Funding Opportunity Title:	Local Partners' Policy Engagement Activity
Announcement Type:	Annual Program Statement (APS)
APS Number:	APS-617-13-000002
APS Issue Date:	December 12, 2013
Deadline for Submission of Questions:	January 10, 2014
Interested Parties' Conference:	January 24, 2014
Response to Questions posted on or about:	January 31, 2014
Deadline for Submission of Concept Papers:	**First round: February 17, 2014, 4:00 pm/Uganda**

Deadlines for subsequent rounds will be announced later.

Presentation of Concepts:	**The week of February 24, 2014**
APS Close Date:	**October 4, 2015, 4:00 pm/Uganda**
Submit Questions and Concept Papers to:	USAID/Uganda Office of Acquisition and Assistance at KampalaUSAIDAPS@usaid.gov

To Interested Applicants:

The United States Agency for International Development (USAID) believes that one solution to growth in the agriculture sector is investing in Ugandan organizations. Additionally, Ugandan organizations are often well positioned to address key development challenges in innovative ways through their firsthand knowledge and expertise. The purpose of this Annual Program Statement (APS) is to announce USAID/Uganda's plans to fund a limited number of awards to local organizations in two priority areas in the agriculture sector: (1) agriculture policy engagement and (2) climate change adaptation.

Please submit any questions regarding this APS electronically to the USAID/Uganda Office of Acquisition and Assistance at KampalaUSAIDAPS@usaid.gov. All questions will be answered via an Amendment to this APS.

This APS will be conducted as follows:

i. Submission of concept paper
ii. Compliance review and issuance of invitations to organizations to present the concept.
iii. Concept presentation and evaluation.
iv. Selection of highest ranked concepts and notification of request for submission of full application.
v. Submission of full application.
vi. Evaluation and selection for award.

All Concepts received will be evaluated according to the evaluation criteria specified in this APS. Evaluation will include prospective applicants presenting Concepts to USAID the week of February 24, 2014. Only successful applicants (i.e. selected Concepts) will be requested to submit a **Full Application**. The Full Application will offer the applicant the opportunity to explain its technical approach in more detail. **Please read through the following pages for detailed information and instructions.**

Interested Parties Conference: For participation, all interested parties shall register at the email address that follows and shall be notified of the place and time of the conference. As noted on the first page of the RFA, the conference will take place on January 24, 2014. Interested parties must register in advance by emailing KampalaUSAIDAPS@usaid.gov and must provide the name of the participants, their titles, e-mail addresses and the name of the organization; not later than January 16, 2013 at 4.00p.m.EAT.

If all available funds are allocated to awards resulting from the First and subsequent rounds, USAID reserves the right to cancel the solicitation.

The amount of available funding and the number of awards is variable and subject to change. USAID reserves the right to fully or incrementally fund selected application(s), as well as to partially fund selected application(s).

This APS is issued under the U.S. Foreign Assistance Act of 1961, as amended. Awards shall be in accordance with U.S. federal regulations and agency policy. Awards shall be made and administered in accordance with the U.S. Office of Management and Budget (OMB) Circular A-122 (for non-profit organizations), OMB Circular A-133 (Audits of States, Local Governments, and Non-Profit Organizations for other than U.S. NGOs), USAID Automated Directive System (ADS) Chapter 303, USAID Standard Provisions, and Federal Acquisition Regulation (FAR) Part 31 (for-profit organizations). Potential applicants should note that USAID policy prohibits the payment of fee/profit to the prime recipient under assistance instruments and that forgone profit does not qualify as cost-sharing or leveraging.

Issuing this APS does not constitute an award commitment on the part of the U.S. Government, nor does it commit the U.S. Government to pay for the costs incurred in the submission of an application. Further, the U.S. Government reserves the right to reject any and all applications received, or to negotiate separately with an applicant, if such an action is considered to be in the U.S. Government interest.

Sincerely,
Tracy J. Miller,
Agreements Officer,
USAID/Uganda

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SECTION I – FUNDING OPPORTUNITY DESCRIPTION

I.1 Introduction

Local organizations are well positioned to address key development challenges in innovative ways through their first-hand knowledge and expertise. USAID strongly believes that a key to sustainable economic growth lies in investing in the development of local organizations' expertise and capacity to influence respective development policies. To that end, USAID/Uganda is issuing this Annual Program Statement (APS) specifically for local, Ugandan organizations whose core business interests intersect with USAID's development objectives, particularly those related to agricultural development and climate change. This APS seeks applications in two key priority areas in the agriculture sector: (1) policy engagement; and (2) climate change adaptation.

USAID/Uganda is seeking local solutions that include promising methods for contributing to policy decisions related to agriculture development, agriculture trade, and climate change adaptation which will advance the goals of Uganda's Feed the Future strategy.

I.2 Background

Agriculture is the mainstay of Uganda's economy, accounting for a significant share of Gross Domestic Product (GDP), 85 percent of export earnings, 73 percent of total employment, and the bulk of raw materials used by the mainly agricultural-based industrial sector. Eighty percent of the population, estimated to be 35 million, live in the rural areas and depend on agriculture for their livelihoods. Much of Uganda's agriculture is rain-fed and vulnerable to climate change. Rising temperatures and changes in rainfall patterns are already being observed and projections indicate that these changes are likely to continue, impacting crop growth and viability, pest prevalence, soil moisture, post-harvest losses, transport and other aspects of Uganda's agricultural value chains.

Uganda's National Development Plan, 2010–2015 (NDP) emphasizes the restoration of agricultural growth as the engine for employment creation, poverty reduction and industrialization. The NDP also recognizes climate change adaptation as critical to enhancing sustainable economic and social development.

Uganda's Ministry of Agriculture, Animal Industry and Fisheries (MAAIF) developed the 2010/11-2014/15 Development Strategy and Investment Plan (DSIP) to translate broad public sector goals into a sector-wide plan with the aim of raising rural household incomes and improving the food and nutrition security of the population. The plan has four main components: 1) enhancing production and productivity, 2) improving access to markets and value-addition, 3) creating an enabling environment and 4) institutional strengthening. At the same time, the DSIP highlights a need for capacity development for climate change planning, including the need for increased research, training, and disaster risk management to assist farmers and policy makers in adapting to increased seasonal variability and climate change. The DSIP also illustrates priority actions for gender and youth. Women play a vital role in advancing agricultural development in Uganda, but all too often face a different set of constraints than their male counterparts. Research indicates women can have powerful impact on the agricultural sector when given the opportunity to access resources equitably. While this may be true, NDP indicators associated with agricultural and economic development indicators are still largely gender blind, a situation that Ugandan authorities, in collaboration with development partners, are seeking to overcome. Similarly, Uganda has one of the world's highest population growth rates. Youth make up a large proportion of the population and experience shows engaging youth in community and national development is becoming an absolute vital measure to direct their energy, enthusiasm, skills, and innovative ideas to foster growth and development.

The NDP and DSIP are consistent with the African Union's Comprehensive Africa Agriculture Development Program (CAADP) which, among other things, calls for increased funding to agriculture in pursuit of an average annual rate of growth of six percent for the sector, a target

Uganda continues to have trouble reaching. The Government of Uganda (GoU) and other stakeholders are currently finalizing a National Agricultural Policy, which focuses on increasing the incomes of all households engaged in crop, livestock, and fishery activities. Uganda also developed a National Climate Change Policy that is awaiting passage in cabinet to "ensure that all stakeholders address climate change impacts and their causes through appropriate measures, while promoting sustainable development and a green economy." Along with the Policy, a draft Implementation Strategy provides concrete steps to operationalize the policy across ministries.

Ugandan private sector and civil society organizations serve as engines of growth and progress, and play a critical role in representing the priorities and interests of their stakeholders and constituents. However, technical and management capacity weaknesses in many cases continue to limit their ability to effectively engage in promoting critical policy discussions and advocacy. This APS activity is part of USAID/Uganda's Feed the Future Strategy designed to empower local organizations to pursue innovative ideas and approaches to advance Uganda's policy enabling environment for agriculture, trade, and adaptation to climate change.

I.3 Scope and Objectives

The objective of this Local Partners' Policy Engagement activity is to enhance or improve the enabling environment for agricultural growth, trade and climate change adaptation in the agriculture sector by seeking applications from Ugandan (local) organizations (See Definition of Local Organization in Annex A). The Local Partners' Policy Engagement activity must directly support the U.S. Government's Feed the Future (FTF) strategy for Uganda and/or support climate change adaptation in Uganda. The FTF initiative aims to sustainably reduce hunger and poverty through inclusive agricultural growth and improve the nutritional status of women and children. USAID/Uganda's work on climate change adaptation aims to understand and respond to climate change impacts on agriculture.

USAID/Uganda anticipates up to $2,600,000 over three years will be available under the Local Partners' Policy Engagement APS. Individual applications for this round should be in the range of $250,000 to $500,000 with project length of a minimum of 12 months and a maximum of 24 months. USAID anticipates multiple direct awards under this APS, subject to the availability of funds.

I.4 Results

This activity's overall objective is to strengthen the enabling environment for agriculture and climate change adaptation in Uganda's agriculture sector through support to local organizations. Support to Ugandan organizations fosters greater sustainability and local ownership of results. Each grantee will establish a set of outcome and output indicators and benchmarks.

Illustrative list of outcomes:

- Increased advocacy, awareness and engagement related to policies affecting agricultural production, processing, marketing, and trade:
    - Increased advocacy for the seed and fertilizer policy approval and implementation process
    - Increased advocacy for the coffee policy approval and implementation process
    - Increased advocacy for the trade policy approval and implementation process
    - Increased engagement of youth in the agricultural policy dialogue process
    - Increased awareness of gender related issues in the agricultural sector
- Improved capacity of local private sector and civil society organizations to contribute to policy decisions related to agriculture development, trade, and climate change adaptation:
    - Increased organizational and technical capacity of local partners to engage with national strategic planning and policy-making processes on agriculture and climate change issues
- Increased public education, awareness and advocacy:

  - Increased public awareness on agriculture product standards, quality requirements and import-export procedures
  - Improved agriculture and trade statistics collation and analysis
  - Improved agricultural practices to support climate change adaptation
  - Increased engagement of youth in supporting climate change adaptation practices
- Decreased climate change vulnerability within the agriculture sector:
  - Improved quality, accessibility, availability, and use of meteorological data
  - Increased climate change awareness/knowledge of stakeholders
  - Increased climate change research and analytical capacity

Performance indicators shall include the appropriate FTF and Global Climate Change (GCC) standard indicators, as well as gender-sensitive indicators and sex-disaggregated data as determined upon consultation with USAID/Uganda.

Additional FTF monitoring and evaluation information and a summary sheet of FTF indicators can be found at: http://www.feedthefuture.gov/progress and http://www.feedthefuture.gov/sites/default/files/resource/files/ftf_summaryindicators_apr2012.2.xls.

I.5 Gender

Specific attention should be directed to understanding and enhancing gender roles in agricultural policy, agricultural trade and/or climate change adaptation. Women make a significant contribution to the agriculture sub-sector yet; their contribution remains handicapped by gender inequality, social vulnerabilities, and stakeholders' inability to appreciably advance and advocate women's perspectives. Improving the legal rights of women to land, inheritance, management of agricultural commodities and other agriculturally-oriented assets is critical to agricultural economic development. USAID/Uganda's FTF strategy promotes gender equity by strengthening organizational capacity, business development skills,and training on food and nutrition for key women and youth Micro, Small and Medium Enterprises (MSMEs) along the selected value chains (Coffee, Maize, and Beans).

Applicants must ensure that gender issues are appropriately and effectively integrated, not only into the analysis, approach and activities, but also into the expected outcomes realized by the activity. Specific approaches for addressing gender constraints and a demonstrated understanding of how proposed activities impact men and women differently is necessary. Gender activities, outcomes and results will need to be systematically monitored and integrated into monitoring and evaluation plans. A substantive justification is necessary if gender concerns would not be relevant for the proposed activity.

For more guidance on gender analysis and integration, please see Annex G and the following references:

- ADS 201.3.9.3 "Gender Considerations" (http://www.usaid.gov/policy/ads/200/201.pdf)
- ADS 201.3.11.6 "Project/Activity Planning Step 2: Conduct Project-level Analysis as needed" (http://www.usaid.gov/policy/ads/200/201.pdf)
- ADS 205 "Integrating Gender Equality and Female Empowerment in USAID's Program Cycle" (http://www.usaid.gov/policy/ads/200/205.pdf)

I.6 Youth

Uganda has one of the highest rates of growth in the world; in fact 60% of Ugandan's are under the age of 19. The Ugandan constitution defines Youth as age 15-34 and that age group makes up 35% of Ugandans. USAID is committed to ensuring the integration of youth considerations into all of its activities. Applicants must ensure that youth issues are appropriately and effectively integrated, not only into the analysis, approach and activities, but also into the expected outcomes realized by the proposed activity. Youth interface with agricultural policy, agricultural trade and/or climate change in various ways, and therefore approaches should be differentiated by age cohorts. Applicants need to ensure youth activities, outcomes and results are systematically monitored and integrated into monitoring and evaluation plans. A substantive justification is necessary if youth concerns would not be relevant for the proposed activity.

USAID's Youth Development Policy illustrates USAID's priorities for youth. Some of the key topics addressed in the policy include: youth participation for effective development programming, accounting for youth similarities and differences, embracing innovation and technology, improving youth access to assets, and involving support networks (families, communities, and mentors). Please see USAID's full Youth in Development Policy for more information.

I.7 Alignment with USAID Priorities

USAID/Uganda's Country Development Co-operation Strategy (CDCS) details the US Government's (USG) commitment to advancing aid effectiveness principles and serves as the foundation for all USG efforts and activities in Uganda. Feed the Future (FTF) is the USG's global hunger, food security, and poverty reduction initiative which spans 19 countries across the globe. Each of the 19 countries has developed a Feed the Future strategy that aims to increase inclusive agriculture sector growth and improve nutritional status, especially of women and children. It also promotes gender equality and expanded opportunities for women and girls, as well as environmentally-sustainable and climate-resilient agricultural development.

USAID/Uganda's FTF strategy represents a significant commitment to advancing the goals set forth by the Government of Uganda (GoU) in the agricultural sector Development Strategy and Investment Plan (DSIP, 2011-2016) and National Development Plan (NDP) that emphasize restoration of agricultural growth as the engine for employment creation, poverty reduction and industrialization.

USAID Uganda in consultation with the GOU has prioritized three commodities (maize, beans, and coffee), and aims to aggressively attract private sector investment in the agricultural sector. Additionally, there are 38 districts in which the initiative in Uganda focuses its efforts (see the map in Annex I). Under Feed the Future, USAID/Uganda will support a set of interventions that will:

1) Sustainably increase agricultural productivity and competitiveness of smallholder farmers in three target value chains (maize, beans, and coffee);
2) Improve the functioning of markets and expand trade opportunities;
3) Increase access to diverse and high-quality diets;
4) Improve nutrition-related behaviors, and
5) Increase use of maternal and child health and nutrition services.

In addition, USAID/Uganda's CDCS and the FTF strategy both recognize the threat climate change poses to development in Uganda, especially with respect to agriculture. The goal of USAID/Uganda's climate change adaptation program is to improve the ability of Ugandan public sector, private sector, and civil society actors to understand and respond to climate change impacts on agriculture by focusing on three key features:

1) Meteorological data quality, access, availability, and use;
2) Capacity support for implementation of the National Climate Change Policy;
3) Education and research on climate adaptation;

USAID/Uganda awarded to Chemonics International USAID/Uganda's Enabling Environment for Agriculture activity in April 2013. This activity has an explicit link to local organizations, and therefore to any potential grantee under this APS. USAID/Uganda included the following language in the solicitation: "USAID/Uganda intends to solicit proposals for awards directly to Ugandan private sector and civil society organizations. Chemonics International's efforts under Result 4 [coordination with local private sector and civil society organizations] will play an important role in ensuring future partnerships with USAID will be successful." Therefore, any grantees under this APS will be expected to coordinate and collaborate with USAID's Enabling Environment for Agriculture activity and with regional trade and other initiatives implemented through USAID's East Africa Mission based in Nairobi.

USAID is committed to promoting close coordination and collaboration with other development partners. Therefore, assistance under this activity will be coordinated by USAID/Uganda through the Agricultural Development Partners' Group and the Development Partners' Technical Group on Climate Change.

SECTION II: AWARD INFORMATION

II.1 PROGRAM DURATION

Funding for programs under this APS will be a minimum of 12 months and a maximum of 24 months. USAID reserves the right to fund activities incrementally over the duration of the program, if necessary, depending on program length, performance against approved indicators and availability of funds.

II.2 ANTICIPATED FUNDING AVAILABILITY

USAID/Uganda anticipates approximately $2,600,000 to be available over three years for this APS funding opportunity. Individual applications should be in the range of $250,000 to $500,000. Applications may be fully funded under this round, or incrementally funded by this round and subsequent rounds, subject to the availability of funds.

Issuance of this APS does not constitute an award or commitment on the part of the U.S. Government to make any awards, nor does it commit the U.S. Government to pay for costs incurred in the preparation and submission of an application.

USAID reserves the right to fund any or none of the applications submitted.

II.3 PLACE OF PERFORMANCE

Place of performance for this award is Uganda.

II.4 TYPE OF AWARD(S)

USAID anticipates that grant(s) will be awarded as a result of this APS. Depending on the application(s) that is/are received and selected, USAID may decide to be substantially involved in the implementation of the program, and therefore award a cooperative agreement(s) instead of a grant(s). **No construction activities will be funded under assistance agreements resulting from this APS.** Applicants wishing to conduct these types of activities should consider cost share opportunities. Unless the grantee has an official Negotiated Indirect Cost Recovery Agreement (NICRA) with the US Government, all indirect costs must be considered using a direct allocation method.

II.5 APPLICANT ELIGIBILITY

Interested organizations are advised that this APS funding opportunity is limited to local, Ugandan organizations only. The APS is intended to expand and diversify the pool of partners USAID collaborates with by providing grants to local institutions that have previous experience working on agricultural policy, agricultural trade and/or climate change adaptation. In particular, local organizations and businesses as defined in Annex A are the focus. Potential for-profit applicants should note that USAID policy prohibits the payment of a fee/profit to the prime recipient under this type of instrument. Forgone profits do not qualify as cost sharing or leveraging.

Prospective partners must be organizations with a reputation for integrity and the highest standard of conduct, with a proven track record in their particular area of expertise. They should demonstrate a respect for human rights, gender sensitivity, and inclusion of people with disabilities, fair labor practices and environmental consciousness. All partners must meet standard U.S. Government procurement policies for financial management and audits.

USAID encourages applicants to propose a cash-based cost share in order to demonstrate organizational commitment to the proposed program, as well as any potential leveraging of USG resources. Cash based cost share is not required under this APS. If cost share is proposed cash funds can include other development partners' investment in the applicants' related activities. However, other donors funding cannot be used for the exact same purpose for which the applicant is applying to USAID. Procurement of real property and construction activities are ineligible under this APS, however, they can be included as cost share.

II.5.1 Eligibility Criteria

1. The applicant must be a local Ugandan organization as defined in Annex A.
2. The organization must be organized or recognized in accordance with the laws of, and conduct operations in Uganda.
3. Proposed activities must achieve results that contribute to improving the enabling environment in Uganda focused on agricultural policy, agricultural trade and/or climate change adaptation. No activities will be funded by USAID/Uganda that fall outside of the borders of Uganda.
4. Programs under the Local Partners' Policy Engagement activity **must** be aligned with the USAID/Uganda Feed the Future Strategy (http://www.feedthefuture.gov/sites/default/files/country/strategies/files/UgandaFTFMulti-YearStrategy.pdf) and/or USAID/Uganda's climate change adaptation priorities.

II.6 DISTRIBUTION OF THIS APS

The Local Partners Policy Engagement activity will be distributed through the following two avenues:

1. An advertisement, with instructions on how to access the APS listed in newspapers;
2. Electronic distribution via http://www.grants.gov ("Grants.gov"), which provides a single source for USG-wide competitive grant opportunities. It is important for all potential applicants to register on Grants.gov because any future amendments or additions to this APS can be downloaded from that website. In order to register, an applicant must go to Grants.gov and click on the "Get Registered" link on the left hand side of the page. They should then follow the instructions for "organization registration". If the applicant has difficulty registering or accessing the APS or related documents on Grants.gov, the applicant should contact the Grants.gov Helpdesk at 1-800-518-4726 or via e-mail at support@grants.gov for technical assistance.

II. 7 BRANDING AND MARKING UNDER ASSISTANCE INSTRUMENTS

In accordance with USAID policy (ADS 320.3.1.2 and 320.3.3), the Applicant shall include draft a Branding Strategy and Marking Plan in their full application. This Strategy and Plan will be negotiated and finalized as part of the subsequent award to the Recipient. Applicants should **NOTE: a branding and marking strategy is not necessary for concept papers under this APS**.

II.8 ENVIRONMENTAL COMPLIANCE

Please note: This section contains information on environmental compliance which applicants should understand as they develop their proposal. Applicants should consider any environmental impacts of their activities; however no environmental documents need to be submitted with concept papers.

The Foreign Assistance Act of 1961, as amended, Section 117 requires that the impact of USAID's activities on the environment be considered and that USAID include environmental sustainability as a central consideration in designing and carrying out its development programs. This mandate is codified in Federal Regulations (22 CFR 216) and in USAID's Automated Directives System (ADS) Parts 201.3.11.2.b and 204 (http://www.usaid.gov/policy/ads/200/), which, in part, require that the potential environmental impacts of USAID-financed activities are identified prior to a final decision to proceed and that appropriate environmental safeguards are adopted for all activities. Recipient environmental compliance obligations under these regulations and procedures are specified in the following paragraphs of this Annual Program Statement:

1a) In addition, the recipient must comply with host country environmental regulations unless otherwise directed in writing by USAID. In case of conflict between host country and USAID regulations, the latter shall govern.

1b) No activity funded under the APS will be implemented unless an environmental threshold determination, as defined by 22 CFR 216, has been reached for that activity, as documented in an Initial Environmental Examination (IEE), or Environmental Assessment (EA) duly signed by the Bureau Environmental Officer (BEO). Hereinafter, such documents are described as "approved Regulation 216 environmental documentation."

2) The Economic Growth Development Objective activities are covered under an umbrella Initial Environmental Examination (IEE), which expires at the end of FY 2014.
The umbrella IEE can be found in file name: Uganda_FY08_EG_SO7_Blanket_IEE_091808.doc. From this approved IEE, USAID has recommended a **Negative Determination with Conditions**, indicating that if the proposed activities are implemented subject to the specific conditions, they are expected to have no significant effect on the environment. Therefore, the due diligence process will ensure that resource partners will adhere to and comply with CFR 216. The partner shall be responsible for implementing all IEE conditions pertaining to activities to be funded under this award.

3) As part of its initial Work Plan, and all Annual Work Plans thereafter, the partner in collaboration with the USAID Agreement Officer's Representative and Mission Environmental Officer or Bureau Environmental Officer, as appropriate, shall review all ongoing and planned activities awarded under this APS to determine if they are within the scope of the approved Regulation 216 environmental documentation.

3a) If the partner plans any new activities outside the scope of the approved Regulation 216 environmental documentation, it shall prepare an amendment to the documentation for USAID review and approval. No such new activities shall be undertaken prior to receiving written USAID approval of environmental documentation amendments.

3b) Any ongoing activities found to be outside the scope of the approved Regulation 216 environmental documentation shall be halted until an amendment to the documentation is submitted and written approval is received from USAID.

4) When the approved Regulation 216 documentation is (1) an IEE that contains a Negative Determination with Conditions the recipient shall:
Prepare an environmental mitigation and monitoring plan (EMMP) or project mitigation and monitoring (M&M) plan describing how the recipient will, in specific terms, implement all IEE and/or EA conditions that apply to proposed project activities within the scope of the award. The EMMP or M&M Plan shall include monitoring the implementation of the conditions and their effectiveness. If the approved Regulation 216 documentation contains a complete EMMP or project mitigation and monitoring (M&M) plan, the recipient does not need to complete a new plan. Guidance is available to assist with the EMMP and M&M process at http://www.encapafrica.org/meoEntry.htm.

4a) Integrate a completed EMMP or M&M Plan into the initial work plan.

4b) Integrate an EMMP or M&M Plan into subsequent Annual Work Plans, making any necessary adjustments to activity implementation in order to minimize adverse impacts to the environment.

5) Recipient is responsible for ensuring that mitigation measures specified by the ERF or ER checklist process are implemented and addressed in annual reports. Guidance is available to assist with the ERF and ER checklist process at http://www.encapafrica.org/meoEntry.htm

5a) The recipient will be responsible for periodic reporting to the USAID Agreement Officer's Representative, as specified in the Schedule/Program Description of this solicitation.

6) USAID anticipates that environmental compliance and achieving optimal development outcomes for the proposed activities will require environmental management expertise. Partners to this APS should therefore include as part of their application their approach to achieving **environmental compliance and management,** to include:

6a) The partner's approach to developing and implementing an IEE or EA or environmental review process for a grant fund and/or an EMMP or M&M Plan.

6b) The partner's approach to providing necessary environmental management expertise, including examples of past experience of environmental management of similar activities.

6c) The partner's illustrative budget for implementing the environmental compliance activities. For the purposes of this solicitation, partners should reflect illustrative costs for environmental compliance implementation and monitoring in their cost proposal.

II.9 APPLICABLE REGULATIONS AND REFERENCES

Standard Provisions will be provided in full text, as applicable, in the resultant agreement.

- Mandatory Standard Provisions for Non U.S. Nongovernmental Recipients http://www.usaid.gov/policy/ads/300/303mab.pdf
- ADS Chapter 303, Acquisition and Assistance, located at http://www.usaid.gov/policy/ads/300/303.pdf
- U.S. Government Standard Form (SF) 424, SF 424A, SF 424B, located at https://apply07.grants.gov/apply/FormsMenu?source=agency

SECTION III – APPLICATION AND SUBMISSION INFORMATION

III.1 Application Process

Applications submitted under this APS will be reviewed based on the evaluation criteria described in section **III.2.3**. Competition under this APS will consist of a two-step process where applicants first submit a **Concept Paper**. All Concept Papers received will be reviewed for compliance with this solicitation. **All organizations whose Concept Paper meets the solicitation requirements will be invited to present their Concept to a panel of experts for an initial competitive evaluation**. The most highly rated Concepts will then be sent a formal letter requesting the applicant to submit a **Full Application**. To be considered for funding under this APS, applications must be able to meet the following requirements for the **Concept Paper** and the **Full Application**, respectively.

Successful applicants must be operational and legally registered in Uganda (see definition of local organizations in Annex A). Applicants must have the experience, presence, and organizational mandate to contribute to the goals and objectives of the Uganda Feed the Future strategy and/or USAID Uganda's climate change adaptation priorities.

III.2 Concept Paper Content and Format

1. General Format: The Concept Paper must:
    a. Be written in English;
    b. Be written using Times New Roman, 12 point font;
    c. Be written with 1-inch margins;
    d. Be paginated with each page consecutively numbered; and
    e. Not exceed Four (4) pages (the four page limit does not include the cover page and appendices outlined in 111.21). Pages in excess of this stated limit will not be considered.

2. Concept Paper should be developed using the following format:

    a) **<u>Cover Page</u>**: The Cover Page must include:
        i. Name and address of organization;
        ii. Type of organization (e.g., for-profit, non-profit, university, local organization etc.);
        iii. Name of Project/Activity, APS No. APS-617-13-000002 & Requested Funding Amount
        iv. Contact point (lead contact name; relevant telephone, and e-mail information); and
        v. Signature of authorized representative of the applicant.

    b) **<u>Technical Information:</u>**

    The four page Concept Paper must include the following sections **in the order stated below**:

    1. **Objective:** Provide a concise background of the specific development challenge/opportunity and the program's expected, measurable contribution to that development challenge/opportunity. Clearly demonstrate the overall objective of the proposed program and how it is aligned to the applicant's own mission, Uganda's NSP and DSIP as well as USAID/Uganda's Feed the Future program and/or USAID/Uganda's climate change adaptation priorities.

    2. **Methodology:** Describe the proposed approach and activities, including any innovative methods, techniques, or tools relevant to your program.

3. **Results:** Link the activities proposed to the program's anticipated results, propose a timeline to achieve those results and discuss how you will be youth and gender sensitive and measure success.

4. **Capacity Building:** Describe how development funds will be utilized to strengthen the applicant's organizational capacity. USAID/Uganda recognizes that many organizations applying for this APS have not previously received direct funding from USAID; applicants are therefore encouraged to candidly discuss their capacity **development** needs. Examples could include but are not limited to: personnel training, upgrading financial management procedures, establishing a communication strategy, and building systems for program development and planning, monitoring and evaluation, procurement, or other core operating skills.

5. **Organizational and Team Capabilities:** Briefly describe the primary applicant's organizational history and demonstrate the organization's ability to successfully implement the proposed program. Highlight partnerships or strategic relationships (if any), which will be necessary to ensure the program's success. Partners could include but are not limited to: local or regional civil society organizations, academia, private sector, governments or inter-governmental organizations.

III.2.1 REQUIRED Appendices: (only include the appendices indicated below)

1. **Eligibility Checklist:** Please submit with the Concept Paper a completed eligibility checklist provided in Annex B. This checklist will help determine the applicant's legal registered status and eligibility under this APS. If the answer to any of the questions in the checklist is "No", your organization is NOT eligible to be considered for award and it should not submit a Concept Paper for review. If submitted, it will not be considered. Along with the checklist, please provide: (1) certificate of incorporation; and (2) a list outlining ownership and/or governance. USAID reserves the right to require applicants to submit additional documentation regarding eligibility and experience.

2. **Notional Summary Budget:** The notional summary budget must include total estimated cost for the life of the program and a brief cost breakdown of individual line items for specific costs which the applicant expects to incur. The life of the project should be clearly indicated on the budget. Costs can include, but are not limited to: personnel salaries, fringe benefits, office rent, utilities, equipment, communications, workshops, and local travel. The budget should clearly identify any cost share resources in cash that the applicant will be providing. Cash funds can include other development partners' investment in the applicants' related activities. However, other donors funding cannot be used for the exact same purpose for which the applicant is applying to USAID. A notional budget template and budget guidance, including a summary budget and sample planning budget is attached in Annex F. Please note, **<u>only the summary budget is to be submitted with the Concept Paper</u>.** Also note that examples listed in red font on the template are only for illustrative purposes and should be adjusted in accordance with each applicant's program. Formulas will also need to be adjusted based on any additions or changes that are made. The budget must be created in Microsoft Excel. All line items must culminate to the total requested.

3. **Budget Narrative:** The applicant must submit, along with their budget, a narrative created in Microsoft Word which clearly explains their budget in detail, consistent with the budget categories detailed in the budget. **The budget narrative for the Concept Paper is not to exceed one page.**

III.2.2 <u>Concept Paper Submission Instructions</u>

1. Four page Concept will be evaluated upon submission. Applicants must submit their Concept Paper by the deadlines stipulated on the cover page.

   Electronic file/s inclusive of allowed appendices must be emailed to KampalaUSAIDAPS@usaid.gov. Hard Copies and Facsimile (fax) submissions will not be accepted.

2. Applicants should visit http://www.grants.gov (Grants.gov) and register their organization through the "Get Registered" link on the left hand side of the page. Organizations should follow the instructions for organization registration. **Registration through Grants.gov is not a requirement for application to this APS.** However, applications that make it through the entire APS process and are successful in their full application will be required to register through Grants.gov before they receive funding from USAID. Applicant registration is important because it facilitates obtaining a DUNS number (a unique 9 digit identification number) and registering with the U.S. Government's Central Contractor Registry. However, applicants are NOT required to obtain a DUNS number prior to submission of the Concept Paper. Only organizations selected for award will be required to obtain a DUNS number.

3. It is the applicant's responsibility to ensure that files are complete and transmitted by the deadline on the cover page. USAID bears no responsibility for data errors or omissions. USAID may accept applications past the deadline due to transmission difficulties that are not the fault of, or within the control of, the applicant with the approval of USAID/Uganda's Agreement Officer.

III.2.3 Evaluation Criteria for Concepts

Concepts will be evaluated based on the Concept Paper and Concept presentations to a panel of experts using the following criteria (each criterion weighted equally):

- **Objective**

  The extent to which the background clearly and logically identifies the development challenge/opportunity and the program's expected contribution and aligns with the applicant's own mission, Uganda's NSP and DSIP as well as USAID/Uganda's Feed the Future program and/or USAID/Uganda's climate change adaptation priorities.

- **Methodology**

  The extent to which the proposed approach and activities are feasible, sustainable, and innovative and inclusive of gender and youth considerations

- **Results**

  The extent to which the results and timeline are realistic, achievable, and measurable

- **Capacity Building:**

  The extent to which the program will strengthen the applicant's organizational capacity

- **Organizational and Team Capabilities**

  The extent to which the applicant's organizational history demonstrates the ability to successfully implement the proposed program

III.2.4 Timeline and Deadlines

- USAID plans to evaluate the Concept Papers based on the submission deadlines stipulated on the cover page. Future submission deadlines will be added as an amendment to this solicitation. However, as resources are limited and we expect a significant number of submissions, the earlier a Concept Paper is received, the greater the chance that funding might be available.
- From the date of the Concept presentation, USAID/Uganda will endeavor to notify organizations within 20 business days. Organizations will either receive a letter rejecting their Concept or requesting the submission of a full 15 page application.

III.3 Full Application Content and Format

ONLY APPLICABLE FOR ORGANIZATIONS THAT RECEIVE A FORMAL LETTER REQUESTING A FULL APPLICATION. Do not submit a Full Application unless you receive a formal letter requesting you to do so by USAID. An invitation to submit a Full Application does not constitute an award; USAID may choose not to fund applications even after they have been requested.

The Full Application should be submitted in two separate volumes: (i) Technical Application; and (ii) Financial Application. The Technical and Financial Applications should be submitted under separate cover.

III.3.1 Technical Application

General Format:

1. General Format: The Technical Application must:
    a. Be written in English;
    b. Be written using Times New Roman, 12 point font;
    c. Be written with 1-inch margins;
    d. Be paginated with each page consecutively numbered;
    e. Not exceed fifteen (15) pages (not including cover page and annexes). Pages in excess of this stated limit will not be considered; and
    f. All Full Applications should be submitted via email to the electronic mailbox listed on the cover page.

2. The Technical Application should be developed according to the following format:

- Full applications will only be evaluated when applicants have been formally invited by USAID/Uganda to submit a full 15-page technical application.

- Technical and financial applications should be composed and submitted as two separate documents.

- USAID will only consider applications conforming to the prescribed format illustrated below. The technical application shall contain the following components in order.

III.3.2 Cover Page: (one page - does not count toward the 15 page limit)

The cover page must include:

- USAID APS Solicitation No.:
- Name and address of organization(s)/institution(s) involved with the lead or primary applicant clearly identified;
- Any partners or partnerships (if proposed) clearly listed;

- Type of organization of the primary applicant (e.g. for profit business, non-profit, university, government, inter-governmental, etc.);
- Title of application and the applicable, eligible countries in which it will be implemented;
- The total estimated cost, the dollar amount requested from USAID, and the amount of cost share;
- Length of the proposed program;
- Contact person (lead contact name, title, telephone and fax numbers, and e-mail information). Applicants should also clearly state whether the identified contact person has the authority to negotiate on behalf of the applicant, and, if not, the contact information for the appropriate person with authority to negotiate should also be listed; and
- Signature of authorized representative of the applicant.

III.3.3 Executive Summary: (one page - does not count toward the 15 page limit)

The executive summary must summarize the key elements of the applicant's technical application, but provide NO FINANCIAL FIGURES other than the total amount of funds requested from USAID, and any proposed cost share.

III.3.4 Technical Application: (15 pages maximum)

Applicants must organize the narrative sections of their technical applications in the same order as the evaluation criteria and include the major components below.

Background and Objective:

Context of Agriculture Policy and or Climate Change Adaptation Engagement:

Provide a concise background on the specific development challenge/opportunity and place it within the context of Ugandan agriculture. Describe other donor, government, private sector or NGO activities underway and how the proposed program will address gaps in current programming.

Objective: Clearly demonstrate the overall objective of the proposed program and discuss how it is aligned with USAID/Uganda's Feed the Future strategy, DSIP, and/or USAID/Uganda's climate change adaptation priorities, as well as the organization's mission.

Methodology:

Methodology: Describe the proposed approach, including any methods, techniques, or tools relevant to your program. Discuss the anticipated link between the proposed activities and their intended impact on the development challenge/opportunity identified in the context analysis.

Milestone/Activity Plan: Provide a milestone plan that proposes the program milestones to be achieved, activities and deliverables under each milestone and an associated schedule of completion. Milestones should cover all major program results, as well as necessary procedural and process oriented achievements. Examples of initial milestones are drafting and submitting an implementation plan and recruiting and training new staff. Examples of milestones during the implementation stages of program activities include delivery of training sessions, number of policy briefs prepared and delivered, number of policies analyzed, farmers or businesses adopting a new technology. Please use the example in Annex C.

Gender and Youth Integration: Address gender and youth issues in a substantive manner, describing specific approaches for addressing constraints to gender and youth in the agricultural policy dialogue process, agriculture trade, and/or adaptation to climate change. Consider how the proposed activities' engagement differs between men and women, and different youth cohorts and how those differences will be addressed. **Please refer to section I.5 for gender and 1.6 for youth for more detailed information.**

Results, Monitoring and Evaluation:

Results: Describe how the proposed activities are likely to achieve the desired results. Describe how anticipated results are logically connected to one or more of the goals, objectives and/or intermediate results outlined in USAID/Uganda's Feed the Future strategy and/or USAID/Uganda's climate change adaptation priorities, the strategies of Ugandan institutions and DSIP.

Monitoring and Evaluation Plan: Describe how the proposed activities will be monitored and evaluated, including proposed indicators and targets. Proposed indicators shall include the appropriate FTF and GCC standard indicators..

For more information on developing indicators, including gender-sensitive indicators, please see:

- ADS Chapter 203: Assessing and Learning (http://www.usaid.gov/policy/ads/200/203.pdf)

Additional FTF monitoring and evaluation information and a summary sheet of FTF indicators can be found at:

- http://www.feedthefuture.gov/progress
- http://www.feedthefuture.gov/sites/default/files/resource/files/ftfsummaryindicators_apr2012.2.xls.

Organizations receiving climate change funds are required to track the following climate change indicator:

Number of individuals with increased capacity to adapt to the impacts of climate change as a result of USG assistance disaggregated by:
- Implementing risk-reducing practices/actions to improve resilience to climate change
- Using climate information in their decision making
- With increased knowledge of climate change impacts and response options

In addition to this indicator, other climate change indicators relevant to the activity (examples provided upon award) should also be tracked.

Capacity Building: USAID seeks to assist recipients to improve their planning, management, monitoring, evaluation and other organizational and financial systems critical to effective service delivery and organizational sustainability. The applicant should indicate how it can capitalize on its strengths and address organizational limitations with USAID funding. If funds will be used for capacity building purposes, please explain which activities are designed to do this and the expected outcomes or impact of these activities. Examples could include but are not limited to: personnel training, upgrading financial management procedures, establishing a communication strategy, and building systems for program development and planning, monitoring and evaluation, procurement, or other core operating skills. USAID/Uganda recognizes that many organizations applying for this APS have not previously received direct funding from USAID; applicants are therefore encouraged to candidly discuss their capacity needs without fear of reprisal from the evaluation committee.

Organizational and Team Capabilities:

- **<u>Primary Applicant</u>**: Describe the primary applicant's organizational history, including positive experience working with the proposed target population and in the proposed geographic areas. Demonstrate the organization's ability to successfully implement the proposed program. If applicable, please include any examples in which the applicant demonstrates the successful implementation of programs similar in magnitude, complexity, objectives, and context.

- **<u>Project Team</u>**: Describe the composition and organizational structure of the proposed project team, including team member titles, roles and requisite technical expertise. The team, as backed by the overall organization, should be well matched to the approach and methodology. Provide sufficient information on the technical and managerial experience of the project director as well as other relevant project management staff.

- **<u>Partnerships</u>**: If formal partnerships are formed, include a clear delineation of roles and responsibilities of each sub-partner. Partners could include, but are not limited to: local or regional civil society organizations, academia, private sector, governments or inter-governmental organizations. If partners are proposed, describe their organizational history and experience and provide letters of support, indicating they have been consulted and have agreed to participate in the proposed activities. Letters of support do not count toward the 15 page maximum. Clearly state if you are not proposing formal partnerships.

III.4 Financial Application:

The Financial Application shall clearly show how funds will be used to support the activities proposed in the applicant's Technical Application. USAID/Uganda encourages prospective partners to focus resources on project implementation rather than salaries, equipment, and supplies. The financial application will be reviewed for cost realism in accordance with the evaluation criteria. The USAID-funded portion of the proposed application must be a minimum of $250,000 and a maximum of $500,000 per the APS. USAID encourages applicants to propose a cash-based cost share in order to demonstrate organizational commitment to the proposed program, as well as any potential leveraging of USG resources.

The financial application section must use the budget template provided and include the following:

1. **Budget Template:** Organizations requested to submit **full** applications must submit their financial application using the illustrative Summary Budget and Detailed Budget format as presented in this excel document: Budget Template Local Partners' Policy Engagement APS. The template includes total estimated cost for the life of the program and a brief cost breakdown of individual line items for specific costs which the applicant expects to incur. The life of the project should be clearly indicated on the budget. Costs can include, but are not limited to: personnel salaries, fringe benefits, office rent, utilities, equipment, communications, workshops, and local travel. Please note that examples listed in red font on the template are only for illustrative purposes and should be adjusted in accordance with each applicant's program. Formulas will also need to be adjusted based on any additions or changes that are made. The budget must include the breakdown of all costs to each partner organization, if any, involved in the program. The budget should also clearly identify any cost share resources in cash that the applicant will be providing. Cash funds can include other development partners' investment in the applicants' related activities. However, other donors funding cannot be used for the exact same purpose for which the applicant is applying to USAID.

2. **Budget Narrative**: Budget notes (in Microsoft Word) adequately explaining *how* individual cost-estimates were constructed are <u>required</u> and no page limit is set for the full proposal (except within reason).

Additional Required Forms:

1. **Forms SF 424, 424A, and 424B** should be signed by the applicant and submitted as an electronic file:
   SF-424, Application for Federal Assistance
   SF-424A, Financial Application Information – Non construction Programs
   SF-424B, Assurances – Non construction Programs
   A link to these forms can be found at:
   https://apply07.grants.gov/apply/FormsMenu?source=agency. Search for the form by number reference.

2. **Completed Representations and Certifications**
   Accessible through: http://www.usaid.gov/who-we-are/agency-policy/series-300/references-chapter. (under 303mac).

3. **Completed Bio-data Sheets (AID form: 1420-17)** for each professional position included in the detailed budget. Accessible through: http://www.usaid.gov/forms/.

4. **Mandatory Standard Provisions for Non-U.S. Nongovernmental Recipients applications**
   Accessible through: http://www.usaid.gov/policy/ads/300/303mab.pdf. The successful organization will be required to implement the program consistent with these USAID Standard Provisions.

III.4 Full Application Submission Instructions

1. If the applicant's four page Concept Paper is selected, the applicant will be asked to submit a full application to USAID/Uganda. Do not submit a full application unless you are invited to do so by USAID/Uganda. Applicants must submit the full application 20 business days from the date of the formal invitation letter from USAID/Uganda. Full applications that do not meet the deadline may not be reviewed by the evaluation committee during the APS period.

2. The application must be submitted in **two separate electronic files: (1) technical application** and **(2) financial application**. Electronic files, inclusive of any allowed appendices, must be emailed to KampalaUSAIDAPS@usaid.gov and each email must not exceed 4MB.

3. If applicants have not already done so, they should visit http://www.grants.gov (Grants.gov) and register their organization through the "Get Registered" link on the left hand side of the page. Organizations should follow the instructions for organization registration. **At this stage, registration through Grants.gov is not a requirement for application to this APS.** However, applications that make it through the entire APS process and are successful in their full application will be required to register through Grants.gov before they can receive funding from USAID. Applicant registration is important because it facilitates obtaining a DUNS number (a unique 9 digit identification number) and registering with the U.S. Government's Central Contractor Registry. However, applicants are NOT required to obtain a DUNS number prior to submission of the application. Only organizations selected for award will be required to obtain a DUNS number.

4. It is the applicant's responsibility to ensure that files are complete and transmitted within 20 business days from the date of the formal invitation from USAID/Uganda, otherwise the full application may not be reviewed during this APS period. USAID bears no responsibility for data errors or omissions. USAID may accept applications past the deadline due to transmission difficulties that are not the fault of, or within the control of, the applicant with the approval of USAID Uganda's Agreement Officer.

5. USAID expects all Implementing Partners to address gender and youth concerns in all USAID-funded programs. Each technical application must adequately demonstrate how gender and youth concerns will be addressed during the program implementation. Specific information and guidance on USAID gender concerns is found at: http://www.usaid.gov/policy/ads/200/201sab.pdf.
   For USAID's Youth in Development Policy, please see http://www.usaid.gov/sites/default/files/documents/1870/Youth_in_Development_Policy.pdf.

III.5 Timeline and Deadlines

- The full application will be due within 20 business days from the date of the formal invitation letter from USAID/Uganda.

- For all full applications, USAID/Uganda will convene a technical evaluation committee to review applications received and make recommendations for funding. These recommendations will be provided on a rolling basis to the Agreement Officer for negotiation, responsibility determinations and award. It can take several months for organizations to be notified of the status of their full application for award.

- Prior to award execution, USAID may perform a pre-award survey for organizations that are new to working with USAID or for organizations with outstanding audit findings. Accounting systems, audit issues and management or technical capability questions may be reviewed as part of this process, including a potential site visit. If notified by USAID that a pre-award survey or site visit is necessary, applicants must prepare in advance the required information and documents. A pre-award survey or site visit does not commit USAID to make an award to any organization.

- This APS may be amended at any time either to establish subsequent deadlines or to indicate that an award(s) has/have been made and that no further funding is available.

III.6.4 Reporting

Program implementation reporting will be determined based on the outcome of the collaborative finalization process between the applicant and USAID. An annual performance monitoring plan, using established baseline data and specific, measurable targets and indicators will also be jointly agreed upon. Financial reporting will be in accordance with the requirements of the obligating document, following mutual agreement of provisions.

SECTION IV - EVALUATION PROCEDURES

IV.1 Evaluation Criteria for Full Application

Full 15-page applications will be evaluated using the criteria outlined below (each criterion weighted equally):

- **Background and Objective**

  The extent to which the application provides background and objectives that are based on specific development challenges/opportunities, and align with USAID/Uganda's Feed the Future strategy and CDCS, and/or USAID/Uganda's climate change adaptation priorities as well as the organization's mission or overall strategy.

- **Methodology**

  The extent to which the proposed methodology, milestones, and activities provide a realistic, innovative and evidence based approach, with clear causal links to intended impacts and describe specific approaches for addressing gender and youth constraints and capitalizes on opportunities.

- **Results and Monitoring and Evaluation:**

  The extent to which the proposed activities are likely to achieve the desired outputs, outcomes, and results based on the proposed approach, and to which the monitoring and evaluation methods are realistic and applicable to the goals and objectives of the program.

- **Capacity Building:**

  The extent to which the program will strengthen organizational systems critical to effective service delivery and organizational sustainability.

- **Organizational and Team Capabilities:**

  The extent to which the applicant, the project team, and any partnerships provides the experience and qualified personnel to successfully implement the proposed program and exhibit successful implementation of projects of similar magnitude and complexity..

SECTION V – ANNEXES

A. LOCAL ORGANIZATION DEFINITION

B. ELIGIBILITY CRITERIA CHECKLIST

C. SAMPLE MILESTONE/ACTIVITY PLAN

D. STANDARD FORM 424, APPLICATION FOR FEDERAL ASSISTANCE

E. STANDARD FORM 424A, BUDGET INFORMATION – NON-CONSTRUCTION PROGRAMS

F. SAMPLE BUDGET AND GUIDANCE

G. GENDER STATEMENT

H. USAID/UGANDA DEVELOPMENT OBJECTIVE FOCUS DISTRICTS

I. MAP OF FEED THE FUTURE FOCUS DISTRICTS WITH VALUE CHAINS OVERLAYS

ANNEX A - Local Organization Definition

For purposes of indicator reporting, at the time of the award a “local organization” must:

- Be organized under the laws of the recipient country;
- Have its principal place of business in the recipient country;
- Be majority owned by individuals who are citizens or lawful permanent residents of the recipient country or be managed by a governing body, the majority of whom are citizens or lawful permanent residents of a recipient country; and
- Not be controlled by a foreign entity or by an individual or individuals who are not citizens or permanent residents of the recipient country.

The term “controlled by” means a majority ownership or beneficiary interest as defined above, or the power, either directly or indirectly, whether exercised or exercisable, to control the election, appointment, or tenure of the organization’s managers or a majority of the organization’s governing body by any means, e.g., ownership, contract, or operation of law.

“Foreign entity” means an organization that fails to meet any part of the “local organization” definition. Government controlled and government owned organizations in which the recipient government owns a majority interest or in which the majority of a governing body are government employees, are included in the above definition of local organization.

ANNEX B - Eligibility Criteria Checklist

Instructions:

This eligibility information must be provided by all applicants. Please answer each question by ticking the yes or no column with an X.

If the answer to any of the below questions is "NO", your organization is NOT eligible to be considered for award and should not submit a Concept Paper. If submitted, it will not be evaluated.

YES	NO	Yes- No Eligibility Questions
		Does your organization meet the definition of a local organization as outlined in Annex A, including: • Be organized in accordance with the laws of Uganda.
		Does your organization meet the definition of a local organization as outlined in Annex A, including: • Have its principal place of business within Uganda.
		Does your organization meet the definition of a local organization as outlined in Annex A, including: • Be majority owned (>50%) by individuals who are citizens or lawful permanent residents of a Uganda or be managed by a governing body, the majority (>50%) of whom are citizens or lawful permanent residents of Uganda.
		Does your organization meet the definition of a local organization as outlined in Annex A, including: • Not be controlled by a foreign entity or by an individual or individuals who are not citizens or permanent residents of Uganda.
		Does your organization conduct activities in Uganda?
		Does your organization have previous experience working on agricultural policy, agricultural trade and/or climate change adaptation?
		Do your proposed activities align with and contribute to the goals, objectives, and results outlined in USAID/Uganda's Feed the Future Strategy and/or USAID/Uganda's climate change adaptation priorities?

Please provide along with this checklist: (1) certificate of incorporation; and (2) a list outlining the primary applicant's ownership or governance.

ANNEX C - Sample Milestone/Activity Plan

This milestone plan is structured on USAID's fiscal and reporting year which starts October 1 and ends September 30. This plan is not to be submitted with the Concept Paper. It is only to be submitted with the full proposal.

Milestone	Deliverables	Person Responsible	O	N	D	J	F	M	A	M	J	J	A	S	Remarks
1.															
2.															
3.															

Version 7/03

APPLICATION FOR FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION: Application ☐ **Construction** ☐ **Non-Construction**	Pre-application ☐ **Construction** ☐ **Non-Construction**	**2. DATE SUBMITTED**	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name:	**Organizational Unit:** Department:
Organizational DUNS:	Division:
Address: Street:	**Name and telephone number of persons to be contacted on matters involving this application (give area code)** Prefix: First Name:
City:	Middle Name
County:	Last Name
State: Zip Code	Suffix:
Country:	Email:
6. EMPLOYER IDENTIFICATION NUMBER (EIN): ☐☐-☐☐☐☐☐☐☐	Phone Number (give area code) Fax Number (give area code)
8. TYPE OF APPLICATION: ☐ ☐ ☐ If revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) ☐ ☐ Other (specify)	**7. TYPE OF APPLICANT:** (See back of form for Application Types) Other (specify)
	9. NAME OF FEDERAL AGENCY:
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: ☐☐-☐☐☐ TITLE (Name of Program):	**11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:**
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):	
13. PROPOSED PROJECT Start Date: Ending Date:	**14. CONGRESSIONAL DISTRICTS OF:** a. Applicant b. Project

15. ESTIMATED FUNDING:		
a. Federal	$	.00
b. Applicant	$	.00
c. State	$	.00
d. Local	$	.00
e. Other	$	.00
f. Program Income	$	.00
g. TOTAL	$	.00

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

a. Yes. ☐ THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON

DATE:

b. No. ☐ PROGRAM IS NOT COVERED BY E.O. 12372

☐ OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?

☐ Yes If "Yes" attach an explanation. ☐ No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative

Prefix	First Name	Middle Name
Last Name		Suffix
b. Title		c.Telephone Number (give area code)
d. Signature of Authorized Representative		e.Date Signed

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Standard Form 424 (Rev.9-2003)
Prescribed by OMB Circular A-102

BUDGET INFORMATION - Non-Construction Programs

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget	
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)
1.	-				
2.	-				
3.	-				
4.	-				
5. Totals					

6. Object Class Categories	Grant Program Function or Activity			
	(1)	(2)	(3)	(4)
a. Personnel				
b. Fringe Benefits				
c. Travel				
d. Equipment				
e. Supplies				
f. Contractual				
g. Construction				
h. Other				
i. Total Direct Charges (Sum of 6a-6h)				
j. Indirect Charges				
k. TOTALS (Sum of 6i and 6j)				
7. Program Income				

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Standard Form 424A (Rev. 4/92)
Prescribed by OMB Circular A-102

BUDGET INFORMATION Non-Construction Programs (cont'd)

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources
8.			
9.			
10.			
11.			
12. TOTAL (Sum of lines 8-11)			

	Total Amt 1st Year	1st Quarter	2nd Quarter	3rd Quarter
13. Federal				
14. Non-Federal				
15. TOTAL (Sum of lines 13 and 14)				

(a) Grant Program	FUTURE FUNDING PERIODS (Years)		
	(b) First	(c) Second	(d) Third
16.			
17.			
18.			
19.			
20. TOTAL (Sum of lines 16-19)			

21. Direct Charges:	22. Indirect Charges:
23. Remarks:	

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Standard Form 424A (Rev. 4/92) Page 2

INSTRUCTIONS FOR THE SF424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET, SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form used by applicants as a required facesheet for preapplications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain application certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:	Entry:	Item:	Entry:
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).
3.	State use only (if applicable).	13.	Enter the proposed start date and end date of the project.
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, e-mail and fax of the person to contact on matters related to this application.	15.	Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.
7.	Select the appropriate letter in the space provided. A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District H. Independent School District I. State Controlled Institution of Higher Learning J. Private University K. Individual L. Profit Organization M. Other (Specify) N. Net for Profit Organization	17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
8.	Select the type from the following list: - "New" means a new assistance award. - "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date. - "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter: A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration	18.	To be signed by the authorized representative of the applicant A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)
9.	Name of Federal agency from which assistance is being requested with this application.		
10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.		

INSTRUCTIONS FOR THE SF 424A

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget. Paperwork Reduction Project (0348-0044), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET, SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

General Instructions

This form is designed so that application can be made for funds from one or more programs. In preparing the budget, adhere to any existing Federal or agency guidelines, which prescribe how, and whether budgeted amounts should be separately show for different functions or activities within the program. For some programs, or agencies may require budgets to be separately shown by function or activity. For other programs, or agencies may require a breakdown by function or activity. Sections A, B, C and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Section A, B, C and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a *single* Federal program (Federal Domestic Assistance Catalog number) and *not requiring* a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a *single* program *requiring* budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in *Column (a)* and the respective catalog number on each line in Column (b).

For applications pertaining to *multiple* programs where one or more programs require a breakdown by function or activity, prepare a separate sheet for each program requiring

the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For new applications, leave Columns (c) and (d) blank. For each line Entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing program applications, submit these forms before the end of each funding period as required by the agency. Enter in Column (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the funding period only if the Federal or agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For supplemental s and changes to existing s, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amounts(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5 - Show the totals for all columns used.

INSTRUCTIONS FOR THE SF 424A (continued)

Section B. Budget Categories

In the column headings (1) enter Federal, and (2) enter Non-Federal. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i - Show the totals of Lines 6a to 6h in each column.

Line 6j - Show the amount of indirect cost.

Line 6k - Enter the total of amounts on Lines 6i and 6j. For all applications for new s and continuation s the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental s and changes to s, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7 - Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program narrative statement the nature and source of income. The estimated amount of program income may be considered by the federal or agency in determining the total amount of the .

Section C. Non-Federal Resources

Lines 8-11 - Enter amounts of non-Federal resources that will be used on the . If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a) - Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b) - Enter the contribution to be made by the applicant.

Column (c) - Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants who are a State or State agencies should leave this column blank.

Column (d) - Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e) - Enter totals of Columns (b), (c), and (d).

Line 12 - Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

Section D. Forecasted Cash Needs

Line 13 - Enter the amount of cash needed by quarter from the agency during the first year.

Line 14 - Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15 - Enter the totals of amounts on Lines 13 and 14.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19 - Enter in Column (a) the same program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing s.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

Line 20 - Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

Section F. Other Budget Information

Line 21 - Use this space to explain amounts for individual direct object-class cost categories that may appear to be out of the ordinary or to explain the details as required by Federal or agency.

Line 22 - Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23 - Provide any other explanations or comments deemed necessary.

Annex F

SAMPLE BUDGET AND GUIDANCE

The following object class categories are those required on USAID Form 424A (Section B - Budget Categories):

a. **Personnel**

The category includes the salary of each long-term and short-term, paid position for the total estimated life-of-project, except consultants, and the projected cost-of-living or bonus/merit increase for each position.

b. **Fringe Benefits**

This category includes the amount and percentage of fringe benefits for each headquarters and field personnel identified above. Include here all allowances such as housing, schooling, leave benefits, and other items.

c. **Travel**

This category includes all projected travel, per diem and other related costs for personnel **except** consultants. Include the method by which airfare costs were determined; i.e. quotes for coach and if per-diems are based on established policies.

d. **Equipment**

In accordance with 22 CFR 226, 'equipment' means tangible non-expendable personal property, including exempt property charged directly to the award having a useful life of more than one year and an acquisition cost of $5,000 or more per unit. Information should be included in the application on how pricing was determined for each piece of the equipment.

There are statutory constraints relating to the purchase of agricultural commodities, motor vehicles, pharmaceuticals, pesticides, rubber compounding chemicals and plasticizers, used equipment and fertilizer with USAID project funds. PVOs may obtain specific information on these regulations on USAID Web Site at http://www.usaid.gov/pubs/ads.

e. **Supplies**

In accordance with 22 CFR 226, 'supplies' means all personal property excluding equipment, intangible property, debt instruments and interventions.

There are statutory constraints relating to the purchase of agricultural commodities, motor vehicles, pharmaceuticals, pesticides, rubber compounding chemicals and plasticizers, used equipment and fertilizer with USAID project funds. PVOs can obtain specific information on these regulations on USAID Web Site at http://www.usaid.gov/pubs/ads/300/312/htm.

f. **Contractual Services**

This category is for all subcontracts with organizations, which will provide services to the project and any short- or long-term consultant cost including fees, travel and per diem. This category is not to be used for sub-s, which should be included in other.

g. **Other**

Applicants are to identify all costs associated with training of project personnel.

Applicants planning to use USAID funds to send project staff or local counterparts for training in the U.S. or a country other than the host country, will be required to follow the guidance on USAID Participant Training Regulations, which may be found on the USAID Web Site http://www.usaid.gov/pubs/ads.

The applicant should provide information on any costs attributed to the project not associated above; i.e. communications, facilities, fuel vehicles, repair, maintenance and insurance.

h. **Indirect Charges**

Include a copy of the Applicant's most recent negotiated indirect cost rate agreement (NICRA) from the cognizant audit agency showing the overhead and/or general administrative rate.

Standard Form 424A, Section C should reflect the Applicant's and other sources' cash contribution to this program. A cash match means that funds are used to support the budget elements discussed above. This does not include volunteer labor from U.S. or host country sources. The cash value of donated equipment or supplies must be documented, etc

A narrative that justifies the costs as appropriate and necessary for the successful completion of the program should be attached to Standard Form 424.

SAMPLE COOPERATIVE AGREEMENT BUDGET

Budget Cost Element	Budget Amount US $	Remarks*
Total Direct Labor	$_____	(1)
Salary & Wage		
Fringe Benefits		
Allowances		
Consultants	$_____	(2)
Travel, Transportation & Per Diem	$_____	(3)
Participant Training	$_____	(4)
Other Direct Costs	$_____	(5)
Security Costs	$_____	(6)
Training	$_____	(7)
Procurement (Equipment & Supplies)	$_____	(8)

Indirect Costs	$_____	(9)
Total Amount	**$**_____	

This detailed budget will be rolled into the summarized budget to be provided at a later date.

Annex G

GENDER STATEMENT – PPA

The agriculture sector is increasingly becoming a women's domain. Yet, most agricultural policies and programs ignore women as producers. As most agriculture development activities are linked to asset ownership, women who seldom control assets, have minimal access to agricultural extension, technology credit and markets.

Improved access for women coupled with more control of productive resources, markets and income will lead to better productivity, efficient credit usage and better returns. With the overall improvement in the well-being of the families, birth rates decline, child mortality declines, children get better education and enjoy better health.

USAID/Uganda recognizes the important contribution of women farmers in agriculture as well as in other sectors and would like to support initiatives that invest in women and strengthen their access to knowledge, technologies and markets. All activities, whether in the agriculture sector or other sectors, will identify and integrate gender issues as they affect women and men. Here is an illustrative list of questions that may be considered while developing the application:

- Has gender been identified as a key issue in the project? Is there a budget line for gender mainstreaming, for example, assessment, design, implementation and training?
- Does the project draw upon a clear gender-disaggregated understanding of the target population including gender roles, opportunities, and constraints within them?
- Have legal, policy and institutional framework (e.g., current administrative/governance system) and their gender implications been reviewed and shortcomings documented?
- Is the capacity of the implementing partners to address gender issues clearly stated?
- Is the project designed to draw upon and enhance skills of women and including marketing and access to information?
- Does the project address the need for credit facility for women to be able to access the market?
- Have issues of physical accessibility of markets (their location, distance from the villages, availability of transportation) been addressed?
- Have the possible avenues for saving the income that the women earn been addressed to enhance their control over their earnings?
- Is continued monitoring planned to ensure that:

    1. The work of women and men is given due recognition and compensation?
    2. Science and technology is applied to both women's and men's work?
    3. Trade opportunities are promoted among women and men farmers?

4. Appropriate methodologies are used to optimize participation of both women and men?
5. Equal employment/livelihood opportunities are created for both women and men? Safe and fair practices are promoted at the work place?

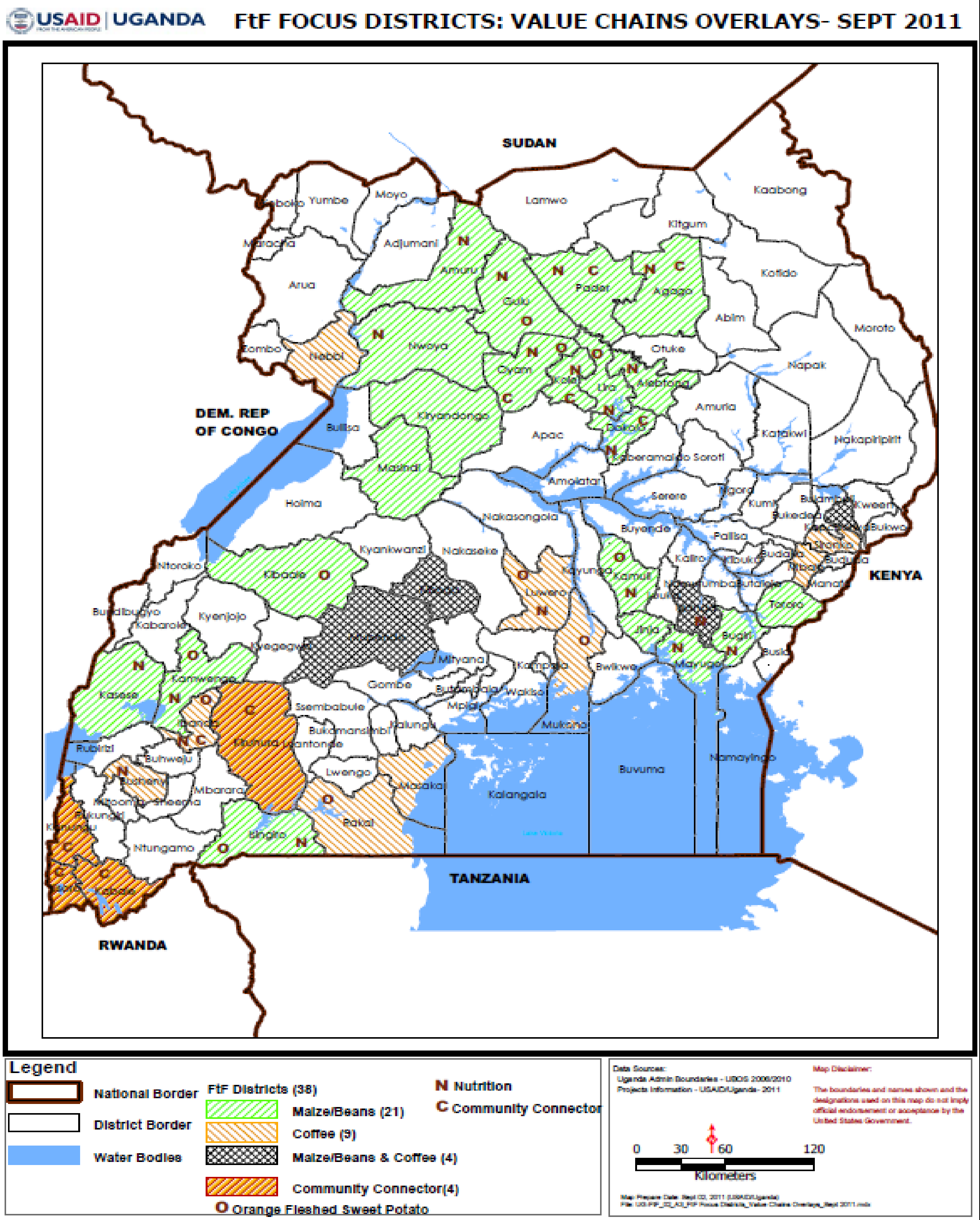
Annex H

USAID/Uganda Development Objective Focus Districts

USAID's Development Objective 1—Economic Growth Focus Districts (34)

Agago	Kamwenge	Mbale
Alebtong	Kapchwora	Mubende
Amuru	Kasese	Mukono
Bugiri	Kibaale	Nebbi
Bushenyi	Kiboga	Nwoya
Dokolo	Kiryandongo	Oyam
Gulu	Kole	Pader
Ibanda	Lira	Rakai
Iganga	Luwero	Sironko
Isingoro	Masaka	Tororo
Jinja	Masindi	
Kamuli	Mayuge	

Annex I
Map of Feed the Future Focus Districts with Value Chains Overlays



Annex J - USAID/Uganda's Feed the Future Current Activities/Programs

UGANDA FACT SHEET

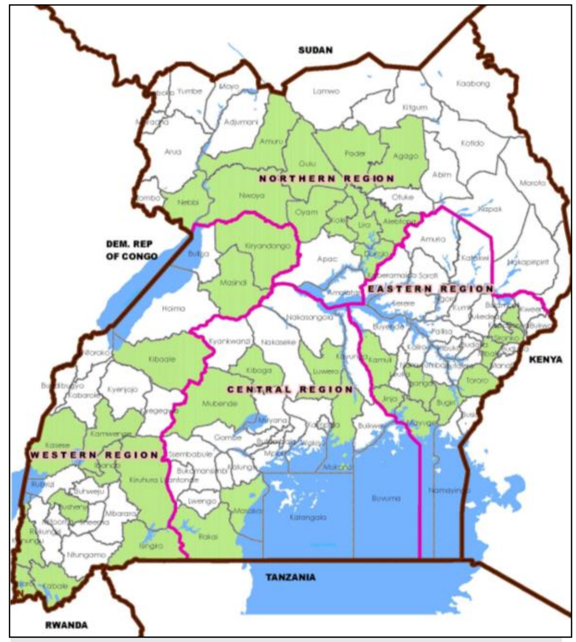
Feed the Future, the U.S. Government's global hunger and food security initiative, is establishing a foundation for lasting progress against global hunger. With a focus on smallholder farmers, particularly women, Feed the Future supports partner countries in developing their agriculture sectors to spur economic growth that increases incomes and reduces hunger, poverty, and undernutrition. Feed the Future efforts are driven by country-led priorities and rooted in partnership with governments, donor organizations, the private sector, and civil society to enable long-term success. Feed the Future aims to assist millions of vulnerable women, children, and family members to escape hunger and poverty, while reaching significant numbers of children with highly effective nutrition interventions to prevent stunting and child mortality.

FEED THE FUTURE IN UGANDA

Despite sustained economic and agriculture sector growth, Uganda still suffers from widespread poverty, hunger, and malnutrition. Under nutrition is widespread, with 36% of the children chronically undernourished or stunted. This affects all economic groups. Parts of the southwest, known as the country's breadbasket, have one of the highest stunting rates of 49.6%. Uganda's population growth rate is one of the highest in the world. This has led to an explosion in the number of young people, with over 50% of the population under 15 and 75% under the age of 30. Agriculture contributes to more than 20% of the GDP and 48% of exports. Roughly 70% of Uganda's households rely on agriculture for their livelihoods.

By developing key agricultural commodities and increasing access to diverse and nutritious foods, Feed the Future aims to raise farmer incomes, to create jobs, and to improve the economic and nutritional status of approximately 2.4 million Ugandans, in 38 focus districts over five years. The Feed the Future strategy is a nearly $150 million USG investment, expecting to leverage $75 million in private investment by 2016. Agricultural programming aligns directly with the goals of Uganda's Development Strategy and Investment Plan (DSIP), concentrating on the development of maize, bean, and coffee value chains. Nutrition activities support the Ministry of Health's Health Sector Strategic and Investment Plan, as well as the development and implementation of the multilateral Uganda Nutrition Action Plan (UNAP).

USAID, in partnership with the Government of Uganda (GoU), private entities, and other donors, is implementing the following programs:



Feed the Future Focus

Target Regions: 38 focus districts

Value Chains: Coffee, maize, and beans

Objectives: To improve the nutritional and economic status of approximately 2.4 million Ugandans.

Country Facts
Population: 35 million (2012 est.)

Rural Population: 87% (2012 est.)

Stunting in children under five: 33%

Anemia in children under five: 50%

Agriculture comprises of 23% of GDP and employs over 80% of the labor force: 83% of sector workers are women

ONGOING ACTVITIES

African Agriculture Capital Fund
Implementing Partner: Pearl Capital Partners
Award Amount: $1,500,000
Anticipated date of implementation: Sept. 2012 to Aug. 2016
Basic objectives/ Purpose: To deliver high social impact and strong returns by investing in and growing small- and medium-sized enterprises that serve smallholder farmers in the agriculture sector.
Managing Partner: Tom Adlam, Tom.Adlam@pearlcapital.net
COR/AOR/Activity Manager: Jacqueline Wakhweya, jwakhweya@usaid.gov
http://www.pearlcapital.net/, http://www.aac.co.ke/web/

Agricultural Biotechnology Support Project (ABSP) II
Implementing Partner: Cornell University
Award Amount: $7,074,806
Anticipated date of implementation: Aug. 2011 to Aug. 2016
Basic objectives/ Purpose: To promote the judicious use of modern biotechnology, to strengthen the scientific capacity of the National Agricultural Research Organization (NARO), and to develop East African Highland Banana (matoke) varieties resistant to key diseases and pests.
Project Director for Uganda: Tilahun Zeweldu, zeweldu@gmail.com ; zeweldu@biopstra.org
COR/AOR/Activity Manager: Simon Byabagambi, sbyabagambi@usaid.gov
http://www.absp2.cornell.edu/

Agribusiness Initiative (aBi) Trust
Implementing Partner: Royal Danish Embassy
Award Amount: Approximately $22,500,000
Anticipated date of implementation: Sept. 2011 to Sept. 2016
Basic objectives/ Purpose: To support the aBi Trust, a multi-stakeholder entity devoted to private sector agribusiness development, to improve the performance and competitiveness of key commodity value-chains, particularly coffee, maize, and beans.
Managing Director: Svend Jensen, svend.jensen@abitrust.com
COR/AOR/Activity Manager: Martin Fowler, mfowler@usaid.gov
http://www.abitrust.com/

Borlaug Higher Education for Agriculture Research and Development
Implementing Partner: Michigan State University
Award Amount: Approximately $1,500,000
Anticipated date of implementation: Sept. 2012 to Sept. 2016
Basic objectives/ Purpose: To train individuals and strengthen developing country public and private institutions, enabling them to take advantage of scientific and technological breakthroughs to promote innovation across the agricultural sector. BHEARD is primarily a long-term degree (MSc and PhD), agriculture and food security training program supporting the goals of agriculture-led growth and poverty reduction. It is also a platform both for the developing, testing, and evaluating of new models of capacity development and for shared learning on best approaches to training in the context of institutional development.
Point of Contact: Prof John H. Muyonga, hmuyonga@yahoo.com
COR/AOR/Activity Manager: Simon Byabagambi, sbyabagambi@usaid.gov

Cassava, Maize, and Beans Research
Implementing Partners: Donald Danforth Plant Science Center (cassava) and Consultative Group on International Agricultural Research (CGIAR) (maize and beans)
Award Amount: $1,500,000
Anticipated date of implementation: Oct. 2011 to Oct. 2016
Basic objectives/ Purpose: To investigate, develop, promote, and disseminate farmer and consumer acceptable cassava, maize, and bean varieties that are resistant and tolerant to a wide array of biotic and abiotic stresses and/or have enhanced nutritional characteristics.
Research Scientists: Titus Alicai, talicai@hotmail.com (cassava), Asea Geoffrey, grasea_99@yahoo.com (maize), Micheal Ugen, michealugen@gmail.com (beans)
COR/AOR/Activity Manager: Simon Byabagambi, sbyabagambi@usaid.gov

Commodity Production and Marketing
Implementing Partner: Chemonics International
Award Amount: $22,918,118
Anticipated date of implementation April 2013 to April 2018
Basic objectives/ Purpose: To improve efficiency in coffee, maize, and bean value chains by increasing crop productivity, by increasing the availability and effectiveness of support services, by strengthening buyer-seller relationships to facilitate the movement of products and information, and by increasing access to competitive markets.
Chief of Party: Steven McCarthy, smccarthy@chemonics.com
COR/AOR/Activity Manager: Kevin Namulembwa, knamulembwa@usaid.gov

Community Connector
Implementing Partner: Family Health International (FHI) 360 Development LLC
Award Amount: $24,500,000
Anticipated date of implementation: Dec. 2011 to Dec. 2016
Basic objectives/ Purpose: To improve nutrition, to achieve sustainable food security, and to increase income by integrating vulnerable households into the market economy and connecting beneficiaries to other service providers.
Chief of Party: Robert Mwadime, rmwadime@fhi360.org
COR/AOR/Activity Manager: Ruth Sempa, rsempa@usaid.gov
http://www.fhi360.org/

Developing and Delivering Biofortified Crops
Implementing Partner: World Bank (Field Support to Harvest Plus)
Award Amount: $10,000,000
Anticipated date of implementation: Oct. 2011 to Sept. 2016
Basic objectives/ Purpose: To introduce and promote bio-fortified crops, such as pro-Vitamin A orange-flesh sweet potato and iron-rich beans, and to foster the adoption and consumption of nutrient dense crops.
Country Manager: Anna-Marie Ball, a.ball@cgiar.org
COR/AOR/Activity Manager: Dianna Darsney de Salcedo, ddarsney@usaid.gov
http://www.harvestplus.org/

Development Credit Authority (DCA) Programs
Partners: Bank of Africa, Centenary Bank, Kalangala Infrastructure Services, and Opportunity Bank
Award: $34 million
Purpose: To stimulate competition among local commercial and microfinance banks to enter the agriculture finance market and provide credit to micro, small and medium enterprises (MSMEs), producer organizations, and Savings and Credit Cooperatives (SACCOs) in the agriculture sector.
Partner Contacts: Edigold Monday, edigold.monday@boa-uganda.com (Bank of Africa); Fabian Kasi, Fabian.Kasi@centenarybank.co.ug; John Opiro, john.opiro@infraco.com (Kalangala Infrastructure Services); Lalumiere Andre, alalumiere@opportunity.com
COR/AOR/Activity Manager: Jackie Wakhweya, jwakhweya@usaid.gov

Education and Research to Improve Climate Adaptation
Partner: FHI 360
Award: $4.065 million
Anticipated date of implementation: Nov. 2013 to Oct. 2017
Basic objectives/ Purpose: Partner a U.S. University with Makerere University to increase the level of education, professional expertise, and research to help meet the challenge of adapting to climate variability and change in the agriculture sector.
Chief of Party: Lynne Carter
COR/AOR/Activity Manager: Geeta Uhl, guhl@usaid.gov

Enhancing Climate-Resilience of Agricultural Livelihoods
Partner: International Institute for Tropic Agriculture
Award: $1 million
Anticipated date of implementation: Nov. 2013 to Oct. 2017
Basic objectives/ Purpose: Increased resilience of agricultural systems will be achieved through (i) enhanced awareness, accuracy, and robustness of the anticipated impacts of climate change and shocks, (ii) improved efficiency and availability of adaptation technology packages, (iii) informed-decision making at household and community level to reduce negative trade-offs over time, over space, and between actors, and (iv) improved understanding of how policies affect farmers vulnerability and adaptive capacity at the local level with the aim to create awareness and action with local policy actors.
Point of Contact: Piet van Asten, p.vanasten@cgiar.org
COR/AOR/Activity Manager: Hadas Kushnir, hkushnir@usaid.gov

Farmer-to-Farmer Volunteer Program (Centrally Funded)
Partner: CNFA
Award: $2.5 million
Anticipated date of implementation: Aug. 2008 to Sept. 2013
Basic objectives/ Purpose: To provide short-term technical support in areas along high-growth value chains, including agricultural production, input supply, post-harvest handling, processing, lending, association and cooperative development, business planning, and marketing.
Country Director: George Ntibarikure, gntibarikure@cnfauganda.org
COR/AOR/Activity Manager: Simon Byabagambi, sbyabagambi@usaid.gov
http://www.cnfa.org/farmertofarmer/

FEEDBACK
Implementing Partner: Westat
Award Amount: $7,400,000
Anticipated date of implementation: May 2012 to May 2017
Basic objectives/ Purpose: To support monitoring and evaluation of Feed the Future activities in all focus countries.
Deputy Chief of Party and Senior Evaluation Specialist: Rodney Knight, RodneyKnight@westat.com
COR/AOR/Activity Manager: May Mwaka, mmwaka@usaid.gov
http://www.westat.com/

Feed the Future Agricultural Inputs Activity
Implementing Partner: Tetra Tech ARD Inc.
Award Amount: $7,499,773
Anticipated date of implementation: Nov. 2012 to Nov. 2017
Basic objectives/ Purpose: Input use by Ugandan farmers is one of the lowest in sub-Saharan Africa, with only 1.5kg of fertilizer being applied per hectare and 7 percent of farmers using improved seed varieties. FTF Ag-Inputs seeks to increase the availability, accessibility, and use of high quality agricultural inputs through improved supply chain management, increased sales and marketing, and decreasing the prevalence of counterfeit products in cooperation with public and private sector partners.
Chief of Party: Eric Derks, eric.derks@tetratech.com
COR/AOR/Activity Manager: Corey Fortin, cfortin@usaid.gov

FTF Biosafety Activity
Implementing Partner: International Food Policy Research Institute (IFPRI)
Award Amount: $1,100,000
Anticipated date of implementation: April 2013 to April 2015
Basic objectives/ Purpose: The Uganda Biosafety activity's overall objective is improving food and income security among Ugandan farmers through enabling the utilization of biotechnology tools and products in agriculture. IFPRI will collaborate with a number of local and national organization and institutions.
Uganda Coordinator: Oloka Herbert, olokahk@yahoo.com
COR/AOR/Activity Manager: Simon Byabagambi, sbyabagambi@usaid.gov

FTF Enabling Environment for Agriculture
Implementing Partner: Chemonics International, Inc.
Award: $22 million
Anticipated date of implementation: April 30, 2013 to April 29, 2016
Basic Objective: To improve the enabling environment for agricultural development, trade, and adaptation to climate change for Ugandan private and public sector institutions by supporting the removal of policy and regulatory constraints to agricultural production, processing, marketing, and trade; strengthened capacity of Government of Uganda institutions to facilitate agricultural development and trade, and respond to climate change impacts on agriculture, and enhance capacity of Ugandan private sector and civil society institutions to contribute to policy decisions related to agriculture development, agriculture trade, and climate change adaptation.
Chief of Party: Douglas Griffith, dgriffith@ugandaeea.com
COR/AOR/Activity Manager: Jackie Wakhweya, jwakhweya@usaid.gov

FTF Food Security Innovation Labs (formerly CRSPs)
The Innovation Labs are partnerships between U.S. universities, developing country institutions, and USAID to address issues of hunger and poverty through science and technology. They are working to build efficient and effective value chains across the globe and nine are currently active in Uganda: AquaFish; Assets and Markets; Dry Grain Pulses; Global Nutrition; Horticulture; Integrated Pest Management; Peanut; Sorghum, Millet, and Other Grains; and Sustainable Agriculture and Natural Resource Management.
Principal Contact: Samuel Kyamanywa, skyamanywa@agric.mak.ac.ug
COR/AOR/Activity Manager: Simon Byabagambi, sbyabagambi@usaid.gov
http://crsps.org/

Food and Nutrition Technical Assistance Activity (FANTA) III
Implementing Partner: Family Health International (FHI) 360 Development LLC
Award Amount: $1 million
Anticipated date of implementation: Jan. 2012 to June 2013
Basic objectives/ Purpose: To provide technical support to USAID and its partners to develop and implement evidence based nutrition interventions and to build national interest in and awareness of maternal and child nutrition.
Nutrition Specialist: Brenda Namugumya, BNamugumya@fhi360.org
COR/AOR/Activity Manager: Sheila Nyakwezi, SNyakwezi@usaid.gov
http://www.fantaproject.org/

Gender Randomized Control Trial
Implementing Partner: Innovations for Poverty Action
Award Amount: $1,125,000
Anticipated date of implementation: December 2011 to June 2015
Basic objectives/ Purpose: To evaluate the impact of gender-related interventions on health and food security.
Country Director: Jeff Alumai, jalumai@poverty-action.org
COR/AOR/Activity Manager: Dianna Darsney de Salcedo, ddarsney@usaid.gov
http://www.poverty-action.org/

Health Practices for Strong Communities (MYAP)
Partner: Mercy Corps
Award: Approximately $39.5 million
Duration: Aug. 2008 to June 2013
Geographic Focus: Kaabong, Kitgum, Kotido, and Pader
Purpose: To improve food production, consumption, and sales among smallholder farming households; health and nutrition among pregnant/lactating women and children under five; sustainable access to safe water; and sanitation and hygiene practices.
Chief of Party: Melaku Yirga Lemma, myirga@ug.mercycorps.org
COR/AOR/Activity Manager: Lawrence Oroma, Loroma@usaid.gov

Nutrition Collaborative Research and Support Program (NCRSP)
Implementing Partner: Tufts University
Award Amount: $7,000,000
Anticipated date of implementation: Oct. 2010 to Oct. 2015
Basic objectives/ Purpose: To assess the effectiveness of integrated “packages” of agricultural, health, and nutrition interventions and to support the research and build the capacity of partner universities, governments, non-governmental organizations, and private enterprises.

In-Country Partner Contact: Edgar Agaba, Edgar.Agaba@tufts.edu
COR/AOR/Activity Manager: Sheila Nyakwezi, snyakwezi@usaid.gov
http://www.nutritioncrsp.org/

Northern Uganda Health Integration to Enhance Services (NUHITES)
Implementing Partner: PLAN International USA
Award Amount: $50,000,000 *(Nutrition component $4,000,000)*
Anticipated date of implementation: August 2012 to August 2017
Basic objectives/ Purpose: To improve and sustain the health and nutrition status of the population of northern Uganda through delivery of essential nutrition services at the facility and community level; in partnership with District Health Teams and private sector partners by assessing under-nutrition at the community level, and improving facilities' capacity to manage severe malnutrition through use of ready-to-use therapeutic foods.
Ag. Chief of Party: Mattias Bryneson, mattias.bryneson@plan-international.org
COR/AOR/Activity Manager: James Okello, jokello@usaid.gov

Peace Corps Interagency Food security Partnership
Implementing partner: Peace Corps Uganda
Award Amount: $1.25 million
Anticipated date of implementation: Dec 2012 to Dec 2017
Peace Corps has approximately 120 Volunteers in service to Uganda, including Education, Health, and Economic Development Volunteers. Volunteers transfer not only technical skills, but can-do attitudes to would-be entrepreneurs and officers of NGOs or cooperatives. Peace Corps Volunteers can be catalysts that help public and private sector commercial enterprises develop and grow, giving lift to local economies and the nation of Uganda.
Country Director: Loucine Hayes, Lhayes@peacecorps.gov, http://uganda.peacecorps.gov/
COR/AOR/Activity Manager: Corey Fortin, cfortin@usaid.gov

Production for Improved Nutrition
Implementing Partner: RECO Industries
Award Amount: $22 million
Anticipated date of implementation: Aug. 2012 to Aug. 2017
Basic objectives/ Purpose: To facilitate the sustainable local production and distribution of therapeutic and supplementary food.
Chief of Party: Brian Rwabwogo, brian@pin.reco-industries.com
COR/AOR/Activity Manager: Alfred Boyo, aboyo@usaid.gov
http://www.reco-industries.com/index.php/foods-and-nutrition

Public Private Alliances - Partnership Innovation Fund
Implementing Partners: Multiple
Amount: approximately $11.7 remaining in Fund
Anticipated date of implementation: Rolling Annual Program Statement (APS)
Basic objectives/ Purpose: To leverage private sector resources, technology, experience, and expertise through public-private partnerships and by replicating sustainable and scalable business approaches.
Activity Manager: Jackie Wakhweya, jwakhweya@usaid.gov

Public Private Alliances –Award
Global Development Alliance for Maize Value Addition
Partner: CARANA Corporation Partnership with AgroWays Ltd.

Award: $2.5 million
Duration: Sep 2013 to Sep 2016
Purpose: To integrate small-scale maize farmers into the value-added market—namely corn syrup and maize germ for the food and beverage industry—resulting in higher incomes and more stable livelihoods while enhancing local and regional food security.
Program Manager: Charles Mulagwe
COR/AOR/Activity Manager: Simon Byabagambi, sbyabagambi@usaid .gov

Public Private Alliances –Award
Global Development Alliance for Geo-traceable Coffee
Partner: CARANA Corporation in partnership with Armajaro Trading Ltd., Coffee Management Services and GeoTraceability
Award: $3.7 million
Duration: Sep 2013 to Sep 2016
Purpose: To increase yields and quality of Arabica coffee produced by farmers in the West Nile Region of Uganda through farmer access to international markets, improved technology, and finance offered through the partnership. This in turn will allow farmers to deliver increased yields and quality which will increase household incomes and improve food security.
Program Manager: Richard Wadada
COR/AOR/Activity Manager: David Rogers, drogers@usaid.gov

Purchase for Progress (P4P)
Implementing Partner: World Food Program
Award: $5,500,000
Anticipated date of implementation: September 2012 to September 2014
Basic objectives/ Purpose: To support the Purchase for Progress project, a pilot initiative that provides tools, equipment, and training to farmers to enable them both to increase productivity and improve their post-harvest operations.
Head of Programmes: Michael Dunford, michael.dunford@wfp.org
COR/AOR/Activity Manager: Corey Fortin, cfortin@usaid.gov
http://www.wfp.org/purchase-progress/

Resiliency through Wealth, Agriculture, and Nutrition in Karamoja (MYAP)
Partner: ACDI/VOCA
Award: Approximately $50 million
Anticipated date of implementation: August 2012 to August 2017
Basic objectives/ Purpose: To reduce food insecurity among chronically food insecure households.
Chief of Party: Bick Riley, jriley@acdivocaug.biz
COR/AOR/Activity Manager: Lawrence Oroma, Loroma@usaid.gov

Sustainable Transformation in Agriculture and Nutrition (MYAP)
Partner: Mercy Corps
Award: Approximately $55 million
Anticipated date of implementation: July 2012 to July 2017
Basic objectives/ Purpose: To improve peace and food security in Karamoja through an integrated, gender-sensitive approach.
Chief of Party: Timothy Sparkman, tsparkman@field.mercycorps.org
COR/AOR/Activity Manager: Dianna Darsney, ddarsney@usaid.gov
http://www.mercycorps.org/countries/uganda/15094

STRIDES for Family Health
Partner: Management Sciences for Health (MSH)
Award: $43 million
Duration: Jan. 2009 to Jan. 2014
Purpose: To increase the use of quality reproductive health/family planning and child survival services at the facility and community levels in fifteen selected districts in Uganda.
Chief of Party: Celia Kakande, ckakande@strides.ug
COR/AOR/Activity Manager: Janex Kabarangira, jkabarangira@usaid.gov

Sustainable, Comprehensive Responses for Vulnerable Children and their Families (SCORE)
Partner: Association of Volunteers in International Service (AVSI) Foundation
Award: $29.4 million
Duration: April 2011 to April 2016
Purpose: To improve household economic and food security, to enhance protection and legal services for vulnerable children, and to empower families with the ability to access or provide critical services for women and children such as health, education, and psychosocial support.
Chief of Party: Massimo Lowicki-Zucca, massimo.zucca@avsi.org
COR/AOR/Activity Manager: Catherine Muwanga, cmuwanga@usaid.gov

Strengthening Partnerships, Results and Innovations in Nutrition Globally (SPRING)
Partner: John Snow, Inc.
Award: $4.5 million
Duration: May 2012 to April 2015
Purpose: To strengthen the capacity of district health teams to deliver preventive care and nutrition treatment services in thirteen districts in South West Uganda and to support food fortification at the national level.
Country Manager: Margaret Kyenkya, Margaret_kyenkya@jsi.com
COR/AOR/Activity Manager: Alfred Boyo, aboyo@usaid.gov

U.S. African Development Foundation (ADF)
Funding: $5.4 million
Purpose: To increase the capacity of famer cooperatives in marginalized communities to produce, bulk, and market key commodities, particularly coffee, maize, and beans.
Country Representative: Taibu Nakueira, tnakueira@usadf.gov
http://www.adf.gov/uganda.html

U.S. Department of Agriculture (USDA)
Purpose: To increase nutrition and social safety nets through agriculture and infrastructure development activities, water and sanitation improvements, school feeding programs, and research fellowships.
Agricultural Attaché: Kathryn Snipes, SnipesK@state.gov
http://blogs.usda.gov/tag/uganda/

Uganda Conservation Farming Initiative
Partner: NCBA-CLUSA INTERNATIONAL
Funding (through USDA): $8,400,000
Duration: October 2011 to December 2014
Purpose: To improve food security for smallholder farmers by increasing agricultural productivity of maize, pulses, and soybeans through conservation farming techniques.
Chief of Party: Gretchen Villegas, gvillegas@ncba.coop