U.S. Department of Housing and Urban Development

Public and Indian Housing

Community Development Block Grant (ICDBG) Program for Indian Tribes and Alaska Native Villages - Mold Remediation and Prevention

FR-5900-N-02

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4/15/15
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Community Development Block Grant (ICDBG) Program for Indian Tribes and Alaska Native Villages - Mold Remediation and Prevention

FR-5900-N-02

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U.S. Department of Housing and Urban Development

Program Office:	Public and Indian Housing
Funding Opportunity Title:	Community Development Block Grant (ICDBG) Program for Indian Tribes and Alaska Native Villages - Mold Remediation and Prevention
Announcement Type:	Initial
Funding Opportunity Number:	FR-5900-N-02
Primary CFDA Number:	14.862
Due Date for Applications:	**June 22, 2015**

This NOFA provides information and instructions for a second round of funding for mold remediation and prevention under what had been identified as Category Two grants in the fiscal year (FY) 2014 ICDBG Notice of Funding Availability (NOFA). It announces the availability of approximately $12,400,000 for mold remediation and prevention in and on housing units owned or operated by tribes and tribally designated housing entities (TDHE) or previously assisted with HUD funding. This Notice is comprised of both the General Section (General Section) to HUD's FY2015 NOFAs for Discretionary Programs posted on http://www.grants.gov/ and this program section to the NOFA. HUD's ICDBG program is authorized by Title I of the Housing and Community Development Act of 1974, and the ICDBG program regulations at 24 CFR Part 1003. In addition to the application requirements set forth in this document, applicants must also comply with applicable requirements established in the General Section.

FOR FURTHER INFORMATION CONTACT: Please direct questions regarding the specific program requirements of this Program Notice of Funding Availability (NOFA) to the agency contact identified in Section VII. Please direct questions regarding the FY 2015 General Section to the Office of Strategic Planning and Management, Grants Management Division, at (202) 708-0667 (this is not a toll-free number). Persons with hearing or speech impairments may access these numbers via TTY by calling the Federal Relay Service at 1-800-877-8339.

Additional Overview Information

1. Incorporation of the General Section. HUD publishes a General Section each fiscal year that contains mandatory requirements for all applicants to HUD's various competitive grant programs, including this NOFA. Applications must meet all of the requirements of the General Section in addition to the requirements of this NOFA to be considered and potentially receive funding. The full title of the General Section is the General Section to the Fiscal Year 2015 NOFAs for Discretionary Programs. Copies are available at Grants.gov or HUD's Funds Available page, http://portal.hud.gov/hudportal/HUD?src=/program_offices/administration/grants/fundsavail.

2. OMB Approval Number(s): 2577-0191

I. Funding Opportunity Description.

A. Program Description.

1. Purpose.

The purpose of the ICDBG program is the development of viable Indian and Alaska Native communities, including the creation of decent housing, suitable living environments, and economic opportunities primarily for persons with low- and moderate- incomes as defined in 24 CFR 1003.4. The purpose of this NOFA is solely the remediation and prevention of mold.

Projects funded with ICDBGs must meet the primary objective of the program defined at 24 CFR 1003.208 to principally benefit low- and moderate-income persons. Consistent with this objective, not less than 70 percent of the expenditures of each grant shall be for activities that meet the regulatory criteria at 24 CFR 1003.208(c) for housing activities.

2. Changes from Previous NOFA.

This NOFA increases the application ceiling for mold remediation and prevention grants from $400,000 to $800,000. It broadens the definition of "tribally owned or operated housing". It provides points for applicants that did not receive an ICDBG in the last application cycle. It also clarifies that tribal organizations must submit proof of eligibility and if not submitted or stated, that the application will be rejected.

3. Definitions.

a. Eligibility Requirements – Eligibility requirements are those requirements that must be met for an application to be eligible for funding. Deficiencies in meeting an eligibility requirement may be categorized as either curable or non-curable.

b. Threshold Requirement – Threshold requirements are a category of eligibility requirements. A threshold requirement is a requirement that must be met in order for an application to be reviewed. Threshold requirements are not curable.

Threshold requirements are listed in Section III.C.2. of both the 2015 General Section and of this Program NOFA.

Applicants must ensure their application package addresses all threshold requirements. Please check your application carefully!

c. Deficiency – Deficiencies are not the same as errors. Errors are never curable except as permitted under Section IV.C.2. Deficiencies are items of missing or omitted information within a submitted application. Deficiencies typically involve missing documents, information on a form, or some other type of unsatisfied information requirement (e.g., an unsigned form, unchecked box, etc.). Depending on specific criteria, deficiencies may be either curable or non-curable.

d. Curable Deficiency – A curable deficiency is a specific type of deficiency that applicants may correct with timely action. To be curable the deficiency must:
– Not be a threshold requirement;
– Not influence how an applicant is ranked or scored versus other applicants; and
– Be remedied within the time frame specified in the notice of deficiency.

e. Non-Curable Deficiency – An applicant cannot correct a non-curable deficiency after the submission deadline. Non-curable deficiencies are deficiencies that if corrected would change an applicant’s score or rank versus other applicants. Non-curable deficiencies may result in an application being marked ineligible, or otherwise adversely affect an application’s score and final determination.

f. Adopt. To approve by formal tribal resolution.

g. Document. To supply supporting written information and/or data in the application that satisfies the NOFA requirement. Documentation should clearly and concisely support your response to the rating factor.

h. Entity Other than Tribe. Entities other than the tribe must have the following characteristics: (a) must be legally distinct from the tribal government; (b) their assets and liabilities cannot be considered to be assets and liabilities of the tribal government; (c) claims against such entities cannot be made against the tribal government; and (d) must have governing boards, boards of directors, or groups or individuals similar in function and responsibility to such boards which are separate from the tribe's general council, tribal council, or business council, as applicable.

i. Firm Commitment. A letter of commitment from a partner by which an applicant's partner agrees to perform an activity specified in the application, demonstrates the financial capacity to deliver the resources necessary to carry out the activity, and commits the resources to the activity, either in cash or through in-kind contributions.

j. Leveraged Resources. Leveraged resources are resources that you will use in conjunction with ICDBG funds to achieve the objectives of the project. See Rating Factor 4 for documentation requirements for leveraged resources.

k. **Low- and Moderate-Income Beneficia**ry. This means a family, household, or individual whose income does not exceed 80 percent of the median income for the area, as determined by HUD, with adjustments for smaller and larger households or families.

l. Mold Remediation and Prevention: For purposes of this NOFA:

(1) Mold is visible growth of fungi on surfaces of units/residential buildings or their exterior;

(2) Mold remediation includes long term solutions to a mold problem within units/residential buildings or on their exterior, including for example, eliminating mold that has grown on such surfaces and addressing the source of the moisture entering or being dispersed within the units/residential buildings and;

(3) Mold prevention includes action taken when mold within or on the exterior of units/residential buildings is present in minute amounts, to significantly reduce the likelihood of mold occurring or significantly growing, including for example, adjustments to building components, occupant sources, or operations that reduce the production of moisture that is dispersed within the units/residential buildings, that reduce the likelihood and amount of condensation, or that reduce the trapping of moisture within building cavities of the units/residential buildings.

m. New Applicant. An applicant that has either never received an ICDBG or an applicant whose prior grants have been closed for more than 12 months prior to the application deadline date. This definition applies to the entity that is submitting the application.

n. Outcomes. The ultimate impact you hope to achieve with the proposed project. Outcomes should be quantifiable measures or indicators and identified in terms of the change in the community, people's lives, health, economic status, etc.

o. Outputs. Outputs are the direct products of a program's activities. They are usually measured in terms of the volume of work accomplished, such as the number of units in which mold has been remediated or prevented, number of low-income households served, number of units constructed or rehabilitated, linear feet of curbs and gutters installed, or number of jobs created or retained. Outputs should be clear enough to allow HUD to monitor and assess your proposed project's progress if funded.

p. Project Cost. The total cost to implement the project. Project costs may be covered by both ICDBG and non-ICDBG funds and resources.

q. Standard Housing/Standard Condition. Housing that meets the housing quality standards (HQS) adopted by the applicant. The HQS adopted by the applicant must be at least as stringent as the Section 8

HQS contained in 24 CFR 982.401 (Section 8 Tenant-Based Assistance: Housing Choice Voucher program) unless the Area ONAP approves less stringent standards based on a determination that local conditions make the use of Section 8 HQS infeasible. The adopted standards must provide for (a) a safe house, in physically sound condition with all systems performing their intended design functions; (b) a livable home environment and an energy efficient building and systems that incorporate energy conservation measures; and (c) adequate space and privacy for all intended household members.

r. Statement. When a written statement is requested for any threshold, program requirement, or rating factor, the applicant must address in writing the specific item cited.

s. Tribally owned or operated housing. For purposes of the NOFA, "tribally owned or operated housing" means housing that is currently owned or operated by applicants eligible under 24 CFR 1003.5 or housing that is currently or was previously assisted with HUD funding.

t. Tribe. The word tribe means an Indian tribe, band, group or nation, including Alaska Indians, Aleuts, Eskimos, Alaska Native Villages, Alaska Native Claims Settlement Act (ANCSA) Village Corporations, and ANCSA Regional Corporations.

B. Authority.

The authority for this program is Title I of the Housing and Community Development Act of 1974 (42 U.S.C. 5301 et seq.), the program regulations in 24 CFR Part 1003, the Consolidated Appropriations Act, 2014, Public Law 113-76, approved January 17, 2014, and the Consolidated and Further Continuing Appropriations Act, 2015, Public Law 113-235, approved December 16, 2014.

II. Award Information.

A. Available Funds.

HUD is making available through this NOFA **$12,400,000** for Community Development Block Grant (ICDBG) Program for Indian Tribes and Alaska Native Villages - Mold Remediation and Prevention.

Additional funds may become available for award under this NOFA as a result of HUD's efforts to recapture unused funds, use carryover funds, or because of the availability of additional appropriated funds. Use of these funds will be subject to statutory constraints. All awards are subject to the applicable funding restrictions described in the General Section and to those contained in this NOFA.

The FY 2014 appropriation for the ICDBG program was $70,000,000, out of which $10,000,000 was available for grants for mold remediation and prevention in tribally owned or operated housing. Because HUD did not receive sufficient applications to use all of the funds set aside specifically for mold remediation and prevention in FY 2014, it is combining the remaining $6,400,000 in FY 2014 funds for mold remediation and prevention with the $6,000,000 appropriated for such purposes in FY 2015.

1. Grant Ceilings. The authority to establish grant ceilings is found at 24 CFR 1003.100(b)(1). The application ceiling for projects funded through this NOFA is $800,000 for all applicants nationwide.

2. Housing Rehabilitation Cost Limits. Grant funds spent on mold remediation and prevention must fall within the following per-unit limits for each Area ONAP jurisdiction. An applicant's budget must demonstrate that these cost limits will not be exceeded.

Eastern/Woodlands:	$35,000
Southern Plains:	$35,000
Northern Plains:	$50,000
Southwest:	$65,000

Northwest:	$50,000
Alaska:	$75,000

B. Number of Awards.

HUD expects to make approximately 16 awards from the funds available under this NOFA.

C. Minimum/Maximum Award Information.

The maximum grant award is $800,000. There is no minimum award but funds awarded must be sufficient to remediate or prevent mold in the number of housing units being addressed.

Estimated Total Funding:	$12,400,000
Minimum Award Amount:	$0 Per Budget Period
Maximum Award Amount:	$800,000 Per Budget Period

D. Period of Performance.

The period of performance for any grant awarded under this NOFA must be included in the Implementation Schedule, form HUD4125, approved by HUD. The grantee's implementation plan cannot exceed September 30, 2022. Any funds not drawn down as of September 30, 2022 will be cancelled. HUD reserves the right to require an earlier expenditure deadline under an ICDBG grant agreement. If the grantee does not make substantial progress toward meeting its implementation schedule, HUD also reserves the right to recapture the funds.

Estimated Project Start Date:	09/01/2015
Estimated Project End Date:	08/31/2020

60-month project with five 12-month budget periods

E. Type of Funding Instrument.

Funding Instrument Type:	Grant

F. Supplementation.

III. Eligibility Information.

A. Eligible Applicants.

Eligible applicants under the NOFA include:

Native American tribal governments (Federally recognized)
Native American tribal organizations (other than Federally recognized tribal governments)

Eligible applicants are Indian tribes or tribal organizations on behalf of Indian tribes. An applicant must be eligible as an Indian tribe (or as a tribal organization), as required by 24 CFR 1003.5, by the application deadline date.

Tribal organizations are permitted to submit applications under 24 CFR 1003.5(b) on behalf of eligible tribes when one or more eligible tribe(s) authorize the organization to do so under concurring resolutions. The tribal organization must itself be eligible under Title I of the Indian Self-Determination and Education Assistance Act. The Bureau of Indian Affairs (BIA) or the Indian Health Service (IHS), as appropriate, must make a determination of such eligibility. If a tribe or tribal organization claims that it is a successor to an eligible entity, the Area ONAP must review the documentation to determine whether it is in fact the successor entity. If your organization has already submitted this information to the Area ONAP you need not submit it again but you must state this in your application. An application submitted by a tribal

organization on behalf of a specific tribe will not be accepted if the tribe itself submits an application for the same funding round. If you do not include a statement or a determination of organization eligibility your application will be rejected.

On January 14, 2015, the BIA published a Federal Register notice entitled, "Indian Entities Recognized and Eligible to Receive Services from the United States Bureau of Indian Affairs" (80 FR 1942). This notice provides a listing of Indian Tribal Entities in Alaska found to be Indian tribes as the term is defined and used in 25 CFR Part 83. Additionally, pursuant to Title I of the Indian Self-Determination and Education Assistance Act, ANCSA Village Corporations and Regional Corporations are also considered tribes and therefore eligible applicants for the ICDBG program.

Due to the unique structure of tribal entities eligible to submit ICDBG applications in Alaska, and as only one ICDBG application may be submitted for each area within the jurisdiction of an entity eligible under 24CFR 1003.5, a tribal organization that submits an application for activities in the jurisdiction of one or more eligible tribes or villages must include a concurring resolution from each such tribe or village authorizing the submission of the application. The hierarchy for funding priority continues to be the IRA Council, the Traditional Village Council, the ANCSA Village Corporation, and the ANCSA Regional Corporation.

Any questions regarding eligibility determinations and related documentation requirements for entities in Alaska should be referred to the Alaska Area ONAP prior to the application deadline (See 24 CFR 1003.5 for a complete description of eligible applicants).

HUD does not award grants to individuals. HUD will also not evaluate applications from ineligible applicants.

All applicants must have an active Data Universal Numbering System (DUNS) number (http://fedgov.dnb.com/webform) and have an active registration in the System for Award Management (SAM) (www.sam.gov) before submitting an application. Getting a DUNS number and completing SAM registration can take up to four weeks; therefore applicants should start this process or check their status early.

See also Section IV.B below for necessary content and form of the application.

B. Cost Sharing or Matching.

This Program does not require an applicant to leverage resources through cost sharing or matching.

Generally, federal sources are not allowed to be used as cost share or match unless otherwise permitted by a program's authorizing statute.

Cost sharing or matching is not required under this grant; however, applicants who leverage this grant with other funds receive points under Rating Factor 4. The greater the percentage of leveraged funds committed, the greater the number of points an applicant can receive under rating factor 4, up to a maximum of 10 points. See Section V.A. Rating Factor 4.

C. Other.

All applicants must also refer to Section III of the General Section for information on HUD-wide eligibility requirements. These requirements may determine whether your application is reviewed or make your application ineligible for funding.

Program specific eligibility criteria for this competition includes:

1. Statutory and Regulatory Requirements.

a. ICDBG Requirements. Applicants awarded a grant under this NOFA are required to comply with the statutes, regulations, guidelines, and other requirements with respect to the acceptance and use of federal funds for this federally assisted program.

b. Environmental Requirements. See Section VI.B.1. of this NOFA.

c. Indian Preference. HUD has determined that the ICDBG program is subject to Section 7(b) of the Indian Self-Determination and Assistance Act (25 U.S.C. 450e(b)). The provisions and requirements for implementing this section are in 24 CFR 1003.510.

d. Economic Opportunities for Low-and Very Low-Income Persons (Section 3). Section 3 requirements under 24 CFR Part 135 apply to the ICDBG program but, as stated in §135.3(c), the procedures and requirements of Part 135 apply to the maximum extent consistent with, but not in derogation of, preferences for the benefit of Indians under §7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e(b)). Grantees are required to submit an annual report on employment, training, contracting and other economic opportunities consistent with the Section 3 regulatory reporting requirements.

2. Threshold Requirements.

Only applications that meet all threshold requirements established in the General Section and Program NOFA will be evaluated. In addition to the threshold criteria outlined in the General Section, including the Resolution of Outstanding Civil Rights Matters (prior to application deadline), the following threshold requirements must be met:

a. Program-Related Requirements

(1) Outstanding ICDBG Obligation. Pursuant to 24 CFR 1003.301(a), an applicant who has an outstanding ICDBG obligation to HUD that is in arrears, or one that has not agreed to a repayment schedule will be disqualified from the competition.

(2) Resolution of Civil Rights Matters. Applicants and subrecipients that are not federally recognized Indian tribes or their instrumentalities are subject to the threshold on Resolution of Civil Rights requirements found in the General Section at Section III.C.2.b. Federally recognized Indian tribes and their instrumentalities are subject to the requirements of: Title II of the Civil Rights Act of 1968, known as the Indian Civil Rights Act; Section 109 prohibitions against discrimination based on age, sex, religion and disability; the Age Discrimination Act of 1975; and Section 504 of the Rehabilitation Act of 1973. To be eligible to apply under this NOFA, the applicant may not have any outstanding violations of these civil rights authorities by the application deadline.

3. Compliance with Nondiscrimination and Related Requirements.

a. Anti-discrimination provisions. Under HUD's regulations at 24 CFR 1003.601, HUD waived the requirement that grantees comply with the anti-discrimination provisions in Section 109 of the CDBG statute except with respect to age, sex, religion, or against an otherwise qualified disabled individual in the use of ICDBG grant funds. Section 109 requires compliance with Section 504 of the Rehabilitation Act of 1973 and the Age Discrimination Act of 1975 also (HUD's regulations for Section 109 are in 24 CFR part 6). HUD's regulations at 24 CFR part 8 include accessibility standards for housing and non-housing facilities constructed or rehabilitated with Federal financial assistance, including ICDBG. You must comply also with the Indian Civil Rights Act in your use of ICDBG.

b. **Affirmatively Furthering Fair Housing.** Applicants under this NOFA do not have a submission requirement related to HUD's obligation to affirmatively further fair housing under Section 808(e) of the Fair Housing Act as described in the General Section, because Section 106(a)(1) of the Housing and Community Development Act exempts grants to Indian tribes from the obligation to affirmatively further fair housing under Section 106(d)(5) of the Act.

4. Other Requirements.

This programs eligibility criteria for beneficiaries are:

a. ICDBG activities must serve low and moderate income families, households, or individuals as specified in Section I of this NOFA.

b. In accordance with 24 CFR 1003.302(a), you must state in your application that you have adopted rehabilitation standards and policies as defined in Section I.A.3.q. of this NOFA prior to your application submission.

IV. Application and Submission Information

A. Obtaining an Application Package.

An electronic copy of the Application Package and Application Instructions for this NOFA can be downloaded from Grants.gov at http://www.grants.gov/applicants/apply-for-grants.html. Unless an applicant received a waiver for good cause, applications must be submitted electronically via Grants.gov except Continuum of Care applications. The Continuum of Care application is submitted through HUD's e-snaps system.

An applicant demonstrating good cause may request a waiver from the requirement for electronic submission. Applicants that cannot submit their applications electronically and must seek a waiver of the electronic grant submission requirements must submit a waiver request so that the request is received at least 15 days before the application deadline. If HUD waives the requirement, your paper application must be received by HUD before the deadline of this NOFA. To request a waiver and receive a paper copy of the application materials, you should contact:

Glenda Green

Phone: (202) 402-6967

Email: glenda.n.green@hud.gov

Examples of good cause may include lack of reliable Internet, broadband or other infrastructure conducive to electronic application submissions.

B. Content and Form of Application Submission.

To ensure that the correct Application Package and Application Instructions are used, applications must verify that the CFDA number and CFDA Description on the first page of the Application Package downloaded from Grants.gov, as well as the Opportunity Title, and the Funding Opportunity Number match the Program and NOFA to which they are applying. Applications will only be considered for the competition indicated in boxes 11, 12, and 13 on the SF-424 submitted in the application.

1. Content

Forms for your package include the forms outlined below:

Additionally, your complete application must include the following narratives and non-form attachments:

1. Content of Application, Forms, and Required Elements. The applicant must submit all of the forms required in this section, along with other data listed below.

a. Narrative to all five of the rating factors listed in Section V.A. of this NOFA, including a one page summary of the proposed project(s).

b. Application_for_Federal_Assistance (SF424).

c. Applicant/Recipient_Disclosure_Update_Report (form HUD2880).

d. Acknowledgement_of_Application_Receipt (form_HUD2993). This is relevant only to applicants granted a waiver of the electronic submission requirements and who are submitting a paper application.

e. Tribal resolution. If the application is being submitted by a tribal organization as defined in 24 CFR 1003.5(b), on behalf of an Indian tribe, you must submit a concurring resolution from the Indian tribe stating that the tribal organization is applying on the tribe's behalf.

f. Implementation Schedule. A schedule for implementing the project (form HUD4125_Implementation_Schedule).

g. Budget Information. The budget must include specific activity costs, administration, planning, technical assistance and total HUD share (form HUD4123_Cost_Summary). Planning and administrative costs cannot exceed 20 percent of each grant. The following criteria apply to planning and administrative costs:

(1) Planning and administrative activities may be funded only in conjunction with a physical development activity.

(2) Do not include project costs (i.e., architectural/engineering, environmental, technical assistance, staff/overhead costs) directly related to the project but do include any funds the applicant will be contributing toward administering the grant.

(3) Indirect costs may be charged to the ICDBG program under a cost sharing plan prepared in accordance with OMB and HUD regulations as applicable.

h. Citizen Participation. Evidence in the form of a tribal resolution that the tribe has met the citizen participation requirements of 24 CFR 1003.604. Accordingly, the tribe must ensure that views and comments from the entire tribal community members about the use of ICDBG funds were gathered and considered prior to the submission of the application.

i. Low-and moderate-income benefit. Your application must contain information that indicates at least 70 percent of the grant funds will be used for activities that benefit low- and moderate-income persons, in accordance with the requirements of 24 CFR 1003.208(c). All households that receive ICDBG grant assistance must be of low- and moderate-income status.

j. Evidence of Partner Commitment. If you propose to partner with other entities/organizations to undertake your project, you must submit a letter on letterhead of the entity/organization and signed by the chief executive of the entity/organization that demonstrates that the entity/organization is willing and able to participate.

k. Code of Conduct. If your Code of Conduct (code) is not listed on HUD's website at http://www.hud.gov/offices/adm/grants/codeofconduct/cconduct.cfm or if the information on the website has changed, you must submit a copy of your code with your application.

l. Disclosure of Lobbying Activities (SFLLL). This form must be submitted by State-recognized Indian tribes and tribally designated housing entities established only under state law.

m. Evidence of Mold. You must submit evidence of mold in the housing units that you wish to remediate See Section V.A.2., Rating Factor 2, for more information. This documentation must be prepared by a third

party who is qualified to make such an assessment.

2. Format and Form
Narratives and other attachments to your application must follow the following format guidelines:

All information required to complete a valid application is included in the **General Section** and in this ICDBG NOFA. Before preparing an application, applicants should carefully review the program description, ineligible activities, program and threshold requirements, the **General Section**, and supplemental **General Section** documents. Applicants should also review each rating factor listed in Section V of this NOFA, before writing a narrative response. Narrative statements submitted to support your application should be individually labeled to reflect the item the narrative is responding to, e.g., Factor 1, Factor 2.

Applicants should provide the necessary data that support the response in their narratives. Applicants should not submit third party documents, such as audits, resolutions, or policies, unless specifically asked to do so. Please use separate headings for each rating factor and rating subfactor. Make sure the response is beneath the appropriate heading and keep the responses in the same order as the NOFA. Only include documentation that will clearly and concisely support your response to the rating criteria.

C. DUNS Number and SAM Registration.

Please refer directly to Section IV.C of the General Section, available at Grants.gov or HUD's Funds Available page, http://portal.hud.gov/hudportal/HUD?src=/program_offices/administration/grants/fundsavail.

D. Application Submission Dates and Times.

The application deadline is 11:59:59 p.m. Eastern time on June 22, 2015. Applications must be received no later than the deadline. Please refer to the General Section for more information about timely receipt of applications.

Submit your application to Grants.gov unless a waiver has been issued allowing you to submit your application in paper form or you are applying for the Continuum of Care program. The Continuum of Care application is submitted through HUD's e-snaps system. Instructions for submitting your application to Grants.gov are contained within the Application Package you downloaded from Grants.gov. Instructions for submitting your paper application will be contained in the waiver of electronic submission.

Your application must be **both received and validated** by Grants.gov. Your application is "received" when Grant.gov provides you a confirmation of receipt and an application tracking number. **If you do not see this confirmation and tracking number, your application has not been received.**

After your application has been received, your application still must be validated by Grants.gov. During this process, your application may be "validated" or "rejected with errors." To know whether your application was rejected with errors and the reason(s) why, you must log into Grants.gov, select "Applicants" from the top navigation, and select "Track my application" from the drop-down list. If the status is "rejected with errors," you have the option to correct the error(s) and resubmit your application before the Grace Period ends. **If your application was "rejected with errors" and you do not correct these errors, HUD will not review your application.** If your status is "validated" your application will be forwarded to HUD by Grants.gov.

1. **Resubmitting an Application.**

Before the submission deadline, applicants who choose to amend an application that has been validated by Grants.gov have several options:

(1) an applicant may email the new or revised supporting materials to ApplicationSupport@hud.gov; or

(2) an applicant may fax the additional supporting documents using the form HUD-96011.

(3) an applicant may resubmit an entire, revised application via Grants.gov containing the new or changed material;

Whichever option is used, all materials must be received by the applicable deadline.

When submitting additional supporting documentation via email, the applicant must enter "Supporting Documentation" plus the Grants.gov application tracking number in the subject line of the email. (e.g., Subject: Supporting Documentation - GRANT12345678). If this information is not included, HUD will not be able to match the response to the application under review and the application may therefore be rejected due to the deficiency.

When submitting additional supporting documentation by fax, the applicant must enter the document name in the box labeled "Name of Document Submitting" in form HUD96011. When submitting a fax, applicants must follow the fax requirements found elsewhere in this notice. If the fax transmittal form from the last application submitted is not the cover page to the applicant's response HUD will not be able to match the response to the application under review and the application may therefore be rejected due to the deficiency.

When resubmitting an application that was previously validated by Grants.gov, all documents faxed in support of the original submission must be either attached to the Grants.gov resubmission or faxed again using the form HUD-96011. If faxing, you must fax the materials, including materials faxed by a third party, after the resubmitted application has been validated by Grants.gov. All faxed materials must be received by the applicable deadline.

2. Grace Period for Grant.gov Submissions.

If an application is received by Grants.gov before the deadline, but is rejected with errors, applicants have a grace period of 24 hours beyond the application deadline to submit a corrected application that is received and validated by Grants.gov. Any application submitted during the grace period that does not meet the criteria above will not be considered for funding. There is no grace period for paper applications. See the General Section for more information about the grace period.

3. Late Applications.

An application received after the Program NOFA deadline date that does not meet the requirements of the grace period policy will be marked late, and will not be considered for funding.

E. Intergovernmental Review.

This program is not subject to Executive Order 12372, Intergovernmental Review of Federal Programs.

F. Funding Restrictions.

Planning and administrative costs cannot exceed 20 percent of the grant (see Section IV.B.1 of this NOFA and 24 CFR 1003.205-206). For a complete list of ineligible activities see 24 CFR 1003.207.

1. Lead Based Paint Requirements.

When providing housing assistance funding for purchase, lease, support services, operation, or work that may disturb painted surfaces, of pre-1978 housing, you must comply with the lead-based paint evaluation and hazard reduction requirements of HUD's lead-based paint rules (Lead Disclosure; and Lead Safe Housing (24 CFR part 35)), and EPA's lead-based paint rules (e.g., Repair, Renovation and Painting; Pre-Renovation Education; and Lead Training and Certification (40 CFR part 745)).

V. Application Review Information

A. Review Criteria.

1. Rating Factors.

1. Rating Factors to Evaluate and Rate Applications. The factors for rating and ranking applications and the points for each factor are provided below. A maximum of 100 points may be awarded under Rating Factors 1 through 5. To be considered for funding, your application must receive a minimum of 15 points under Rating Factor 1 and an application score of at least 70 points. The following summarizes the points assigned to each rating factor and each rating subfactor.

RATING FACTOR	TITLE	Current Grantees	New Applicants
FACTOR 1	CAPACITY OF THE APPLICANT	33	33
Subfactor 1	Managerial, Technical and Administrative Capability	14	30
1.a.	Managerial and Technical Staff	7	8
1.b.	Implementation Plan	3	8
1.c.	Financial Management	2	7
1.d.	Procurement and Contract Management	2	7
Subfactor 2	Past Performance	19	0
2.a.	Implementation and Expenditures	7	0
2.b.	Reports	3	0
2.c.	Closeouts	3	0
2.d.	Audits	3	0
2.e.	Findings	3	0
Subfactor 3	Unfunded Applicants	0	3
FACTOR 2	NEED/EXTENT OF THE PROBLEM	17	17
Subfactor 1	Need and Viability	8	8

Subfactor 2	Project Benefit	9	9
FACTOR 3	SOUNDNESS OF APPROACH	30	30
Subfactor 1	Description of and Rationale for Proposed Project	8	8
Subfactor 2	Budget and Cost Estimates	8	8
Subfactor 3	HUD Policy Priorities	2	2
Subfactor 4	Commitment to Sustain Activities	12	12
Factor 4	LEVERAGING RESOURCES	10	10
Factor 5	COMPREHENSIVENESS AND COORDINATION	10	10
Subfactor 1	Coordination	2	2
Subfactor 2	Outputs and Outcomes	8	8

Rating Factor 1: Capacity of the Applicant **Maximum Points: 33**

This factor addresses the extent to which you have the organizational resources necessary to successfully implement the proposed activities in accordance with your implementation schedule. Past performance in administering ICDBG grants will be taken into consideration. You must address the existence or availability of these resources for the specific type of activity for which you are applying. HUD will not continue to rate any projects further that do not receive a minimum of 15 points under this factor. Applicants will be rated as current grantees or new ICDBG applicants as applicable.

1. Managerial, Technical, and Administrative Capability (14 points for current ICDBG grantees and 30 points for new applicants). Your application must demonstrate that you possess or can obtain managerial, technical, and/or administrative capability necessary to carry out the proposed project. It must address who will administer the project and how you plan to handle the technical aspects of executing the project in accordance with your implementation schedule. Typical documents that may be submitted include, but are not limited to, written summaries of qualifications and past experience of proposed staff, descriptions of staff responsibilities, and references or letters of endorsement from others who have worked with the proposed staff. Do not submit job descriptions or resumes. Do not submit Social Security Numbers of any personnel or consultants.

a. Managerial and Technical Staff (7 points for current grantees, 8 points for new applicants) The extent to which your application describes the roles/responsibilities and the knowledge/experience of your proposed project director and key staff, including the day-to-day program manager and, consultants in planning, managing, and implementing projects in accordance with the implementation schedule for which funding is being requested. Experience will be judged in terms of relevant, recent, and successful experience of all key staff to undertake eligible program activities. In rating this factor, HUD will consider experience pertaining to the specific activities being proposed or the specific roles and responsibilities described in the application to be relevant, experience within the last 5 years to be recent, and evidence of meeting or exceeding timetables of past projects to be successful. The more relevant, recent, and successful the experience of staffs who will work on the project, the greater the number of

points you will receive for this rating factor.

(7 points for current grantees, 8 points for new applicants). The applicant adequately describes the roles/responsibilities and the knowledge/experience of its overall project director and key staff, including the day-to-day program manager and consultants in planning, managing, and implementing projects for which funding is being requested. Experience of all key staff as described in the application is relevant, recent, and successful.

(4 points for current grantees and 5 points for new applicants). The applicant adequately describes the roles/responsibilities and the knowledge/experience of its project director and staff, including the day-to-day program manager and consultants in planning, managing, and implementing projects for which funding is being requested. Experience of all key staff as described in the application is relevant, and is either recent, or successful.

(0 points for current grantees and new applicants). The applicant failed to adequately describe the roles/responsibilities and the knowledge/experience of its project director and key staff, including the day-to-day program manager, consultants, and contractors in planning, managing, and implementing projects for which funding is being requested or staff experience as described in the application is either not relevant, or is not recent, or successful.

b. Project Implementation Plan (3 points for current grantees and 8 points for new applicants). The extent to which your project implementation plan identifies the specific tasks and timelines that you and your partner contractors and/or sub-grantees will undertake to complete your proposed project on time and within budget. The Project Implementation Schedule, form HUD4125, should serve as this required schedule, provided that it is sufficiently detailed to demonstrate that you have clearly thought out your project implementation. To receive full points in this subfactor, your application must demonstrate that contracts can and will be awarded within 180 days from the date the funds are available to the applicant.

(3 points for current grantees and 8 points for new applicants). The applicant submitted a detailed project implementation plan that clearly specifies both tasks and timelines.

(2 points for current grantees and 5 points for new applicants). The applicant submitted a project implementation plan that specifies both tasks and timelines, however the plan lacks detail.

(0 points for current ICDBG grantees and new applicants). The applicant did not submit a project implementation schedule that addresses both tasks and timelines.

c. Financial Management (2 points for current grantees and 7 points for new applicants). This subfactor evaluates the extent to which your application describes how your financial management systems meet the requirements of 24 CFR Part 200 and 24 CFR Part 1003. The application will also be rated on the seriousness/significance of the findings related to your financial management system identified in your current audit. If you are required to have an audit but do not have a current audit, you must submit a letter from your Independent Public Accountant (IPA) that is dated within the past 12 months stating that your financial management system complies with all applicable regulatory requirements. If you are not required to have an audit in accordance with OMB and HUD regulations you will automatically receive maximum points for this portion of the subfactor if you state this fact and provide the other information required by this subfactor. For purposes of this subfactor, a current audit is one which was due to be submitted to the Federal Audit Clearinghouse (FAC) within the 12-month period prior to the application deadline date. To be considered, the audit must be submitted to the FAC prior to the ICDBG application deadline date. Do not submit financial management and/or internal control policies and procedures or your audit with the application.

(2 points for current ICDBG grantees and 7 points for new). The applicant clearly described how its financial management systems meet the regulatory requirements cited above. The applicant's current audit does not contain any serious or significant findings related to its financial management system, or if

there is no current audit, the applicant submitted a letter from its IPA stating that its financial management system complies with all applicable regulatory requirements.

(1 points for current ICDBG grantees and 4 points for new applicants). The applicant's current audit does not contain any serious or significant findings related to its financial management system, or if there is no current audit, the applicant submitted a letter from its IPA stating that its financial management system complies with all applicable regulatory requirements. The applicant did not clearly describe how its financial management system meets the regulatory requirements cited above.

(0 points for current ICDBG grantees or new applicants). The applicant's current audit included serious or significant findings related to its financial management systems or, if there is no current audit, the applicant did not submit a letter from its IPA stating its financial management systems comply with all regulatory requirements. The applicant did not describe how its financial management system meets the regulatory requirements cited above.

d. Procurement and Contract Management (2 points for current ICDBG grantees and 7 points for new applicants). This subfactor evaluates the extent to which your application describes how your procurement and contract management policies and procedures will meet the requirements of 24 CFR Part 200 and 24 CFR Part 1003. The application will also be rated on the seriousness of the findings related to procurement and contract management identified in your current financial audit. If you are required to have an audit but do not have a current audit, you must submit a letter from your IPA stating that your procurement and contract management system complies with all applicable regulatory requirements. If you are not required to have an audit in accordance with OMB and HUD regulations you will automatically receive maximum points for this portion of the subfactor if you state this fact and provide the other information required by this subfactor. For purposes of this subfactor, a current audit is one which was due to be submitted to the FAC within the 12-month period prior to the application deadline date. To be considered, the audit must be submitted to the FAC prior to the ICDBG application deadline date. Do not submit procurement and contract management policies and procedures or your audit with the application.

(2 points for current ICDBG grantees and 7 points for new). The applicant clearly described how its procurement and contract management policies and procedures will meet the regulatory requirements cited above. The applicant's current audit does not contain any serious or significant findings related to its procurement and contract management system, or if there is no current audit, the applicant submitted a letter from its IPA stating that its procurement and contract management system complies with all applicable regulatory requirements.

(1 point for current ICDBG grantees and 4 points for new applicants). The applicant's current audit does not contain any serious or significant findings related to its procurement or contract management system, or if there is no current audit, the applicant submitted a letter from its IPA stating that its procurement and contract management system complies with all applicable regulatory requirements. The applicant did not describe how its procurement and contract management policies and procedures meet the regulatory requirements cited above.

(0 points for current ICDBG grantees or new applicants). The applicant's current audit included serious or significant findings related to its procurement and contract management systems or if there is no current audit, the applicant did not submit a letter from its IPA stating its procurement and contract management systems comply with all regulatory requirements.

2. Past Performance (19 points for current ICDBG grantees and 0 points for new applicants). HUD will evaluate your past performance in accordance with regulatory requirements for any previous and related ONAP grant programs, as specified below, for the following performance measures. Applicants are not required to respond to the subfactors related to past performance unless information is requested elsewhere in this rating factor.

a. Implementation and Expenditures (7 points for current ICDBG grantees and 0 points for new

applicants). For this subfactor, performance will be evaluated for all ICDBG grants that are open as of the application due date (but for grants funded in the year prior to this application).

(7 points) The applicant has met the timeframes established in the HUD-approved implementation schedules, has met all performance goals of previous ICDBGs, and has a remaining balance in the Line of Credit Control System (LOCCS) of no more than 35 percent of total awarded amounts.

(5 points) The applicant has met the timeframes established in the HUD-approved implementation schedule, has met the majority of all performance goals of previous ICDBGs, and has a remaining balance in LOCCs of no more than 50 percent of total awarded amounts.

(3 points) The applicant has met the timeframes established in the HUD-approved implementation schedule, has met some performance goals of previous ICDBGs, and has a remaining balance in LOCCs of no more than 70 percent of total awarded amounts.

(0 points) The applicant has not met the timeframes established in the HUD-approved implementation schedules, has not met performance goals of previous ICDBGs, and has a remaining balance in LOCCs of more than 80 percent of total awarded amounts.

b. Reports (3 points for current ICDBG grantees and 0 points for new applicants). Annual Status and Evaluation Reports (ASER) and Federal Financial Reports are submitted by the report submission deadlines. The ASER is due 45 days after the end of the federal fiscal year. Federal Financial Reports are due quarterly on April 30, July 30, October 30, and January 30. For this subfactor, performance will be evaluated for all ICDBGs open during the rating period.

(3 points) The applicant has submitted both the ASER and Federal Financial Reports for ICDBG programs within 15 calendar days of the report submission deadlines.

(2 points) The applicant has submitted either the Federal Financial Reports or the ASERs for ICDBG programs within 15 calendar days of the report submission deadlines.

(0 points) The applicant has submitted neither of the required reports within 15 calendar days of the report submission deadlines.

c. Close-outs. (3 points for current ICDBG grantees and 0 points for new applicants). The applicant has submitted close-out documents to HUD by the required deadline. Close-out documents are required for the ICDBG program within 90 days of the date it is determined that the criteria for close-out at 24 CFR 1003.508 have been met. For this subfactor, performance will be evaluated for all ICDBGs that were closed during the rating period.

(3 points) The applicant submitted close-out documents to HUD in accordance with the timeframe and criteria at 24 CFR 1003.508.

(0 points) The applicant has not submitted close-out documents to HUD as required by 24 CFR 1003.508.

d. Audits. (3 points for current ICDBG grantees and 0 points for new applicants). For this subfactor, HUD will review performance in the ICDBG and IHBG programs.

(3 points) The applicant has submitted annual audits to the Federal Audit Clearinghouse (FAC) for the last two audit periods in accordance with OMB and HUD regulations and within the required timeframe (within 30 days after completion of the audit or 9 months after the fiscal year, whichever is earlier), or if the applicant received an extension of the audit submission date, its audit was received by the extended due date If an extension was received you must submit a copy of the extension approval letter. Do not submit your audit with the application. Applicants who are not required to submit an annual audit in accordance with OMB and HUD regulations must state this in their application, and explain why not, in order to receive 3 points for this subfactor.

(0 points) The applicant did not submit annual audits to the FAC for the last two audit periods in accordance with OMB and HUD regulations. If the applicant received an extension of the audit submission date, the FAC did not receive an audit by the extended due date, and/or the applicant did not submit a copy of the extension approval letter with the application.

e. Findings (3 points for current ICDBG grantees and 0 points for new applicants). The applicant has resolved ICDBG and IHBG monitoring findings and controlled audit findings by the established target date, or there are no findings in current reports. Do not submit responses to open monitoring or audit findings with the application. For this subfactor, performance will be evaluated on all open monitoring findings during the rating period.

(3 points) The applicant resolved open ICDBG and IHBG monitoring findings and controlled audit findings by the established target date. The applicant will receive 3 points if there were no open audit or ICDBG monitoring findings (current grantees only).

(0 points) The applicant has not resolved open ICDBG and IHBG monitoring findings and/or controlled audit findings by the established target date.

Subfactor 3. Unfunded Applicants (3 points). Applicants who did not receive ICDBGs in recent years will receive extra points. This subfactor applies to the tribe, or the tribal organization on whose behalf the tribal organization is submitting the application, not the subrecipient working on the project.

(3 points) Applicants who did not receive either a mold remediation and prevention grant or a regular ICDBG within the last NOFA application cycle will receive 3 points.

(2 point) Applicants who did not receive an ICDBG for mold remediation and prevention in the last application cycle will receive 2 points.

Rating Factor 2: Need/Extent of the Problem	**Maximum Points: 17**

1. Evidence of Mold (8 points). Applicants must provide third party evidence of mold in their tribally owned or operated housing units. The more concrete evidence you provide of larger amounts of mold in your units, the more points you will receive in this subfactor. The evidence must be provided by a person who is qualified to make such a determination. You may be able to find persons qualified to make these assessments in your area by contacting Indian Health Service, universities, or state or county health offices, for example. You may also find healthy homes professionals by searching the database of the National Environmental Health Association at www.neha.org/credential/HHS/, and click on the link for "Find a HHS Credential Holder in Your Area."

You may submit photographs in .jpeg or .jpg format if mold is clearly visible in the units. You may also submit questionnaires completed by occupants of the units in your housing inventory as evidence of the presence of mold. You need not provide evidence of mold in each and every unit that you propose to address but you should provide information about the age and construction of the units. It is not recommended that you conduct an air sample on the units due to the costs of such tests and the seasonal and daily variations in the results of such tests. If residents in your tribally owned or operated housing units have been found ill due to the air quality of their units (such as asthma), please include this in your application. If your tribe/TDHE was among those that participated in HUD's assessment of mold in 2003-2005 and the issues causing the mold have not yet been resolved, please state this in your application as well.

(8 points). The application provided evidence of the presence of mold (of more than 10 square feet) in at least 50 percent of the units in the tribe's inventory.

(4 points). The application provided evidence of mold (of more than 10 square feet) in at least 30 percent of the tribe's inventory.

(0 points). The application provided evidence of mold (of more than 10 square feet) in less than 30

percent of the tribe's housing inventory or did not provide evidence of mold.

2. Project Benefit (9 points). Your project benefits the neediest segment of the population, in accordance with the ICDBG program's primary objective defined at 24 CFR 1003.2.

The need for the housing proposed project is determined by utilizing data from the tribe's 2015 IHBG formula information. The ratio is based on the dollars allocated to a tribe under the IHBG program for need divided by the sum of the number of American Indian and Alaskan Native (AIAN) households in the following categories: annual income below 80 percent of the median; overcrowded or without kitchen or plumbing; housing cost burden greater than 50 percent of annual income, and housing shortage (number of low income AIAN households less total number of NAHASDA and Formula Current Assisted Stock). This amount has been computed for each tribe and posted in the "Factor 2 Needs Table" that is available in the zip file with the Application Instructions for the ICDBG program at http://www.grants.gov/applicants/apply_for_grants.jsp.

(9 points) The dollar amount for the Indian tribe is $0 - $750 or the tribe's total FY2015 IHBG amount was $100,000 or less and the Needs Table indicates that the Indian tribe has American Indian and Alaskan Natives (AIAN) households experiencing income or housing problems.

(7 points) The dollar amount for the Indian tribe is $751 - $1,250.

(3 points) The dollar amount for the Indian tribe is $1,251 - $3,999.

(0 points) The dollar amount for the Indian tribe is $4,000 or higher, or the Needs Table indicates that the Indian tribe has no AIAN households experiencing income or housing problems.

Rating Factor 3: Soundness of Approach	**Maximum Points: 30**

This factor addresses the quality and anticipated effectiveness of your proposed project to enhance community viability and meet the needs you have identified in Rating Factor 2. It also examines your ability and willingness to sustain your proposed project over time. The populations that were described in Rating Factor 2 should be the same populations that will receive the primary benefit of the proposed project. For Category Two projects, the more comprehensive your mold remediation project, the more points you will receive.

1. Description of and Rationale for Proposed Project (8 points)

(8 points) The proposed project is a feasible and cost effective approach to address the needs outlined under Rating Factor 2 of the application. The proposed project is described in detail and you indicate why you believe it will be most effective in addressing the identified need. In order for an application to receive full points under this factor, the application must demonstrate how the community's viability will be enhanced, as explained and presented in Rating Factors 2 and 5. You have included detailed plans to implement long term strategies to permanently remediate mold in your tribally owned or operated units rather than short term interventions. You are proposing to permanently address the source of moisture entering or dispersing in the homes. In addition, you have identified construction materials and techniques known to resist mold that you plan to use and the training and technical assistance you will seek to educate staff about safe methods of assessing and remediating mold. You also have developed a plan to educate occupants of the remediated units on ways to prevent mold from reoccurring. To receive the full 8 points in this subfactor you must demonstrate that you will train and employ Section 3 residents and contract with Section 3 business concerns unless doing so would be inconsistent with the preference for Indians under section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450(c(b)).

(6 points) The proposed project is a feasible and cost-effective approach to address the needs outlined under Rating Factor 2 of the application. The project is described in detail and you indicate why you believe the project will be most effective in addressing the identified need. You propose to implement

long term strategies to remediate mold in your tribally owned or operated units and to address the source of moisture entering or dispersing in the homes but did not include much detail. You have identified the construction materials and techniques known to resist mold that you plan to use but have not included much detail about training and technical assistance you will seek to educate staff about safe methods of assessing and remediating mold. Plans to educate occupants of the remediated units on ways to prevent mold from reoccurring are either not included or are vague.

(4 points) The proposed project is a feasible and cost-effective approach to address the needs outlined under Rating Factor 2 of the application. The project is described and you indicate why you believe the project will be most effective in addressing the identified need. You proposed to implement long term strategies to remediate mold and address the source of moisture entering the homes but did not include much detail. You have not identified construction materials or techniques you plan to use or did not identify training or technical assistance you plan to use to educate staff about mold remediation. Nor have you developed a plan to educate occupants of the remediated units on ways to prevent mold from reoccurring.

(0 points) The proposed project is not a feasible and cost-effective approach to address the needs outlined under Rating Factor 2 of the application. The proposed project is not described in detail with an indication of why the applicant believes the project will be most effective in addressing the identified need. For land acquisition to support new housing projects, the applicant has not established that there is a reasonable ratio between the number of net usable acres to be acquired and the number of low- and moderate-income households to benefit from the project. The application did not include any details about plans to remediate or prevent mold from reoccurring.

2. Budget and Cost Estimates (8 points). The budget is thorough and reasonable, and all costs are documented.

(8 points) Cost estimates are reasonable and broken down by line item for each proposed activity, including planning and administration costs. A description of the qualifications of the person who prepared the cost estimate is provided.

(4 points) Cost estimates are reasonable but are not broken down by line item for each proposed activity, including planning and administration costs. A description of the qualifications of the person who prepared the cost estimate is not provided.

(0 points) Cost estimates are not provided.

3. HUD NOFA Priorities (2 points). Your application addresses the goals of "Promote Economic Development and Economic Resilience" and/or "Increase Energy Efficiency and the Health and Safety of Homes", two of HUD's FY2015 policy priorities, as described in Appendix A of the General Section Applicants that propose projects to undertake activities to promote economic development and economic resilience will receive one policy point. Applicants that propose projects to increase energy efficiency and the health and safety of homes will also receive one policy point.

a. To receive one point under the policy priority for promoting economic development and economic resilience applicants must show how their proposed activities will (1) create jobs for low income people, and estimate the number and types of jobs; (2) create business opportunities for small and/or disadvantaged businesses; and or (3) create a flow of investment of private and other public capital into (a) a small and disadvantaged business or (b) nonprofit activities serving low income people; and/or (4) any combination of the above.

b. To receive one point under the policy priority for increasing energy efficiency and the health and safety of homes, applicants must either meet a green building standard or a renewable energy standard as described below.

1. Green Building Standard: The applicant commits to pursue a comprehensive, industry-recognized green building standard and certification for green building, such as the Enterprise Green Communities

Criteria; the ICC 700 National Green Building Standard; LEED ND, LEED-H, LEED-H Midrise, LEED-NC, or one of a number of regionally-recognized green building standards such Earth craft House, Earth craft Multifamily, Earth Advantage New Homes, Green point Rated New Home, Green point Rated Existing Home (Whole House or Whole building label), or other industry-recognized green building standard in HUD's sole discretion. Additionally, the applicant must later submit a certification of completion, and provide evidence that the green building standard has been achieved.

2. Renewable Energy Standard: The applicant certifies that the proposed project will incorporate renewable energy technologies such as on-site Solar Photovoltaic (PV) or Solar Thermal Electric, as well as Landfill Gas, Wind Energy, Biomass, Geothermal Electric, Combined Heat and Power, Municipal Solid Waste, Small Hydroelectric, Fuel Cells using Renewable Fuels) in any federally assisted property receiving funds through this NOFA.

3. Commitment to Sustain Activities (12 points). Your application demonstrates your commitment to your community's viability by sustaining your proposed activities. The information provided is sufficient to determine that the project will proceed effectively.

(12 points) The ongoing maintenance responsibilities are described in detail for the tribe and/or the participants, as applicable. If the tribe or another entity is assuming maintenance responsibilities, then the applicant must provide a commitment to that effect.

(8 points) Maintenance responsibilities for the tribe and/or participants are described but lack detail, and the commitment regarding maintenance responsibilities of the tribe or another entity is submitted.

(4 points) Maintenance responsibilities are mentioned but little description is provided, or the description is inadequate or inappropriate.

(0 points) None of the above criteria is met.

Rating Factor 4: Leveraging Resources	**Maximum Points: 10**

HUD believes that ICDBG funds can be used more effectively to benefit a larger number of Native American and Alaska Native persons and communities if projects are developed that use tribal resources and resources from other entities in conjunction with ICDBG funds. To encourage this, HUD will award points based on the percentage of non-ICDBG resources provided relative to project costs as follows:

Non-ICDBG Resources to Project Costs	Points
Less than 4 percent	0
At least 4 percent but less than 11 percent	2
At least 11 percent but less than 18 percent	4
At least 18 percent but less than 25 percent	6
25 percent or more	8
30 percent or more	10

Contributions that could be considered as leveraged resources for point award include, but are not limited to: tribal trust funds, loans from individuals or organizations, private foundations, businesses, state or federal loans or guarantees, other grants including IHBG (also known as NAHBG) funds, donated goods and services needed for the project, land needed for the project, and direct administrative costs. With the exception of land acquisition, funds that have been expended on the project prior to the application deadline date will not be counted as leverage. Applicants are reminded that environmental review requirements under 24 CFR Part 58 apply to the commitment or use of both ICDBG and non-ICDBG funds in a leveraged project. See Section VI.B. of this NOFA for information related to this requirement.

Contributions that will not be considered include, but are not limited to: indirect administrative costs as

identified in OMB regulations; contributions of resources to pay for anticipated operations and maintenance costs of the proposed project; and, in the cases of expansions to existing facilities, the value of the existing facility.

To obtain points for this rating factor, letters of firm or projected commitments, memoranda of understanding, or agreements to participate from any entity, including the tribe that will be providing a contribution to the project, must accompany the application. The documentation must be received by HUD in the paper application package (if you have received a waiver of the electronic submission requirement) or for electronically submitted applications, the documentation must be scanned and submitted as part of the application documents or sent by facsimile transmittal (see the General Section). To receive funding consideration, all documents must be received by the application deadline date and meet the timely receipt requirements.

To demonstrate the commitment of tribal resources, the application must contain a written statement that identifies and commits the tribal resources to the project, subject to approval of the ICDBG assistance. In the case of IHBG funds, whether the tribe or a TDHE administers them, an approved Indian Housing Plan (IHP) must identify and commit the IHBG resources to the project. Do not submit the IHP with your application. ONAP will rely on the most recently approved IHP on file. If the tribe/TDHE intends to include the leveraged commitment in a future IHP, the application must contain a written statement that identifies and commits the IHBG resources to the project subject to the same requirements as above.

To demonstrate the commitment of a public agency, foundation, or other private party resources, a letter of commitment, memorandum of understanding, and/or agreement to participate, including any conditions to which the contribution may be subject, must be submitted with the application. All letters of commitment must include the donor organization's name, the specific resource proposed, the dollar amount of the financial or in-kind resource and method for valuation, and the purpose of that resource within the proposed project. An official of the organization legally authorized to make commitments on behalf of the organization must sign the commitment.

HUD recognizes that in some cases, firm commitments of non-tribal resources may not be obtainable by your tribe by the application deadline. For such projected resources, your application must include a statement from the contributing entity that describes why the firm commitment cannot be made at the current time and affirms that your tribe and the proposed project meets eligibility criteria for receiving the resource. In addition, a date by which the funding decisions will be made must be included. This date cannot be more than six months from the anticipated date of grant approval by HUD. Should HUD not receive notification of a firm commitment or provide HUD with an amended application that meets criteria at 24 CFR 1003.305, within six months of the date of grant approval, HUD will recapture the grant funds approved and will use them in accordance with the requirements of 24 CFR 1003.102.

In addition to the above requirements, for all contributions of goods, services and land, you must demonstrate that the donated items are necessary to the actual development of the project and include comparable costs that support the donation.

Rating Factor 5: Comprehensiveness and Coordination	**Maximum Points: 10**

This factor addresses the extent to which your project planning and proposed implementation reflect a coordinated, community-based process of identifying and addressing needs of your tribal community. If your community has developed a comprehensive plan to improve the viability of the community, the project(s) should fit into that plan. This factor also examines the level of support for the project(s) from tribal organizations and departments that are not providing direct financial support for the project(s).

The application should describe the project’s specific and quantifiable outputs, outcomes, and goals for enhancing community viability. The application should also indicate how it will measure and evaluate progress toward meeting the goals. Applicants that are funded will be required to report on the proposed outcomes and outputs as spelled out in Section VI.C.1.b. of this NOFA.

1. Coordination (2 points). The application addresses the extent to which you intend to use ICDBG as a catalyst for coordination with other organizations and/or tribal departments that are not providing direct financial support to your proposed work activities and with whom you are working to meet objectives in a holistic and comprehensive manner.

(2 points) Partnering organizations that will not be providing leveraged resources to the project have been identified and the nature of the coordination has been described.

(0 points) The application did not identify partnering organizations or did not describe the nature of the coordination efforts.

2. Outputs and Outcomes (8 points). The extent to which your application includes quantifiable outputs and, outcomes for your project(s) that enhance community viability. If your application is funded you will be required to report on these.

Depending on the type of project(s) proposed and HUD policy priority chosen, outputs could include:

- Number of housing units rehabilitated;
- Number of units in which mold has been remediated;
- Number of units in which mold prevention activities have been conducted;
- Dollars invested; or
- Number of persons assisted in remediated units.

You may also include other outputs applicable to your project(s).

Depending on the type of project(s) proposed, outcomes could include:

- Reduction in the number of families living in substandard housing;
- Reduction in the number of individuals with health-related illnesses resulting from mold in their housing units;
- Increased quality of life; or
- Reduction of health-related hazards

You may also include other outputs applicable to your project(s).

(8 points) The application included quantifiable outputs and outcomes for the proposed activity that enhance community viability.

(4 points) The application included quantifiable outputs, but not outcomes, or vice versa. Or the application submitted both but neither was quantifiable.

(0 points) The application did not address outputs or outcomes.

2. NOFA Priorities.
HUD encourages applicants for funding to undertake programs and projects that contribute to HUD's NOFA Priorities. Applicants that undertake activities that result in achievement of specific NOFA Priorities listed below are eligible to receive priority points in the rating of their application. These points will be awarded only if the application otherwise meets or exceeds the Program's minimum fundable score based on the rating factors of this NOFA.

Promote Economic Development and Economic Resilience (Capital Investment, Planning, and Research NOFAs or as specified in Individual Program NOFA)

Increase Energy Efficiency and the Health and Safety of Homes (Capital Investment and Planning NOFAs or as Specified in Individual Program NOFA)

3. Bonus Points.

In support of certain inter-agency initiatives, HUD awards bonus points to projects where the preponderance of work will occur in a designated zone, community or region. **These points will be awarded only if the application otherwise meets or exceeds the Program's minimum fundable score based on the rating factors of this NOFA.**

HUD encourages activities in communities with Preferred Sustainability Status (PSS) and/or Promise Zones (PZ), HUD will award two (2) points for qualified activities within a designated zone or area and supporting either or both initiative(s). In no case will HUD award more than two bonus points for these activities.

a. To receive **Preferred Sustainability Status Communities Bonus Points**, applicants must submit form HUD2995, Certification of Consistency with Sustainable Communities Planning and Implementation, signed by the designated Preferred Sustainability Status Community point of contact. Designated PSS Communities Points of Contacts can be found on HUD's website at http:// portal.hud.gov/ hudportal/ HUD?src=/ program_offices/ administration/ grants/ nofa11/ psscontacts.

b. To receive **Promise Zones Bonus Points**, applicants must submit form HUD 50153, Certification of Consistency with Promise Zone Goals and Implementation, signed by the Promise Zone Official authorized to certify the project meets the criteria to receive bonus points. To view the list of designated Promise Zones and persons authorized to certify, please go to https:// www.hudexchange .info/ promise-zones/ promise- zones- designees/.

B. Reviews and Selection Process.

1. Application Selection Process. HUD will review each application and assign points in accordance with the selection factors described in this section.

2. Application Screening. HUD Headquarters will screen applications. Applications that fail this screening will be rejected. ONAP will accept your application if it meets all the criteria listed below as items (a) through (e).

a. Your application is received or submitted in accordance with the requirements set forth under Application and Submission Procedures in Section IV of this NOFA;

b. You are eligible;

c. The proposed project is eligible;

d. Your application contains substantially all the components specified in Section IV. B. of this NOFA; and

e. Your application shows that at least 70 percent of the grant funds are to be used for activities that benefit low- and moderate-income persons, in accordance with the requirements of 24 CFR 1003.208(c). For screening purposes only, HUD will use data prepared by the U.S. Census Bureau if the data you submitted does not meet this screening requirement. All ICDBG-assisted units must be occupied by low- and moderate-income households in accordance with 24 CFR 1003.208(c).

3. Threshold Compliance. ONAP will review each application that passes the screening process to ensure that each applicant and each proposed project meets the submission thresholds in Section III.C.2. and C.3. of the **General Section** and the program thresholds listed in Section III.C. of this NOFA, and 24 CFR 1003.301(a) and 1003.302.

4. Past Performance. An applicant's past performance is evaluated under Rating Factor 1. Applicants are encouraged to address all performance-related criteria prior to the application deadline date. An applicant must score a minimum of 15 points under Rating Factor 1 in order to meet the minimum point requirements

outlined below in this NOFA.

5. **Rating**. Applications will be reviewed and rated by a team at HUD Headquarters in one national competition. After the applications are rated, a review of all applications will be conducted to ensure consistency in the application rating.

6. Minimum Points. To be considered for funding, your application must receive a minimum of 15 points under Rating Factor 1 and an application score of 70 points.

7. Ranking. All applications will be ranked against each other according to the point totals they receive. Projects will be selected for funding based on the final ranking to the extent that funds are available. The ONAP Grants Management Director will determine individual grant amounts in a manner consistent with the considerations set forth in 24 CFR 1003.100(b)(2). Specifically, HUD may approve a grant amount less than the amount requested. In doing so, HUD may take into account the size of the applicant, the level of demand, the scale of the activity proposed relative to need and operational capacity, the number of persons to be served, the amount of funds required to achieve project objectives, and the reasonableness of the project costs. If HUD determines that there are not enough funds available to fund a project as proposed by the applicant, it may decline to fund that project and may fund the next highest-ranking project or projects for which adequate funds are available. HUD will select, in rank order, additional projects for funding if one of the higher-ranking projects is not funded or if additional funds become available.

8. Tiebreakers. When rating results in a tie among projects and insufficient resources remain to fund all tied projects, HUD will approve projects that can be fully funded over those that cannot be fully funded. When that does not resolve the tie, HUD will use the following factors in the order listed to resolve the tie:

a. The applicant that has not received an ICDBG for the longest period of time.

b. The applicant with the fewest active ICDBGs.

c. The project that would benefit the highest percentage of low- and moderate-income persons.

When rating results in a tie among projects, funds will be awarded to all tied applicants if each award will permit the applicant to resolve the source of moisture and remediate mold in at least half of the units owned and operated by the applicant.

9. Technical Deficiencies and Pre-award Requirements.

a. Technical Deficiencies. If there are technical deficiencies in successful applications, you must satisfactorily address these deficiencies before HUD can make a grant award. See the **General Section** at V.B.2. for information on curing deficiencies.

b. Pre-award Requirements. Successful applicants may be required to provide supporting documentation concerning the management, maintenance, operation, or financing of proposed projects before a grant agreement can be executed. Such documentation may include additional specifications on the scope, magnitude, timing or method of implementing the project; or information to verify the commitment of other resources required to complete, operate, or maintain the proposed project. HUD will notify applicants by facsimile or via the U.S. Postal Service, return receipt requested. Applicants will be provided fourteen (14) calendar days from the date of receipt of the HUD notification to respond to these requirements. No extensions will be provided. If the deadline date falls on a Saturday, Sunday, or federal holiday, your response must be received by HUD on the next day that is not a Saturday, Sunday, or federal holiday. If you do not respond within the prescribed time period or you make an insufficient response, the Area ONAP will determine that you have not met the requirements and will withdraw the grant offer. You may not substitute new projects for those originally proposed in your application and any new information will not affect your project's rating and ranking. The Area ONAP will award, in accordance with the provisions of this NOFA, grant amounts that had been allocated for applicants unable to meet pre-award requirements. The time period for calculating the response deadline for technical deficiencies and pre-award requirements begins on the day after receipt of the pre-award letter from ONAP.

10. Agency Errors. Judgments made within the provisions of this NOFA and the program regulations (24 CFR Part 1003) are not subject to claims of error. You may bring arithmetic errors in the rating and ranking of applications to the attention of the ONAP Headquarters within 30 days of being informed of your score. Please see Section VI.A. of the **General Section** for further information regarding errors.

11. Performance and Compliance Actions of Funding Recipients. HUD will measure and address the performance of and order compliance actions by funding recipients in accordance with the applicable standards and sanctions of their respective programs.

C. Anticipated Announcement and Award Dates.

HUD expects to announce awards by September 30, 2015.

VI. Award Administration Information.

A. Award Notices.

Following the evaluation process HUD will notify successful applicants of their selection for funding. HUD will also notify all other applicants, whose applications were received by the deadline, that have not been chosen for award. Notifications will be sent by email, delivery receipt requested, to the person designated in item 8F of the SF424 and to the person listed as authorized representative in item 21 of the SF424.

As soon as rating and ranking are completed, the applicant has complied with any pre-award requirements, and HUD's Office of the Assistant Secretary for Congressional and Intergovernmental Relations has notified the Appropriations Committees and applicable members of Congress, a grant award letter, a grant agreement, and other forms and certifications will be mailed to the recipient for signature and return to the Area ONAP. The grant agreement, which is signed by HUD and the recipient, establishes the conditions by which both the Area ONAP and the recipient must abide during the life of the grant. All grants are conditioned on the completion of all environmental obligations and approval of release of funds by the Area ONAP in accordance with the requirements of 24 CFR Part 58. HUD may impose other grant conditions, if additional actions or approvals are required, before the use of funds

B. Administrative, National and Departmental Policy Requirements.

Certain Administrative, National and Departmental Policy Requirements apply to all HUD programs, including this NOFA. For a complete list of these requirements, see Section VI.B. of the General Section.

1. Environmental Requirements. As required by 24 CFR 1003.605, ICDBG grantees must perform environmental reviews of ICDBG activities in accordance with 24 CFR Part 58. Grantees and other participants in the development process may not commit or expend any ICDBG or nonfederal funds on project activities (other than those listed in 24 CFR 58.22(f), 58.34 or 58.35(b)) until HUD has approved a Request for Release of Funds and environmental certification submitted by the grantee. The expenditure or commitment of ICDBG or nonfederal funds for such activities prior to HUD approval may result in the denial of assistance for the project or activities under consideration. Where a tribal organization has applied for a grant on behalf of an Indian tribe, the tribe is considered the grantee responsible for environmental review requirements.

2. Conflict of Interest. In addition to the conflict of interest requirements with respect to procurement transactions found in 24 CFR 200.318, as applicable, the provisions of 24 CFR 1003.606 apply to such activities as the provision of assistance by the recipient or sub-recipients to businesses, individuals, and other private entities under eligible activities that authorize such assistance.

3. Government-wide Regulations Applicable to Financial Assistance. Unless excepted under 24 CFR

chapters 1-IX, the Uniform Administrative Requirements, Costs Principles, and Audit Requirements for Federal Awards, set for in 2 CFR part 200 shall apply to Federal Awards made by the Department of Housing and Urban Development to non-Federal entities.

4. Remediation Training. If you receive a grant pursuant to this NOFA you will be required to participate in webinars and/or live meetings about mold remediation and prevention offered by ONAP, HUD's Office of Healthy Homes and Lead Hazard Control and/or other Federal agencies.

5. Cooperation with Related Research and Evaluation. If you receive a grant under this NOFA you must cooperate fully with any research and evaluation sponsored by HUD including preservation of project data and records and compiling requested information in formats provided by the researchers, evaluators or HUD. The assessment will most likely include questions on housing characteristics, housing conditions, occupancy characteristics, mold characteristics, prevention, remediation, and follow-up. Participant data shall be subject to the Privacy Rule of the Health Insurance Portability and Accountability Act of 1996 (HIPAA). HIPAA and the Privacy Rule can be found at http://www.hhs.gov/ocr/hipaa. For the programs in this NOFA, HUD does not expect research to be conducted that could affect human subjects.

C. Reporting.

Please refer to Section VI of the General Section for a description of the general reporting requirements applicable to all HUD NOFAs.

1. ICDBG Post-Award Reporting Requirements.

a. Quarterly Financial Reports. ICDBG recipients must submit to the Area ONAP a quarterly SF425, Federal_Financial_Report. The report accounts for funds received and disbursed by the recipient.

b. Annual Status and Evaluation Report. Recipients are required to submit this report in narrative form annually. The report is due 45 days after the end of the federal fiscal year and at the time of grant close-out. The report must include:

(1) The progress made in completing approved activities (including progress made on proposed outputs and outcomes), a list of work remaining, and with a revised implementation schedule, if necessary;

(2) A breakdown of funds spent; and

(3) If the project has been completed, an evaluation of the effectiveness of the project in meeting the community development needs of the grantee, as well as the final outputs and outcomes. You will also be required to provide evidence that the mold you requested funds to remediate has in fact been remediated and the source of the moisture entering or being dispersed in the building has been addressed.

c. Minority Business Enterprise Report. Recipients must submit this report on contract and subcontract activity by October 10 of each fiscal year.

d. Close-out Report. A close-out report must be submitted by the recipient within 90 days of completion of grant activities. The report consists of the final Federal Financial Report (SF425), the final Status and Evaluation Report, and the Close-Out Agreement. More information regarding these requirements may be found at 24 CFR 1003.506 and 1003.508.

Questions regarding specific program requirements should be directed to the point of contact listed in Section VII below.

VII. Agency Contact(s).

HUD staff will be available to provide clarification on the content of this NOFA. Please note that HUD staff cannot assist applicants in preparing their applications. Questions regarding specfic program requirements should be directed to the point of contact listed below.

Questions about the ICDBG NOFA should be directed to Roberta L. Youmans in the Office of Native American Programs at 202-402-3316 (this is not a toll-free number). Persons with hearing or speech impairments may access these numbers via TTY by calling the number listed below.

Questions concerning the General Section should be directed to the Office of Strategic Planning and Management, Grants Management and Oversight Division at 202-708-0667 (this is not a toll-free number).

Persons with hearing or speech impairments may access these numbers via TTY by calling the toll-free Federal Relay Service at 800-877-8339.

VIII. Other Information.

Paperwork Reduction Act Statement. The information collection requirements in this this Notice have been approved by OMB under the Paperwork Reduction Act of 1995 (44 U.S.C.3501-3520). In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid OMB control number. Each Program NOFA will identify its applicable OMB control number unless its collection of information is excluded from these requirements under 5 CFR part 1320. OMB approved number 2577-0191.

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