

MODIFICATION OF ASSISTANCE			Page 1 of Pages 5
1. MODIFICATION NUMBER 01	2. EFFECTIVE DATE OF MODIFICATION See block 15	3. AWARD NUMBER: Notice of Funding Opportunity 72051222APS00001	4. EFFECTIVE DATE OF AWARD : TBD
5. GRANTEE: To Be Determined (TBD) DUNS NO. : TIN NO. : LOC NO. :		6. ADMINISTERED BY: Regional Office of Acquisition and Assistance USAID/Peru Av. La Encalada Cdra. 17 Lima 33, Peru	
7. FISCAL DATA: Amount Obligated: \$0.00 Budget Fiscal Year: Operating Unit: USAID/Peru Strategic Objective: Team/Division: Benefiting Geo Area: Object Class:		8. TECHNICAL OFFICE: USAID/Brazil 9. PAYMENT OFFICE:	
10. FUNDING SUMMARY:			
		Obligated Amount	Total Est. Amt.
Amount Prior to this Modification:			
Change Made by this Modification:			
New/Current Total:			
11. DESCRIPTION OF MODIFICATION: The purpose of this Amendment to Notice of Funding Opportunity 72051222APS00001 is to: (1) modify section D. APPLICATION AND SUBMISSION INFORMATION, (2) include Annex A: Questions and Answers. Accordingly, the APS is hereby amended as follows: Continue...			
12. THIS MODIFICATION IS ENTERED INTO PURSUANT TO THE AUTHORITY OF FAA of 1961, as amended. AS AMENDED. EXCEPT AS SPECIFICALLY HEREIN AMENDED. ALL TERMS AND CONDITIONS OF THE GRANT REFERENCED IN BLOCK #3 ABOVE, AS IT MAY HAVE HERETOFORE BEEN AMENDED, REMAIN UNCHANGED AND IN FULL FORCE AND EFFECT.			
☐ EFFECTED HEREIN ☐ IS NOT REQUIRED TO SIGN THIS DOCUMENT TO RECONFIRM ITS AGREEMENT WITH THE CHANGES			
14. GRANTEE: BY: _____ _____ (Name Typed or Printed) TITLE: _____ DATE: _____		15. THE UNITED STATES OF AMERICA U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT BY: <u>G. Michael Junge</u> G. Michael Junge (Name Typed or Printed) TITLE: Regional Agreement Officer DATE: 10/4/2022	

1. **SECTION D – APPLICATION AND SUBMISSION INFORMATION:** Under item 3: Concept Note Application Content, delete the fourth bullet under “Concept Note Outline” and replace it with the following:
 - “Type of Organization (Local Entity, Locally Established Partner, New or Nontraditional Partners, US organization, non-US multilateral, private, for-profit, non-profit, or Resource Partner).”
2. Include the following Annex to the APS:

Annex A – Questions and Answers (see Page 3.)

ANNEX A

QUESTIONS AND ANSWERS APS 72052222APS0001

Question 1: Could USAID please confirm that organizations are permitted to submit multiple prime and or sub concept notes under this APS

Answer to Question 1: Yes, entities may participate in more than one application as a prime or as a sub.

Question 2: Can an organization apply for both funding areas as a prime for Area number 1 and a sub for Area number 2?

Answer to Question 2: Yes.

Question 3: Regarding Locally Established Partner (LEP) eligibility, do we have to fulfill all required criteria - including local citizens in the board of directors - or would our active presence and a letter from our local partner be sufficient to demonstrate our links to the local community to qualify as an LEP?

Answer to Question 3: To be considered a Locally Established Partner (LEP) all requirements as in section C.1.b of the APS must be met.

Question 4: Regarding the eligibility requirements for New Partners, does the required criteria apply to both primes and subs or to primes only?

Answer to Question 4: Eligibility requirements to any category apply only to the prime.

Question 5: Does this grant #72051222APS00001 have a matching funds component/requirement?

Answer to Question 5: APS Program Area 1 has a 1:1 leverage requirement, while Program Area 2 has no specific requirement. However, a matching component is highly recommended as 50% of the merit criteria is based on leverage among other factors.

Question 6: Is there a time limit for submitting the concept note on November 18th? (for example, before midnight Lima time)

Answer to Question 6: The limit for submitting Concept Notes is 23:59 Lima time deadline dates (November 18th, 2022; April 7, 2023, and July 7, 2023).

Question 7: Are we allowed to include overhead in our project budget? Is there a limit to the percentage of overhead that can be requested?

Answer to Question 7: Entities with NICRAs approved by any federal agency may include overhead up to their approved rate. Any non-Federal entity that does not have a current negotiated (including provisional) rate, may elect to charge a 10% de minimis rate of Modified Total Direct Costs (MTDC) per 2 CFR 200.414(f).

Question 8: If we are applying to Program Funding Area No. 1: “Biodiversity Conservation, Bioeconomy, and Climate Change in the Brazilian Amazon” in the concept note, do we have to indicate already a private partner that will fund 100% (minimum 2.5 mi) of the budget we are applying for USAID?

Answer to Question 8: The total activity budget amount should specify the amount of USAID funding requested and what the Resource Partner(s) will contribute as leverage budget. Please refer to Section D.3 *Concept Note Outline* Sections 4 and 5.

Question 9: The leverage does not apply when it comes to the "Program Funding Area No 2: Territorial Management of Indigenous Lands for Brazilian Amazon"?

Answer to Question 9: Please refer to Question 5.

Question 10: We would like to know whether it would be possible to execute the project in environmental liability areas of a community cooperative of artisanal and small-scale miners.

Answer to Question 10: Artisanal mining is not covered by the PCAB and it is neither part of the bilateral agreement with the Government of Brazil.

Question 11: Would it be an obstacle, for any of the parts, if a partner in our proposal is also a proponent of another proposal? The proposals, naturally, will address different issues.

Answer to Question 11: Entities may participate in more than one application.

Question 12: Are Resource Partners only organizations that contribute resources to the project (match funding)? Or does it include execution partner organizations that receive project funds (subgrants)?

Answer to Question 12: Resource Partners may also be proposed as implementing partners. However, it is important to note that we do not recognize consortiums. Offers must be sent by a legally recognized entity who will be identified as the Prime. The Prime can then construct subawards to entities necessary to complete the work.

Question 13: Are subgrants permitted under this project?

Answer to Question 13: It will be analyzed and evaluated depending on the proposed program.

Question 14: Are there issues with an organization entering in more than one proposal with the same role? And what about with different roles?

Answer to Question 14: Please refer to Question 11.

Question 15: Do all organizations participating in the project need to be registered to SAM.gov? Or only the Implementing Partner?

Answer to Question 15: Only the Prime implementing partner needs to be registered in SAM.gov. Subs would just require a Unique Entity Identification number.

Question 16: What are the communications / visibility requirements if we move forward? Are there any standard rules on these aspects?

Answer to Question 16: Branding and Marking requirements will be addressed during the co-creation process.

Question 17: Is there a difference between entering in only one Program Funding Area or in both? Would we be required to specify the budget lines between the two Areas?

Answer to Question 17: Concept Notes need to respond to one of the two areas in the APS. Offerors may establish any links with other presented concept notes, if any, but no budget lines between Program areas need to be provided.

Question 18: Specifically, about Program Funding Area No 1, what does "a minimum of 1:1 leverage" mean? Does it mean that for every dollar received from USAID, the project needs to have another dollar in funding from other partners? And are these "Resource Partners"?

Answer to Question 18: Yes, for every dollar received from USAID, the project needs to have another dollar in funding from own and/or other resource partners.

Question 19: For this NOFO, there are two program funding areas. Can the proposal include both areas? Or should it be focused only in one of them?

Answer to Question 19: Concept Notes may be reviewed by different panels, so you should select the area that is most appropriate.

Question 20: In a case of a consortium (two or more NGOs apply together with a single proposal, in which one is the prime grantee, and the others are subgrantees), all applicants must register at SAM? Or is this a requirement only for the prime grantee?

Answer to Question 20: We do not recognize consortiums. Offers must be sent by a legally recognized entity who will be identified as the Prime. The Prime can then construct subawards to entities necessary to complete the work. Only the Prime needs to be registered in SAM.gov. Subs would just require a Unique Entity Identification number.

[END OF ANNEX A]

[END OF AMENDMENT 1]