# U.S. Fish and Wildlife Service

FWS - Fisheries

http://www.fws.gov/midwest/fisheries/glfwra-grants.html

Great Lakes Fish and Wildlife Restoration Act FY 2021
Fiscal Year: 2021
F21AS00187

Due Date for Applications: **02/16/2021**

**PAPERWORK REDUCTION ACT STATEMENT:**

**OMB Control Number: 1018-0100, Expiration Date: 7/31/2021**

We are collecting this information in accordance with the authorizing legislation identified above. Your response is required to obtain or retain a benefit. We will use the information you provide to conduct a competitive review and select projects for funding and, if awarded, to evaluate performance. We may not conduct or sponsor and you are not required to respond to a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. We estimate that it will take you on average about 40 hours to complete an initial application, about 3 hours to revise the terms of an award, and about 8 hours per report to prepare and submit financial and performance reports, including time to maintain records and gather information. Actual time for these activities will vary depending on program-specific requirements. You may send comments on the burden estimate or any other aspect of this information collection to the Information Collection Clearance Officer, U.S. Fish and Wildlife Service, MS BPHC, 5275 Leesburg Pike, Falls Church, VA 22041-3803.

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## A. Program Description

### A1. Authority

**Great Lakes Fish and Wildlife Restoration Act, 16 U.S.C. §941**

15.608

### A2. Background, Purpose and Program Requirements

The U.S. Fish and Wildlife Service (Service) requests interested entities to submit research, restoration, and Regional Project proposals for the restoration of the Great Lakes Basin fish and wildlife resources, as authorized under the Great Lakes Fish and Wildlife Restoration Act (16 USC 941c). The purpose of the Great Lakes Fish and Wildlife Restoration Act (GLFWRA) is to provide assistance to States, Indian Tribes, and other interested entities to encourage cooperative conservation, restoration, research, and management of the fish and wildlife resources and their habitats in the Great Lakes Basin. All proposals should focus on research and restoration of fish and/or wildlife resources and their habitats in the Great Lakes Basin and should be consistent with the goals of the Great Lakes Fish and Wildlife Restoration Act of 2016, and the recommendations of the Great Lakes Regional Collaboration's "Strategy to Restore and Protect the Great Lakes." Proposals should also be consistent with one or more of the following:
a. The Great Lakes Restoration Initiative Action Plan III;
b. The goals of the Great Lakes Water Quality Agreement;
c. The Non-indigenous Aquatic Nuisance Prevention and Control Act as reauthorized by the National Invasive Species Act;
d. The recommendations from the Great Lakes Fishery Resources Restoration Study of 1995;
e. The fish community objectives identified by the lake committees and the Council of Lake Committees;
f. The Convention on Great Lakes Fisheries;
g. The Joint Strategic Plan for Management of Great Lakes Fisheries;
h. The North American Waterfowl Management Plan;
i. Addresses research and/or monitoring priorities of the Upper Mississippi River and Great Lakes Region Joint Venture 2007 Implementation Plan; and
k. Additional step down plans that further specify the implementation of the goals and objectives of the above plans at the state, tribal, watershed or local level.

Proposals submitted are reviewed and recommended for funding to the Service by the GLFWRA Proposal Review Committee (PRC). Since 1998, the Act has provided $30.9 million dollars in federal funding to 185 research, restoration, and Regional Projects. More than 132 organizations have contributed nearly $14.2 million in matching non-federal partner support. GLFWA funding combined with required matching funds equates to $45.1 million worth of benefits to

Great Lakes Basin fish, and wildlife resources.

**Department of Interior's Secretarial Priorities**
The Secretary of the Department of Interior (DOI) developed a list of ten priorities to support both the public purpose and Service and DOI missions. The six goals of GLFWRA are:
1. Restoring and maintaining self-sustaining fish and wildlife resources.
2. Minimizing the impacts of contaminants on fishery and wildlife resources.
3. Protecting, maintaining, and, where degraded and destroyed, restoring fish and wildlife habitat, including the enhancement and creation of wetlands that result in a net gain in the amount of those habitats.
4. Stopping illegal activities adversely impacting fishery and wildlife resources.
5. Restoring threatened and endangered species to viable, self-sustaining levels.
6. Protecting, managing, and conserving migratory birds.

Four of the ten Secretarial Priorities are addressed by the GLFWRA goals:

***1. Creating a conservation stewardship legacy second only to Teddy Roosevelt***
a. Utilize science to identify best practices to manage land and water resources and adapt to changes in the environment.
e. Foster relationships with conservation organizations advocating for balanced stewardship and use of public lands.

All the GLFWRA goals align with this Priority. The GLFWRA funds projects within the Great Lakes Basin, including Canadian waters and land to benefit fish and wildlife resources. Lines of communications are expanded with Tribal, State governments, local governments and communities, non-profit organizations, State and Tribal natural resource offices, and Fish and Wildlife offices in the Great Lakes Basin through the development of pre-proposals, full proposals, and Regional Projects to address the six goals of the GLFWRA.

***3. Restoring trust with local communities***
a. Be a better neighbor with those closest to our resources by improving dialogue and relationships with persons and entities bordering our lands.
b. Expand the lines of communication with Governors, state natural resource offices, Fish and Wildlife offices, water authorities, county commissioners, Tribes, and local communities.

All the GLFWRA goals align with this Priority. The GLFWRA funds projects within the Great Lakes Basin, including Canadian waters and land to benefit fish and wildlife resources. Lines of communications are expanded with Tribal, State governments, local governments and communities, non-profit organizations, State and Tribal natural resource offices, and Fish and Wildlife offices in the Great Lakes Basin through the development of pre-proposals, full proposals, and Regional Projects to address the six goals of the GLFWRA.

The Proposal Review Committee (PRC) is comprised of two representatives of each of the State Directors (New York, Ohio, Indiana, Illinois, Michigan, Wisconsin, Minnesota and Pennsylvania) and Indian Tribes (Chippewa Ottawa Resource Authority, Great Lakes Indian Fish and Wildlife Commission, Keweenaw Bay Indian Community, Seneca Nation, Oneida Nation,

and Fond du Lac Band of Lake Superior Chippewa) in the Great Lakes Basin. The two representatives (one fishery expert and one wildlife expert) are appointed by the State Director or Indian Tribe to serve on the PRC. The PRC is responsible for reviewing, scoring, and ranking of research, restoration and Regional Project proposals and provides recommendations to the Regional Director Great Lakes Region on which proposals should be funded and implemented. The PRC operates under the guidance of the Service.

**7. Striking a regulatory balance**
b. Ensure that Endangered Species Act (ESA) decisions are based on strong science and thorough analysis. GLFWRA Goals 1, 3, 5, and 6 align with this Priority. Funded habitat restoration and research projects use scientifically sound methods, best management practices, and analysis for natural resource managers to make the best ESA decisions to benefit the ESA species in the Great Lakes Basin.

**8. Modernizing our infrastructure**
a. Support the White House Public/Private Partnership Initiative to modernize U.S. infrastructure.
b. Remove impediments to infrastructure development and facilitate private sector efforts to construct infrastructure projects serving American needs.

GLFWRA Goals 1, 2, 3, 5, and 6 align with this Priority. Funded proposals address aging transportation infrastructure (road stream crossing, dams) that are barriers to fish passage, and other aquatic organisms by working with private funding sources, private contractors and local road commissions to modernize structures to benefit fish and wildlife resources in the Great Lakes Basin.

## B. Federal Award Information

### B1. Total Funding

**Estimated Total Funding**
$ 1,790,000

### B2. Expected Award Amount

**Maximum Award**
$ 565,000
**Minimum Award**
$ 2,000

### B3. Expected Award Funding and Anticipated Dates

**Expected Award Funding**
$ 130,201
**Expected Award Date**
September 16, 2021

Supported in part by the Great Lakes Restoration Initiative, we expect approximately $1,000,000 to support projects this fiscal year. Available funding and project awards are subject to final Congressional appropriations for Fiscal Year 2021. Up to 33 and one-third percent of the total Congressional appropriation to the GLFWRA is eligible to fund Regional Projects. Expected award funding for Regional Projects is between $180,000 to $565,000. Successful restoration and research projects have ranged from $2,300 to $2,000,000 with the average project at $130,201. Expected award funding for restoration and research projects is between $20,000 and $300,000.

Selected restoration and research proposals and Regional Projects will be awarded funding for the duration of the project via a grant or cooperative agreement between the recipient and the Service. Funding will be made available once the official award letter has been received and the performance period has started. Continuation of projects funded in previous fiscal years are eligible but will be considered and reviewed as a new project.

## B4. Number of Awards

**Expected Number of Awards**

7

Number of grants or cooperative agreements awarded this fiscal year is expected to range between 5 and 9 awards. Project start dates are flexible, with suggested start dates occurring between October 1, 2021 and March 1, 2022. The length of project period typically ranges from 1 to 4 years. The expected award date is between mid to late August and mid-September 2021.

## B5. Type of Award

**Funding Instrument Type**

CA - Cooperative Agreement

G - Grant

Restoration and research projects will be awarded a **grant agreement** and Regional Projects will be awarded a **cooperative agreement** under this announcement. For cooperative agreements, the Service anticipates to be substantially involved by performing one or more of the following activities:

- Participating and working closely with the recipient in carrying out the scope of work, including training recipient personnel or detailing Federal personnel to work on the project effort.
- Reviewing and approving one stage of work before the next stage can begin.
- Prior to award, reviewing and approving proposed modifications or sub-awards.
- Helping select project staff or trainees.
- Directing or redirecting the work because of interrelationships with other projects.
- Reserving the right to immediately halt an activity if detailed performance specifications are not met.

- Limiting recipient discretion with respect to scope of work, organizational structure, staffing, mode of operations and other management processes, coupled with close monitoring or operational involvement during performance under the award.
- Regional Project proposals selected for funding, will be issued a cooperative agreement as the funding instrument. Cooperative Ecosystem Studies Units (CESU) Network partners that submit Regional Project proposals that qualify as CESU projects will be subject to the CESU indirect cost rate cap (currently 17.5%).

## C. Eligibility Information

### C1. Eligible Applicants

**Eligible Applicants**

00 – State governments
01 – County governments
02 – City or township governments
04 – Special district governments
05 – Independent school districts
06 – Public and State controlled institutions of higher education
07 – Native American tribal governments (Federally recognized)
11 – Native American tribal organizations (other than Federally recognized tribal governments)
12 – Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education
13 – Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education
20 – Private institutions of higher education
21 – Individuals
22 – For profit organization other than small businesses
23 – Small businesses
25 – Others (see text field entitled "Additional Information on Eligibility" for clarification)

**Additional Information on Eligibility**

The proposal activities (e.g., habitat restoration and barrier removal) must be within the Great Lakes Basin or impact a fish or wildlife species (e.g., research) present in the Great Lakes Basin to be eligible for funding.

### C2. Cost Sharing or Matching

**Cost Sharing / Matching Requirement**

Yes

**Percentage of Cost Sharing / Matching Requirement**

25

Restoration and Research Proposals: All proposals **require a 25% non-federal match**. Not less than 25% of the **total cost** of implementing a proposal shall be paid in cash or in-kind contributions by non-Federal sources. Applicants may attribute some or all of their allowable unrecovered indirect costs as cost-share/match, however recipients may only charge to the

Federal award the indirect costs calculated against the allowable direct costs charged to the Federal award. Recipients may not charge to the Federal award indirect costs calculated against: 1) any portion of the recipient's direct costs; or 2) any portion of the direct costs charged to any other Federal or non-Federal partner.

The required match is **25% of the total project costs** (funding request + non-federal match). For example, if the request for federal funding is $75,000, the minimum required non-federal match is $25,000, and the total project costs would be $100,000.
($75,000 / 0.75 = $100,000 - $75,000.00 = $25,000).

Restoration and research pre-proposals **without a 25% non-federal match will not be considered**.

Non-Federal Match Changes: The 2016 Reauthorization of the GLFWRA made some significant additions to allowable non-federal match as it relates to time period, and land and conservation easements. The changes are summarized below.

Time Period for Providing Non-Federal Match: The non-federal share of the cost of implementing a proposal may be provided at any time during the 2 year period preceding January 1 of the year in which the Service receives the restoration and/or research pre-proposal or Regional Project proposal.

Sources of Non-Federal Match: To be accepted as match it must be in compliance with §200.306 Cost sharing or matching.

- The appraised value of land or a conservation easement connected with the project.
- The costs associated with securing a conservation easement; and restoration or enhancement of the conservation easement.
- Appraisal of conservation easement. The value of a conservation easement may be used to meet the non-federal share of the cost of implementing a proposal, as long as it meets the following:

1. Within the 2 year time period for allowable match;
2. Is acquired before the end of the proposal grant period;
3. Is held in perpetuity for conservation purposes of Service programs, related to the Great Lakes Basin, and a) by an accredited land trust or conservancy or a Federal, State, or tribal agency; b) is connected either physically or through a conservation planning process to the proposal; and c) the appraisal valuation date shall not be later than 1 year after the price of the conservation easement was set under a contract.
4. The appraisal shall conform to the Uniform Standards of Professional Appraisal Practice (USPAP) and completed by a Federal or State certified appraiser.
5. All costs associated with securing a conservation easement and restoration or enhancement of that conservation easement may be used to meet the non-federal match of implementing a proposal. The costs may include cash, in-kind contributions, and indirect costs. The costs may not be associated with mitigation or litigation (other than costs associated with the Natural Resource Damage Assessment program).

Regional Projects: Regional Project proposals selected for funding shall be exempt from cost sharing or partner match, if the Service's Midwest Region Director determines that the authorization for the project does not require a non-federal cost-share.

## C3. Other

Sponsorship: During the development of the restoration or research pre-proposal make sure you discuss your project with the natural resource agency (e.g., local staff) in the Great Lakes Basin that has management authority (State and/or Tribal natural resource agencies) where your project will take place on the ground or will potentially benefit. This is the first step in obtaining sponsorship for your project. Proof of sponsorship is not required at the pre-proposal stage. If you get invited to submit a restoration and research full proposal, the GLFWRA Coordinator will provide you with contact information for a PRC member so you can discuss your project, and obtain required sponsorship to be eligible to receive funding.

Regional Projects: Regional Project proposals must be submitted by a State Director and/or Tribal Chair (or a joint submission from the supervisors of the agency's fish and wildlife programs on behalf of the Director or Tribal Chair). Regional Project proposals should impact multiple Great Lakes, States and/or Tribes and consequently must include the signature or other written documentation of all State Directors and Tribal Chairs who support the project (or joint signatures or letter of support from the supervisors of the agency's fish and wildlife programs on behalf of the State Director or Tribal Chair).

U.S. non-profit, non-governmental organizations must provide a copy of their Section 501(c)(3) or (4) status determination letter received from the Internal Revenue Service.

There is no limit on the number of restoration or research pre-proposals or Regional Project full proposals an applicant may submit.

**Foreign Entities or Projects:**
This program may provide funding to foreign entities or for projects conducted outside the United States.

**Excluded Parties:** FWS conducts a review of the SAM.gov Exclusions database for all applicant entities and their key project personnel prior to award. The Bureau cannot award funds to entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or otherwise excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits, as their ineligibility condition applies to this Federal program.

**State Sponsors of Terrorism:** This program will not fund projects in countries determined by the U.S. Department of State to have repeatedly provided support for acts of international terrorism and therefore are subject to sanctions restricting receipt of U.S. foreign assistance and other financial transactions.

**Office of Foreign Assets Control Sanctions:** This program will not fund projects in countries subject to comprehensive sanction programs administered by the U.S. Department of Treasury,

Office of Foreign Asset Control without proper licenses.

**In-Country Licenses, Permits, or Approvals:** Entities conducting activities outside the U.S. are responsible for coordinating with appropriate U.S. and foreign government authorities as necessary to obtain all required licenses, permits, or approvals before undertaking project activities. The Service does not assume responsibility for recipient compliance with the laws, regulations, policies, or procedures of the foreign country in which they are conducting work.

## D. Application and Submission Information

### D1. Address to Request Application Package

The request for **restoration and research project pre-proposal**, and **Regional Project proposal application templates can be downloaded** at the Great Lakes Fish and Wildlife Restoration Act internet site or from Grants.gov under this opportunity. If you require assistance obtaining the application templates contact Rick Westerhof at 231-282-2736 or rick_westerhof@fws.gov.

Restoration and Research Pre-proposals: Restoration and research pre-proposals are limited to two pages and must include the following information: management implication or application, project title; project applicant(s); GPS coordinates, costs; project dates; rationale; relevancy, objectives; methods; deliverables/products and a one page only curriculum vitae or resume for each person involved in the project.

Restoration and Research Full Proposals: Those applicants invited to submit full proposals (notified in April 2021) will be required to submit the same information as included in the pre-proposals, but with more detail that may include providing a response to comments/suggestions from the PRC that will be sent via email. The following information must be included in detail: management implication or application, rationale; GPS coordinates; objectives; methods; deliverables; schedule for completion; past and current funding support; references; information on how each of the eight review criteria are addressed; one page resume or curriculum vitae for each person involved in the project; source of the non-federal match and budget sheet(s); and funding requested budget sheet(s) including direct and indirect costs. Letters of support are encouraged.

If your restoration and research pre-proposal is selected for full proposal submission, your full proposal must be submitted in GrantSolutions (https://home.grantsolutions.gov/home/) by **Friday April 30th, 2021 before 5:00 PM EST.**

For **restoration and research and Regional Project full proposals** approved for funding, applicants will be required to provide further information as required by the Service to achieve compliance with the National Environmental Policy Act (42 USC 4321-4347), Endangered Species Act (16 USC 1531-1544), or other requirements as referenced in sections below.

Regional Projects: Final Service work plans for Regional Projects recommended for funding by

the PRC will be developed among the applicants submitting the project and the identified Service project manager.

**Program Website Link**
http://www.fws.gov/midwest/fisheries/glfwra-grants.html

## D2. Content and Form of Application Submission

**SF-424, Application for Federal Assistance**
Applicants must submit the appropriate Standard Form (SF)-424, Application for Federal Assistance. Individuals applying as a private citizen (i.e., unrelated to any business or nonprofit organization you may own or operate in your name), must complete the SF-424, Application for Federal Assistance-Individual form. All other applicants must complete the standard SF-424, Application for Federal Assistance. The required application forms are available with this announcement on Grants.gov. The SF-424, Application for Federal Assistance must be complete, and signed and dated. Please note: Enter only the amount requested from this Federal program in the "Federal" funding box on the SF-424 Application form. Include any other Federal sources of funding in the "Other" box and provide details on those Federal source(s) and funding amount(s) in the required Budget Narrative (see the "Budget Narrative" section below).

**NOTE: The following information starting with the SF424 Application for Federal Assistance Form" through "Application Checklist" is required if you are submitting a Regional Project proposal. If you are submitting a restoration or research Pre-Proposal this information is not required at this time, but will be required if you get invited in April 2021 to submit a full proposal.**

Note: All documents not filled out online in GrantSolultions that require a signature must be hand signed, digitally, or electronically signed. Typing your name in is not acceptable.

**Regional Project Proposal Application**
In Word or pdf format submit the Regional Project proposal. Submit budget sheets separately in Excel or pdf format.

Individuals applying for and receiving funds separate from a business or non-profit organization he/she may own or operate and any entity waived from the SAM.gov registration requirements by the funding bureau or office must submit the signed and dated SF-424B, Assurances for Non-Construction Programs form. The SF-424B, Assurances for Non-Construction Programs are available at https://www.grants.gov/web/grants/forms/sf-424-family.html

Any applicant requesting support for a construction projects must submit as signed and dated SF-424D, Assurances for Construction Programs form. All required application forms are available with this announcement on Grants.gov.

**Project Narrative**

**Project Narrative for Restoration and Research Pre-Proposal and Full Proposal Application**

- Title;
- Project Applicant(s);
- Brief Project Description;
- Management Implication or Application;
- Total Project Cost;
- GLFWRA Funds Requested;
- Non-Federal Partner Match;
- Project Dates;
- GPS Coordinates;
- Rationale and Objectives;
- Methods;
- Deliverables/Products;
- Relevance;
- Resume/Curriculum Vitae;
- Funding Requested Budget Sheet **for Full Proposal only**;
- Non-Federal Match Budget Sheet **for Full Proposal only**; and
- See application template for more details and instructions.

**Project Narrative for Regional Project Proposal Application**

- Title;
- Project Applicant(s) and USFWS Project Manager(s);
- Department of Interior Secretarial Priorities
- GLFWRA Funds Requested;
- Non-Federal Partner Match;
- Total Project Cost;
- Project Dates;
- Management Implication or Application;
- GPS Coordinates;
- Rationale and Objectives;
- Methods;
- Deliverables/Products;
- Relevance;
- Schedule for Completion;
- Budget Justification;
- Optional Non-Federal Match;
- Past GLFWRA Funded Projects;
- Identify Other Funding Sources;
- References;
- Project Dates;

- Resume/Curriculum Vitae;
- Funding Requested Budget Sheet;
- Non-Federal Match Budget Sheet; and
- See application template for more details and instructions

Applicants must submit the appropriate SF-424 Budget Information form and Budget Narrative. For non-construction programs or projects, applicants must complete and submit the SF-424A, "Budget Information for Non-Construction Programs" form. All of the required application forms are available with this announcement on Grants.gov. Federal award recipients and subrecipients are subject to Federal award cost principles in 2 CFR 200. Applicants must show funds requested from this Federal program separately from any other Federal sources of funding. In the "Budget Summary" section of the appropriate SF-424 budget form, use the first row for funding requested from this Federal program. Use subsequent row(s) for funding requested from this Federal program. Use subsequent row(s) for other Federal funding. Enter each Federal program's CFDA number(s) in the corresponding fields on the form. The CFDA number(s) for this program appears on the first page of this announcement.

Applicants must submit the appropriate SF-424 Budget Information form and Budget Narrative. For construction programs or projects, applicants must complete and submit the SF-424C, "Budget Information for Construction Programs". All of the required application forms are available with this announcement on Grants.gov. Federal award recipients and subrecipients are subject to Federal award cost principles in 2 CFR 200. Applicants must show funds requested from this Federal program separately from any other Federal sources of funding. In the "Budget Summary" section of the appropriate SF-424 budget form, use the first row for funding requested from this Federal program. Use subsequent row(s) for funding requested from this Federal program. Use subsequent row(s) for other Federal funding. Enter each Federal program's CFDA number(s) in the corresponding fields on the form. The CFDA number(s) for this program appears on the first page of this announcement.

Applicants seeking approval to acquire real property under an award must complete and submit the SF-429, "Real Property Status Report (Cover Page)" and the SF-429-B, "Real Property Status Report Attachment B (Request to Acquire, Improve, or Furnish)". These forms are required if the real property is acquired with Federal funds, with recipient cost share or matching funds, or as an in-kind contribution under the award. These forms may be found on https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html

**Budget Narrative**

Describe and justify requested budget items and costs. Detail how the SF-424 Budget Information, Object Class Category totals were determined. For personnel salary costs, include the baseline salary figures and the estimates of time. Describe any item of cost that requires prior approval under the Federal cost principles. See 2 CFR 200.407 "Prior written approval (prior approval)" for more information. If equipment purchased previously with Federal funds is available for the project, provide a list of that equipment and identify the Federal funding source. Identify any cash or in-kind contributions that a partner or other entity will contribute to the project and describe how the contributions directly and substantively benefit completion of the project. For in-kind contributions, include the source, the amount, and the valuation

methodology used to determine the total value. See 2 CFR 200.306 “Cost sharing or matching” for more information.

**Conflict of Interest Disclosure**
Per the Financial Assistance Interior Regulation (FAIR), 2 CFR §1402.112,

applicants must state in their application if any actual or potential conflict of interest exists at the time of submission.

(a) *Applicability*.

(1) This section intends to ensure that non-Federal entities and their employees take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements.

(2) In the procurement of supplies, equipment, construction, and services by recipients and by sub recipients, the conflict of interest provisions in 2 CFR 200.318 apply.

(b) *Notification*.

(1) Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass-through entity in accordance with 2 CFR 200.112.

(2) Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the Financial Assistance Officer in writing of any conflicts of interest that may arise during the life of the award, including those that have been reported by sub recipients.

(c) *Restrictions on lobbying*. Non-Federal entities are strictly prohibited from using funds under a grant or cooperative agreement for lobbying activities and must provide the required certifications and disclosures pursuant to 43 CFR part 18 and 31 U.S.C. 1352.

(d) *Review procedures*. The Financial Assistance Officer will examine each conflict of interest disclosure on the basis of its particular facts and the nature of the proposed grant or cooperative agreement, and will determine whether a significant potential conflict exists and, if it does, develop an appropriate means for resolving it.

(e) Enforcement. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award. Failure to make required disclosures may result in any of the remedies described in 2 CFR 200.338, Remedies for noncompliance, including suspension or debarment (see also 2 CFR part 180).

**Single Audit Reporting Statement**
All U.S. states, local governments, federally recognized Indian tribal governments, and non-profit organizations expending $750,000 USD or more in Federal award funds in the applicant’s fiscal year must submit a Single Audit report for that year through the Federal Audit Clearinghouse’s Internet Data Entry System. U.S. state, local government, federally recognized Indian tribal government, and non-profit applicants must state if your organization was or was not required to submit a Single Audit report for the most recently closed fiscal year. If your organization was required to submit a Single Audit report for the most recently closed fiscal

year, provide the EIN associated with that report and state if it is available through the Federal Audit Clearinghouse website.

**Certification Regarding Lobbying**
Applicants requesting more than $100,000 in Federal funding must certify to the statements in 43 CFR Part 18, Appendix A-Certification Regarding Lobbying. If this application requests more than $100,000 in Federal funds, the Authorized Official's signature on the appropriate SF-424, Application for Federal Assistance form also represents the entity's certification of the statements in 43 CFR Part 18, Appendix A.

Applicants and recipients must not use any federally appropriated funds (annually appropriated or continuing appropriations) or matching funds under a Federal award to pay any person for lobbying in connection with the award. Lobbying is influencing or attempting to influence an officer or employee of any U.S. agency, a Member of the U.S. Congress, an officer or employee of the U.S. Congress, or an employee of a Member of the U.S. Congress connection with the award. Applicants and recipients must complete and submit the SF-LLL, "Disclosure of Lobbying Activities" form if the Federal share of the proposal or award is more than $100,000 and the applicant or recipient has made or has agreed to make any payment using non-appropriated funds for lobbying in connection with the application or award. The SF-LLL form is available with this Funding Opportunity on Grants.gov. See 43 CFR, Subpart 18.100 for more information on when additional submission of this form is required.

**Overlap or Duplication of Effort Statement**

Applicants must provide a statement indicating if there is any overlap between this Federal application and any other Federal application, or funded project, in regards to activities, costs, or time commitment of key personnel. If no such overlap or duplication exists, state, "There are no overlaps or duplication between this application and any of our other Federal applications or funded projects, including in regards to activities, costs, or time commitment of key personnel". If any such overlap exists, provide a complete description of overlaps or duplications between this proposal and any other federally funded project or application in regards to activities, costs, and time commitment of key personnel, as applicable. Provide a copy of any overlapping or duplicative proposal submitted to any other potential funding entity and identify when that proposal was submitted, to whom (entity name and program), and when you anticipate being notified of their funding decision. When overlap exists, your statement must end with "We understand that if at any time we receive funding from another source that is duplicative of the funding we are requesting from the U.S. Fish and Wildlife Service in this application, we will immediately notify the U.S. Fish and Wildlife Service point of contact identified in this Funding Opportunity in writing."

## D3. Unique Entity Identifier and System for Award Management (SAM)

**Identifier and System for Award Management (SAM.gov) Registration:** This requirement does not apply to individuals applying for funds as individual (i.e., unrelated to any business or nonprofit organization you may own or operate) or any entity with an exception approved by the funding bureau or office in accordance with bureau or office policy. All other applicants are required to obtain a Data Universal Numbering System (DUNS) number from Dun & Bradstreet

and then register in SAM.gov prior to submitting a Federal award application. Federal award recipients must continue to maintain an active SAM.gov registration with current information through the life of their Federal award(s). See the "Submission Requirements" section of this document below for more information on SAM.gov registration. We may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the program is ready to make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant. **There is no cost to register with Dun & Bradstreet or SAM.gov**. There are third-party vendors who will charge a fee in exchange for registering entities with Dun & Bradstreet and SAM.gov; **please be aware you can register and request help for free**.

**Obtain a DUNS Number**

Request a DUNS Number through the Dun & Bradstreet website. For technical difficulties, send an email to the D&B SAM Help Desk. Please ensure that you are able to receive emails from SAMHelp@dnb.com. The Grants.gov "Obtain a DUNS Number" webpage also provides detailed instructions. Once assigned a DUNS number, your organization must maintain up-to-date information with Dun & Bradstreet. Applicants must enter their DUNS number in the "Organizational DUNS" field on the SF-424, Application for Federal Assistance form.

**Register with the System for Award Management (SAM)**

Register on the SAM.gov website. "Help" tab on the website contains User Guides and other information to assist you with registration. The Grants.gov Register with SAM page also provides detailed instructions. You can also contact the supporting Federal Service Desk for help registering in SAM. Once registered in SAM, entities must renew and revalidate their SAM registration at least once every 12 months from the date previously registered. Entities are strongly encouraged to revalidate their registration as often as needed to ensure their information is up to date and reflects changes that may have been to the entity's DUNS or IRS information.

Foreign entities who want to receive payment directly to a U.S. bank account must enter and maintain valid, current banking information in SAM.

## D4. Submission Dates and Times

**Due Date for Applications**

02/16/2021

**Application Due Date Explanation**

Restoration and research pre-proposals and Regional Project full proposals in Word or pdf format must be submitted in GrantSolutions (https://home.grantsolutions.gov/home/) by **Tuesday, February 16, 2021 before 5:00 PM EST.** Proposals received after this deadline will not be considered.

If your restoration and research pre-proposal is selected for full proposal submission, your full proposal must be submitted in GrantSolutions (https://home.grantsolutions.gov/home/) **by Friday, April 30, 2021 before 5:00 PM EST.** Full proposals received after this deadline will not be considered.

## D5. Intergovernmental Review

Prior to application submission, U.S. state and local government applicants should visit the OMB Office of Federal Financial Management website and view the "State Point of Contact (SPOC) List" to determine whether their application is subject to the state intergovernmental review process under Executive Order (E.O.) 12372 "Intergovernmental Review of Federal Programs." States not on the list do not participate in the intergovernmental review process, and therefore do not have a SPOC. If you are located within a State that does not have a SPOC, you may send application materials directly to a Federal awarding agency. If your state is on the list, contact the designated entity for more information on the state's prior review requirements for Federal assistance applications.

## D6. Funding Restrictions

The Proposal Review Committee has established the following guidelines and restrictions for funding provided through the Act.

- Not less than 25% of the total cost of implementing a proposal shall be paid in cash or in-kind contributions by non-Federal sources.
- Construction and engineering costs are allowed for restoration projects that directly benefit fish and wildlife resources in the Great Lakes Basin.
- Equipment purchases are allowable for those items necessary to meet the stated project objectives and shall follow the requirements within 2 CFR 200, including but not limited to 2 CFR 200.12, 2 CFR 200.313, and 200.407.
- Indirect Cost – You will need to do the one of the following related to indirect costs. Either Provide: 1). A copy of your current Negotiated Indirect Cost Rate Agreement (NICRA), and 2). A written statement signed by the official having the authority to negotiate indirect cost rates for the recipient organization notifying us that they will assess a reduced indirect cost rate. This statement must also specify to which project(s) the reduced rate will apply, or 3). A statement in the Budget Narrative indicating recipient organization does not have a NICRA.
- Regional Project full proposals selected for funding, will be issued a cooperative agreement as the funding instrument. Cooperative Ecosystem Studies Units (CESU) Network partners that submit Regional Project full proposals that qualify as CESU projects will be subject to the CESU indirect cost rate cap (currently 17.5%).
- Funding is not available for salaries of permanent or tenured staff; however, tenured staff on less than 12 month appointments are eligible for funding (e.g., 9 month tenured staff would be eligible for up to 3 months funding). Staff on soft money or with annual contracts are eligible for funding.
- The development of management plans is not eligible for funding; however, gathering important information for the development of management plans and implementing actions listed in management plans are eligible for funding.
- Travel funds to attend and present results at conferences is limited to $1,000 per person, up to a maximum of $2,000 per award.

- All funded projects are required to document compliance with the National Environmental Policy Act (NEPA). Pursuant to this, successful applicants must complete a series of environmental checks and/or documents to ensure compliance. Depending on the scope and scale of the project this may be as simple as a NEPA checklist or as complex as the preparation of an Environmental Impact Statement. Service funds cannot be used to complete on the ground work until NEPA compliance has been documented.
- **Grant funds may be used** for project activities that **occur after the receipt of the restoration and research full proposal (April 30, 2021)**, however, **costs must be authorized and approved by the Service per 2 CFR 200 and costs will NOT be reimbursed if the proposal is not approved for funding.** Project activities that occur before the receipt of the **restoration and research full proposal (April 30, 2021)** are ineligible as a grant activity and will not be reimbursed with grant funds.
- If your proposal is selected for funding, pre-federal award costs will be allowed, if deemed necessary by the GLFWRA Coordinator.

**Indirect Costs: Individuals**
Individuals applying for and receiving funds separate from a business or non-profit organization he/she may operate are not eligible to charge indirect costs to their award. If you are an individual applying for funding, you must not include any indirect costs in your proposed budget. Individuals are not required to submit any of the following statements regarding indirect costs.

**Indirect Costs: Organizations**
The Federal awarding agency that provides the largest amount of direct funding to your organization is your cognizant agency for indirect costs, unless otherwise assigned by the White House Office of Management and Budget (OMB). If the Department of the Interior is your organization's cognizant agency, the Interior Business Center will negotiate your indirect cost rate. Contact the Interior Business Center by phone 916-930-3803 or using the IBC Email Submission Form. See the IBC Website for more information.

Organizations must have an active Federal award before they can submit an indirect cost rate proposal to their cognizant agency. Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award. Recipients must have prior written approval from the Service to use amounts budgeted for direct costs to satisfy cost-share or match requirements or to cover unallowable indirect costs. Recipients shall not shift unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.

**Required Indirect Cost Statement to be submitted with Application**
All organizations must include the applicable statement from the following list in their application, and attach to their application any documentation identified in the applicable statement:

We are:

- A U.S. state or local government entity receiving more than $35 million in direct Federal funding each year with an indirect cost rate of [insert rate]. We submit our indirect cost rate proposals to our cognizant agency. Attached is a copy of our most recently approved rate agreement/certification.

- A U.S. state or local government entity receiving less than $35 million in direct Federal funding with an indirect cost rate of [insert rate]. We have prepared and will retain for audit an indirect cost rate proposal and related documentation.
- A [insert your organization type; U.S. states and local governments, do not use this statement] that has previously negotiated or currently has an approved indirect cost rate with our cognizant agency. Our indirect cost rate is [insert rate]. [Insert either: "Attached is a copy of our most recently approved but expired rate agreement. In the event an award is made, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award is made." *or* "Attached is a copy of our current negotiated indirect cost rate agreement."]
- A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency. Our indirect cost rate is [insert rate]. If we receive an award, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award date.
- A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency and has an indirect cost rate that is lower than 10%. Our indirect cost rate is [insert rate; must be lower than 10%]. However, if we receive an award we will not be able to meet the requirement to submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after award. We request as a condition of award to charge a flat indirect cost rate of [insert rate; must be lower than 10%] against [insert a clear description of the direct cost base against which your rate is charged (e.g., salaries; salaries and fringe benefits; or modified total direct costs). However, please note that your organization cannot charge indirect costs in excess of the indirect costs that would be recovered if applied against modified total direct costs as defined in §2 CFR 200.68]. We understand that we must notify the Service in writing if we establish an approved rate with our cognizant agency at any point during the award period.
- A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency and has an indirect cost rate that is 10% or higher. Our indirect cost rate is [insert your organization's indirect rate; must be 10% or higher]. However, if we receive an award we will not be able to meet the requirement to submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after award. We request as a condition of award to charge a flat *de minimis* indirect cost rate of 10% to be charged against modified total direct project costs as defined in 2 CFR §200.68. We understand that we must notify the Service in writing if we establish a negotiated rate with our cognizant agency at any point during the award period. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs during the project period and that such changes are subject to review, negotiation, and prior approval by the Service.
- A [insert your organization type] that is submitting this proposal for consideration under the [insert either "Cooperative Fish and Wildlife Research Unit Program" or "Cooperative Ecosystem Studies Unit Network"], which has a Department of the Interior-approved indirect cost rate cap of [insert program rate]. If we have an approved indirect cost rate with our cognizant agency, we understand that we must apply this reduced rate against the same direct cost base as identified in our approved indirect cost rate agreement per 2 CFR §1402.414. If we do not have an approved indirect cost rate with our cognizant agency, we understand that we must charge indirect costs against the

modified total direct cost base defined in 2 CFR §200.68 "Modified Total Direct Cost (MTDC)". We understand that we must request prior approval from the Service to use the 2 CFR 200 MTDC base instead of the base identified in our approved indirect cost rate agreement. We understand that Service approval of such a request will be based on: 1) a determination that our approved base is only a subset of the MTDC (such as salaries and wages); and 2) that use of the MTDC base will still result in a reduction of the total indirect costs to be charged to the award. In accordance with 2 CFR §200.405, we understand that indirect costs not recovered due to a voluntary reduction to our federally negotiated rate are not allowable for recovery via any other means.

- A [insert your organization type] that will charge all costs directly.

### D7. Other Submission Requirements

Restoration and research pre-proposals and Regional Project full proposals in Word or pdf format must be submitted in GrantSolutions (https://home.grantsolutions.gov/home/) by **Tuesday, February 16, 2021 before 5:00 PM EST.** Restoration and research Pre-proposals and Regional Project proposals received after this deadline will not be considered.

If your restoration and research pre-proposal is selected for full proposal submission, your restoration and research full proposal must be submitted in GrantSolutions (https://home.grantsolutions.gov/home/) **by Friday, April 30, 2021 before 5:00 PM EST.** Full proposals received after this deadline will not be considered.

## E. Application Review Information

### E1. Criteria

**Please see Table 1 GLFWRA Proposal Review Criteria for more details.** **Maximum Points:** 80

Criteria: Restoration and research pre-proposals, full proposals and Regional Project full proposals will be reviewed and evaluated based on the following eight criteria as described in **Table 1** (see attachment for more information):

Management Significance and Relevancy to the Act:
Importance of Problem or Opportunity
Project Impact and Scale
Target Species/Habitats
Impacts both Fish and Wildlife

Project Characteristics:
Objective and Methods
Cost or Value
Likelihood of Success
Potential for Negative Impacts

All proposals should focus on the restoration and or research of fish and/or wildlife resources in the Great Lakes Basin and should be consistent with the goals of the Great Lakes Fish and Wildlife Restoration Act of 2016 and the recommendations of the Great Lakes Regional Collaboration's "Strategy to Restore and Protect the Great Lakes." When appropriate, all proposals should be consistent with:

a. The Great Lakes Restoration Initiative Action Plan III;
b. The goals of the Great Lakes Water Quality Agreement;
c. The Non-indigenous Aquatic Nuisance Prevention and Control Act as reauthorized by the National Invasive Species Act;
d. The recommendations from the Great Lakes Fishery Resources Restoration Study of 1995;
e. The fish community objectives identified by the lake committees and the Council of Lake Committees;
f. The Convention on Great Lakes Fisheries;
g. The Joint Strategic Plan for Management of Great Lakes Fisheries;
h. The North American Waterfowl Management Plan;
a. Addresses research and/or monitoring priorities of the Upper Mississippi River and Great Lakes Region Joint Venture 2007 Implementation Plan; and

a. Applicable State Wildlife Action Plans; and

j. Additional step down plans that further specify the implementation of the goals and objectives of the above plans at the state, tribal, watershed or local level.

## E2. Review and Selection Process

Prior to award, the program will review any applicant statement regarding potential overlap or duplication between the project to be funded and any other funded or proposed project in terms of activities, funding, or time commitment of key personnel. Depending on the circumstances, the program may request modification to the application, other pending applications, or an active award, as needed to eliminate any duplication of effort, or the Bureau may choose not to fund the selected project.

The program may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the Bureau is ready to make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant.

Prior to award, the program will evaluate the risk posed by applicants as required in 2 CFR 200.205. Programs document applicant risk evaluations using the Bureau's "Financial Assistance Recipient Risk Assessment" form. Prior to approving awards for Federal funding in excess of the simplified acquisition threshold (currently $250,000), the Bureau is required to review and consider any information about or from the applicant found in the Federal Awardee Performance and Integrity Information System. The Bureau will consider this information when completing

the risk review. The Bureau uses the results of the risk evaluation to establish monitoring plans, recipient reporting frequency requirements, and to determine if one or more of the specific award conditions in 2 CFR 200.207 should be applied to the award.

*Proposal Review Committee*: The Proposal Review Committee (PRC) is comprised of two representatives of each of the State Directors (New York, Ohio, Indiana, Illinois, Michigan, Wisconsin, Minnesota and Pennsylvania) and Indian Tribes (Chippewa Ottawa Resource Authority, Great Lakes Indian Fish and Wildlife Commission, Keweenaw Bay Indian Community, Seneca Nation, Oneida Nation, and Fond du Lac Band of Lake Superior Chippewa) in the Great Lakes Basin. The two representatives (one fishery expert and one wildlife expert) are appointed by the State Director or Indian Tribe to serve on the PRC. The PRC is responsible for review of research, restoration and Regional Project proposals and provides recommendations to the Midwest Region Director on which proposals should be funded and implemented. The PRC operates under the guidance of the Service.

*Pre-Proposals and Full Proposals Restoration and Research*: Restoration and research pre-proposals are reviewed, scored and ranked by the PRC using the criteria in Table 1 (see attachment). Successful applicants are invited to submit full proposals based on the merit of the pre-proposal. Full proposals have a minimum of two peer reviewers and each peer reviewer completes an evaluation form and signs a conflict of interest form. Peer reviewers are selected by the GLFWRA Coordinator based on their technical expertise. Applicants are not required to provide a list of peer reviewers for their proposal. The PRC receives a peer reviewer summary for each proposal for use during their scoring and ranking process. The PRC recommends full proposals to be funded to the Regional Director Great Lakes Region.

*Regional Project Proposals*: The PRC reviews the Regional Project proposals using the criteria in Table 1. Each proposal is scored and ranked by the PRC. In April 2021, applicants are invited to give a presentation on their proposal to the PRC. The PRC makes recommendations to the Midwest Region Director on how much of the annual appropriations should be allocated to Regional Projects and which projects should be developed into final Service work plans for funding.

*All Proposals*: Prior to participating in any review or evaluation process, all staff and peer reviewers, evaluators, panel members, and advisors must sign and return to the program office point of contact the "Department of the Interior Conflict of Interest Certification" form. For a copy of this form, contact the Service point of contact identified in the Agency Contacts section below.

The PRC may negotiate selected proposals scopes of work and budgets prior to making a recommendation for funding to the Regional Director Great Lakes Region for approval. Applicants may indicate a range for funding requested from the Act (e.g. $100,000 to $250,000) for restoration and research pre-proposals and full proposals, and Regional Project full proposals, which will indicate to the PRC that the projects scope of work and budget is negotiable or scalable.

### E3. CFR – Regulatory Information

See the Service's General Award Terms and Conditions for the general administrative and national policy requirements applicable to Service awards. The Service will communicate any other program- or project-specific special terms and conditions to recipients in their notices of award.

### E4. Anticipated Announcement and Federal Award Dates

Depending on approval by the Regional Director Great Lakes Region and available funding, successful restoration and research full proposal, and Regional Project full proposal applicants can anticipate receiving an official grant or cooperative agreement by mid to late August or mid-September 2021.

## F. Federal Award Administration Information

### F1. Federal Award Notices

The tentative Fiscal Year 2021 GLFWRA schedule showing important dates is presented below:

| | |
|---|---|
| Restoration and Research Pre-Proposals and Regional Project Full Proposals Due | Feb 16, 2021 |
| Restoration and Research Pre-Proposals Selected for Full Proposal Development | Mar 31, 2021 |
| Applicants Notified to Submit Restoration and Research Full Proposals | Apr 1, 2021 |
| Applicants Regional Project Full Proposal Presentation to the PRC | Apr 16, 2021 |
| Restoration and Research Full Proposals Due | Apr 30, 2021 |
| Restoration and Research Full Proposals sent to Peer Reviewers and PRC | May 7, 2021 |
| Restoration and Research Full Proposal Peer Reviewer Evaluations Due | Jun 4, 2021 |
| Peer Reviewer Evaluation Summary to PRC | Jun 11, 2021 |
| Restoration and Research Full Proposals Selected for Funding by the PRC | Jun 30, 2021 |
| Service Approves Funds for Regional Projects Full Proposals and Restoration and Research Full Proposals | Jul 16, 2021 |

| | |
|---|---|
| Successful and Unsuccessful Restoration and Research Full Proposal and Regional Project Full Proposal Applicants Notified via Email | Jul 25, 2021 |
| Successful Restoration and Research Full Proposal and Regional Project Full Proposal Applicants Receive Financial Agreement Award Letter | Aug/Sept 2021 |

Depending on approval by the Regional Director Great Lakes Region and available funding, successful restoration and research and Regional Project applicants can anticipate receiving an official grant or cooperative agreement by mid to late August or mid-September 2021. The agreement serves as the official notice of award to the applicant and provides important information on the details of the agreement and expectations of both the Service and recipient for the life of the agreement.

Successful applicants will receive an email between mid-August and mid-September 2021 from GrantSolutions notifying them the award letter or financial agreement (grant or cooperative) has been approved.

Unsuccessful applicants will receive an email around July 25, 2021 notifying them their restoration and research full proposal was not selected for funding. The email will include PRC comments and Peer Reviewer evaluations and comments.

## F2. Administrative and National Policy Requirements

See the "DOI Standard Terms and Conditions" for the administrative and national policy requirements applicable to DOI awards.

**Data Availability**

Per the Financial Assistance Interior Regulation (FAIR), 2 CFR §1402.315:

(a) All data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, valuation products or other scientific assessments in any medium or form, including textual, numerical, graphic, cartographic, narrative, or audiovisual, resulting from a financial assistance agreement is available for use by the Department of the Interior, including being available in a manner that is sufficient for independent verification.

(b) The Federal Government has the right to:

(1) Obtain, reproduce, publish, or otherwise use the data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, produced under a Federal award; and

(2) Authorize others to receive, reproduce, publish, or otherwise use such data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, for Federal purposes, including to allow for meaningful third-party evaluation.

See the Service's General Award Terms and Conditions for the general administrative and national policy requirements applicable to Service awards. The Service will communicate any other program- or project-specific special terms and conditions to recipients in their notices of award.

## F3. Reporting

### Financial Reports

All recipients must use the SF-425, Federal Financial Report form for financial reporting. At a minimum, all recipients must submit a **final** financial report. Final reports are due no later than 90 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award. The only exception to the interim financial reporting requirement is if the recipient is required to use the SF 270/271 to request payment and requests payment at least once annually through the entire award period of performance. We will describe all financial reporting requirements in the Notice of Award.

### Performance Reports

Performance reports must contain a comparison of actual accomplishments with the established goals and objectives of the award; a description of reasons why established goals were not met, if appropriate; and any other pertinent information relevant to the project results. Final reports are due no later than 90 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** performance reports on the frequency established in the Notice of Award.

The GLFWRA Coordinator will email performance reporting templates to successful applicants.

### Significant Development Reports

Events may occur between the scheduled performance reporting dates which have significant impact upon the supported activity. In such cases, recipients are required to notify the Bureau in writing as soon as the recipient becomes aware of any problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation. The recipient should also notify the Service in writing of any favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

### Real Property Reports

Recipients and subrecipients are required to submit status reports on the status of real property acquired under the award in which the Federal government retains an interest. The required frequency of these reports will depend on the anticipated length of the Federal interest period. The Bureau will include recipient-specific real property reporting requirements, including the required data elements, reporting frequency, and report due dates, in the Notice of Award when applicable.

### Conflict of Interest Disclosures

Recipients must notify the program immediately in writing of any conflict of interest that arise during the life of their Federal award, including those reported to them by any subrecipient under the award. Recipients must notify the program in writing if any employees, including subrecipient and contractor personnel, are related to, married to, or have a close personal relationship with any Federal employee in the Federal funding program or who otherwise may have been involved in the review and selection of the award. The term employee means any individual engaged in the performance of work pursuant to the Federal award. Recipients may

not have a former Federal employee as a key project official, or in any other substantial role related to their award, whose participation put them out of compliance with the legal authorities addressing post-Government employment restrictions. See the U.S. Office of Government Ethics website for more information on these restrictions. The Service will examine each conflict of interest disclosure based on its particular facts and the nature of the project and will determine if a significant potential conflict exists. If it does, the Service will work with the recipient to determine an appropriate resolution. Failure to disclose and resolve conflicts of interest in a manner that satisfies the Service may result in any of the remedies described in 2 CFR 200.338 Remedies for Noncompliance, including termination of the award.

**Other Mandatory Disclosures**
The Non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Non-Federal entities that receive a Federal award including the terms and conditions outlined in 2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies described in 2 CFR 200.338 Remedies for Noncompliance, including suspension or debarment.

**Reporting Matters Related to Recipient Integrity and Performance**
If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds $10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings in accordance with Appendix XII to 2 CFR 200.

## G. Federal Awarding Agency Contact(s)

### G1. Program Technical Contact

For **programmatic technical assistance**, contact:

First Name:
Rick

Last Name:
Westerhof

Address:
6623 Turner Road, Elmira, MI 49730

Telephone:
231-282-2736

Email:

rick_westerhof@fws.gov

## G2. Program Administration

For **program administration assistance**, contact:

First Name:
Rick

Last Name:
Westerhof

Address:
6623 Turner Road, Elmira, MI 49730

Telephone:
231-282-2736

Email:
rick_westerhof@fws.gov

## G3. Application System Technical Support

For **Grants.gov technical registration and submission, downloading forms and application packages**, contact:

Name:
Grants.gov Customer Support

Telephone:
1-800-518-4726

Email:
Support@grants.gov

For **GrantSolutions technical registration and submissions, downloading forms and application packages**, contact:

Name:
GrantSolutions Customer Support

Telephone:
1-866-577-0771

Email:
Help@grantsolutions.gov

## H. Other Information

**Payments**

Domestic recipients are required to register in and receive payment through the U.S. Treasury's Automated Standard Application for Payments (ASAP), unless approved for a waiver by the Service program. Foreign recipients receiving funds to a final destination bank outside the U.S. are required to receive payment through the U.S. Treasury's International Treasury Services

(ITS) System. Foreign recipients receiving funds to a final destination bank in the U.S. are required to enter and maintain current banking details in their SAM.gov entity profile and receive payment through the Automated Clearing House network by electronic funds transfer (EFT). The Bureau will include recipient-specific instructions on how to request payment, including identification of any additional information required and where to submit payment requests, as applicable, in all Notices of Award.

**PAPERWORK REDUCTION ACT STATEMENT:**

**OMB Control Number: 1018-0100, Expiration Date: 7/31/2021**

We are collecting this information in accordance with the authorizing legislation identified above. Your response is required to obtain or retain a benefit. We will use the information you provide to conduct a competitive review and select projects for funding and, if awarded, to evaluate performance. We may not conduct or sponsor and you are not required to respond to a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. We estimate that it will take you on average about 40 hours to complete an initial application, about 3 hours to revise the terms of an award, and about 8 hours per report to prepare and submit financial and performance reports, including time to maintain records and gather information. Actual time for these activities will vary depending on program-specific requirements. You may send comments on the burden estimate or any other aspect of this information collection to the Information Collection Clearance Officer, U.S. Fish and Wildlife Service, MS BPHC, 5275 Leesburg Pike, Falls Church, VA 22041-3803.