non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Non-Federal entities that have received a Federal award including the term and condition outlined in 2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies described in 2 CFR 200.338 Remedies for noncompliance, including suspension or debarment. (See also 2 CFR Part 180, 31 U.S.C. 3321, and 41 U.S.C. 2313.)

2 CFR Part 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters is applicable to awards with a total Federal share of more than \$500,000, except those to individuals and foreign public entities.

VIII. Federal Awarding Agency Contact(s)

Matthew Keyse,
Subsistence Fisheries Branch,
Fairbanks Fish and Wildlife Service Field Office,
101 12th Ave., Room 110, Fairbanks, Alaska 99701,
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Email: matthew_keyse@fws.gov