

## Applicant Organizational Information

**Instructions:** The Applicant Organizational Information template should be filled out in its entirety. Please note that the response “Not Applicable,” or “N/A,” is generally not acceptable. Instead, a sufficient explanation should be provided to explain why an item is not applicable.

GENERAL INFORMATION						
1. Organization Name:						
2. Assessment Completed By:			<b>Title:</b>			
3. Type of Organization <i>(check all that apply)</i>	<b>Non-U.S. Based:</b>	<input type="checkbox"/>	<b>Non-Profit:</b>	<input type="checkbox"/>	<b>Non-Governmental:</b>	<input type="checkbox"/>
	<b>U.S. Based:</b>	<input type="checkbox"/>	<b>For-Profit (Commercial)</b>	<input type="checkbox"/>	<b>Educational Institution:</b>	<input type="checkbox"/>
4. Is your organization incorporated, registered, or licensed as a legal entity: <input type="checkbox"/> Yes <input type="checkbox"/> No						
<i>If Yes:</i>	<b>Place of Incorporation or Registration (State/County):</b>					
	<b>Incorporation or Registration Date (MM/DD/YYYY):</b>					
<i>If No:</i>	<b>List parent company or organization name and address OR explain status below:</b>					
5. Program Director <i>(The person who will oversee the day to day activities of the award):</i>						
<b>Program Director Name:</b>			<b>Program Director Title:</b>			
<b>Email Address:</b>			<b>Telephone Number:</b>			
<b>Address:</b>						
6. Financial or Business Official <i>(The person who is responsible for the financial components of the award):</i>						
<b>Budget Officer Name:</b>			<b>Budget Officer Title:</b>			
<b>Email Address:</b>			<b>Telephone Number:</b>			

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<b>Address:</b>			
<b>ORGANIZATION STRUCTURE</b>			
1. Is your organization governed by Board of Directors?			<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>If Yes:</i>	Has your Board authorized your organization to enter into this grant/cooperative agreement?		<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>If Yes:</i>	Provide documentation indicating Board approval.		
2. How many employees are employed by your organization?			
3. Is your organization registered with the System for Award Management (SAM)?			<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>If No, please explain:</i>			
<i>If Yes, please provide your Unique Entity Identifier (UEI) Number:</i>			
4. List all individuals authorized to sign award and amendment documents on behalf of your organization:			
<b>Name:</b>		<b>Title:</b>	
<b>Name:</b>		<b>Title:</b>	
<b>Name:</b>		<b>Title:</b>	
<b>Name:</b>		<b>Title:</b>	

<b>FINANCIAL AND ACCOUNTING MANAGEMENT</b>	
1. What is the ending date of your organization's fiscal year (MM/DD/YYYY)?	
2. Does your organization have an automated accounting system?	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>2.1: If Yes, respond to the questions below:</b>	
<b>2.1a Does the accounting system account for costs by individual projects?</b>	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<i>If No, please explain:</i>	

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<b>2.1b Can the accounting system generate reports that show costs incurred for individual awards?</b>				<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>If No, please explain:</i>				
<b>2.1c Does the accounting system allow for reporting of Cash and In-kind contributions (from non-federal sources) i.e., cost share?</b>				<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>If No, please explain:</i>				
3. Which of the following best describes your organization's accounting system? (check the appropriate response)	<b>Manual:</b>	<input type="checkbox"/>	<b>Automated:</b>	<input type="checkbox"/>
	<b>Combination:</b>	<input type="checkbox"/>	<b>Other:</b>	<input type="checkbox"/>
4. How frequently do you post to the general ledger? (check the appropriate response)	<b>Daily:</b>	<input type="checkbox"/>	<b>Weekly:</b>	<input type="checkbox"/>
	<b>Monthly:</b>	<input type="checkbox"/>	<b>Other:</b>	<input type="checkbox"/>
5. Does your accounting system accurately and completely track receipt and disbursement of funds by each grant and/or funding source?				<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>If No, please explain:</i>				
6. Does your accounting system provide for recording of actual expenditures for each grant/contract by budget cost categories reflected in the approved budget?				<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>If No, please explain:</i>				
7. Does your organization have a NICRA (Negotiated Indirect Cost Rate Agreement)?				<input type="checkbox"/> Yes <input type="checkbox"/> No
8. List all individuals authorized to sign payment requests and financial reporting on behalf of your organization:				
<b>Name:</b>		<b>Title:</b>		
<b>Name:</b>		<b>Title:</b>		
<b>Name:</b>		<b>Title:</b>		
<b>Name:</b>		<b>Title:</b>		

**REPRESENTATION REGARDING TAX LIABILITY OR CRIMINAL CONVICTION**

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1. Has your organization been convicted of a felony criminal violation under a Federal law within the preceding 24 months?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Does your organization have any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability?	<input type="checkbox"/> Yes <input type="checkbox"/> No

BUSINESS MANAGEMENT SYSTEMS	
1. Does the organization have a working knowledge of the following U.S. Government, Office of Management and Budget (OMB) Requirements? <i>(check the appropriate response)</i>	
<b>2 CFR 200 Uniform Administrative Requirements, Costs Principles, and Audit Requirements for Federal Awards:</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Sure
2. Does your organization have written policies and procedures for the business management areas below? <i>(check the appropriate response)</i>	
<b>Personnel Policies and Procedures:</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Sure
<b>Procurement Policies and Procedures:</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Sure
<b>Cash Management Policies and Procedures:</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Sure
<b>Sub-Grant Monitoring and Management:</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Sure
<b>Property Policies and Procedures:</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Sure
<b>Travel Policies and Procedures:</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Sure
<b>Anti-Nepotism Policy:</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Sure
<b>Trafficking in Persons Compliance Plan (only required for awards over \$500k):</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Sure
<i>If No (to any above), please explain:</i>	
3. Are time and activity records maintained by funding source and project for each employee to account for total level of effort (100%) devoted to each project?	
<i>If No, please explain:</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
4. Does your organization have a written budgetary process and controls to prevent incurring obligations in excess of the grant amount for individual cost categories?	
<i>If No, please explain:</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
5. Are appropriate duties separated to ensure one individual (i.e., project or financial) is not controlling all aspects of a transaction/process?	
	<input type="checkbox"/> Yes <input type="checkbox"/> No

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<i>If No, please explain:</i>			
6. Has your organization ever undergone an audit?			<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>If Yes:</i>	Give the date of your last audit:		
	What type of audit was it? ( <i>check the appropriate response</i> )		
	<input type="checkbox"/> Program-specific Audit – an audit of a Federal award program <input type="checkbox"/> Single Audit – an audit that includes both the organization’s financial statements and the Federal Awards to be conducted <input type="checkbox"/> If it was another type of audit, please explain: <input type="checkbox"/> Not Sure		
	<b>Has your organization received any adverse findings in any audit in the past three years?</b>		<input type="checkbox"/> Yes <input type="checkbox"/> No
	<i>If Yes, please explain:</i>		
7. Has your organization received grant funds before?			<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>If Yes:</i>	Did your organization expend \$1 million or more in U.S. Government funds in the previous year?		<input type="checkbox"/> Yes <input type="checkbox"/> No
<b><i>7.1 Please provide the information requested below on all awards or funding received in the last five years, specifically note if funds are U.S. Government (USG) funds.</i></b>			
<b><u>Name of Donor</u></b>	<b><u>Amount</u></b>	<b><u>Period</u></b>	<b><u>Place of Implementation</u></b>

## Applicant Organizational Information

**\*By signing this application, I certify that the statements herein are true, complete and accurate to the best of my knowledge.**

**Name of Authorized Representative:**

**Signature:**

**Title:**

**Date:**