

MODIFICATION OF ASSISTANCE			Page 1 of 7
1. MODIFICATION NUMBER 01	2. EFFECTIVE DATE OF MODIFICATION See block 15	3. AWARD NUMBER:	4. EFFECTIVE DATE OF AWARD :
5. GRANTEE: TBD DUNS NO. : TIN NO. : LOC NO. :		6. ADMINISTERED BY: Regional Office of Acquisition and Assistance USAID/Peru Av. La Encalada Cdra. 17 Lima 33, Peru	
7. FISCAL DATA: Amount Obligated: \$ Budget Fiscal Year: Operating Unit: USAID/Peru Strategic Objective: Team/Division: Benefiting Geo Area: Object Class:		8. TECHNICAL OFFICE: USAID/Peru 9. PAYMENT OFFICE:	
10. FUNDING SUMMARY:			
		Obligated Amount	Total Est. Amt.
Amount Prior to this Modification:			
Change Made by this Modification:			
New/Current Total:			
11. DESCRIPTION OF MODIFICATION: The purpose of this amendment is to extend the APS closing date and time to November 27, 2012 – COB and to expand the Natural Resource Management area and to include one additional programmatic area. Accordingly, the APS is hereby amended as follows: Continued...			
12. THIS MODIFICATION IS ENTERED INTO PURSUANT TO THE AUTHORITY OF FAA of 1961, as amended. AS AMENDED. EXCEPT AS SPECIFICALLY HEREIN AMENDED, ALL TERMS AND CONDITIONS OF THE GRANT REFERENCED IN BLOCK #3 ABOVE, AS IT MAY HAVE HERETOFORE BEEN AMENDED, REMAIN UNCHANGED AND IN FULL FORCE AND EFFECT.			
13. GRANTEE: ☐ IS ☐ IS NOT REQUIRED TO SIGN THIS DOCUMENT TO RECONFIRM ITS AGREEMENT WITH THE CHANGES EFFECTED HEREIN			
14. GRANTEE: BY: _____ _____ (Name Typed or Printed) TITLE: _____ DATE: _____		15. THE UNITED STATES OF AMERICA U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT BY: <u>G. Michael Junge</u> G. Michael Junge (Name Typed or Printed) TITLE: Regional Agreement Officer DATE: 5-25-12	

1. COVER LETTER: make the following changes:

- a. **Closing Date and Time for Concept Papers:** Delete “May 27, 2012 – COB” and replace it with “November 27, 2012 – COB.”

- b. **Third Paragraph:** Delete in its entirety and insert in lieu thereof:

“Subject to availability of fund, the United States Government intends to award approximately 4-6 grants lasting from 1 to 3 years with the exception of Natural Resources Management area which will last 1 to 4 years under this APS. USAID however, reserves the right to enter into more, or not to enter into any awards. The award(s) will be made in accordance with evaluation procedures provided in Section 2.4. USAID intends to provide approximately \$16,000,000 to \$19,000,000 million (\$4 to 5 million per year). Should additional funds become available; an amendment to this APS will be issued.”

2. SECTION I – FUNDING OPPORTUNITY: Make the following changes:

- a. **Item 1 –Local Partners Program Objectives:** Delete paragraphs 2 and 3 and insert in lieu thereof:

“Over the next five years, we seek to achieve the following three development objectives: (1) a sustainable economic and social model is adopted by communities in targeted areas vulnerable to coca cultivation, (2) improved governance in targeted areas, and (3) natural resources are sustainably managed in targeted areas. USAID has worked closely with the Government of Peru (GOP), including DEVIDA, the Ministry of the Environment (MINAM), the Ministry of Education (MINEDU), and the Ministry of Woman Affairs and Vulnerable Populations, and a broad set of stakeholders across Peru to establish these priority areas and to implement programs. Through the LPP, we envision multiple sub-programs or awards in the following areas: Alternative Development, Natural Resource Management, Basic Education (as it relates to intercultural bilingual education), and Addressing the Needs of People with Disabilities. Notwithstanding, we reserve the right to fund and/or support awards in areas outside of the four areas aforementioned.

USAID also prioritizes issues related to equality, specifically with respect to gender, indigenous groups, and other vulnerable populations. Hence, we seek applications in the aforementioned areas that also address these cross-cutting issues. Moreover, proposed interventions should be based upon a gender analysis that considers how the roles that men and women play could impact implementation and/or results as well as how the proposed program could potentially affect men and women differently.

USAID/Peru reserves the right to consider any application that is outside the APS's geographic focus areas.”

- b. **Item 1.2. Natural Resource Management:** Add the following:

- 1) Under Background: Add the following paragraphs at the end of this section:

“USAID’s forest program aligns with national and sub-national REDD+ initiatives and strategies to address threats to forest stocks and deficiencies in forest management, including land use conversion linked to agriculture and ranching, illegal logging, informal mining, coca growing, infrastructure developments, corruption, poor institutional capacities, and the exploitation of communities dependent on the forest for their livelihoods.

USAID’s overall environment program works to advance government and community capacities to manage forest carbon stocks; enhance forest sector governance and protected area management; develop models for mapping forests and creating carbon stock inventories; educate and include stakeholders in REDD+ processes; promote an enabling environment for the payment of environmental services such as carbon sequestration; and develop demonstration projects that achieve both carbon emission reductions and other benefits such as sustainable economic growth.

- 2) Under Natural Resource Management Program Objectives: Add at the end of this section a “Climate Change Sub-Objective” as follows:

“Climate Change Sub-Objective:

USAID intends to support activities linked to Peru's Climate Change Strategy, its REDD Preparation Proposal, and its new forestry law. USAID considers regional governments, native communities and civil society organizations as key partners for the implementation of its natural resource management program. USAID will support applications with a focus on conservation, institutionalizing participatory governance at the community level, and promoting environmentally sustainable economic development. The overall program objective is to protect biodiversity and forests, reduce forest-based carbon emissions, and develop sustainable forest-based livelihoods including payment for environmental services.

Under this programmatic area of the LPP, USAID seeks to support a program, focusing on the departments that comprise the Amazon Watershed, that (1) improves environmental management as it pertains to biodiversity and/or forest conservation, (2) promotes competent and participatory governance at community levels, and (3) furthers the development of environmentally sustainable livelihoods for people living near environmentally sensitive areas.

USAID intends to support environmental activities in both biodiversity and sustainable landscapes. If the applicant intends to propose a biodiversity activity, it must comply with the following four USAID biodiversity requirements:

1. have an explicit and primary biodiversity conservation or management objective;
2. be based upon an analysis of biodiversity threats;
3. monitor indicators for biodiversity conservation; and
4. have the intent to positively impact biologically significant areas.

If the applicant intends to propose a sustainable landscapes activity, it must comply with the following USAID guidance:

USAID sustainable landscape investments are meant to contribute to moving Peru into a low greenhouse gas emissions, high carbon sequestration development pathway in the land use sector. USAID supported sustainable landscapes programs shall support one or more of the following:

- Assist the design and/or implementation of Peru's national or sub-national REDD+ strategies.
- Build institutional capacity to estimate report and monitor greenhouse gases at the national or subnational levels.
- Strengthen national or sub-national forest and forest carbon inventory and monitoring systems.
- Support reductions in greenhouse gas emissions through improved forest management, including through addressing forest governance, land tenure and carbon rights, and institutional capacity.
- Support development of carbon revenue distribution systems and legal frameworks.
- REDD+ field demonstration activities, including activities to reduce deforestation and degradation which are linked to national or sub-national frameworks.

USAID-supported programs should feed into larger community or government strategies as they relate to biodiversity conservation, forestry management, and/or climate change. These strategies may include, for example, the regional government land management plan and/or the national system of protected areas under the administration of SERNANP (*Servicio Nacional de Áreas Naturales Protegidas*).

We are also interested in supporting interventions that incorporate gender-related initiatives that will contribute to improvement in closing identified gender gaps for equitable participation and benefits of both men and women. Applicants shall indicate how they understand the relevant gender issues, including how they intend to seek further analysis and act upon the identified issues, according to the level of intervention.”

- c. Include Sub- Item **1.4. Addressing the Needs of People with Disabilities**, as follows:

“1.4. Addressing the Needs of People with Disabilities

Background

According to the World Health Organization (WHO), approximately 600 million people throughout the world have a disability and 400 million of those live in developing countries. In Peru, an estimated 10-12 percent of Peruvian households have family members with some form of disability.

People with disabilities (PWD) historically have been marginalized from traditional development activities due to discrimination and inadvertent barriers which have limited their access to health care services, education, employment, and civil society integration.

In addition to PWD targeted programs, USAID is committed to integrating PWD into its programs and activities and to building the capacity of disability organizations that advocate for and offer services on behalf of PWD. This commitment is reinforced by USAID's Disability Policy and extends from the design and implementation of USAID programming to advocacy for and outreach to PWD.

USAID policy advances a clear vision and framework for the Agency's efforts in the area of disability. The policy states that USAID will not discriminate against PWD and will work to ensure the inclusion of PWD in USAID-funded programs and activities. The policy also calls on USAID missions to reach out to partners, host country counterparts, governments, implementing organizations and other donors to lead a collaborative effort to end discrimination against, and promote equal opportunity for PWD. USAID's policy ensures that PWD are included at every level, as administrators, partners, and beneficiaries.

The USAID mission in Peru has begun a concerted effort in the area of disability and proposed to engage on disability-related issues in a more substantial way, increasing its support for programs addressing the needs of PWD.

Addressing the Needs of People with Disabilities (PWD) Program Objective

Under this programmatic area of the LPP, USAID aims to address the needs of PWD by: (i) improving care, support and protection for PWD, (ii) increasing the capacity of PWD to participate in social and economic development activities, and (iii) strengthening the capacity of disabled people's organizations (DPOs).

Illustrative outcomes under this component may include, but are not limited to:

A. Improved care, support and protection for PWD:

- 1) Improved care, support, and protection for the special needs of children with disabilities, especially by addressing the physical, social, educational, economic, and/or emotional needs of children with disabilities.
- 2) Increased offer of quality care and rehabilitation services for PWD, particularly those who have sustained mobility-related injuries from unexploded ordnance, antipersonnel landmines, and other direct and indirect causes of disability, including preventable diseases that might result from interrupted immunization campaigns.

- 3) Increased availability of suitable wheelchairs or other ambulatory devices and the associated training and education needed to accompany their distribution.
- 4) Improved capacity of humanitarian relief workers and first responders to incorporate the needs of PWD in all disaster relief planning.

B. Increased capacity of PWD to participate in social and economic development activities:

- 1) Increased employment and economic opportunities for PWD.
- 2) Increased participation of PWD in democratic and/or governance processes.
- 3) Improved national, regional and local policies and practices to better respond to the needs of PWD.

C. Strengthened capacity of disabled person's organizations (DPOs):

- 1) Improved organizational capacity, financial management, human/material resources, strategic planning, fundraising, leadership and coordination of DPOs.
- 2) Developed advocacy skills of DPOs to increase the inclusion of PWD in programs funded by USAID, host governments and other donors.

A significant characteristic of the USAID strategy for working with PWD is stakeholder involvement, sustainability, and local capacity development. The proposed program should engage stakeholders and other USAID partners to ultimately improve the quality of life for PWD in Peru. Potential stakeholders include but are not limited to community and family groups, local institutions, targeted regional and local governments, the Ministry of Woman Affairs and Vulnerable Populations, and the Ministry of Health. The engagement of community leaders and families is particularly critical in order to increase availability of and demand for quality disability programs and services, and to engender greater accountability from local leaders.

A successful application will demonstrate the following elements:

- 1) Appropriate to Environment and Individual. Interventions and programs should be appropriate for the level of development (social, infrastructure, economic) of the community where the end users reside. Programs should be suitable and appropriate for use by the users in their communities, and adaptable to the needs and requirements of each individual user.
- 2) Sustainable Local Services. Interventions should establish sustainable services for users, and, where appropriate, should utilize local production of quality, affordable, and locally repairable products.
- 3) Training for Service Providers. Users should have access to trained support in the use of the program after USAID support ends. For example, a wheelchair supplier program should ensure the training and expertise of available technicians

to in properly assess PWD and appropriately prescribe the wheelchairs, as well as ensure users receive basic training in safe and effective use.

- 4) Quality of Life. Program interventions should not be just about the immediate provision of a good or service. Rather, the program should aim to increasing the quality of PWD lives through increased mobility, independence, and integration into society.

USAID seeks that proposed interventions be implemented especially in (but not limited to) the following priority regions: Amazonas, Ucayali, San Martin, Loreto and Madre de Dios.

USAID strongly prefers that proposed interventions be based upon effective methodologies that have delivered successful results, drawing on evidence and state-of-the-art knowledge in inclusion of PWD in developing countries from around the world.

USAID also expects disability programs to contribute to local, regional and/or national government strategies as they relate to promoting the social inclusion and development of PWD, with awardees coordinating closely with other USAID partners, government authorities, and other stakeholders in the country. Furthermore, we strongly prefer to support local organizations' programs that leverage significant resources through strategic alliances with private and/or public sector partners.

In addition, we are interested in supporting interventions that incorporate gender-related initiatives that will contribute with the social inclusion and development of PWD. Therefore, the applicant must consider gender as it pertains to the proposed intervention and indicate how these issues will be approached.

In summary, USAID seeks to support programs that address the needs of PWD by: (i) improving care, support and protection for PWD, (ii) increasing the capacity of PWD to participate in social and economic development activities, and (ii) strengthen the capacity of disabled people's organizations."

3. SECTION II – AWARD INFORMATION: Delete Item 1 – ESTIMATED FUNDS AVAILABLE and insert in lieu thereof:

"1. ESTIMATED FUNDS AVAILABLE

The estimated funding amount is \$16 to 19 million (\$4 to 5 million per year), subject to the availability of funds, for approximately 4-6 grants lasting from 1 to 3 years with the exception of Natural Resources Management area which will last 1 to 4 years under this APS . The funding amount designated for each programmatic area will be allocated as follows. For the Alternative Development Strengthening Social Capital program, up to \$2.5 million per year is estimated to be available to fund one or two awards, over a period of one to three years. For the Intercultural Bilingual Education in the Amazon program, up to \$300,000 per year is estimated to be available to fund one to three awards, over a period of one to three years. For the Natural Resource Management program, up to \$1 million per year is estimated to be available to fund one or three awards over a period of one to four years. For the Addressing

the Needs of People with Disabilities program, up to \$1 million per year is estimated to be available to fund one to three awards, over a period of one to three years.

USAID will not consider funding any program of less than \$100,000 over a period of two years. The total funding may be increased if funds are available, and especially worthy applications are submitted."

[END OF AMENDMENT No. 01]