

Centers for Disease Control and Prevention

National Center for Chronic Disease Prevention and Health Promotion

Tobacco Prevention and Control-Related Public Health Law Technical Assistance
CDC-RFA-DP21-2103
Application Due Date: 03/01/2021

Tobacco Prevention and Control-Related Public Health Law Technical Assistance
CDC-RFA-DP21-2103
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Part I. Overview Information

Applicants must go to the synopsis page of this announcement at www.grants.gov and click on the "Subscribe" button link to ensure they receive notifications of any changes to CDC-RFA-DP21-2103. Applicants also must provide an e-mail address to www.grants.gov to receive notifications of changes.

A. Federal Agency Name:

Centers for Disease Control and Prevention (CDC) / Agency for Toxic Substances and Disease Registry (ATSDR)

B. Notice of Funding Opportunity (NOFO) Title:

Tobacco Prevention and Control-Related Public Health Law Technical Assistance

C. Announcement Type: New - Type 1

This announcement is only for non-research activities supported by CDC. If research is proposed, the application will not be considered. For this purpose, research is defined at https://www.govregs.com/regulations/title42_chapterI_part2_subpartD_section2.52. Guidance on how CDC interprets the definition of research in the context of public health can be found at https://www.hhs.gov/ohrp/regulations-and-policy/regulations/45-cfr-46/index.html (See section 45 CFR 46.102(d)).

D. Agency Notice of Funding Opportunity Number:

CDC-RFA-DP21-2103

E. Assistance Listings (CFDA) Number:

93.465

F. Dates:

1. Due Date for Letter of Intent (LOI):	**02/12/2021**
2. Due Date for Applications:	**03/01/2021**, 11:59 p.m. U.S. Eastern Standard Time, at www.grants.gov.

3. Date for Informational Conference Call:

Date: January 13, 2021

Time: 1:00 pm - 2:00 pm U.S. Eastern Standard Time

Call In Information:

Dial by your location

+1 669 254 5252 US (San Jose)

+1 646 828 7666 US (New York)

Meeting ID: 160 911 0176

Passcode: 50010732

G. Executive Summary:

1. Summary Paragraph:

The Centers for Disease Control and Prevention's (CDC) Office on Smoking and Health (OSH)

announces the availability of funds to provide commercial tobacco control prevention and control policy and legal technical assistance to the National Tobacco Control Program (NTCP) recipients and their partners to advance and translate evidence-based public health policy. This Notice of Funding Opportunity (NOFO) supports OSH and NTCP's national goals: 1) Prevent initiation of tobacco use (including emerging products and e-cigarettes) among youth and young adults; 2) Promote quitting among adults and youth; 3) Eliminate exposure to secondhand smoke (SHS); and 4) Identify and eliminate tobacco-related disparities among population groups. The expected outcomes of providing policy and legal technical assistance include the following:

- Increased knowledge of public health law and evidence-based tobacco control policy strategies and activities among NTCP recipients and their partners.
- Increased application/use of evidence-based tobacco control policy strategies and activities at the state and local level among NTCP recipients and their partners.

*When CDC references tobacco, the reference is for commercial tobacco and not the sacred and traditional use of tobacco by some American Indian communities.

a. Eligible Applicants:	Open Competition
b. NOFO Type:	Cooperative Agreement
c. Approximate Number of Awards:	1
d. Total Period of Performance Funding:	$1,925,000
e. Average One Year Award Amount:	$385,000
f. Total Period of Performance Length:	5
g. Estimated Award Date:	05/28/2021
h. Cost Sharing and / or Matching Requirements:	N

Cost sharing or matching funds are not required for this program. Although no statutory matching requirement for this NOFO exists, leveraging other resources and related ongoing efforts to promote sustainability is strongly encouraged

Part II. Full Text

A. Funding Opportunity Description

Part II. Full Text

1. Background

a. Overview

Tobacco use and dependence remains the leading preventable cause of death and disease in the United States. Each year, approximately 480,000 people die from smoking, including more than 41,000 from secondhand smoke (SHS) exposure. Despite a decline in cigarette smoking prevalence among the general population in the U.S., tobacco use and dependence and SHS

exposure is still higher among some population groups, including: African Americans; American Indians/Alaska Natives; Asian Americans, Pacific Islanders, and Native Hawaiians; Hispanic/Latinos; lesbian, gay, bisexual, transgender, and queer (LGBTQ) individuals; people of low socioeconomic status; people living with a disability; individuals with behavioral health conditions (including mental health conditions and substance use disorders); and by individuals living in different geographic regions. Comprehensive tobacco control programs can work to achieve health equity in tobacco prevention and control by identifying and eliminating tobacco-related disparities among population groups.

The Centers for Disease Control and Prevention's (CDC) Office on Smoking and Health (OSH) provides funding and support through the National Tobacco Control Program (NTCP) to 50 states, the District of Columbia (D.C.), 8 U.S. territories/jurisdictions, 26 tribes/tribal organizations, and 8 national networks for tobacco control efforts. Law and policy-related issues and questions occur as states, territories, and tribal nations identify, develop, adopt, and implement evidence-based policies, systems, and environmental strategies and activities, particularly in their role related to advancing tobacco-related health equity. Public health professionals generally have limited capacity and expertise in the legal field. OSH currently provides the NTCP recipients with technical assistance, guidance, and training on a variety of issues. By providing tobacco control expertise specific to public health laws (including how laws are developed, adopted, implemented, and enforced), the NTCP recipients and their partners will gain a comprehensive and critical knowledge base and understanding to successfully achieve OSH and NTCP's national goals: 1) Prevent initiation of tobacco use (including emerging products and e-cigarettes) among youth and young adults; 2) Promote quitting among adults and youth; 3) Eliminate exposure to secondhand smoke (SHS); and 4) Identify and eliminate tobacco-related disparities among population groups.

This funding opportunity will build on the success of CDC-RFA-DP16-1610 *Tobacco Prevention and Control Related Public Health Law Technical Assistance*. Over the past five years, the NTCP recipients and their partners received facilitated access to resources on a number of complex, emerging issues in the tobacco control field, such as the regulation of the sale of e-cigarettes and other emerging products, and reported an increase in knowledge of tobacco-related issues and/or implementation of tobacco control policies accomplished by:

- Providing technical assistance to over 800 states, localities, and tribal governments on complex tobacco prevention and control-related issues.
- Reaching over 1,000 tobacco control program staff around the country by sharing information and analyses around complex tobacco prevention and control-related legal and policy issues through webinars, presentations, fact sheets, and in-person meetings.

b. Statutory Authorities

This program is authorized under Section 301(a) of the Public Health Service Act, 42 U.S.C. 241(a).

c. Healthy People 2030

This funding opportunity supports Healthy People 2030 objectives in the topic area of Tobacco Use (TU). For specific objectives within the topic areas, please visit https://health.gov/healt

hypeople/objectives-and-data/browse-objectives/tobacco-use. An overarching goal of Healthy People 2030 is to eliminate health disparities and achieve health equity to improve the health and well-being of all.

d. Other National Public Health Priorities and Strategies

N/A

e. Relevant Work

This funding opportunity continues to support ongoing efforts and builds upon the 2016 NOFO — *Tobacco Prevention and Control Related Public Health Law Technical Assistance* (CDC-RFA-DP16-1610). In addition, this funding opportunity supports other CDC programmatic work, including:

- The National Tobacco Control Program.
- CDC's Best Practices for Comprehensive Tobacco Control Programs 2014.
- Surgeon General's Reports on Smoking and Tobacco Use.
- The Guide to Community Preventive Services.

*Funds cannot be used to lobby for the passage of legislation or similar legislative orders.

2. CDC Project Description

a. Approach

Bold indicates period of performance outcome.

CDC-RFA-DP21-2103 Logic Model: Public Health Law Technical Assistance Related to Tobacco Prevention and Control

Strategies and Activities	Short-Term Outcomes	Intermediate Outcomes	Long-Term Outcomes
Strategy: Policy and legal technical assistance that advances evidence-based tobacco prevention and control strategies and activities including: • Develop and promote resources to support provision of technical assistance • Provide ongoing technical assistance to the National	Increased awareness of available technical assistance and related resources Increased participation in technical assistance opportunities for tobacco control policy among the NTCP recipients and their partners	**Increased application/use of technical assistance to inform and implement evidence-based policy strategies and activities at the state and local level among the NTCP recipients and their partners** Increased federal, state, and local	Increased prevalence of evidence-based policies that prevent and control tobacco use and dependence and exposure to secondhand smoke

Tobacco Control Program (NTCP) funded recipients and non-funded partners • Research, analyze, interpret, and educate on relevant public health law strategies and activities and any related impact • Translate and disseminate support materials on public health law-related tobacco prevention and control policies • Plan, develop, implement, and evaluate tobacco control stakeholder meetings to provide tailored technical assistance on tobacco prevention and control policy approaches generally, as well as on region- or topic-specific approaches • Develop an evaluation plan; submit evaluation reports and success stories	**Increased knowledge of public health law and evidence-based tobacco control policy strategies and activities among the NTCP recipients and their partners**	capacity to reduce tobacco-related death and disability through effective policy development, implementation, and enforcement	

i. Purpose

One applicant will be funded to provide policy and legal technical assistance to the National Tobacco Control Program (NTCP) recipients and their partners to advance evidence-based

tobacco prevention and control strategies and activities to 1) Prevent initiation of tobacco use (including emerging products and e-cigarettes) among youth and young adults; 2) Promote quitting among adults and youth; 3) Eliminate exposure to secondhand smoke (SHS); and 4) Identify and eliminate tobacco-related disparities among population groups.

ii. Outcomes

The recipient is expected to implement activities that will impact relevant short-term, intermediate, and long-term outcomes within five years or earlier. The specific short-term, intermediate, and long-term outcomes should be tailored to the work plan priorities and strategies. Data for the long-term outcome is measured by CDC.

Short Term

- Increased knowledge of public health law and evidence-based tobacco control policy strategies and activities among the NTCP recipients and their partners

Intermediate

- Increased application/use of technical assistance to inform and implement evidence-based policy strategies and activities at the state and local level among the NTCP recipients and their partners

iii. Strategies and Activities

The recipient will provide policy and legal technical assistance that advances evidence-based tobacco prevention and control strategies and activities and will implement the following activities:

- **Develop and promote resources and the availability of technical assistance.**
- **Provide ongoing technical assistance to the NTCP recipients and their partners.**
    - The recipient is required to meet technical assistance needs throughout the entirety of the five-year award period.
- **Research, analyze, interpret, and educate on relevant public health law strategies and activities and any related impact, including specific population groups affected by tobacco-related disparities.**
    - The field of public health law and its implications for tobacco prevention and control is always changing, including new court decisions and new legislation being introduced, as well as policies to address a rapidly changing landscape of tobacco products continuously entering the marketplace. It is also important to identify gaps in laws or policies as the science evolves (e.g., harms of secondhand aerosol exposure, which may come from e-cigarettes or other devices that are not covered under certain smokefree policies).
    - The recipient should maintain a strong situational awareness of rapidly evolving issues, and be prepared to translate the information into easy to understand communications for the tobacco prevention and control field. The NTCP recipients and their partners should be able to use the information to make

informed decisions related to policy, systems, and environmental strategies and activities, and implement effective policies.

- **Translate and disseminate support materials on public health law-related tobacco prevention and control policies.**
    - Legal and policy issues are complex, even for public health professionals or tobacco prevention and control partners who are well-versed in the field. The recipient should explore ways to communicate these complex issues in plain language and disseminate materials widely to the field including the following:
        - State/local/tribal/territorial programs.
        - Academic institutions.
        - Researchers.
        - Nongovernmental organizations.
        - Partners.
    - **Required**: In Year 1, the recipient will include activities for the development of a policy implementation resource in the work plan. This resource will assist the NTCP recipients and their partners in planning and strategizing promising practices related to tobacco control policy implementation. The intended purpose of this resource is to encourage meaningful deliberation to address existing tobacco use disparities through policy implementation, as well as working to mitigate any further tobacco-related disparities that may result following a policy's implementation. The resource should include the following:
        - Emphasis on health equity.
        - Provide strategies and activities to support and promote evidence-based quit support services, including:
            - Assisting individuals who use tobacco and may seek to quit following the implementation of tobacco control policies (e.g., tobacco-free policies and/or pricing strategies).
        - Promote a thoughtful approach to consideration of consequences toward different stakeholders (e.g., business owners, individuals who smoke, retailers) and enforcement mechanisms.
        - Use available data sources to assess implications and changes in health outcomes that are related to policy implementation within a specific jurisdiction.
- **Plan, develop, implement, and evaluate tobacco control stakeholder meetings to provide tailored technical assistance on tobacco prevention and control policy issues generally, as well as on region, population group, or topic-specific approaches.**
    - Geographic disparities in tobacco prevention and control policies persist and contribute to health disparities. Many geographic regions share similar policy and cultural environments, such as the gaps in smokefree protections prominent in the Southeast, or the shifting social norms around public smoking in Western states. Convening states in the same region to share best practices on how they address these issues or navigate similar environments is an effective approach to provide nuanced technical assistance and support to state programs and their partners.
    - There are emerging topics in the tobacco prevention and control field (e.g., new

and emerging tobacco products, various regulatory statuses, implementation of a tobacco 21 law at the federal level). The NTCP recipients and their partners will benefit from the in-depth technical assistance and education about their related policy and legal implications.
    - The recipient's leadership is a key component to fostering the engagement, including facilitating discussions among stakeholders.
- **Develop and submit an evaluation plan; evaluation reports and success stories.**
    - The recipient will refine the evaluation and performance measurement plan within six (6) months of award and develop a more detailed plan with support from CDC.

1. Collaborations

a. With other CDC programs and CDC-funded organizations:

Recipients are required to collaborate with NTCP recipients and their partners. To demonstrate experience and reach, applicants are required to submit the following:

- Five (5) letters of support from five different state health department tobacco control program managers describing tobacco prevention and control-related public health law technical assistance provided in the last five years.
- One (1) letter of support from one tribal, tribal organization, territory, and/or jurisdiction tobacco control program.

The letters of support should describe the organization's relationship to the applicant and include the following:

- Experiences of technical assistance specific to a tobacco control issue provided by the applicant, and capacity for addressing health equity.
- Participation in a training or meeting organized by the applicant on relevant tobacco control issues.
- Collaboration with the applicant on relevant tobacco control work, including meaningfully engagement with communities and population groups affected by tobacco-related disparities.

Applicants must name the file "Letters of Support", and upload them at www.grants.gov.

b. With organizations not funded by CDC:

The recipient is strongly encouraged to collaborate, as appropriate, with key organizations and national tobacco control partners, including the following:

- Truth Initiative.
- Campaign for Tobacco Free Kids.
- American Heart Association.
- American Cancer Association.

- American Lung Association.
- Americans for Nonsmokers' Rights.
- Legal centers that are affiliated with or part of CDC's Public Health Law Network.
- State attorneys general.
- National Association of Attorneys General.
- Academic centers that research tobacco prevention and control strategies and implementation success.

Applicants are required to submit three (3) letters of support from the following:

- National tobacco prevention and control stakeholder organizations (e.g., state attorneys general, the National Association of Attorneys General, or a national voluntary organization, such as the American Cancer Society, Americans for Nonsmokers' Rights, American Lung Association, American Heart Association, or the Campaign for Tobacco Free Kids).

The letters of support should describe the organization's relationship to the applicant and include the following:

- Experiences of technical assistance specific to a tobacco control issue provided by the applicant, and capacity for addressing health equity.
- Participation in a training or meeting organized by the applicant on relevant tobacco control issues.
- Collaboration with the applicant on relevant tobacco control work, including meaningfully engagement with communities and population groups affected by tobacco-related disparities.

Applicants must name the file "National Letters of Support", and upload them at www.grants.gov.

2. Target Populations

The recipient will provide policy and legal technical assistance to the NTCP recipients, including tobacco prevention and control program managers, staff, and their partners. The NTCP includes funding to all 50 states, the District of Columbia, 8 U.S. territories, and 26 tribes/tribal organizations for tobacco control efforts, and 8 population specific national networks to advance the prevention of tobacco use and cancer in populations experiencing tobacco- and cancer-related health disparities.

a. Health Disparities

Addressing social determinants of health and health equity in tobacco control and prevention is the opportunity for all people to live a healthy, tobacco-free life, regardless of their race or ethnicity, level of education, gender identity, sexual orientation, the job they have, the neighborhood they live in, or whether or not they live with a disability. Despite a decline in cigarette smoking prevalence among the general population in the U.S., tobacco use and dependence and SHS exposure is still higher among some population groups, including: African Americans; American Indians/Alaska Natives; Asian Americans, Pacific Islanders, and Native

Hawaiians; Hispanic/Latinos; lesbian, gay, bisexual, transgender, and queer (LGBTQ) individuals; people of low socioeconomic status; people living with a disability; individuals with behavioral health conditions (including mental health conditions and substance use disorders); and by individuals living in different geographic regions. The source of many of these disparities is the unequitable application of evidence-based policy strategies and activities, such as the unequitable implementation of comprehensive smokefree laws, which leaves certain workers exposed to secondhand smoke at their workplace. Another source of these disparities is the tobacco industry's targeted marketing and lower cigarette prices especially in neighborhoods with low socioeconomic status (SES).

It is critical for the NTCP recipients and their partners to understand the intentional and unintentional consequences around development, implementation, and enforcement of policies. Historically, enforcement has tended to penalize individuals — especially individuals in communities that have been targeted by the tobacco industry — rather than institutions or organizations (e.g., tobacco retail license holders). The NTCP recipients and their partners should consider how enforcement policies and policy implementation can strengthen health equity, rather than increase health disparities.

Legal and policy technical assistance can help the NTCP recipients and their partners strategize on legal and policy strategies and activities that can advance health equity and reduce health disparities and inequities. It can illustrate how policies can advance health equity and ensure equitable (fair and just) protections. For example, ensuring all workers have smokefree environments throughout their workday. Legal and policy strategies and activities can reduce the harmful impact of predatory marketing especially in communities with low SES, which is especially important in reducing youth tobacco product initiation and promoting life-saving tobacco cessation. Legal technical assistance can also ensure policy strategies and activities consider enforcement and implementation provisions that advance health equity for a community, rather than exacerbate unequitable practices.

iv. Funding Strategy

N/A

b. Evaluation and Performance Measurement

i. CDC Evaluation and Performance Measurement Strategy

To answer the required evaluation questions, the recipient-led evaluations should employ use of methods and data sources that fit the evaluation questions; this may include, but is not limited to, quantitative, qualitative, mixed methods, and quasi-experimental design. Potential data sources include, but are not limited to:

- Tracking technical assistance requests (e.g., date of request, timeliness of response, source of request, type of assistance given, outcomes of technical assistance).
- Receiving feedback from the recipients about the quality of the technical assistance and any outcomes related to the assistance.
- Reporting on the overall experience on how providing technical assistance to recipients and partners helps shape the tobacco prevention and control field. For example, if the recipient receives an increase in requests for technical assistance on a particular topic,

the recipient, in collaboration with OSH, can identify additional resources that NTCP recipients or their partners may need (e.g., information and scientific summaries on emerging tobacco topics). The recipient can also use this data to develop resources in response to the needs, such as webinars or fact sheets.

While the recipient is encouraged to implement strategies and activities to achieve all outcomes listed in the logic model, as appropriate, the recipient will only be asked to report on performance measures related to outcomes that are bolded in the logic model. Performance measures will be reported annually to CDC, and CDC will manage and analyze the data to assess recipient program improvements, respond to broader technical assistance needs, and report to stakeholders.The performance measures listed in the table below relate to specific outcomes in the logic model.

CDC will provide technical assistance and guidance in planning and operationalizing the recipient-led evaluation, and further defining each performance measure prior to the first year of reporting. CDC will provide evaluation technical assistance and ongoing evaluation guidance on recipient-level evaluation and performance measures, including requirements for the evaluation plan and reporting. All evaluation findings produced by CDC and recipients, where appropriate, will contribute to: 1) demonstrating the value of the program; 2) continuous improvement and effectiveness of program strategies; 3) the evidence base; 4) documentation and sharing of lessons learned; and/or 5) future funding opportunities supported by CDC.

	Outcomes	**Performance Measures Data Source: Annual Progress Report**
Short Term	**Increased knowledge of public health law and evidence-based tobacco control policy strategies and activities among the NTCP recipients and their partners**	Number, type, and reach (at least 50% of the NTCP recipients) of technical assistance received by the NTCP recipients and their partners, including workshops, presentations, webinars, and individual technical assistance Number and proportion of the NTCP recipients receiving technical assistance that reported an increase in knowledge about evidence-based tobacco control policy strategies and activities
Intermediate	**Increased application/use of technical assistance to inform and implement evidence-based policy strategies and activities at the state and local level among the NTCP recipients and their**	Number and proportion of the NTCP recipients and their partners receiving technical assistance that demonstrate using resources provided in their efforts

	partners	to advance evidence-based tobacco prevention and control polices

Long-Term Outcome Evaluation Measures

All long-term outcome evaluation measures will be provided by CDC.

Data Management Plan (DMP)

Applicants will determine if a DMP is required. If the applicant believes that their project does not meet the criteria for submission of a DMP, the applicant must provide a justification.

CDC requires applicants for projects that involve the collection or generation of public health data with federal funds to submit an DMP prior to the initiation of generating or collecting public health data unless CDC will aggregate and disseminate the data. Public health data means digitally recorded factual material commonly accepted in the scientific community as a basis for public health findings, conclusions, and implementation. The initial DMP should be submitted with the application and an update is due with the recipient?s work plan. The DMP must then be updated and submitted to CDC at least annually, or whenever plans for data collection or generation activities change. Costs associated with developing and implementing an DMP, including costs of sharing, archiving and long-term preservation, may be included in the budget submissions for grants and cooperative agreements. The contents of the DMP are described in AR-25 https://www.cdc.gov/grants/additionalrequirements/ar-25.html. Applicants are required to use the National Center for Chronic Disease Prevention and Health Promotion Data Management Plan Template at https://www.cdc.gov/chronicdisease/programs-impact/nofo/index.htm.

Public health data are expected to be made freely available to the public (in a de-identified format) and archived long-term unless there are compelling reasons not to do so. When it is not feasible to make data freely available to the public, it may be possible to make data available to users on a restricted basis. The DMP should describe the expected level of public access, if any, and must justify the planned access level and describe how privacy and confidentiality will be protected. The final version of a collected and/or generated data set intended for release or sharing should be made available within thirty (30) months after the end of the data collection or generation, except surveillance data from ongoing surveillance systems which should be made accessible within 12 months of the end of a collection cycle. Recipients who fail to release public health data in a timely fashion may be subject to procedures normally used to address lack of compliance consistent with applicable authorities, regulations, policies or terms of their award. For data underlying scientific publications such as peer review journal articles, the recipient should make the data available coincident with publication of the paper, unless the data set is already available via a release or sharing mechanism. At a minimum, release of the data set accompanying a scientific paper should consist of a machine-readable version of the data tables shown in the paper.

ii. Applicant Evaluation and Performance Measurement Plan

Applicants must provide an evaluation and performance measurement plan that demonstrates how the recipient will fulfill the requirements described in the CDC Evaluation and Performance Measurement and Project Description sections of this NOFO. At a minimum, the

plan must describe:

- How applicant will collect the performance measures, respond to the evaluation questions, and use evaluation findings for continuous program quality improvement.
- How key program partners will participate in the evaluation and performance measurement planning processes.
- Available data sources, feasibility of collecting appropriate evaluation and performance data, and other relevant data information (e.g., performance measures proposed by the applicant)
- Plans for updating the Data Management Plan (DMP), if applicable, for accuracy throughout the lifecycle of the project. The DMP should provide a description of the data that will be produced using these NOFO funds; access to data; data standards ensuring released data have documentation describing methods of collection, what the data represent, and data limitations; and archival and long-term data preservation plans. For more information about CDC's policy on the DMP, see https://www.cdc.gov/grants/additional-requirements/ar-25.html.

Where the applicant chooses to, or is expected to, take on specific evaluation studies, they should be directed to:

- Describe the type of evaluations (i.e., process, outcome, or both).
- Describe key evaluation questions to be addressed by these evaluations.
- Describe other information (e.g., measures, data sources).

Recipients will be required to submit a more detailed Evaluation and Performance Measurement plan, including a DMP, if applicable, within the first 6 months of award, as described in the Reporting Section of this NOFO.

The evaluation and performance measurement plan should cover the 5-year cooperative agreement, and can be updated as work plans change throughout the cooperative agreement. It should include process and outcome evaluation questions, and a data collection plan that informs performance measures.

The recipient will refine the evaluation and performance measurement plan within six (6) months of award and more detailed plan should be developed by the recipient with support from CDC as part of first year project activities and should build on the elements stated in the initial evaluation plan described in the application. The recipient will be required to update CDC on progress in implementing the plan by reporting evaluation findings; evaluation findings must be reported annually in the Annual Progress Report, and per CDC guidance throughout the cooperative agreement.

c. Organizational Capacity of Recipients to Implement the Approach

The applicant should possess a variety of organizational skills and strengths to be successful in executing this funding opportunity, including tailored experience specific to public health law and its application in tobacco prevention and control field. Applicants should have adequate

infrastructure (physical space and equipment), staffing capacity and competence, relevant skill sets, information and data systems, and electronic information and communication systems to implement the award. Applicants should include an organizational chart(s), name the file "Organizational Chart", and upload it to www.grants.gov.

Applicants must describe their organizational capacity to carry out the strategies and activities proposed. Please describe:

- Adequate staffing with appropriate expertise (e.g., regulatory law, tribal law, or different relevant topic areas such as flavored tobacco products, youth access, or point of sale strategies) to provide technical assistance to all NTCP recipients and their partners in a timely and responsive manner. Applicants should include CVs/resumes, name the file "Resumes", and upload to www.grants.gov.
- Partnerships with other organizations within the tobacco control field.
- Organizational knowledge and expertise addressing and working to eliminate tobacco health disparities.
- A minimum of ten years of experience providing leadership on tobacco prevention and control legal and policy issues, reaching at least 25 states.
- Prior success in planning and executing meetings that included provision of public health law technical assistance and facilitation of peer-to-peer learning resulting in an increase in knowledge.
- Translation of tobacco control-related policies at federal, state, tribal, territorial, and local levels. Examples may include legal briefs, issue briefs, policy fact sheets, policy assessments, model policies, and/or other translational tools created by the recipient.
- Prior experience evaluating tobacco control policy training and technical assistance activities and outcomes.
- A financial management system that will allow proper funds management.

d. Work Plan

Applicants should provide a detailed work plan for the first year of the project and a high-level work plan for subsequent years. The work plan should include the following components:

- Strategy
- Period of Performance Outcome
- Outcome Measure
- Activities
- Process Measure
- Responsible Party
- Completion Date

CDC will provide feedback and technical assistance to the recipient to finalize the work plan post-award.

A sample work plan format is presented below.

Strategy:

Period of Performance Outcome:		Outcome Measure:	
Activities	**Process Measure**	**Person Responsible**	**Completion Date**
1.			
2.			
3.			
4.			
5.			
6.			

e. CDC Monitoring and Accountability Approach
Monitoring activities include routine and ongoing communication between CDC and recipients, site visits, and recipient reporting (including work plans, performance, and financial reporting). Consistent with applicable grants regulations and policies, CDC expects the following to be included in post-award monitoring for grants and cooperative agreements:

- Tracking recipient progress in achieving the desired outcomes.
- Ensuring the adequacy of recipient systems that underlie and generate data reports.
- Creating an environment that fosters integrity in program performance and results.

Monitoring may also include the following activities deemed necessary to monitor the award:

- Ensuring that work plans are feasible based on the budget and consistent with the intent of the award.
- Ensuring that recipients are performing at a sufficient level to achieve outcomes within stated timeframes.
- Working with recipients on adjusting the work plan based on achievement of outcomes, evaluation results and changing budgets.
- Monitoring performance measures (both programmatic and financial) to assure satisfactory performance levels.

Monitoring and reporting activities that assist grants management staff (e.g., grants management officers and specialists, and project officers) in the identification, notification, and management of high-risk recipients.

CDC will provide ongoing technical assistance, information, and support, including regular meetings, conference calls, and inclusion of the recipient in relevant meetings and events, such as the National Partner's meeting, reverse site visits by the NTCP recipients, as appropriate, and strategic meetings. To accomplish the strategies and activities, the recipient is required to:

- Collaborate with CDC's Office on Smoking and Health and the NTCP.
- Participate in key meetings with OSH and the NTCP, including the annual NTCP

partner meeting.
- Ensure all NTCP recipients and their partners have access to legal and policy technical assistance.

f. CDC Program Support to Recipients (THIS SECTION APPLIES ONLY TO COOPERATIVE AGREEMENTS)

CDC will provide substantial involvement beyond regular performance and financial monitoring during the period of performance. Substantial involvement means that the recipient can expect federal programmatic partnership in carrying out the effort under the award. CDC will work in partnership with the recipient to ensure the success of the cooperative agreement by:

- Making available tobacco prevention and control subject matter experts, including scientific leadership, program planning, evaluation, and senior leadership to foster strategic discussions on the best approaches to achieve the goals of the tobacco control field.
- Conducting an in-person or virtual kick-off meeting with OSH leadership and staff at the beginning of the five-year award period.
- Sharing, scientific and policy reports, research publications, education media campaign updates, and other work in order.
- Assigning at least one point of contact from OSH's Policy Unit who will collaborate with the recipient through conference calls (at least monthly) and other routine communication.

B. Award Information

1. Funding Instrument Type:	Cooperative Agreement CDC's substantial involvement in this program appears in the CDC Program Support to Recipients Section.
2. Award Mechanism:	U58
3. Fiscal Year:	2021
4. Approximate Total Fiscal Year Funding:	$385,000
5. Approximate Period of Performance Funding:	$1,925,000

This amount is subject to the availability of funds.

Estimated Total Funding:	$1,925,000
6. Approximate Period of Performance Length:	5 year(s)
7. Expected Number of Awards:	1
8. Approximate Average Award:	$385,000 Per Budget Period

9. Award Ceiling: $385,000 Per Budget Period

This amount is subject to the availability of funds.

10. Award Floor: $235,000 Per Budget Period

11. Estimated Award Date: 05/28/2021
12. Budget Period Length: 12 month(s)

Throughout the project period, CDC will continue the award based on the availability of funds, the evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the federal government. The total number of years for which federal support has been approved (project period) will be shown in the "Notice of Award." This information does not constitute a commitment by the federal government to fund the entire period. The total period of performance comprises the initial competitive segment and any subsequent non-competitive continuation award(s).

13. Direct Assistance

Direct Assistance (DA) is not available through this NOFO.

C. Eligibility Information

1. Eligible Applicants

Eligibility Category:

State governments
County governments
City or township governments
Special district governments
Independent school districts
Public and State controlled institutions of higher education
Native American tribal governments (Federally recognized)
Public housing authorities/Indian housing authorities
Native American tribal organizations (other than Federally recognized tribal governments)
Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education
Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education
Private institutions of higher education
For profit organizations other than small

businesses
Small businesses
Unrestricted (i.e., open to any type of entity above), subject to any clarification in text field entitled "Additional Information on Eligibility"

Additional Eligibility Category:

Government Organizations:

State governments or their bona fide agents (includes the District of Columbia)
Local governments or their bona fide agents
Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.
State controlled institutions of higher education
American Indian or Alaska Native tribal governments (federally recognized or state-recognized)

Non-government Organizations:

American Indian or Alaska native tribally designated organizations

2. Additional Information on Eligibility

N/A

3. Justification for Less than Maximum Competition

N/A

4. Cost Sharing or Matching

Cost Sharing / Matching Requirement: No

Cost sharing or matching funds are not required for this program. Although no statutory matching requirement for this NOFO exists, leveraging other resources and related ongoing efforts to promote sustainability is strongly encouraged

5. Maintenance of Effort

Maintenance of effort is not required for this program.

D. Application and Submission Information

1. Required Registrations

An organization must be registered at the three following locations before it can submit an application for funding at www.grants.gov.

a. Data Universal Numbering System:

All applicant organizations must obtain a Data Universal Numbering System (DUNS) number. A DUNS number is a unique nine-digit identification number provided by Dun & Bradstreet (D&B). It will be used as the Universal Identifier when applying for federal awards or cooperative agreements.

The applicant organization may request a DUNS number by telephone at 1-866-705-5711 (toll free) or internet at http:// fedgov.dnb. com/ webform/ displayHomePage.do. The DUNS number will be provided at no charge.

If funds are awarded to an applicant organization that includes sub-recipients, those sub-recipients must provide their DUNS numbers before accepting any funds.

b. System for Award Management (SAM):

The SAM is the primary registrant database for the federal government and the repository into which an entity must submit information required to conduct business as a recipient. All applicant organizations must register with SAM, and will be assigned a SAM number. All information relevant to the SAM number must be current at all times during which the applicant has an application under consideration for funding by CDC. If an award is made, the SAM information must be maintained until a final financial report is submitted or the final payment is received, whichever is later. The SAM registration process can require 10 or more business days, and registration must be renewed annually. Additional information about registration procedures may be found at https://www.sam.gov/index.html.

c. Grants.gov:

The first step in submitting an application online is registering your organization at www.grants.gov, the official HHS E-grant Web site. Registration information is located at the "Applicant Registration" option at www.grants.gov.

All applicant organizations must register at www.grants.gov. The one-time registration process usually takes not more than five days to complete. Applicants should start the registration process as early as possible.

Step	System	Requirements	Duration	Follow Up

1	Data Universal Number System (DUNS)	1. Click on http:// fedgov.dnb.com/ webform 2. Select Begin DUNS search/request process 3. Select your country or territory and follow the instructions to obtain your DUNS 9-digit # 4. Request appropriate staff member(s) to obtain DUNS number, verify & update information under DUNS number	1-2 Business Days	To confirm that you have been issued a new DUNS number check online at (http:// fedgov.dnb.com/ webform) or call 1-866-705-5711
2	System for Award Management (SAM) formerly Central Contractor Registration (CCR)	1. Retrieve organizations DUNS number 2. Go to https://www.sam.gov/index.html and designate an E-Biz POC (note CCR username will not work in SAM and you will need to have an active SAM account before you can register on grants.gov)	3-5 Business Days but up to 2 weeks and must be renewed once a year	For SAM Customer Service Contact https://fsd.gov/fsd-gov/home.do Calls: 866-606-8220
3	Grants.gov	1. Set up an individual account in Grants.gov using organization new DUNS number to become an authorized organization representative (AOR) 2. Once the account is set up the E-BIZ POC will be notified via email 3. Log into grants.gov using the password the E-BIZ POC received and create new password 4. This authorizes the AOR to submit applications on behalf of the organization	Same day but can take 8 weeks to be fully registered and approved in the system (note, applicants MUST obtain a DUNS number and SAM account before applying on grants.gov)	Register early! Log into grants.gov and check AOR status until it shows you have been approved

2. Request Application Package

Applicants may access the application package at www.grants.gov.

3. Application Package

Applicants must download the SF-424, Application for Federal Assistance, package associated with this notice of funding opportunity at www.grants.gov.

4. Submission Dates and Times

If the application is not submitted by the deadline published in the NOFO, it will not be processed. Office of Grants Services (OGS) personnel will notify the applicant that their application did not meet the deadline. The applicant must receive pre-approval to submit a paper application (see Other Submission Requirements section for additional details). If the applicant is authorized to submit a paper application, it must be received by the deadline provided by OGS.

a. Letter of Intent Deadline (must be emailed or postmarked by)

Due Date for Letter of Intent: **02/12/2021**

b. Application Deadline

Due Date for Applications: **03/01/2021** , 11:59 p.m. U.S. Eastern Standard Time, at www.grants.gov. If Grants.gov is inoperable and cannot receive applications, and circumstances preclude advance notification of an extension, then applications must be submitted by the first business day on which grants.gov operations resume.

Date for Information Conference Call

Date: January 13, 2021

Time: 1:00 pm - 2:00 pm U.S. Eastern Standard Time

Call In Information:

Dial by your location

+1 669 254 5252 US (San Jose)

+1 646 828 7666 US (New York)

Meeting ID: 160 911 0176

Passcode: 50010732

5. CDC Assurances and Certifications

All applicants are required to sign and submit "Assurances and Certifications" documents indicated at http://wwwn.cdc.gov/ grantassurances/ (S(mj444mxct51lnrv1hljjjmaa)) /Homepage.aspx.
Applicants may follow either of the following processes:

- Complete the applicable assurances and certifications with each application submission, name the file "Assurances and Certifications" and upload it as a PDF file with at

www.grants.gov
- Complete the applicable assurances and certifications and submit them directly to CDC on an annual basis at http://wwwn.cdc.gov/ grantassurances/ (S(mj444mxct51lnrv1hljjjmaa))/ Homepage.aspx

Assurances and certifications submitted directly to CDC will be kept on file for one year and will apply to all applications submitted to CDC by the applicant within one year of the submission date.

Risk Assessment Questionnaire Requirement
CDC is required to conduct pre-award risk assessments to determine the risk an applicant poses to meeting federal programmatic and administrative requirements by taking into account issues such as financial instability, insufficient management systems, non-compliance with award conditions, the charging of unallowable costs, and inexperience. The risk assessment will include an evaluation of the applicant's CDC Risk Questionnaire, located at https://www.cdc.gov/grants/documents/PPMR-G-CDC-Risk-Questionnaire.pdf, as well as a review of the applicant's history in all available systems; including OMB-designated repositories of government-wide eligibility and financial integrity systems (see 45 CFR 75.205(a)), and other sources of historical information. These systems include, but are not limited to: FAPIIS (https://www.fapiis.gov/), including past performance on federal contracts as per Duncan Hunter National Defense Authorization Act of 2009; Do Not Pay list; and System for Award Management (SAM) exclusions.

CDC requires all applicants to complete the Risk Questionnaire, OMB Control Number 0920-1132 annually. This questionnaire, which is located at https://www.cdc.gov/grants/documents/PPMR-G-CDC-Risk-Questionnaire.pdf, along with supporting documentation must be submitted with your application by the closing date of the Notice of Funding Opportunity Announcement. If your organization has completed CDC's Risk Questionnaire within the past 12 months of the closing date of this NOFO, then you must submit a copy of that questionnaire, or submit a letter signed by the authorized organization representative to include the original submission date, organization's EIN and DUNS.
When uploading supporting documentation for the Risk Questionnaire into this application package, clearly label the documents for easy identification of the type of documentation. For example, a copy of Procurement policy submitted in response to the questionnaire may be labeled using the following format: Risk Questionnaire Supporting Documents _ Procurement Policy.

Duplication of Efforts
Applicants are responsible for reporting if this application will result in programmatic, budgetary, or commitment overlap with another application or award (i.e. grant, cooperative agreement, or contract) submitted to another funding source in the same fiscal year. Programmatic overlap occurs when (1) substantially the same project is proposed in more than one application or is submitted to two or more funding sources for review and funding consideration or (2) a specific objective and the project design for accomplishing the objective are the same or closely related in two or more applications or awards, regardless of the funding source. Budgetary overlap occurs when duplicate or equivalent budgetary items (e.g.,

equipment, salaries) are requested in an application but already are provided by another source. Commitment overlap occurs when an individual's time commitment exceeds 100 percent, whether or not salary support is requested in the application. Overlap, whether programmatic, budgetary, or commitment of an individual's effort greater than 100 percent, is not permitted. Any overlap will be resolved by the CDC with the applicant and the PD/PI prior to award.
Report Submission: The applicant must upload the report in Grants.gov under "Other Attachment Forms." The document should be labeled: "Report on Programmatic, Budgetary, and Commitment Overlap."

6. Content and Form of Application Submission

Applicants are required to include all of the following documents with their application package at www.grants.gov.

7. Letter of Intent

The purpose of a letter of intent (LOI) is to allow CDC program staff to estimate the number of potential applicants and plan for the review of submitted applications. The LOI is voluntary but strongly recommended.

The LOI must be sent via U.S. express mail, delivery service, fax, or email to:

Randi Frank

National Center for Chronic Disease Prevention and Health

Office on Smoking and Health

4770 Buford Highway, NE S107-7

Atlanta, Georgia 30341

770-488-5114

kkq9@cdc.gov

If sending by e-mail, please indicate Letter of Intent for DP21-2103 Public Health Law Technical Assistance Related to Tobacco Prevention and Control in the subject line.

8. Table of Contents

(There is no page limit. The table of contents is not included in the project narrative page limit.): The applicant must provide, as a separate attachment, the "Table of Contents" for the entire submission package.
Provide a detailed table of contents for the entire submission package that includes all of the documents in the application and headings in the "Project Narrative" section. Name the file "Table of Contents" and upload it as a PDF file under "Other Attachment Forms" at www.grants.gov.

9. Project Abstract Summary

(Maximum 1 page)
A project abstract is included on the mandatory documents list and must be submitted

at www.grants.gov. The project abstract must be a self-contained, brief summary of the proposed project including the purpose and outcomes. This summary must not include any proprietary or confidential information. Applicants must enter the summary in the "Project Abstract Summary" text box at www.grants.gov.

10. Project Narrative

(Unless specified in the "H. Other Information" section, maximum of 20 pages, single spaced, 12 point font, 1-inch margins, number all pages. This includes the work plan. Content beyond the specified page number will not be reviewed.)
Applicants must submit a Project Narrative with the application forms. Applicants must name this file "Project Narrative" and upload it at www.grants.gov. The Project Narrative must include **all** of the following headings (including subheadings): Background, Approach, Applicant Evaluation and Performance Measurement Plan, Organizational Capacity of Applicants to Implement the Approach, and Work Plan. The Project Narrative must be succinct, self-explanatory, and in the order outlined in this section. It must address outcomes and activities to be conducted over the entire period of performance as identified in the CDC Project Description section. Applicants should use the federal plain language guidelines and Clear Communication Index to respond to this Notice of Funding Opportunity. Note that recipients should also use these tools when creating public communication materials supported by this NOFO. Failure to follow the guidance and format may negatively impact scoring of the application.

a. Background

Applicants must provide a description of relevant background information that includes the context of the problem (See CDC Background).

b. Approach

i. Purpose

Applicants must describe in 2-3 sentences specifically how their application will address the public health problem as described in the CDC Background section.

ii. Outcomes

Applicants must clearly identify the outcomes they expect to achieve by the end of the project period, as identified in the logic model in the Approach section of the CDC Project Description. Outcomes are the results that the program intends to achieve and usually indicate the intended direction of change (e.g., increase, decrease).

iii. Strategies and Activities

Applicants must provide a clear and concise description of the strategies and activities they will use to achieve the period of performance outcomes. Applicants must select existing evidence-based strategies that meet their needs, or describe in the Applicant Evaluation and Performance Measurement Plan how these strategies will be evaluated over the course of the project period.

See the Strategies and Activities section of the CDC Project Description.

1. Collaborations
Applicants must describe how they will collaborate with programs and organizations either internal or external to CDC. Applicants must address the Collaboration requirements as described in the CDC Project Description.

2. Target Populations and Health Disparities
Applicants must describe the specific target population(s) in their jurisdiction and explain how such a target will achieve the goals of the award and/or alleviate health disparities. The applicants must also address how they will include specific populations that can benefit from the program that is described in the Approach section. Applicants must address the Target Populations and Health Disparities requirements as described in the CDC Project Description.

c. Applicant Evaluation and Performance Measurement Plan

Applicants must provide an evaluation and performance measurement plan that demonstrates how the recipient will fulfill the requirements described in the CDC Evaluation and Performance Measurement and Project Description sections of this NOFO. At a minimum, the plan must describe:

- How applicant will collect the performance measures, respond to the evaluation questions, and use evaluation findings for continuous program quality improvement. The Paperwork Reduction Act of 1995 (PRA): Applicants are advised that any activities involving information collections (e.g., surveys, questionnaires, applications, audits, data requests, reporting, recordkeeping and disclosure requirements) from 10 or more individuals or non-Federal entities, including State and local governmental agencies, and funded or sponsored by the Federal Government are subject to review and approval by the Office of Management and Budget. For further information about CDC's requirements under PRA see https://www.cdc.gov/od/science/integrity/reducePublicBurden/.
- How key program partners will participate in the evaluation and performance measurement planning processes.
- Available data sources, feasibility of collecting appropriate evaluation and performance data, data management plan (DMP), and other relevant data information (e.g., performance measures proposed by the applicant).

Where the applicant chooses to, or is expected to, take on specific evaluation studies, they should be directed to:

- Describe the type of evaluations (i.e., process, outcome, or both).
- Describe key evaluation questions to be addressed by these evaluations.
- Describe other information (e.g., measures, data sources).

Recipients will be required to submit a more detailed Evaluation and Performance Measurement plan (including the DMP elements) within the first 6 months of award, as described in the Reporting Section of this NOFO.

d. Organizational Capacity of Applicants to Implement the Approach

Applicants must address the organizational capacity requirements as described in the CDC Project Description.

11. Work Plan

(Included in the Project Narrative's page limit)
Applicants must prepare a work plan consistent with the CDC Project Description Work Plan section. The work plan integrates and delineates more specifically how the recipient plans to carry out achieving the period of performance outcomes, strategies and activities, evaluation and performance measurement.

12. Budget Narrative

Applicants must submit an itemized budget narrative. When developing the budget narrative, applicants must consider whether the proposed budget is reasonable and consistent with the purpose, outcomes, and program strategy outlined in the project narrative. The budget must include:

- Salaries and wages
- Fringe benefits
- Consultant costs
- Equipment
- Supplies
- Travel
- Other categories
- Contractual costs
- Total Direct costs
- Total Indirect costs

Indirect costs could include the cost of collecting, managing, sharing and preserving data.
Indirect costs on grants awarded to foreign organizations and foreign public entities and performed fully outside of the territorial limits of the U.S. may be paid to support the costs of compliance with federal requirements at a fixed rate of eight percent of MTDC exclusive of tuition and related fees, direct expenditures for equipment, and subawards in excess of $25,000. Negotiated indirect costs may be paid to the American University, Beirut, and the World Health Organization.
If applicable and consistent with the cited statutory authority for this announcement, applicant entities may use funds for activities as they relate to the intent of this NOFO to meet national

standards or seek health department accreditation through the Public Health Accreditation Board (see: http://www.phaboard.org). Applicant entities to whom this provision applies include state, local, territorial governments (including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), or their bona fide agents, political subdivisions of states (in consultation with states), federally recognized or state-recognized American Indian or Alaska Native tribal governments, and American Indian or Alaska Native tribally designated organizations. Activities include those that enable a public health organization to deliver public health services such as activities that ensure a capable and qualified workforce, up-to-date information systems, and the capability to assess and respond to public health needs. Use of these funds must focus on achieving a minimum of one national standard that supports the intent of the NOFO. Proposed activities must be included in the budget narrative and must indicate which standards will be addressed.
Vital records data, including births and deaths, are used to inform public health program and policy decisions. If applicable and consistent with the cited statutory authority for this NOFO, applicant entities are encouraged to collaborate with and support their jurisdiction's vital records office (VRO) to improve vital records data timeliness, quality and access, and to advance public health goals. Recipients may, for example, use funds to support efforts to build VRO capacity through partnerships; provide technical and/or financial assistance to improve vital records timeliness, quality or access; or support vital records improvement efforts, as approved by CDC.
Applicants must name this file "Budget Narrative" and upload it as a PDF file at www.grants.gov. If requesting indirect costs in the budget, a copy of the indirect cost-rate agreement is required. If the indirect costs are requested, include a copy of the current negotiated federal indirect cost rate agreement or a cost allocation plan approval letter for those Recipients under such a plan. Applicants must name this file "Indirect Cost Rate" and upload it at www.grants.gov.

Applicants should budget to attend the following meetings/reverse site visit in Year 1:

- Two staff members to travel to Atlanta, Georgia to participate in a two-day kick-off meeting/reverse site visit.
- One staff member to travel to Atlanta, Georgia to participate in a two-day meeting.

13. Funds Tracking

Proper fiscal oversight is critical to maintaining public trust in the stewardship of federal funds. Effective October 1, 2013, a new HHS policy on subaccounts requires the CDC to set up payment subaccounts within the Payment Management System (PMS) for all new grant awards. Funds awarded in support of approved activities and drawdown instructions will be identified on the Notice of Award in a newly established PMS subaccount (P subaccount). Recipients will be required to draw down funds from award-specific accounts in the PMS. Ultimately, the subaccounts will provide recipients and CDC a more detailed and precise understanding of financial transactions. The successful applicant will be required to track funds by P-accounts/sub accounts for each project/cooperative agreement awarded. Applicants are encouraged to demonstrate a record of fiscal responsibility and the ability to provide sufficient

and effective oversight. Financial management systems must meet the requirements as described 2 CFR 200 which include, but are not limited to, the following:

- Records that identify adequately the source and application of funds for federally-funded activities.
- Effective control over, and accountability for, all funds, property, and other assets.
- Comparison of expenditures with budget amounts for each Federal award.
- Written procedures to implement payment requirements.
- Written procedures for determining cost allowability.
- Written procedures for financial reporting and monitoring.

14. Intergovernmental Review

Your application is subject to Intergovernmental Review of Federal Programs, as governed by Executive Order 12372 (https://www.archives.gov/federal-register/codification/executive-order/12372.html). This order sets up a system for state and local review of proposed federal assistance applications. You should contact your state single point of contact (SPOC) as early as possible to alert the SPOC to prospective applications, and to receive instructions on your state's process. Click on the following link to get the current SPOC list: https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf.

15. Pilot Program for Enhancement of Employee Whistleblower Protections

Pilot Program for Enhancement of Employee Whistleblower Protections: All applicants will be subject to a term and condition that applies the terms of 48 Code of Federal Regulations (CFR) section 3.908 to the award and requires that recipients inform their employees in writing (in the predominant native language of the workforce) of employee whistleblower rights and protections under 41 U.S.C. 4712.

16. Copyright Interests Provisions

This provision is intended to ensure that the public has access to the results and accomplishments of public health activities funded by CDC. Pursuant to applicable grant regulations and CDC's Public Access Policy, Recipient agrees to submit into the National Institutes of Health (NIH) Manuscript Submission (NIHMS) system an electronic version of the final, peer-reviewed manuscript of any such work developed under this award upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication. Also at the time of submission, Recipient and/or the Recipient's submitting author must specify the date the final manuscript will be publicly accessible through PubMed Central (PMC). Recipient and/or Recipient's submitting author must also post the manuscript through PMC within twelve (12) months of the publisher's official date of final publication; however the author is strongly encouraged to make the subject manuscript available as soon as possible. The recipient must obtain prior approval from the CDC for any exception to this provision.

The author's final, peer-reviewed manuscript is defined as the final version accepted for journal

publication, and includes all modifications from the publishing peer review process, and all graphics and supplemental material associated with the article. Recipient and its submitting authors working under this award are responsible for ensuring that any publishing or copyright agreements concerning submitted articles reserve adequate right to fully comply with this provision and the license reserved by CDC. The manuscript will be hosted in both PMC and the CDC Stacks institutional repository system. In progress reports for this award, recipient must identify publications subject to the CDC Public Access Policy by using the applicable NIHMS identification number for up to three (3) months after the publication date and the PubMed Central identification number (PMCID) thereafter.

17. Funding Restrictions

Restrictions that must be considered while planning the programs and writing the budget are:

- Recipients may not use funds for research.
- Recipients may not use funds for clinical care except as allowed by law.
- Recipients may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.
- Generally, recipients may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.
- Reimbursement of pre-award costs generally is not allowed, unless the CDC provides written approval to the recipient.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:
    - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
    - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
- See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC recipients.
- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.
- In accordance with the United States Protecting Life in Global Health Assistance policy, all non-governmental organization (NGO) applicants acknowledge that foreign NGOs that receive funds provided through this award, either as a prime recipient or subrecipient, are strictly prohibited, regardless of the source of funds, from performing abortions as a method of family planning or engaging in any activity that promotes abortion as a method of family planning, or to provide financial support to any other foreign non-governmental organization that conducts such activities. See Additional Requirement (AR) 35 for applicability https://www.cdc.gov/grants/additional-

requirements/ar-35.html.

18. Data Management Plan

As identified in the Evaluation and Performance Measurement section, applications involving data collection must include a Data Management Plan (DMP) as part of their evaluation and performance measurement plan. The DMP is the applicant's assurance of the quality of the public health data through the data's lifecycle and plans to deposit data in a repository to preserve and to make the data accessible in a timely manner. See web link for additional information: https://www.cdc.gov/grants/additional-requirements/ar-25.html.

19. Other Submission Requirements

a. Electronic Submission:
Applications must be submitted electronically by using the forms and instructions posted for this notice of funding opportunity at www.grants.gov. Applicants can complete the application package using Workspace, which allows forms to be filled out online or offline. All application attachments must be submitted using a PDF file format. Instructions and training for using Workspace can be found at www.grants.gov under the "Workspace Overview" option.

b. Tracking Number: Applications submitted through www.grants.gov are time/date stamped electronically and assigned a tracking number. The applicant's Authorized Organization Representative (AOR) will be sent an e-mail notice of receipt when www.grants.gov receives the application. The tracking number documents that the application has been submitted and initiates the required electronic validation process before the application is made available to CDC.

c. Validation Process: Application submission is not concluded until the validation process is completed successfully. After the application package is submitted, the applicant will receive a "submission receipt" e-mail generated by www.grants.gov. A second e-mail message to applicants will then be generated by www.grants.gov that will either validate or reject the submitted application package. This validation process may take as long as two business days. Applicants are strongly encouraged to check the status of their application to ensure that submission of their package has been completed and no submission errors have occurred. Applicants also are strongly encouraged to allocate ample time for filing to guarantee that their application can be submitted and validated by the deadline published in the NOFO. Non-validated applications will not be accepted after the published application deadline date.

If you do not receive a "validation" e-mail within two business days of application submission, please contact www.grants.gov. For instructions on how to track your application, refer to the e-mail message generated at the time of application submission or the Grants.gov Online User Guide.
https://www.grants.gov/help/html/help/index.htm?callingApp=custom#t=GetStarted%2FGetStart

ed.htm

d. Technical Difficulties: If technical difficulties are encountered at www.grants.gov, applicants should contact Customer Service at www.grants.gov. The www.grants.gov Contact Center is available 24 hours a day, 7 days a week, except federal holidays. The Contact Center is available by phone at 1-800-518-4726 or by e-mail at support@grants.gov. Application submissions sent by e-mail or fax, or on CDs or thumb drives will not be accepted. Please note that www.grants.gov is managed by HHS.

e. Paper Submission: If technical difficulties are encountered at www.grants.gov, applicants should call the www.grants.gov Contact Center at 1-800-518-4726 or e-mail them at support@grants.gov for assistance. After consulting with the Contact Center, if the technical difficulties remain unresolved and electronic submission is not possible, applicants may e-mail CDC GMO/GMS, before the deadline, and request permission to submit a paper application. Such requests are handled on a case-by-case basis.
An applicant's request for permission to submit a paper application must:

1. Include the www.grants.gov case number assigned to the inquiry
2. Describe the difficulties that prevent electronic submission and the efforts taken with the www.grants.gov Contact Center to submit electronically; and
3. Be received via e-mail to the GMS/GMO listed below at least three calendar days before the application deadline. Paper applications submitted without prior approval will not be considered.

   If a paper application is authorized, OGS will advise the applicant of specific instructions for submitting the application (e.g., original and two hard copies of the application by U.S. mail or express delivery service).

E. Review and Selection Process

1. Review and Selection Process: Applications will be reviewed in three phases

a. Phase 1 Review
All applications will be initially reviewed for eligibility and completeness by CDC Office of Grants Services. Complete applications will be reviewed for responsiveness by the Grants Management Officials and Program Officials. Non-responsive applications will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility and/or published submission requirements.

b. Phase II Review

A review panel will evaluate complete, eligible applications in accordance with the criteria below.
i. Approach
ii. Evaluation and Performance Measurement

iii. Applicant's Organizational Capacity to Implement the Approach
Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

i. Approach Maximum Points:35

Applicants will be scored on the extent to which the project narrative and work plan:

- Proposes outcomes that are consistent with short-term and intermediate outcomes, including: increased knowledge of evidence-based policy strategies and activities and increased use/application of technical assistance on evidence-based policy strategies and activities at the state and local level.
- Describes activities that are consistent with the CDC project description and logic model, providing comprehensive technical assistance and disseminating legal and policy-related knowledge to the tobacco prevention and control field.
- Describes strategies and activities that are achievable, appropriate to the outcomes of the project, and can be attained the period of performance outcomes.
- Discusses efforts to include technical assistance that is aimed at increasing knowledge and application of legal and policy strategies and activities that can advance health equity and reduce health disparities.
- Submits a work plan that is aligned with the strategies/activities, outcomes, and performance measures and contains the following seven components:1)Strategy, 2) Period of Performance Outcome, 3) Outcome Measure, 4)Activities, 5) Process Measure, 6)Responsible Party, 7)Completion Date.
- Include in the work plan the development of a policy implementation resource for planning and strategizing promising strategies and practices related to tobacco control policy implementation, with an emphasis on health equity.

ii. Evaluation and Performance Measurement Maximum Points:25

Applicants will be scored on the extent to which the evaluation and performance measurement plan demonstrates the following:

- Describes the data to be collected and the survey instruments that will be used.
- Describes available data sources and feasibility of collecting appropriate evaluation and performance data.
- Describes how evaluation findings are used for continuous program and quality improvement.
- Describes key evaluation questions, the types of evaluations conducted (i.e. process and/or outcome), and how performance measures are addressed, evaluated, and reported.
- Describes how the program plans to provide evidence for progress on the performance measures outlined in the evaluation and performance measurement strategy section.

iii. Applicant's Organizational Capacity to Implement the Approach Maximum Points:40

Applicants will be scored on the extent to which the applicant demonstrates adequate infrastructure and capacity to implement the activities and achieve project outcomes, including:

- Describes current staff and staff that will be hired to carry out the project activities, including a description of tasks or roles, required experience, and time commitment for each of the project staff and the organizational capacity and history to manage funds effectively; applicant must also provide an organization chart and CVs/resumes.
- Describes how the applicant will collaborate with CDC, relevant CDC-funded programs, and external organizations necessary to execute the proposed work plan.
- Submits nine (9) letters of support addressing the relationship with the applicant and describing past collaborations, including technical assistance, from the following:
    - Five (5) letters of support from five different state health department tobacco control program managers describing tobacco prevention and control-related public health law technical assistance provided in the last five years.
    - One (1) letter of support from tribal, tribal organization, territory, and/or jurisdiction tobacco control program.
    - Three (3) letters of support from the following: National tobacco prevention and control stakeholder organizations (e.g., state attorneys general, the National Association of Attorneys General, or a national voluntary organization, such as the American Cancer Society, Americans for Nonsmokers' Rights, American Lung Association, American Heart Association, or the Campaign for Tobacco Free Kids).
- Describes partnerships with other organizations within the tobacco control field.
- Describes organizational knowledge and expertise addressing and working to eliminate health disparities within the context of tobacco control.
- Describes a minimum of 10 years of experience providing tobacco prevention and control-related legal technical assistance at the national level, reaching at least 25 states.
- Describes planning and execution of meetings that included the provision of public health law technical assistance and facilitation of peer-to-peer learning resulting in an increase in knowledge.
- Describes experience with policy development and implementation at all jurisdictional levels, including federal, state, tribal, territorial and local and the translation of this information.
- Describes experience and capacity to implement the evaluation plan.
- Describes how the applicant plans to manage the program fiscally including timely spending and contract management of the award.

Budget

Budget will not be scored.

c. Phase III Review

Applications will be funded in order by score and rank determined by the review panel.

Review of risk posed by applicants.
Prior to making a Federal award, CDC is required by 31 U.S.C. 3321 and 41 U.S.C. 2313 to review information available through any OMB-designated repositories of government-wide eligibility qualification or financial integrity information as appropriate. See also suspension and debarment requirements at 2 CFR parts 180 and 376.
In accordance 41 U.S.C. 2313, CDC is required to review the non-public segment of the OMB-designated integrity and performance system accessible through SAM (currently the Federal Recipient Performance and Integrity Information System (FAPIIS)) prior to making a Federal award where the Federal share is expected to exceed the simplified acquisition threshold, defined in 41 U.S.C. 134, over the period of performance. At a minimum, the information in the system for a prior Federal award recipient must demonstrate a satisfactory record of executing programs or activities under Federal grants, cooperative agreements, or procurement awards; and integrity and business ethics. CDC may make a Federal award to a recipient who does not fully meet these standards, if it is determined that the information is not relevant to the current Federal award under consideration or there are specific conditions that can appropriately mitigate the effects of the non-Federal entity's risk in accordance with 45 CFR §75.207.
CDC's framework for evaluating the risks posed by an applicant may incorporate results of the evaluation of the applicant's eligibility or the quality of its application. If it is determined that a Federal award will be made, special conditions that correspond to the degree of risk assessed may be applied to the Federal award. The evaluation criteria is described in this Notice of Funding Opportunity.
In evaluating risks posed by applicants, CDC will use a risk-based approach and may consider any items such as the following:
(1) Financial stability;
(2) Quality of management systems and ability to meet the management standards prescribed in this part;
(3) History of performance. The applicant's record in managing Federal awards, if it is a prior recipient of Federal awards, including timeliness of compliance with applicable reporting requirements, conformance to the terms and conditions of previous Federal awards, and if applicable, the extent to which any previously awarded amounts will be expended prior to future awards;
(4) Reports and findings from audits performed under subpart F 45 CFR 75 or the reports and findings of any other available audits; and
(5) The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities.
CDC must comply with the guidelines on government-wide suspension and debarment in 2 CFR part 180, and require non-Federal entities to comply with these provisions. These provisions restrict Federal awards, subawards and contracts with certain parties that are debarred, suspended or otherwise excluded from or ineligible for participation in Federal programs or activities.

2. Announcement and Anticipated Award Dates

Applicants can anticipate notice of funding May 25, 2021 - June 4, 2021.

F. Award Administration Information

1. Award Notices

Recipients will receive an electronic copy of the Notice of Award (NOA) from CDC OGS. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized GMO and emailed to the Recipient Business Officer listed in application and the Program Director.

Any applicant awarded funds in response to this Notice of Funding Opportunity will be subject to the DUNS, SAM Registration, and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements.

Unsuccessful applicants will receive notification of these results by e-mail with delivery receipt or by U.S. mail.

2. Administrative and National Policy Requirements

Recipients must comply with the administrative and public policy requirements outlined in 45 CFR Part 75 and the HHS Grants Policy Statement, as appropriate.
Brief descriptions of relevant provisions are available at http://www.cdc.gov/grants/additionalrequirements/index.html#ui-id-17.
The HHS Grants Policy Statement is available at http://www.hhs.gov/sites/default/files/grants/grants/policies-regulations/hhsgps107.pdf.

The full text of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards, 45 CFR 75, can be found at: https://www.ecfr.gov/cgi-bin/text-idx?node=pt45.1.75

3. Reporting

Reporting provides continuous program monitoring and identifies successes and challenges that recipients encounter throughout the project period. Also, reporting is a requirement for recipients who want to apply for yearly continuation of funding. Reporting helps CDC and recipients because it:

- Helps target support to recipients;
- Provides CDC with periodic data to monitor recipient progress toward meeting the Notice of Funding Opportunity outcomes and overall performance;
- Allows CDC to track performance measures and evaluation findings for continuous quality and program improvement throughout the period of performance and to determine applicability of evidence-based approaches to different populations, settings, and contexts; and
- Enables CDC to assess the overall effectiveness and influence of the NOFO.

The table below summarizes required and optional reports. All required reports must be sent electronically to GMS listed in the "Agency Contacts" section of the NOFO copying the CDC Project Officer.

Report	When?	Required?
Recipient Evaluation and Performance Measurement Plan, including Data Management Plan (DMP)	6 months into award	Yes
Annual Performance Report (APR)	No later than 120 days before end of budget period. Serves as yearly continuation application.	Yes
Federal Financial Reporting Forms	90 days after the end of the budget period.	Yes
Final Performance and Financial Report	90 days after end of project period.	Yes
Payment Management System (PMS) Reporting	Quarterly reports due January 30; April 30; July 30; and October 30.	Yes

a. Recipient Evaluation and Performance Measurement Plan (required)
With support from CDC, recipients must elaborate on their initial applicant evaluation and performance measurement plan. This plan must be no more than 20 pages; recipients must submit the plan 6 months into the award. HHS/CDC will review and approve the recipient's monitoring and evaluation plan to ensure that it is appropriate for the activities to be undertaken as part of the agreement, for compliance with the monitoring and evaluation guidance established by HHS/CDC, or other guidance otherwise applicable to this Agreement.

Recipient Evaluation and Performance Measurement Plan (required): This plan should provide additional detail on the following:

Performance Measurement

• Performance measures and targets
• The frequency that performance data are to be collected.
• How performance data will be reported.
• How quality of performance data will be assured.
• How performance measurement will yield findings to demonstrate progress towards achieving NOFO goals (e.g., reaching target populations or achieving expected outcomes).
• Dissemination channels and audiences.
• Other information requested as determined by the CDC program.

Evaluation

• The types of evaluations to be conducted (e.g. process or outcome evaluations).
• The frequency that evaluations will be conducted.

• How evaluation reports will be published on a publically available website.
• How evaluation findings will be used to ensure continuous quality and program improvement.
• How evaluation will yield findings to demonstrate the value of the NOFO (e.g., effect on improving public health outcomes, effectiveness of NOFO, cost-effectiveness or cost-benefit).
• Dissemination channels and audiences.

HHS/CDC or its designee will also undertake monitoring and evaluation of the defined activities within the agreement. The recipient must ensure reasonable access by HHS/CDC or its designee to all necessary sites, documentation, individuals and information to monitor, evaluate and verify the appropriate implementation the activities and use of HHS/CDC funding under this Agreement.

b. Annual Performance Report (APR) (required)

The recipient must submit the APR via https://www.grantsolutions.gov no later than120 days prior to the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but web links are allowed.
This report must include the following:

- **Performance Measures:** Recipients must report on performance measures for each budget period and update measures, if needed.
- **Evaluation Results:** Recipients must report evaluation results for the work completed to date (including findings from process or outcome evaluations).
- **Work Plan:** Recipients must update work plan each budget period to reflect any changes in period of performance outcomes, activities, timeline, etc.
- **Successes**
    - Recipients must report progress on completing activities and progress towards achieving the period of performance outcomes described in the logic model and work plan.
    - Recipients must describe any additional successes (e.g. identified through evaluation results or lessons learned) achieved in the past year.
    - Recipients must describe success stories.
- **Challenges**
    - Recipients must describe any challenges that hindered or might hinder their ability to complete the work plan activities and achieve the period of performance outcomes.
    - Recipients must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year.
- **CDC Program Support to Recipients**
    - Recipients must describe how CDC could help them overcome challenges to complete activities in the work plan and achieving period of performance outcomes.
- **Administrative Reporting** (No page limit)
    - SF-424A Budget Information-Non-Construction Programs.
    - Budget Narrative – Must use the format outlined in "Content and Form of

Application Submission, Budget Narrative" section.
- Indirect Cost Rate Agreement.

For year 2 and beyond of the award, the recipient may request as much as 75% of their estimated unobligated funds be carried over into the next budget period.

The recipients must submit the Annual Performance Report via https://www.grantsolutions.gov no later than 120 days prior to the end of the budget period.

c. Performance Measure Reporting (optional)
CDC programs may require more frequent reporting of performance measures than annually in the APR. If this is the case, CDC programs must specify reporting frequency, data fields, and format for recipients at the beginning of the award period.

d. Federal Financial Reporting (FFR) (required)
The annual FFR form (SF-425) is required and must be submitted 90 days after the end of the budget period through the Payment Management System (PMS). The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management System's (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, recipients are required to submit a letter of explanation to OGS and include the date by which the Grants Officer will receive information.

e. Final Performance and Financial Report (required)
This Final Performance Report is due 120 days after the end of the period of performance. The final FFR is due 120 days after the end of the period of performance and must be submitted through the Payment Management System (PMS). CDC programs must indicate that this report should not exceed 40 pages. This report covers the entire period of performance and can include information previously reported in APRs. At a minimum, this report must include the following:

- Performance Measures – Recipients must report final performance data for all process and outcome performance measures.
- Evaluation Results – Recipients must report final evaluation results for the period of performance for any evaluations conducted.
- Impact/Results/Success Stories – Recipients must use their performance measure results and their evaluation findings to describe the effects or results of the work completed over the project period, and can include some success stories.
- A final Data Management Plan that includes the location of the data collected during the funded period, for example, repository name and link data set(s)
- Additional forms as described in the Notice of Award (e.g., Equipment Inventory Report, Final Invention Statement).

4. Federal Funding Accountability and Transparency Act of 2006 (FFATA)

Federal Funding Accountability and Transparency Act of 2006 (FFATA), P.L. 109–282, as amended by section 6202 of P.L. 110–252 requires full disclosure of all entities and organizations receiving Federal funds including awards, contracts, loans, other assistance, and payments through a single publicly accessible Web site, http://www.USASpending.gov. Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by applicants: 1) information on executive compensation when not already reported through the SAM, and 2) similar information on all sub-awards/subcontracts/consortiums over $25,000.
For the full text of the requirements under the FFATA and HHS guidelines, go to:

- https://www.gpo.gov/fdsys/pkg/PLAW-109publ282/pdf/PLAW-109publ282.pdf,
- https://www. fsrs.gov/documents /ffata_legislation_ 110_252.pdf
- http://www.hhs.gov/grants/grants/grants-policies-regulations/index.html#FFATA.

5. Reporting of Foreign Taxes (International/Foreign projects only)

A. Valued Added Tax (VAT) and Customs Duties – Customs and import duties, consular fees, customs surtax, valued added taxes, and other related charges are hereby authorized as an allowable cost for costs incurred for non-host governmental entities operating where no applicable tax exemption exists. This waiver does not apply to countries where a bilateral agreement (or similar legal document) is already in place providing applicable tax exemptions and it is not applicable to Ministries of Health. Successful applicants will receive information on VAT requirements via their Notice of Award.

B. The U.S. Department of State requires that agencies collect and report information on the amount of taxes assessed, reimbursed and not reimbursed by a foreign government against commodities financed with funds appropriated by the U.S. Department of State, Foreign Operations and Related Programs Appropriations Act (SFOAA) (“United States foreign assistance funds”). Outlined below are the specifics of this requirement:

1) Annual Report: The recipient must submit a report on or before November 16 for each foreign country on the amount of foreign taxes charged, as of September 30 of the same year, by a foreign government on commodity purchase transactions valued at 500 USD or more financed with United States foreign assistance funds under this grant during the prior United States fiscal year (October 1 – September 30), and the amount reimbursed and unreimbursed by the foreign government. [Reports are required even if the recipient did not pay any taxes during the reporting period.]

2) Quarterly Report: The recipient must quarterly submit a report on the amount of foreign taxes charged by a foreign government on commodity purchase transactions valued at 500 USD or more financed with United States foreign assistance funds under this grant. This report shall be submitted no later than two weeks following the end of each quarter: April 15, July 15, October 15 and January 15.

3) Terms: For purposes of this clause:
"Commodity" means any material, article, supplies, goods, or equipment;
"Foreign government" includes any foreign government entity;
"Foreign taxes" means value-added taxes and custom duties assessed by a foreign government on a commodity. It does not include foreign sales taxes.

4) Where: Submit the reports to the Director and Deputy Director of the CDC office in the country(ies) in which you are carrying out the activities associated with this cooperative agreement. In countries where there is no CDC office, send reports to VATreporting@cdc.gov.

5) Contents of Reports: The reports must contain:
a. recipient name;
b. contact name with phone, fax, and e-mail;
c. agreement number(s) if reporting by agreement(s);
d. reporting period;
e. amount of foreign taxes assessed by each foreign government;
f. amount of any foreign taxes reimbursed by each foreign government;
g. amount of foreign taxes unreimbursed by each foreign government.

6) Subagreements. The recipient must include this reporting requirement in all applicable subgrants and other subagreements.

G. Agency Contacts

CDC encourages inquiries concerning this notice of funding opportunity.

Program Office Contact

For programmatic technical assistance, contact:

Randi Frank, Project Officer
Department of Health and Human Services
Centers for Disease Control and Prevention
4770 Buford Hwy, S107-7

Atlanta, Georgia 30341

Telephone: (770) 488-5114
Email: kkq9@cdc.gov

Grants Staff Contact

For **financial, awards management, or budget assistance,** contact:

Rhonda Colbert, Grants Management Specialist
Department of Health and Human Services

Office of Grants Services
2920 Brandywine Road

Atlanta, Georgia 30341

Telephone: (770) 488-2848
Email: hvx1@cdc.gov

For assistance with **submission difficulties related to** www.grants.gov, contact the Contact Center by phone at 1-800-518-4726.
Hours of Operation: 24 hours a day, 7 days a week, except on federal holidays.

CDC Telecommunications for persons with hearing loss is available at: TTY 1-888-232-6348

H. Other Information

Following is a list of acceptable attachments **applicants** can upload as PDF files as part of their application at www.grants.gov. Applicants may not attach documents other than those listed; if other documents are attached, applications will not be reviewed.

- Project Abstract
- Project Narrative
- Budget Narrative
- CDC Assurances and Certifications
- Report on Programmatic, Budgetary and Commitment Overlap
- Table of Contents for Entire Submission

For international NOFOs:

- SF424
- SF424A
- Funding Preference Deliverables

Optional attachments, as determined by CDC programs:

- Position descriptions
- Non-profit organization IRS status forms, if applicable
- Indirect Cost Rate, if applicable

Required attachments include the following:

- Resumes/CVs

- Letters of Support
- Organization Charts

I. Glossary

Activities: The actual events or actions that take place as a part of the program.
Administrative and National Policy Requirements, Additional Requirements (ARs): Administrative requirements found in 45 CFR Part 75 and other requirements mandated by statute or CDC policy. All ARs are listed in the Template for CDC programs. CDC programs must indicate which ARs are relevant to the NOFO; recipients must comply with the ARs listed in the NOFO. To view brief descriptions of relevant provisions, see http:// www.cdc.gov/ grants/ additional requirements/ index.html. Note that 2 CFR 200 supersedes the administrative requirements (A-110 & A-102), cost principles (A-21, A-87 & A-122) and audit requirements (A-50, A-89 & A-133).
Approved but Unfunded: Approved but unfunded refers to applications recommended for approval during the objective review process; however, they were not recommended for funding by the program office and/or the grants management office.
Assistance Listings (CFDA): A government-wide compendium published by the General Services Administration (available on-line in searchable format as well as in printable format as a .pdf file) that describes domestic assistance programs administered by the Federal Government.
Assistance Listings (CFDA) Number: A unique number assigned to each program and NOFO throughout its lifecycle that enables data and funding tracking and transparency
Award: Financial assistance that provides support or stimulation to accomplish a public purpose. Awards include grants and other agreements (e.g., cooperative agreements) in the form of money, or property in lieu of money, by the federal government to an eligible applicant.
Budget Period or Budget Year: The duration of each individual funding period within the project period. Traditionally, budget periods are 12 months or 1 year.
Carryover: Unobligated federal funds remaining at the end of any budget period that, with the approval of the GMO or under an automatic authority, may be carried over to another budget period to cover allowable costs of that budget period either as an offset or additional authorization. Obligated but liquidated funds are not considered carryover.
CDC Assurances and Certifications: Standard government-wide grant application forms.
Competing Continuation Award: A financial assistance mechanism that adds funds to a grant and adds one or more budget periods to the previously established period of performance (i.e., extends the "life" of the award).
Continuous Quality Improvement: A system that seeks to improve the provision of services with an emphasis on future results.
Contracts: An award instrument used to acquire (by purchase, lease, or barter) property or services for the direct benefit or use of the Federal Government.
Cooperative Agreement: A financial assistance award with the same kind of interagency relationship as a grant except that it provides for substantial involvement by the federal agency funding the award. Substantial involvement means that the recipient can expect federal programmatic collaboration or participation in carrying out the effort under the award.

Cost Sharing or Matching: Refers to program costs not borne by the Federal Government but by the recipients. It may include the value of allowable third-party, in-kind contributions, as well as expenditures by the recipient.
Direct Assistance: A financial assistance mechanism, which must be specifically authorized by statute, whereby goods or services are provided to recipients in lieu of cash. DA generally involves the assignment of federal personnel or the provision of equipment or supplies, such as vaccines. DA is primarily used to support payroll and travel expenses of CDC employees assigned to state, tribal, local, and territorial (STLT) health agencies that are recipients of grants and cooperative agreements. Most legislative authorities that provide financial assistance to STLT health agencies allow for the use of DA. http:// www.cdc.gov /grants /additionalrequirements /index.html.
DUNS: The Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number is a nine-digit number assigned by Dun and Bradstreet Information Services. When applying for Federal awards or cooperative agreements, all applicant organizations must obtain a DUNS number as the Universal Identifier. DUNS number assignment is free. If requested by telephone, a DUNS number will be provided immediately at no charge. If requested via the Internet, obtaining a DUNS number may take one to two days at no charge. If an organization does not know its DUNS number or needs to register for one, visit Dun & Bradstreet at http://fedgov.dnb.com/ webform/displayHomePage.do.
Evaluation (program evaluation): The systematic collection of information about the activities, characteristics, and outcomes of programs (which may include interventions, policies, and specific projects) to make judgments about that program, improve program effectiveness, and/or inform decisions about future program development.
Evaluation Plan: A written document describing the overall approach that will be used to guide an evaluation, including why the evaluation is being conducted, how the findings will likely be used, and the design and data collection sources and methods. The plan specifies what will be done, how it will be done, who will do it, and when it will be done. The NOFO evaluation plan is used to describe how the recipient and/or CDC will determine whether activities are implemented appropriately and outcomes are achieved.
Federal Funding Accountability and Transparency Act of 2006 (FFATA): Requires that information about federal awards, including awards, contracts, loans, and other assistance and payments, be available to the public on a single website at www.USAspending.gov.
Fiscal Year: The year for which budget dollars are allocated annually. The federal fiscal year starts October 1 and ends September 30.
Grant: A legal instrument used by the federal government to transfer anything of value to a recipient for public support or stimulation authorized by statute. Financial assistance may be money or property. The definition does not include a federal procurement subject to the Federal Acquisition Regulation; technical assistance (which provides services instead of money); or assistance in the form of revenue sharing, loans, loan guarantees, interest subsidies, insurance, or direct payments of any kind to a person or persons. The main difference between a grant and a cooperative agreement is that in a grant there is no anticipated substantial programmatic involvement by the federal government under the award.
Grants.gov: A "storefront" web portal for electronic data collection (forms and reports) for federal grant-making agencies at www.grants.gov.
Grants Management Officer (GMO): The individual designated to serve as the HHS official responsible for the business management aspects of a particular grant(s) or cooperative

agreement(s). The GMO serves as the counterpart to the business officer of the recipient organization. In this capacity, the GMO is responsible for all business management matters associated with the review, negotiation, award, and administration of grants and interprets grants administration policies and provisions. The GMO works closely with the program or project officer who is responsible for the scientific, technical, and programmatic aspects of the grant.

Grants Management Specialist (GMS): A federal staff member who oversees the business and other non-programmatic aspects of one or more grants and/or cooperative agreements. These activities include, but are not limited to, evaluating grant applications for administrative content and compliance with regulations and guidelines, negotiating grants, providing consultation and technical assistance to recipients, post-award administration and closing out grants.

Health Disparities: Differences in health outcomes and their determinants among segments of the population as defined by social, demographic, environmental, or geographic category.

Health Equity: Striving for the highest possible standard of health for all people and giving special attention to the needs of those at greatest risk of poor health, based on social conditions.

Health Inequities: Systematic, unfair, and avoidable differences in health outcomes and their determinants between segments of the population, such as by socioeconomic status (SES), demographics, or geography.

Healthy People 2030: National health objectives aimed at improving the health of all Americans by encouraging collaboration across sectors, guiding people toward making informed health decisions, and measuring the effects of prevention activities.

Inclusion: Both the meaningful involvement of a community's members in all stages of the program process and the maximum involvement of the target population that the intervention will benefit. Inclusion ensures that the views, perspectives, and needs of affected communities, care providers, and key partners are considered.

Indirect Costs: Costs that are incurred for common or joint objectives and not readily and specifically identifiable with a particular sponsored project, program, or activity; nevertheless, these costs are necessary to the operations of the organization. For example, the costs of operating and maintaining facilities, depreciation, and administrative salaries generally are considered indirect costs.

Intergovernmental Review: Executive Order 12372 governs applications subject to Intergovernmental Review of Federal Programs. This order sets up a system for state and local governmental review of proposed federal assistance applications. Contact the state single point of contact (SPOC) to alert the SPOC to prospective applications and to receive instructions on the State's process. Visit the following web address to get the current SPOC list: https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf.

Letter of Intent (LOI): A preliminary, non-binding indication of an organization's intent to submit an application.

Lobbying: Direct lobbying includes any attempt to influence legislation, appropriations, regulations, administrative actions, executive orders (legislation or other orders), or other similar deliberations at any level of government through communication that directly expresses a view on proposed or pending legislation or other orders, and which is directed to staff members or other employees of a legislative body, government officials, or employees who participate in formulating legislation or other orders. Grass roots lobbying includes efforts directed at inducing or encouraging members of the public to contact their elected

representatives at the federal, state, or local levels to urge support of, or opposition to, proposed or pending legislative proposals.

Logic Model: A visual representation showing the sequence of related events connecting the activities of a program with the programs' desired outcomes and results.

Maintenance of Effort: A requirement contained in authorizing legislation, or applicable regulations that a recipient must agree to contribute and maintain a specified level of financial effort from its own resources or other non-government sources to be eligible to receive federal grant funds. This requirement is typically given in terms of meeting a previous base-year dollar amount.

Memorandum of Understanding (MOU) or Memorandum of Agreement (MOA): Document that describes a bilateral or multilateral agreement between parties expressing a convergence of will between the parties, indicating an intended common line of action. It is often used in cases where the parties either do not imply a legal commitment or cannot create a legally enforceable agreement.

Nonprofit Organization: Any corporation, trust, association, cooperative, or other organization that is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest; is not organized for profit; and uses net proceeds to maintain, improve, or expand the operations of the organization. Nonprofit organizations include institutions of higher educations, hospitals, and tribal organizations (that is, Indian entities other than federally recognized Indian tribal governments).

Notice of Award (NoA): The official document, signed (or the electronic equivalent of signature) by a Grants Management Officer that: (1) notifies the recipient of the award of a grant; (2) contains or references all the terms and conditions of the grant and Federal funding limits and obligations; and (3) provides the documentary basis for recording the obligation of Federal funds in the HHS accounting system.

Objective Review: A process that involves the thorough and consistent examination of applications based on an unbiased evaluation of scientific or technical merit or other relevant aspects of the proposal. The review is intended to provide advice to the persons responsible for making award decisions.

Outcome: The results of program operations or activities; the effects triggered by the program. For example, increased knowledge, changed attitudes or beliefs, reduced tobacco use, reduced morbidity and mortality.

Performance Measurement: The ongoing monitoring and reporting of program accomplishments, particularly progress toward pre-established goals, typically conducted by program or agency management. Performance measurement may address the type or level of program activities conducted (process), the direct products and services delivered by a program (outputs), or the results of those products and services (outcomes). A "program" may be any activity, project, function, or policy that has an identifiable purpose or set of objectives.

Period of performance –formerly known as the project period - : The time during which the recipient may incur obligations to carry out the work authorized under the Federal award. The start and end dates of the period of performance must be included in the Federal award.

Period of Performance Outcome: An outcome that will occur by the end of the NOFO's funding period

Plain Writing Act of 2010: The Plain Writing Act of 2010 requires that federal agencies use clear communication that the public can understand and use. NOFOs must be written in clear, consistent language so that any reader can understand expectations and intended outcomes of

the funded program. CDC programs should use NOFO plain writing tips when writing NOFOs.
Program Strategies: Strategies are groupings of related activities, usually expressed as general headers (e.g., Partnerships, Assessment, Policy) or as brief statements (e.g., Form partnerships, Conduct assessments, Formulate policies).
Program Official: Person responsible for developing the NOFO; can be either a project officer, program manager, branch chief, division leader, policy official, center leader, or similar staff member.
Public Health Accreditation Board (PHAB): A nonprofit organization that works to promote and protect the health of the public by advancing the quality and performance of public health departments in the U.S. through national public health department accreditation http://www.phaboard.org.
Social Determinants of Health: Conditions in the environments in which people are born, live, learn, work, play, worship, and age that affect a wide range of health, functioning, and quality-of-life outcomes and risks.
Statute: An act of the legislature; a particular law enacted and established by the will of the legislative department of government, expressed with the requisite formalities. In foreign or civil law any particular municipal law or usage, though resting for its authority on judicial decisions, or the practice of nations.
Statutory Authority: Authority provided by legal statute that establishes a federal financial assistance program or award.
System for Award Management (SAM): The primary vendor database for the U.S. federal government. SAM validates applicant information and electronically shares secure and encrypted data with federal agencies' finance offices to facilitate paperless payments through Electronic Funds Transfer (EFT). SAM stores organizational information, allowing www.grants.gov to verify identity and pre-fill organizational information on grant applications.
Technical Assistance: Advice, assistance, or training pertaining to program development, implementation, maintenance, or evaluation that is provided by the funding agency.
Work Plan: The summary of period of performance outcomes, strategies and activities, personnel and/or partners who will complete the activities, and the timeline for completion. The work plan will outline the details of all necessary activities that will be supported through the approved budget.

NOFO-specific Glossary and Acronyms