



Issuance Date: March 4, 2015
Closing Date: September 3, 2015

Submit Concept Papers to: brazilapps@usaid.gov

SUBJECT: Annual Program Statement (APS) Number APS-512-15-000002
Brazil Environment Program

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the United States Agency for International Development in Brazil (USAID/Brazil) is seeking concept papers from qualified U.S. and non-U.S., Non-Governmental Organizations (NGOs), colleges and universities and commercial (for-profit) entities to **support territorial and environmental management of Indigenous Lands in the South of Amazonas state.**

USAID/Brazil is committed to working together in partnership with civil society and public and private sectors to support the Brazilian Policy for Territorial and Environmental Management of Indigenous Lands (PNGATI). Please refer to the Program Description section for a complete statement of goals and expected results.

This APS will be open until September 3, 2015. Concept Papers will be accepted throughout the period of this APS as long as there are funds available. Please note that the evaluation of applications starts as soon as they are received, not at the end of this period. Funding under this APS is limited and awards will be apportioned on a rolling basis so applicants are strongly encouraged to submit their applications as early as possible.

Subject to availability of funds, the United States Government intends to award two to three Cooperative Agreements/Grants lasting up to 5 years under this APS, with a total funding of approximately \$2,500,000. The award(s) will be made in accordance with evaluation procedures provided in Section V.

Issuance of this APS does not constitute an award commitment on the part of the Government, nor does it commit the Government to pay for costs incurred in the preparation and submission of a concept paper or application. Concept papers are submitted at the risk of the applicant as all preparation and submission costs are at the applicant's expense. USAID/Brazil reserves the right not to enter into any awards. Please note that, if requested to submit a full application, your organization must be registered in the System of Award Management (SAM) before submitting the application, your application must provide a DUNS number and if a Federal grant is awarded an active SAM registration must be maintained for the period of the award. The SAM webpage address is:

<https://www.sam.gov/portal/SAM/###11>. Also, if your organization has never received an award from USAID, you may be subject to a pre-award survey of your systems to determine if your

organization has the necessary experience; accounting and operational controls; and technical skills to achieve the objectives of the program.

All concept papers, applications and awards regarding this APS will be managed by the Regional Office of Acquisition and Assistance (ROAA) in USAID/Peru. All interested parties are highly encouraged to register on www.grants.gov to receive automatic notification of amendments to this APS as this APS and any future amendments can be downloaded from www.grants.gov. It is the responsibility of the Recipient of the application document to ensure that they have received the APS in its entirety as USAID bears no responsibility for data errors resulting from transmission or conversion processes. If you have difficulty accessing the APS, please contact Cecilia Yanez at cyanez@usaid.gov

Applicants should retain for their records a copy of all enclosures that accompany their application.

Thank you for your consideration of this USAID initiative. We look forward to your participation.

Sincerely,



G. Michael Junge
Regional Agreement Officer
USAID/Peru

SECTION I – PROGRAM DESCRIPTION

SUPPORT TO TERRITORIAL AND ENVIRONMENTAL MANAGEMENT OF INDIGENOUS LANDS IN THE SOUTH OF AMAZONAS STATE

1. BACKGROUND

Consistent with goals established through extensive consultation and active partnership with the GOB, USAID Brazil has established with the Brazilian Government a Partnership for Conservation in the Brazilian Amazon (PCAB). The overall objective of this program is to enhance biodiversity conservation in Brazilian Amazon Protected Area Systems. For the purposes of this APS, Protected Areas (PAs) include strict protection conservation units, sustainable use conservation units, and Indigenous lands. The three sub-purposes under the overall program objective are:

1. strengthen biodiversity conservation efforts in priority protected area mosaics;
2. support the Brazilian national policy for land and environmental management in Indigenous lands (PNGATI);
3. apply science, technology and innovation to improve biodiversity conservation.

The National Policy for Territorial and Environmental Management of Indigenous Lands (PNGATI)

The National Policy for Territorial and Environmental Management of Indigenous Lands (PNGATI) was launched in June 5th, 2012, through Presidential Decree 7747. It is a public policy that answers Indigenous groups' demands for protection and conservation of Indigenous lands in Brazil. It came about from a participatory process that involved FUNAI, the Ministry of Environment and Indigenous representatives. PNGATI thematic axes:

1. Territorial protection and conservation of natural resources;
2. Governance and Indigenous participation;
3. Protected Areas, Conservation Units and Indigenous lands;
4. Prevention and restoration/recovery of environmental damage;
5. Sustainable use of natural resources and sustainable indigenous productive activities;
6. Intellectual property and genetic resources;
7. Capacity building, continuous training, exchanges and environmental education

Please refer to the PNGATI Federal Decree (available at http://www.planalto.gov.br/ccivil_03/_ato2011-2014/2012/decreto/d7747.htm for further details on each axe).

One of the tools for implementing PNGATI is Territorial and Environmental Management Plans (PGTAs) for Indigenous lands. These are dynamic instruments that aim at valuing material and non-material indigenous assets; restoration/recovery of degraded areas, conservation and sustainable use of natural resources; improving livelihoods and maintaining conditions for the well-being of present and future indigenous generations. PGTAs will provide guidance for public policies and internal and external agreements. They will facilitate dialogue channels with the Government and foster partnerships and institutional arrangements that contribute to development and sustainability of Indigenous lands and peoples.

2. OBJECTIVE

The objective of this APS is to support projects from one or more organizations to manage projects of a network of Indigenous organizations. Those projects will provide territorial and environmental management of Indigenous lands in the South of Amazonas State connected to the following FUNAI Regional Coordination Units:

1. Coordenação Regional do Madeira
2. Coordenação Regional do Alto Purus
3. Coordenação Regional do Médio Purus
4. Coordenação Regional de Manaus (Coordenações Técnicas Locais de Manicoré e Tapauá)

Applications must meet with the objectives of the National Policy for Territorial and Environmental Management of Indigenous Lands (sub-purpose 2 of PCAB) and any current PGTAs. They should preferably be based in existing diagnosis or discussion processes. Illustrative activities include:

1. Protection, surveillance and environmental monitoring in indigenous lands and their borders;
2. Data generation and information gathering on the environmental state of Indigenous Lands with the participation of Indigenous Peoples
3. Restoration/recovery of water resources and degraded lands that are essential for Indigenous Peoples
4. Participatory ethno-zoning and planning
5. Promoting participation of Indigenous representatives, including women, in decision making processes regarding PNGATI, climate change, biodiversity and in protected areas councils.
6. Studies and discussions on interfaces of Conservation Units and Indigenous lands, mosaics and/or juxtaposition of protected areas and definition of proposals for agreements/solutions.
7. Promoting sustainable economic activities and value chains in Indigenous lands, including technical assistance.
8. Capacity-building and institutional strengthening for indigenous organizations, learning exchanges and environmental education on PNGATI and its objectives/axes.

3. AREA OF FOCUS

This Annual Program Statement (APS) seeks to support activities that will be responsive to critical developmental needs and challenges in the following area.

Environment – Biodiversity

USAID/Brazil's Environment Program has supported and will continue to support the U.S. Foreign Assistance Objective of generating sustained economic growth under the Program Area "Environment" by ensuring that environmental and natural resources are effectively managed.

The Environment Program is conducted under the Program Element “Natural Resources and Biodiversity” with a view to increasing the contribution of sustainable landscapes to biodiversity conservation and climate stability.

Proposed activities must be aligned with conservation programs in the Brazilian Amazon Basin.

4. AUTHORIZING LEGISLATION

This program is authorized in accordance with the Foreign Assistance Act of 1961, as amended, 2 CFR 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal awards, and 2 CFR 700 - USAID Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

SECTION II - FEDERAL AWARD INFORMATION

1. ESTIMATE OF FUNDS AVAILABLE

Subject to the availability of funds, USAID intends to provide up to \$2,500,000 (two and a half million dollars) in total funding.

2. NUMBER OF AWARDS CONTEMPLATED

USAID estimates that 2 to 3 awards will be made under this APS. USAID reserves the right to fund or not to fund any application submitted.

3. PERIOD OF PERFORMANCE

The anticipated period of performance will vary but will not exceed 5 years.

4. TYPE OF INSTRUMENT

USAID intends to award multiple Cooperative Agreements/Grants in response to this APS. Determination of the assistance instrument will be made based on the type of program proposed.

5. PLACE OF PERFORMANCE

The place of performance for this award is Brazil, South of Amazonas; specifically the Indigenous Lands connected to FUNAI coordination units described in section 1, item 2.

6. AUTHORIZED GEOGRAPHIC CODE

The authorized geographic code for procurement of goods and services under the resultant agreement(s) is 937.

SECTION III - ELIGIBILITY INFORMATION

1. Applicant Eligibility Criteria

USAID welcomes applications from qualified U.S. and non-U.S. Non-Governmental Organizations (NGOs), colleges and universities and commercial (for-profit) entities. All applicants must be legally recognized organizational entities under applicable law. Individuals are not eligible to apply under this solicitation. Please ensure that in your Cover Page/Introduction you clearly state your organization type.

2. Program Eligibility Criteria

To be considered for funding under this APS, applications must meet the following requirements:

1. Applications must be fully aligned with PNGATI objectives and PGTAs of each Indigenous land (when existing).
2. Applications must be feasible from a technical, economic, financial and social perspective.
3. Applications must demonstrate sustainability of activities after the end of the project and/or potential for replication and scaling-up over time if that is the case.

3. Legal Requirements

1. Agreements with Brazil's National Indigenous People Foundation (FUNAI) and the Indigenous People involved, and adherence to the requirements of Free and Prior Informed Consent (FPIC) will be required as part of the award process. Work in conservation units must comply with legislation applicable to access and any other specific authorizations.
2. In areas with detected presence of isolated or recently contacted indigenous populations, a manifestation from the General Coordination of Isolated and Recently Contacted Indians is required.

4. Cost Share/Leverage

Cost sharing is an important element of the USAID-recipient relationship. In addition to USAID funds, applicants are encouraged to contribute resources from their own private or local sources for the implementation of this program. Contributions can be either cash or in kind (in accordance with ADS 303.3.10, and 2 CFR 200).

Although there is no requirement that applicants propose a specific cost share, USAID policy is that cost sharing is an important element of the USAID-recipient relationship. Applications that incorporate cost sharing will be more positively evaluated.

Cost leverage is encouraged to the maximum extent possible but there is no minimal requirement.

5. Number of Concept Papers

An applicant wishing to become an implementing institution may not submit more than one Concept Paper. This limitation applies to the organization. Organizations that have already applied as implementing institutions can, however, participate as partners in applications by other implementing institutions.

SECTION IV - APPLICATION AND SUBMISSION INFORMATION

1. Point of Contact Information

The Point of Contact (POC) person for this APS is:

Cecilia Yañez
Sup. Acquisition and Assistance Specialist
Regional Office of Acquisition and Assistance
USAID/Peru
Av. La Encalada s/n Cuadra 17
Lima, Peru
Email: cyanez@usaid.gov
Tel: 51-1-618-1432

2. General Information

This is a two-stage solicitation process. During the first stage applicants must submit a 6-page concept paper with a summary budget to give USAID a clear understanding of the application. At this point it is not intended to provide budget details, staffing plans, organizational background or similar detailed information. The paper should only discuss the project concept itself; including the approach and a description of results to be achieved. This submission will be reviewed by a Technical Committee composed by USAID and GOB representatives to determine whether or not a full application will be requested (second stage). Full applications will then also be reviewed by the Technical Committee. Full applications may only be submitted by invitation from the USAID/Peru Regional Office of Acquisition and Assistance.

Prospective partners must be organizations with a reputation for integrity and the highest standard of conduct plus a proven track record in their particular areas of expertise. They should also have built relations with the Indigenous Peoples involved in proposals. Interested applicants should submit their concept paper by email to brazilapps@usaid.gov. Only those concept papers that are received while this APS is open will be reviewed.

3. Concept Paper Instructions

All concept papers must be formatted on standard 8.5"X11"paper, with single space, 12 point Times New Roman or similar font with margins no less than one inch on each border, and each page numbered consecutively.

Concept papers may be in English or Portuguese and submitted electronically to the email above.

The concept paper shall include:

Cover Page/Introduction (does not count in the page limit):

1. Funding Opportunity Number (APS-512-15-000002);
2. Name, address, and website of organization;
3. Type of organization (e.g., for-profit, non-profit, university, etc.);

4. Contact point (lead contact name, relevant telephone, and e-mail information);
5. Names of other proposed activity partners, if applicable;

Technical Information:

1. Concise title and objective of proposed activity;
2. Description, approach, and duration of activity;
3. Anticipated results and development impact;
4. Description of the relations with the Indigenous Peoples involved.

Summary Budget (does not count in the page limit):

1. Proposed estimated cost;
2. Proposed cost share amount, financial as well as in-kind participation (clearly identify which resources are cash and which are in-kind and provide information on the nature of the in-kind contributions);
3. Proposed leverage, if applicable.

4. Full Technical and Cost Application

If as a result of the Concept Paper evaluation it is decided to request the applicant to submit a "Full Technical and Cost Application," the applicant must be registered in SAM before submitting its application, provide a valid DUNS number in its application, and continue to maintain an active SAM registration with current information at all times during which it has an active Federal Award (see instructions in the cover letter for SAM registration).

SECTION V – APPLICATION REVIEW INFORMATION

1. Merit Review Criteria for the Concept Paper

Criteria listed below have equal weight in the evaluation process.

I - Technical quality

Successful applications shall specify and anticipate clear and tangible results/outcomes. Innovative and multidisciplinary ideas and approaches are a plus.

II - Institutional Capability

This criterion considers applicant organization's prior, cumulative and relevant experience in working with Indigenous peoples.

III - Established relations with the Indigenous groups involved

Due to the unique nature of work with Indigenous groups, USAID support wishes to build on existing relations and institutional arrangements. Applications aimed at starting relations with Indigenous groups will not be considered. Applicants must demonstrate prior work with the Indigenous groups involved and indicate their willingness to continue such engagement.

IV - Sustainability of the Project

Successful applications must indicate a commitment to the sustainable implementation of proposed activities, as well as to the replication and scalability of the project – if applicable. They should indicate how the goals of the project will be met beyond USAID's financial support.

V - Cost Effectiveness (Cost effectiveness is not part of the technical scoring of the application but shall be used as a separate evaluative factor in determining its overall strength)

The design of a project should meet the needs of beneficiaries with a cost-benefit analysis.

2. Merit Review Criteria for Full Application

Merit review criteria for full applications will be included in full applications requests.

SECTION VII - FEDERAL AWARDING AGENCY CONTACTS

The Agreement Officer for this APS is:

Mr. G. Michael Junge
Regional Agreement Officer
Regional Acquisition and Assistance Office (ROAA)
USAID/Peru
Av. La Encalada s/n, cdra. 17
Monterrico, Lima 33
Peru

Tel: 51-1-618-1435
gjunge@usaid.gov

The A&A Specialist for this Award is:

Cecilia Yañez
Sup. A&A Specialist
Regional Acquisition and Assistance Office (ROAA)
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Monterrico, Lima 33
Peru

Tel: 51-1-618-1432
cyanez@usaid.gov

Agreement Officer's Representatives (AORs) for these Awards are:

Successful applicants will be informed of their Agreement Officer's Representative if a full proposal is requested.

SECTION VIII - OTHER INFORMATION

[To be included in the full application request]

[END OF APS-512-15-000002]