

Bureau of Land Management

CASO - BLM California State Office



2021  
L21AS00442

05/31/2021

## Table of Contents

|                                                                          |    |
|--------------------------------------------------------------------------|----|
| A. Program Description .....                                             | 2  |
| A1. Authority .....                                                      | 2  |
| A2. Background, Purpose and Program Requirements .....                   | 2  |
| B. Federal Award Information .....                                       | 4  |
| B1. Total Funding .....                                                  | 4  |
| B2. Expected Award Amount .....                                          | 4  |
| B3. Anticipated Award Funding and Dates .....                            | 4  |
| B4. Number of Awards .....                                               | 4  |
| B5. Type of Award .....                                                  | 4  |
| B6. Additional Funding Information .....                                 | 5  |
| C. Eligibility Information .....                                         | 5  |
| C1. Eligible Applicants .....                                            | 5  |
| C2. Cost Sharing or Matching .....                                       | 6  |
| C3. Other .....                                                          | 6  |
| D. Application and Submission Information .....                          | 6  |
| D1. Address to Request Application Package .....                         | 6  |
| D2. Content and Form of Application Submission .....                     | 6  |
| D3. Unique Entity Identifier and System for Award Management (SAM) ..... | 10 |
| D4. Submission Dates and Times .....                                     | 11 |
| D5. Intergovernmental Review .....                                       | 11 |
| D6. Funding Restrictions .....                                           | 11 |
| D7. Other Submission Requirements .....                                  | 13 |
| E. Application Review Information .....                                  | 13 |
| E1. Criteria .....                                                       | 13 |
| E2. Review and Selection Process .....                                   | 17 |
| E3. CFR – Regulatory Information .....                                   | 17 |
| E4. Anticipated Announcement and Federal Award Dates .....               | 17 |
| F. Federal Award Administration Information .....                        | 17 |
| F1. Federal Award Notices .....                                          | 17 |
| F2. Administrative and National Policy Requirements .....                | 18 |
| F3. Reporting .....                                                      | 19 |
| G. Federal Awarding Agency Contact(s) .....                              | 20 |
| G1. Program Technical Contact .....                                      | 20 |

|                                               |    |
|-----------------------------------------------|----|
| G2. Program Administration Contact .....      | 21 |
| G3. Application System Technical Support..... | 21 |
| H. Other Information .....                    | 22 |

## **A. Program Description**

### **A1. Authority**

[Federal Land Policy and Management Act of 1976 \(FLPMA\), 43 USC § 1737\(b\)](#)

### **Catalog of Federal Domestic Assistance (CFDA) Number**

15.224

### **A2. Background, Purpose and Program Requirements**

BLM California manages archaeological and historic sites, artifact collections, places of traditional cultural importance to Native Americans and other communities, and paleontological resources that occur on federal lands in the state of California. Collectively, these “heritage resources” represent thousands of years of human occupation, and millions of years of the earth’s natural history. BLM Cultural Heritage and Paleontology Programs coordinate management, preservation, education and outreach efforts, economic opportunities, and public uses of a fragile, nonrenewable scientific record that represents an important component of America’s heritage.

The BLM California Cultural Heritage and Paleontology Programs seek to establish partnerships that collaboratively encourage the public to learn about and engage with heritage resources in California, with the goals of building a meaningful conservation stewardship legacy through expanding recreation opportunities on public lands, working to ensure meaningful consultation and self-determination for Tribes, enhancing visitor experience on public lands by better meeting our infrastructure and maintenance needs, and eliminating unnecessary steps and duplicative reviews while maintaining rigorous environmental standards. The Cultural Heritage and Paleontology Programs achieve these goals by:

- Protecting and preserving cultural heritage and paleontological resources for the benefit of future generations;
- Improving professional and/or public understanding of the nation’s cultural and natural history;
- Providing educational, recreational, and economic opportunities for local communities and the public;
- Increasing Native American access to locations and natural resources important to traditional cultural practices and beliefs; and
- Managing heritage resource collections and associated records to appropriate standards, and providing access to the public and Native Americans.

Broadly, the objective is to develop partnerships to improve access to, and use of, heritage resources, and promote their educational, scientific, cultural, and recreational values in a manner

that meets U.S. Department of the Interior priorities and Cultural Heritage and Paleontology Program goals. Individual projects shall meet one or more objectives.

- Conduct studies, including inventory, excavation, records research, and collections-based research to improve the understanding of America's natural and cultural history;
- Monitor at-risk heritage resources to track trends in condition and project effectiveness;
- Stabilize at-risk heritage resources;
- Train future cultural resource management practitioners and paleontologists through research projects, field schools and internships that capitalize on BLM resources;
- Assist with cultural heritage data and records management activities such as organizing, maintaining, and scanning site and survey records; creating, digitizing and maintaining geospatial data; and performing data entry;
- Preserve existing collections at recognized curation facilities through such activities as archival housing, stabilization or conservation;
- Promote engagement with Native American communities and foster partnerships with tribal governments and programs;
- Promote public engagement, learning opportunities, and conservation/preservation ethics through heritage resources education and outreach programs, events, and products;
- Conduct studies directed at determining impacts to heritage resources caused by activities such as prescribed fire, juniper control and other vegetation removal projects, or any other land disturbing activity;
- Develop and maintain historic sites with interpretive and educational potential.

The following program legislation authority, BLM Manuals, etc. are applicable to this program:

1. BLM Manual 8270, "Paleontological Resource Management"
2. 2017 National Park Service, "The Secretary of the Interior's Standards for the Treatment of Historic Properties"
3. National Register Bulletin, "How to Apply the National Register Criteria for Evaluation"
4. National Register Bulletin 21 & 22, "Defining Boundaries for National Register Properties"
5. BLM Manual 8140, "Protecting Cultural Resources"
6. Archaeological Resource Protection Act of 1979 (16 U.S.C. 470aa-470mm; Public Law 96-95 and amendments to it)
7. National Historic Preservation Act of 1966 (Public Law 89-665: 54 U.S.C. 300101) and 36 CFR 800
8. National Environmental Policy Act (NEPA) – BLM Handbook 1790-1\

This program continues to advance the [Department of Interior's priorities](#) to create a conservation stewardship legacy while generating revenue and utilizing our natural resources. BLM's continued commitment to reduce and streamline its processes and procedures to serve its customers and the public better and faster across all its program areas. These reforms allow the Bureau to realign time and resources to completing important on-the-groundwork.

All proposed projects submitted under this funding opportunity must state a benefit to the public.

## **B. Federal Award Information**

### **B1. Total Funding**

#### **Estimated Total Funding**

\$ 300,000

### **B2. Expected Award Amount**

#### **Maximum Award**

\$ 100,000

#### **Minimum Award**

\$ 10,000

### **B3. Anticipated Award Funding and Dates**

Projects funded through this NOFO will start once funding is secured and awards issued. Agreements are not effective until fully executed with signature from the BLM Grants Management Officer (GMO).

Agreement terms for funded projects are estimated to range between one and three years and are determined based on the period of performance as stated on the project proposal.

### **B4. Number of Awards**

#### **Anticipated Number of Awards**

The actual number of awards will depend on the number of meritorious applications and the availability of appropriated funds. Awards will be based out of district or field offices where the project occur.

### **B5. Type of Award**

#### **Funding Instrument Type**

CA - Cooperative Agreement

Award cannot be finalized, until awardee completes their enrollment in the Department of the Treasury, ASAP System. See following website: <http://www.fms.treas.gov/asap>.

If your organization is not enrolled in ASAP, contact the GMO in Section G2. Federal Awarding Agency Contacts of this funding opportunity.

The recipient should expect Bureau of Land Management (BLM) to have substantial involvement in the project. Substantial involvement may include, but not be limited to, such things as:

- Joint collaboration between the BLM and recipient in carrying out management, development, implementation, and evaluation of the proposed work.
- Training of recipient personnel.
- Review and approval by the BLM of one stage of work prior to the start of the next stage.

- Review and approval by the BLM of modifications or sub-awards prior to their award.
- Participation in selecting recipient project staff.
- Directing or redirecting of recipient work by the BLM because of relationships to other projects.
- Ability to immediately halt work because of failure to meet agreement objectives; and
- Close monitoring and/or operational involvement in the proposed work.

## **B6. Additional Funding Information**

Funding for projects is not guaranteed and is subject to the availability of funds. Evaluation of proposals is based on the criterion in this NOFO. In appropriate circumstances, BLM reserves the right to partially fund proposals in discrete portions or phases of proposed projects. If BLM chooses to partially fund a proposal, it will do so in a manner that does not prejudice any applicants or affect the basis upon which the proposal or portion thereof, was evaluated and selected for award; and therefore maintains the integrity of the competition and selection process. Funded proposals through this competitive NOFO is not a guarantee of future funding. When or if additional funding becomes available, BLM reserves the right to issue additional awards under this NOFO through the next fiscal year. These awards will not require further competition. Any additional selections will be made in accordance with the terms of this NOFO and BLM policy. Only Grants Management Officers can bind the Federal Government to the expenditure of funds.

## **C. Eligibility Information**

### **C1. Eligible Applicants**

#### **Eligible Applicants**

- 00 – State governments
- 01 – County governments
- 02 – City or township governments
- 04 – Special district governments
- 05 – Independent school districts
- 06 – Public and State controlled institutions of higher education
- 07 – Native American tribal governments (Federally recognized)
- 12 – Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education
- 13 – Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education
- 20 – Private institutions of higher education
- 11 – Native American tribal organizations (other than Federally recognized tribal governments)
- 08 – Public housing authorities/Indian housing authorities

#### **Additional Information on Eligibility**

Individuals and For-Profit Organizations are ineligible to apply for awards under this NOFO.

## **C2. Cost Sharing or Matching**

### **Cost Sharing / Matching Requirement**

No

### **Percentage of Cost Sharing / Matching**

## **C3. Other**

**Excluded Parties:** BLM conducts a review of the SAM.gov Exclusions database for all applicant entities and their key project personnel prior to award. The Bureau cannot award funds to entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or otherwise excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits, as their ineligibility condition applies to this Federal program.

## **D. Application and Submission Information**

### **D1. Address to Request Application Package**

This NOFO includes all information, documents, and electronic addresses needed to submit an application through [www.Grants.gov](http://www.Grants.gov). Paper copies may be requested by contacting Megan E. Bryant, [mebryant@blm.gov](mailto:mebryant@blm.gov).

### **D2. Content and Form of Application Submission**

#### **DO NOT MERGE INDIVIDUAL DOCUMENTS INTO A SINGLE PDF FILE**

##### **1. Standard Form (SF)-424, Application for Federal Assistance**

Applicants must submit the appropriate Standard Form (SF)-424, Application for Federal Assistance. All of the required application forms are available on the “Packages” tab of this Funding Opportunity on Grants.gov. The SF-424, Application for Federal Assistance, must be complete, and signed and dated. Please note: Enter only the amount requested from this Federal program in the “Federal” funding box on the SF-424 Application form. Include any other Federal sources of funding in the “Other” box, and provide details on those Federal source(s) and funding amount(s) in the required Budget Narrative (see the “Budget Narrative” section below).

##### **2. SF-424(A or C), Budget Information**

Applicants must submit the appropriate SF-424 Budget Information form. Complete either the [SF-424A, Budget Information for Non-Construction Programs](#) or the [SF-424C, Budget Information for Construction Programs](#), as applicable to your project. BLM does not normally fund construction projects, so contact the BLM program expert prior to submitting your project. All required application forms are available on the “Packages” tab of this Funding Opportunity on Grants.gov. Please note: Show funds requested from this Federal program separately from any other Federal sources of funding. In the “Budget

Summary” section, use the first row for funding requested from this Federal program. Use subsequent row(s) for other Federal funding. Enter each Federal program’s CFDA number(s) in the corresponding fields on the form. The CFDA number(s) for this Federal program appears on the first page of this Funding Opportunity.

### 3. SF 424(B & D), Assurances

**NOTE:** In accordance with Office of Management and Budget Memo, M-18-24, dated September 5, 2018, these forms are optional through January 1, 2020. At this time, your assurances statements will be maintained in your SAM registrations.

### 4. Project Proposal

(Suggested format, Attachment A Project Proposal template may be used when submitting your proposal.) The project proposal must be no longer than 15 pages, with a typeface no smaller than 11-point, and have at least one (1) inch margins on all sides. The 15-page limit includes all text, figures, references, and vitae, but does not include the Budget Detail (Attachment B).

Application narrative requirements may include:

- Project title
- Statement of need
- Goals and objectives
- Public and program interest of the BLM
- Activities
- Methods
- Timetable or milestones
- Information to support environmental compliance review requirements. (*NOTE: Projects under wildlife management, the native plant program, threatened and endangered species habitat conservation - the narrative should provide enough detail so that reviewers are able to determine project compliance with Section 7 of the Endangered Species Act of 1973*)
- Description of stakeholder coordination or involvement
- Required project monitoring and evaluation plan, including how you will measure project performance and assessment tools to be used
- Information on key project personnel
- Anticipated future funding needs
- Details and supporting documentation on the project location
- Other program or project-specific narrative requirements

All proposals are confidential.

### 5. Budget Detail and Narrative (Attachment B- Suggested Format)

Describe and justify requested budget items and costs. Detail how the SF-424 Budget Information, Object Class Category totals were determined. For personnel salary costs, include the baseline salary figures and the estimates of time. Describe any item of cost that requires prior approval under the Federal cost principles. See [2 CFR 200.407](#) “Prior

written approval (prior approval)” for more information. If equipment purchased previously with Federal funds is available for the project, provide a list of that equipment and identify the Federal funding source. Include detailed descriptions of all cost justifications (see BLM’s suggested format Attachment B for more detail). Additionally, provide any cost sharing and matching funds in the same level of detail as the federal funds. The budget narrative submitted with the application must match the dollar amounts on all required forms.

Budget items must be reasonable, allowable, allocable, and necessary to the supported activity. Refer to [2 CFR §200](#), for applicable administrative requirements and cost principles.

If your proposal period of performance is for multi-year or multiple year funding, you must provide a budget and budget justification for the full amount of the project. Show each year in a separate column on the SF-424A and use a separate column for listing any matching funds. Applicants must ensure that no Federal or non-Federal grant funds will be expended for in-kind goods or services, for purposes of providing transportation, travel, and other expenses for any Federal employee.

**NOTE:** Budget Detail and Narrative form (Attachment B) is a suggested format to present the breakdown of your estimated costs, by category, needed to accomplish project activities. If you elect to use a different format, all information requested on Attachment B must be included. Estimated costs must be documented in sufficient detail to determine reasonableness. Include a description of any cost share (cash, in-kind, etc.) listed. Additionally, for multi-year budgets, please describe in your budget detail justification any items to be purchased or expended that may be specific to a particular year of the proposed project.

*Lump sum costs are not acceptable in any category, without a detailed breakdown of how the cost were determined. Profit or fees are not allowable.*

#### **Conflict of Interest Disclosure**

Per the Financial Assistance Interior Regulation (FAIR), [2 CFR §1402.112](#), applicants must state in their application if any actual or potential conflict of interest exists at the time of submission.

##### *(a) Applicability.*

(1) This section intends to ensure that non-Federal entities and their employees take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements.

(2) In the procurement of supplies, equipment, construction, and services by recipients and by subrecipients, the conflict of interest provisions in 2 CFR 200.318 apply.

##### *(b) Notification.*

(1) Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass-through entity in accordance with 2 CFR 200.112.

(2) Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for

notifying the Financial Assistance Officer in writing of any conflicts of interest that may arise during the life of the award, including those that have been reported by subrecipients.

(c) *Restrictions on lobbying.* Non-Federal entities are strictly prohibited from using funds under a grant or cooperative agreement for lobbying activities and must provide the required certifications and disclosures pursuant to 43 CFR part 18 and 31 U.S.C. 1352.

(d) *Review procedures.* The Financial Assistance Officer will examine each conflict of interest disclosure on the basis of its particular facts and the nature of the proposed grant or cooperative agreement, and will determine whether a significant potential conflict exists and, if it does, develop an appropriate means for resolving it.

(e) *Enforcement.* Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award. Failure to make required disclosures may result in any of the remedies described in 2 CFR 200.338, Remedies for noncompliance, including suspension or debarment (see also 2 CFR part 180).

### **Single Audit Reporting Statement**

All U.S. states, local governments, federally recognized Indian tribal governments, and non-profit organizations expending \$750,000 USD or more in Federal award funds in the applicant's fiscal year must submit a Single Audit report for that year through the [Federal Audit Clearinghouse's Internet Data Entry System](#). U.S. state, local government, federally recognized Indian tribal government, and non-profit applicants must state if your organization was or was not required to submit a Single Audit report for the most recently closed fiscal year. If your organization was required to submit a Single Audit report for the most recently closed fiscal year, provide the EIN associated with that report and state if it is available through the [Federal Audit Clearinghouse](#) website.

### **Certification Regarding Lobbying**

Applicants requesting more than \$100,000 in Federal funding must certify to the statements in [43 CFR Part 18, Appendix A-Certification Regarding Lobbying](#). If this application requests more than \$100,000 in Federal funds, the Authorized Official's signature on the appropriate SF-424, Application for Federal Assistance form also represents the entity's certification of the statements in 43 CFR Part 18, Appendix A.

### **Disclosure of Lobbying Activities**

Applicants and recipients must not use any federally appropriated funds (annually appropriated or continuing appropriations) or matching funds under a Federal award to pay any person for lobbying in connection with the award. Lobbying is influencing or attempting to influence an officer or employee of any U.S. agency, a Member of the U.S. Congress, an officer or employee of the U.S. Congress, or an employee of a Member of the U.S. Congress connection with the award. Applicants and recipients must complete and submit the [SF-LLL, "Disclosure of Lobbying Activities"](#) form if the Federal share of the proposal or award is more than \$100,000 and the applicant or recipient has made or has agreed to make any payment using non-appropriated funds for lobbying in connection with the application or award. The SF-LLL form is available with this Funding Opportunity on Grants.gov. See 43 CFR, Subpart 18.100 for more information on when additional submission of this form is required.

### **Overlap or Duplication of Effort Statement**

Applicants must provide a statement indicating if there is any overlap between this Federal application and any other Federal application, or funded project, in regards to activities, costs, or time commitment of key personnel. If no such overlap or duplication exists, state, “There are no overlaps or duplication between this application and any of our other Federal applications or funded projects, including in regards to activities, costs, or time commitment of key personnel”. If any such overlap exists, provide a complete description of overlaps or duplications between this proposal and any other federally funded project or application in regards to activities, costs, and time commitment of key personnel, as applicable. Provide a copy of any overlapping or duplicative proposal submitted to any other potential funding entity and identify when that proposal was submitted, to whom (entity name and program), and when you anticipate being notified of their funding decision. When overlap exists, your statement must end with “We understand that if at any time we receive funding from another source that is duplicative of the funding we are requesting from the Bureau of Land Management in this application, we will immediately notify the Bureau of Land Management point of contact identified in this Funding Opportunity in writing.”

### **D3. Unique Entity Identifier and System for Award Management (SAM)**

**Identifier and System for Award Management (SAM.gov) Registration:** This requirement does not apply to individuals applying for funds as individual (i.e., unrelated to any business or nonprofit organization you may own or operate) or any entity with an exception approved by the funding bureau or office in accordance with bureau or office policy. All other applicants are required to obtain a Data Universal Numbering System (DUNS) number from Dun & Bradstreet and then register in SAM.gov prior to submitting a Federal award application. Federal award recipients must continue to maintain an active SAM.gov registration with current information through the life of their Federal award(s). See the “Submission Requirements” section of this document below for more information on SAM.gov registration. We may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the program is ready to make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant. **There is no cost to register with Dun & Bradstreet or SAM.gov.** There are third-party vendors who will charge a fee in exchange for registering entities with Dun & Bradstreet and SAM.gov; **please be aware you can register and request help for free.**

#### **Obtain a DUNS Number**

Request a DUNS Number through the Dun & Bradstreet website. For technical difficulties, send an email to the D&B SAM Help Desk. Please ensure that you are able to receive emails from [SAMHelp@dnb.com](mailto:SAMHelp@dnb.com). The Grants.gov “Obtain a DUNS Number” webpage also provides detailed instructions. Once assigned a DUNS number, your organization must maintain up-to-date information with Dun & Bradstreet. Applicants must enter their DUNS number in the “Organizational DUNS” field on the SF-424, Application for Federal Assistance form.

#### **Register with the System for Award Management (SAM)**

Register on the SAM.gov website. “Help” tab on the website contains User Guides and other information to assist you with registration. The Grants.gov Register with SAM page also

provides detailed instructions. You can also contact the supporting Federal Service Desk for help registering in SAM. Once registered in SAM, entities must renew and revalidate their SAM registration at least once every 12 months from the date previously registered. Entities are strongly encouraged to revalidate their registration as often as needed to ensure their information is up to date and reflects changes that may have been to the entity's DUNS or IRS information.

#### **D4. Submission Dates and Times**

BLM requires applicants to submit their applications online through Grants.gov. Grants.gov automatically generates an electronic date and time stamp in the system upon application receipt. Grants.gov sends an acknowledgement of receipt with the date and time stamp and a unique Grants.gov application tracking number to the authorized representative by email. This email from Grants.gov serves as your proof of timely submission.

##### **Due Date for Applications**

05/31/2021

##### **Application Due Date Explanation**

Electronically submitted applications must be submitted no later than 5:00 p.m., ET, on the listed application due dates.

Open from April 1, 2021 through May 31, 2021

Applications must be submitted in English.

**Late applications will not be accepted or reviewed.**

#### **D5. Intergovernmental Review**

Prior to application submission, U.S. state and local government applicants should visit the [OMB Office of Federal Financial Management website](#) and view the "State Point of Contact (SPOC) List" to determine whether their application is subject to the state intergovernmental review process under Executive Order (E.O.) 12372 "Intergovernmental Review of Federal Programs." States not on the list do not participate in the intergovernmental review process, and therefore do not have a SPOC. If you are located within a State that does not have a SPOC, you may send application materials directly to a Federal awarding agency. If your state is on the list, contact the designated entity for more information on the state's prior review requirements for Federal assistance applications.

#### **D6. Funding Restrictions**

Any partners submitting a construction, reconstruction, repair, or restoration of paved or permanent roads or parking area projects under the Good Neighbor Authority - 16 USC §2113a (b) (1) (A) are not allowed under this program.

Any Cooperative Ecosystem Studies Units (CESU) Network partners submitting a project is subject to the CESU indirect cost rate cap (currently 17.5%).

The Federal awarding agency that provides the largest amount of direct funding to your organization is your cognizant agency for indirect costs, unless otherwise assigned by the White House Office of Management and Budget (OMB). If the Department of the Interior is your organization's cognizant agency, the Interior Business Center will negotiate your indirect cost rate. Contact the Interior Business Center by phone 916-930-3803 or using the [IBC Email Submission Form](#). See the [IBC Website](#) for more information.

Organizations must have an active Federal award before they can submit an indirect cost rate proposal to their cognizant agency. Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award. Recipients must have prior written approval from the BLM to use amounts budgeted for direct costs to satisfy cost-share or match requirements or to cover unallowable indirect costs. Recipients shall not shift unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.

### **Required Indirect Cost Statement to be submitted with Application**

All organizations must include the applicable statement from the following list in their application, and attach to their application any documentation identified in the applicable statement:

We are:

- A U.S. state or local government entity receiving more than \$35 million in direct Federal funding each year with an indirect cost rate of [insert rate]. We submit our indirect cost rate proposals to our cognizant agency. Attached is a copy of our most recently approved rate agreement/certification.
- A U.S. state or local government entity receiving less than \$35 million in direct Federal funding with an indirect cost rate of [insert rate]. We have prepared and will retain for audit an indirect cost rate proposal and related documentation.
- A [insert your organization type; U.S. states and local governments, do not use this statement] that has previously negotiated or currently has an approved indirect cost rate with our cognizant agency. Our indirect cost rate is [insert rate]. [Insert either: "Attached is a copy of our most recently approved but expired rate agreement. In the event an award is made, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award is made." *or* "Attached is a copy of our current negotiated indirect cost rate agreement."]
- A [insert your organization type] that has never submitted or does not have a current indirect cost rate proposal from our cognizant agency. We request as a condition of award to charge a flat *de minimis* indirect cost rate of 10% to be charged against modified total direct project costs as defined in [2 CFR §200.68](#). We understand that we must notify BLM in writing if we establish a negotiated rate with our cognizant agency at any point during the award period. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs during the project period and that such changes are subject to review, negotiation, and prior approval by BLM.
- A [insert your organization type] that is submitting this proposal for consideration under the "Cooperative Ecosystem Studies Unit Network", which has a Department of the Interior-approved indirect cost rate cap of 17.5%. If we have an approved indirect cost

rate with our cognizant agency, we understand that we must apply this reduced rate against the same direct cost base as identified in our approved indirect cost rate agreement per [2 CFR §1402.414](#).

- If we do not have an approved indirect cost rate with our cognizant agency, we understand that we must charge indirect costs against the modified total direct cost base defined in 2 CFR §200.68 “Modified Total Direct Cost (MTDC)”. We understand that we must request prior approval from BLM to use the 2 CFR 200 MTDC base instead of the base identified in our approved indirect cost rate agreement. We understand that BLM approval of such a request will be based on: 1) a determination that our approved base is only a subset of the MTDC (such as salaries and wages); and 2) that use of the MTDC base will still result in a reduction of the total indirect costs to be charged to the award. In accordance with 2 CFR §200.405, we understand that indirect costs not recovered due to a voluntary reduction to our federally negotiated rate are not allowable for recovery via any other means.
- A [insert your organization type] that will charge all costs directly.

#### **D7. Other Submission Requirements**

BLM requires applicants to submit their applications online through Grants.gov. Grants.gov automatically generates an electronic date and time stamp in the system upon application receipt. Grants.gov sends an acknowledgement of receipt with the date and time stamp and a unique Grants.gov application tracking number to the authorized representative by email. This email from Grants.gov serves as your proof of timely submission.

<https://www.grants.gov/web/grants/applicants/apply-for-grants.html>

### **E. Application Review Information**

#### **E1. Criteria**

##### **Merit Review Criteria**

**Maximum Points: 0**

Applications eligible for merit review will be evaluated by an ad hoc evaluation team assembled to review, rate, rank, and recommend applications for award using the below evaluation criteria. Evaluation teams are made up of two or more qualified personnel familiar with the program and certified to have no conflict of interest with any persons or organizations applying for award.

Reviews are handled as confidential documents. Once award decisions are made, applicants may request in writing a written summary of the evaluation of their application/proposal.

Proposals will be evaluated, scored, and ranked by appropriate BLM staff experts in the program's field of study.

The government reserves the right to reject any and all proposals which do not meet the requirements of this NOFO and which are determined to be outside the scope of the authority under which this NOFO is posted.

**Project must assist BLM in meeting one or more of the [Department of Interior priorities](#), as well as one of the following priorities of the Biden-Harris Administration:**

*Executive Order 14008: Tackling the Climate Crisis at Home and Abroad*, which directs the Department and other federal agencies to prioritize efforts to reduce climate pollution; support climate resilience; support land conservation and biodiversity efforts, including the 30 by 30 initiative; maximize clean energy development and deployment; and leverage partnerships with youth and service corps to create conservation and resilience jobs in support of the Civilian Climate Corps initiative.

*Executive Order 13985: Advancing Racial Equity and Support for Underserved Communities Through the Federal Government*, which affirms the Department's responsibility to advance equity, civil rights, racial justice, and equal opportunity through its policies and procedures and directs federal agencies to allocate resources in a manner that helps address historic inequities to underserved communities and individuals, as defined by the order.

*Executive Order 14002: Economic Relief Related to the COVID-19 pandemic*, which directs federal agencies to allocate resources in a manner to address the current economic crisis resulting from the pandemic.

*Executive Order 14005: Ensuring the Future is Made in All of America by All of America's Workers*, which calls on federal agencies to ensure that terms and conditions of financial assistance awards maximize the use of goods, products, materials and services produced or offered in the United States.

Award will be made to responsive, responsible applicants submitting proposals, which conform to the funding opportunity NOFO and are most advantageous to the Government considering the evaluation factors listed below.

#### **First Level Screening --Basic Eligibility**

Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Depending on the specifics of the funding opportunity, screening may include, but is not limited to, the following:

- Submission is timely;
- Program and/or legislative authority requirements are met; and
- Complete and properly executed required application package documents are included.

Applications must satisfy basic eligibility screening requirements to be considered for further review.

#### **Second Level Evaluation -- Merit Review Evaluation**

Eligible applications will be evaluated in an objective and unbiased manner using the following merit review criteria:

Proposals will be evaluated on strengths or weaknesses for each merit review criterion and rated

“Exceeds” “Meets” or “Does not meet” expectations with highest importance on *Statement of Need*.

Each of these ratings is defined as follows:

Exceeds – The proposal exceeds the criteria factors without any deficiencies.

Meets – The proposal meets criteria factors with few, or only minor, deficiencies.

Does not meet – The proposal does not meet the criteria factors, or there are significant deficiencies identified.

#### **APPLICANT STATEMENT OF NEED**

Mission and objectives, including achievable project goals and how they relate to Cultural and Paleontological Resource Management

Objectives of the project

DOI priorities met

#### **APPLICANT TECHNICAL APPROACH**

Development and management plans

Techniques, processes, and methodologies

Stabilization and/or protection plans for at-risk heritage resources

Public engagement, learning opportunities, archaeological and/or paleontological education and outreach programs, events, and/or products

Resource data sharing plans

How studies will assist with determining impacts to cultural resources caused by activities such as prescribed fire, vegetation treatments, ecological restoration, or any land disturbing activity

Tasks to be performed by other partner organizations, subrecipients, contractors, and/or consultants

Environmental compliance plan

Project monitoring and evaluation plan including monitoring of subrecipients, contractors, consultants, volunteers, etc.

Measurable expected outcomes

#### **PUBLIC BENEFIT AND PROGRAM INTEREST OF THE BLM**

Direct Public Benefit

Projects in which the BLM receive the indirect benefit of conservation activities.

Projects that expand knowledge of natural, cultural and/or historical resources

#### **APPLICANT QUALIFICATIONS/PAST PERFORMANCE**

Key personnel including archaeological, paleontological and educational experience and qualifications

Previous studies performed, including inventory, excavation, intensive recordation or evaluation and collections-based studies

Previous engagements or partnerships with tribal communities, tribal governments and programs

Previous experience in preservation of existing collections at federally-recognized curation

facilities and in compliance with 36 CFR 79 requirements

BLM permits currently or previously held

Unique qualifications

Qualifications of any contractors, subrecipients, and/or consultants

A list of federally-funded assistance agreements (not contracts) that your organization performed within the last three years (no more than 5, and preferably BLM agreements), and describe how you documented and/or reported on whether you were making progress toward achieving the expected results (e.g., outputs and outcomes) under those agreements.

Similar successfully completed projects

Describe similar successful projects completed in the past and any unique qualifications your organization may possess (e.g. continuation of an existing project).

### **LEVERAGING OF RESOURCES**

*(Will be considered in the review process as a tiebreaker among applications with equivalent scores after evaluation against all other factors)*

A proposal that demonstrates effective partnerships to share resources and expertise.

### **Third Level Review Pre-award Clearance and Budget Approvals**

Prior to award, the BLM will evaluate the risk posed by applicants as required in [2 CFR 200.205](#). BLM programs document applicant risk evaluations using the DOI “Financial Assistance Recipient Risk Assessment” form. Prior to approving awards, the BLM is required to review and consider any information about or from the applicant found in the Federal Awardee Performance and Integrity Information System. The BLM will consider this information when completing the risk review. The BLM uses the results of the risk evaluation to establish monitoring plans, recipient reporting frequency requirements, and to determine if one or more of the specific award conditions in 2 CFR 200.207 should be applied the award.

If the results of all pre-award reviews and clearances are satisfactory, an award of funding will be made once the agreement is finalized. If the BLM determines that a Federal award will be made, special conditions that correspond to the degree of risk assessed may be applied to the Federal award.

Budget review is based on the following:

- Budget line items must be allowable, allocable, reasonable in price, and appropriate for the level of effort needed to accomplish the project.
- Budget details and narrative must provide adequate explanation of, and justification for, each estimated cost.
- Requested equipment must be justified and necessary for completion of the project.
- Cost Sharing/Matching funds must not come from Federal sources.

### **Final Review of Selected Applicants:**

Final review will be made by management to determine if the selected proposed project(s) are in line with DOI and BLM current priorities. This process may take a few months to finalize.

**Maximum Points: 0**

## **E2. Review and Selection Process**

Prior to award, the program will review any applicant statement regarding potential overlap or duplication between the project to be funded and any other funded or proposed project in terms of activities, funding, or time commitment of key personnel. Depending on the circumstances, the program may request modification to the application, other pending applications, or an active award, as needed to eliminate any duplication of effort, or the Bureau may choose not to fund the selected project.

The BLM may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the Bureau is ready to make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant.

Prior to award, the program will evaluate the risk posed by applicants as required in 2 CFR 200.205. Programs document applicant risk evaluations using the Bureau's "Financial Assistance Recipient Risk Assessment" form. Prior to approving awards for Federal funding in excess of the simplified acquisition threshold (currently \$250,000), the Bureau is required to review and consider any information about or from the applicant found in the Federal Awardee Performance and Integrity Information System. The Bureau will consider this information when completing the risk review. The Bureau uses the results of the risk evaluation to establish monitoring plans, recipient reporting frequency requirements, and to determine if one or more of the specific award conditions in 2 CFR 200.207 should be applied the award.

## **E3. CFR – Regulatory Information**

See the [BLM's General Award Terms and Conditions](#) for the general administrative and national policy requirements applicable to BLM awards. The BLM will communicate any other program- or project-specific special terms and conditions to recipients in their notices of award.

## **E4. Anticipated Announcement and Federal Award Dates**

Projects funded through this Notice of Funding Opportunity will start once funding is secured and awards issued. Agreements are not effective until fully executed with signature from the BLM Grants Management Officer (GMO).

## **F. Federal Award Administration Information**

### **F1. Federal Award Notices**

Awards are based on the application submitted to, and approved by, the BLM and are subject to the terms and conditions incorporated into the Notice of Award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. *The duration of an agreement is based on the period of performance as stated on the project proposal.*

Recipient will be notified by the certifying Grants Management Officer via email with a fully executed signed Notice of Award with the required terms and conditions. Recipient acceptance of a Federal award from the BLM carries with it the responsibility to be aware of and comply with all terms and conditions applicable to the award. Recipients indicate their acceptance of the Federal award by starting work, drawing down funds, or accepting the award via electronic means.

Final award cannot be finalized, until awardee completes their enrollment in the Department of the Treasury, ASAP System. See following website: <http://www.fms.treas.gov/asap>.

If your organization is not enrolled in ASAP, contact the GMO in G2. Federal Awarding Agency Contacts of this funding opportunity.

## **F2. Administrative and National Policy Requirements**

See the “[DOI Standard Terms and Conditions](#)” for the administrative and national policy requirements applicable to DOI awards.

### **Data Availability**

Per the Financial Assistance Interior Regulation (FAIR), [2 CFR §1402.315](#):

(a) All data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, valuation products or other scientific assessments in any medium or form, including textual, numerical, graphic, cartographic, narrative, or audiovisual, resulting from a financial assistance agreement is available for use by the Department of the Interior, including being available in a manner that is sufficient for independent verification.

(b) The Federal Government has the right to:

(1) Obtain, reproduce, publish, or otherwise use the data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, produced under a Federal award; and

(2) Authorize others to receive, reproduce, publish, or otherwise use such data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, for Federal purposes, including to allow for meaningful third-party evaluation.

In accordance with the Office of Management and Budget’s guidance located at 2 CFR part 200, all applicable Federal laws, and relevant Executive guidance, the Federal awarding agency will review and consider applications for funding pursuant to this notice of funding opportunity in accordance with the:

- *Executive Order on Protecting American Monuments, Memorials, and Statues and Combating Recent Criminal Violence* (E.O. 13933); and
- Guidance for Grants and Agreements in Title 2 of the Code of Federal Regulations (2 CFR), as updated in the Federal Register’s 85 FR 49506 on August 13, 2020, particularly on:
  - Selecting recipients most likely to be successful in delivering results based on the program objectives through an objective process of evaluating Federal award applications (2 CFR part 200.205),

- Prohibiting the purchase of certain telecommunication and video surveillance services or equipment in alignment with section 889 of the National Defense Authorization Act of 2019 (Pub. L. No. 115—232) (2 CFR part 200.216),
- Promoting the freedom of speech and religious liberty in alignment with *Promoting Free Speech and Religious Liberty* (E.O. 13798) and *Improving Free Inquiry, Transparency, and Accountability at Colleges and Universities* (E.O. 13864) (§§ 200.300, 200.303, 200.339, and 200.341),
- Providing a preference, to the extent permitted by law, to maximize use of goods, products, and materials produced in the United States (2 CFR part 200.322), and
- Terminating agreements in whole or in part to the greatest extent authorized by law, if an award no longer effectuates the program goals or agency priorities (2 CFR part 200.340).

### F3. Reporting

#### Financial Reports

All recipients must use the Standard Forms [SF-425, Federal Financial Report](#), for financial reporting. At a minimum, all recipients must submit a **final** financial report. Final reports are due no later than 120 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award. The only exception to the interim financial reporting requirement is if the recipient is required to use the SF 270/271 to request payment and requests payment at least once annually through the entire award period of performance. We will describe all financial reporting requirements in the Notice of Award.

#### Performance Reports

Performance reports must contain a comparison of actual accomplishments with the established goals and objectives of the award; a description of reasons why established goals were not met, if appropriate; and any other pertinent information relevant to the project results. Final reports are due no later than 120 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award.

#### Significant Development Reports

Events may occur between the scheduled performance reporting dates which have significant impact upon the supported activity. In such cases, recipients are required to notify the Bureau in writing as soon as the recipient becomes aware of any problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation. The recipient should also notify BLM in writing of any favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

#### Real Property Reports

Recipients and subrecipients are required to submit status reports on the status of real property acquired under the award in which the Federal government retains an interest. The required

frequency of these reports will depend on the anticipated length of the Federal interest period. The Bureau will include recipient-specific real property reporting requirements, including the required data elements, reporting frequency, and report due dates, in the Notice of Award when applicable.

### **Conflict of Interest Disclosures**

Recipients must notify the Grants Management Officer immediately in writing of any conflict of interest that arise during the life of their Federal award, including those reported to them by any subrecipient under the award. Recipients must notify the program in writing if any employees, including subrecipient and contractor personnel, are related to, married to, or have a close personal relationship with any Federal employee in the Federal funding program or who otherwise may have been involved in the review and selection of the award. The term employee means any individual engaged in the performance of work pursuant to the Federal award. Recipients may not have a former Federal employee as a key project official, or in any other substantial role related to their award, whose participation put them out of compliance with the legal authorities addressing post-Government employment restrictions. See the [U.S. Office of Government Ethics website](#) for more information on these restrictions. BLM will examine each conflict of interest disclosure based on its particular facts and the nature of the project and will determine if a significant potential conflict exists. If it does, BLM will work with the recipient to determine an appropriate resolution. Failure to disclose and resolve conflicts of interest in a manner that satisfies the BLM may result in any of the remedies described in 2 CFR 200.338 Remedies for Noncompliance, including termination of the award.

### **Other Mandatory Disclosures**

The Non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Non-Federal entities that receive a Federal award including the terms and conditions outlined in 2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies described in 2 CFR 200.338 Remedies for Noncompliance, including suspension or debarment.

### **Reporting Matters Related to Recipient Integrity and Performance**

If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings in accordance with Appendix XII to 2 CFR 200.

## **G. Federal Awarding Agency Contact(s)**

### **G1. Program Technical Contact**

For **programmatic technical assistance**, contact:

First Name:

James

Last Name:

Barnes

Address:

Telephone:

Email:

jbarnes@blm.gov

## **G2. Program Administration Contact**

For **program administration assistance**, contact:

First Name:

James

Last Name:

Barnes

Address:

Telephone:

**Email:**

jbarnes@bm.gov

## **G3. Application System Technical Support**

For **Grants.gov technical registration and submission, downloading forms and application packages**, contact:

**Name:**

Grants.gov Customer Support

**Telephone:**

1-800-518-4726

Email:

Support@grants.gov

For **GrantSolutions technical registration and submissions, downloading forms and application packages**, contact:

**Name**

GrantSolutions Customer Support

**Telephone:**

1-866-577-0771

**Email:**

Help@grantsolutions.gov

## **H. Other Information**

**Payments** Domestic recipients are required to register in and receive payment through the U.S. Treasury's Automated Standard Application for Payments (ASAP), unless approved for a waiver by the BLM program. Foreign recipients receiving funds to a final destination bank outside the U.S. are required to receive payment through the U.S. Treasury's International Treasury Services (ITS) System. Foreign recipients receiving funds to a final destination bank in the U.S. are required to enter and maintain current banking details in their SAM.gov entity profile and receive payment through the Automated Clearing House network by electronic funds transfer (EFT). The Bureau will include recipient-specific instructions on how to request payment, including identification of any additional information required and where to submit payment requests, as applicable, in all Notices of Award.

# Synopsis

L21AS00442

## Department of the Interior - Bureau of Land Management California Cultural and Paleontological Resource Management

### General Information

#### Summary

#### Opportunity Category

D (Discretionary)

#### Opportunity Category Explanation

CFDA

15.224

#### Funding Instrument Type

CA - Cooperative Agreement

#### Funding Activity Category

NR (Natural Resources)

#### Funding Activity Category Explanation

### Award Information

#### Cost Sharing / Matching Requirement

No

#### Percentage of Cost Sharing / Matching Requirement

#### Expected Number of Awards

#### Estimated Total Program Funding

\$ 300,000

#### Estimated Award Ceiling

\$ 100,000

#### Estimated Award Floor

\$ 10,000

#### Project Period | Expected Duration in Months

36

### Key Dates

#### Estimated Post Date

04/01/2021

#### Application Due Date

05/31/2021

#### Application Due Date Explanation

Electronically submitted applications must be submitted no later than 5:00 p.m., ET, on the listed application due dates.

Open from April 1, 2021 through May 31, 2021

Applications must be submitted in English.

**Late applications will not be accepted or reviewed.**

**Estimated Award Date**

September 01, 2021

**Estimated Project Start Date**

09/01/2021

**Estimated Project End Date**

09/30/2024

**Archive Date**

**Eligibility Information**

**Eligibility Category**

00 – State governments

01 – County governments

02 – City or township governments

04 – Special district governments

05 – Independent school districts

06 – Public and State controlled institutions of higher education

07 – Native American tribal governments (Federally recognized)

12 – Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education

13 – Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education

20 – Private institutions of higher education

11 – Native American tribal organizations (other than Federally recognized tribal governments)

08 – Public housing authorities/Indian housing authorities

**Additional Information on Eligibility (Grants.gov 4,000/GMM 2,500 character limit)**

Individuals and For-Profit Organizations are ineligible to apply for awards under this NOFO.

**Additional Information**

**Description (Grants.gov/Forecast) (18,000 character limit)**

Department of the Interior - Bureau of Land Management (State) Cultural and Paleontological Resource Management

**Awarding Officer Contact Name**

Megan Bryant

**Awarding Officer Contact Phone**

mebryant@blm.gov

**Awarding Officer Email Address**

mebryant@blm.gov

**Awarding Officer Email Description**

mebryant@blm.gov

## **Link to Additional Information**