

USDA NRCS SD Conservation Collaboration Cooperative Agreements

Fiscal Year (FY) 2021
USDA NRCS South Dakota
National Funding Opportunity (NFO)

No. USDA-NRCS-SD-CCCA-21-NOFO0001098

USDA United States Department of Agriculture

Notice of Funding Opportunity (NFO)

SUMMARY INFORMATION

Federal Awarding Agency Name: U.S. Department of Agriculture – *Natural Resources Conservation Service South Dakota*

Notice of Funding Opportunity Title: Conservation Collaboration Cooperative Agreements FiscalYear (FY) 2021 Announcement for Program Funding – SOUTH DAKOTA

Notice of Funding Opportunity Number: USDA-NRCS-SD-CCCA-21-NOFO0001098

Catalog of Federal Domestic Assistance (CFDA): This program is listed in the Assistance Listings under the Catalog of Federal Domestic Assistance number:

10.902 Conservation Technical Assistance
10.912 Environmental Quality Incentives Program
10.924 Conservation Stewardship Program
10.931 Agricultural Conservation Enhancement Program
10.069 Conservation Reserve Program

Notice of Funding Opportunity Summary

The Natural Resources Conservation Service (NRCS), an agency under the United States Department of Agriculture (USDA), is announcing potential availability of grants and agreements in South Dakota for the purpose of leveraging NRCS resources, addressing local natural resource issues, encouraging collaboration and developing state- and community-level conservation leadership. Proposals will be accepted for projects located in South Dakota.

Proposals are requested from applicants who must be City or township governments; County governments; Native American tribal government (Federally recognized); Nonprofits having a 501(c)(3) status with the IRS (other than institutions of higher education); Nonprofits that do not have a 501 (c)(3) status with the IRS (other than institutions of higher education); Private institutions of higher education; Public and State-controlled institutions of higher education; Special district governments; or State governments for competitive consideration of grant or agreement awards for projects between 1 and 3 years in duration.

This notice identifies the objectives, eligibility criteria, and application instructions for projects. Proposals will be screened for completeness and compliance with the provisions of this notice. Incomplete and/or noncompliant proposals will be eliminated from competition, and notification of elimination will be sent to the applicant.

For new users of Grants.gov, see Section D.1. for information about steps required before submitting an application via Grants.gov.

NRCS anticipates that the amount available for support of this program in FY 2021 as identified below, although the availability and composition of available funds has not yet been determined. The NRCS South Dakota anticipates an estimated total available of $4,300,000 with a minimum of $20,000 and a maximum of $1,000,000. The agency expects the anticipated number of awarded grants/agreements is 16.

Key Dates

Applicants must submit their applications via Grants.gov by 11:59 pm Eastern Time on ***June 8, 2021***. Proposals in the possession of NRCS will be scored as they are received and, if eligible, added to a ranking list of projects for funding consideration throughout FY 2021. Funding decisions will be made by the NRCS State Conservationist, informed by the ranking list and the availability of funding in the programs whose CFDA numbers are listed above.

For technical issues with Grants.gov, contact Grants.gov Applicant Support at 1-800-518-4726 or support@grants.gov. Awarding agency staff cannot support applicants regarding Grants.gov accounts. Questions regarding this notice of funding opportunity (NFO) may be submitted until ***May 7, 2021***. Responses to questions posed will be posted on Grants.gov approximately two weeks before the application due date.

The agency anticipates making selections and expects to execute awards by ***September 30, 2021***.

Federal Funding Floor and Ceiling Amounts

The estimated funding floor for this opportunity is *$20,000.00*, and the estimated funding ceiling is *$1,000,000.00*. The funding floor means the minimum agreement funding amount for the Federal share per agreement awarded. The ceiling is the maximum agreement funding amount for the Federal share per agreement awarded. These numbers refer to the total agreement amount, not any specific budget period.

Federal Financial Assistance Training

The funding available through this NFO is Federal financial assistance. Grants 101 Training is highly recommended for those seeking knowledge about Federal financial assistance. The training is free and available to the public via https://www.cfo.gov/grants-training/. It consists of five modules covering each of the following topics: 1) laws, regulations, and guidance; 2) financial assistance mechanisms; 3) uniform guidance administrative requirements; 4) cost principles; and 5) risk management and single audit. FPAC agencies also apply Federal financial assistance regulations to certain non-assistance awards (e.g., non-assistance cooperative agreements).

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A. PROGRAM DESCRIPTION

The authorizing statutes and regulations for this opportunity are:

Agricultural Conservation Enhancement Program: Food Security Act of 1985, Title XII, Part Subtitle D, Section 1237, Public Law 99-198, as amended by Agricultural Act of 2014, Title II, Part Subtitle D, Section 2301, Public Law 113-79, 128 Stat. 731 -744, 16 U.S.C 3865 - 3865d (CFDA No. 10.931).

Conservation Reserve Program: 16 U.S.C. 3831-3836, 7 CFR 704, 7 CFR 1410; Farm Security and Rural Investment Act of 2002, P.L.107-171 (CFDA No. 10.069).

Conservation Stewardship Program: 16 U.S.C. Section 3838 (CFDA No. 10.924).

Conservation Technical Assistance: 16 U.S.C. 590a-590f, 590q, 7 CFR part 610 (CFDA No. 10.902)

Environmental Quality Incentives Program: Federal Improvement and Reform Act of 1996, P.L. 104-127, as amended; Food Security Act of 1985, Subtitle D, Title XII, 16 U.S.C. 3830 et seq.; Farm Security and Rural Investment Act of 2002, P.L. 107-171 (CFDA No. 10.912)

The purpose of Conservation Collaboration Cooperative Agreements is to leverage NRCS and partner resources to help NRCS to:

1. Improve soil health.
2. Improve landscape performance in dealing with water quantity issues; either excess or inadequate, and downstream impacts.
3. Improve water quality.
4. Improve habitat for local wildlife species of concern.
5. Improve the environmental and economic performance of working agricultural lands.
6. Assist communities and groups to build and strengthen local food projects that provide healthy food and economic opportunities.

Emphasis will be placed on projects that:

1. Build technical capacity of the NRCS to implement Farm Bill Conservation Programs.
2. Build technical capacity of NRCS and partner field conservation employees.
3. Build the capacity of local partners to develop and implement effective projects that align within the mission and scope of NRCS.
4. Leverage non-Federal and non-government resources to achieve positive natural resources conservation outcomes.

NRCS will accept proposals under this notice for single or multiyear projects, not to exceedthree years, with the work to be performed in the state funding the proposal. Applicants should plan their projects based on a potential project start date of September 15, 2021.

B. FEDERAL AWARD INFORMATION

1. Available Funding

a. Estimated Funding

The estimated funding floor for this opportunity is *$20,000.00*, and the estimated funding ceiling is *$1,000,000.00*.

b. Start Dates and Performance Periods

Projects may be between one and three years in duration. Applicants should plan their projects based on an estimated project start date of *September 15, 2021*.

c. Number of Awards

The agency expects to make *up to 16* award(s).

2. Type of Award

a. Type of Federal Award

The agency plans to award a cooperative agreement(s) pursuant to this opportunity.

The agency will be substantially involved in the work performed under the agreement and will undertake activities such as the following outlined below.

Cooperative awards may be used to administer funds. In the event cooperative awards are made, there will be substantial involvement on the part of the NRCS. This would include NRCS collaboration or participation, or close NRCS oversight and control. This could include:

a. Authority to suspend work if specifications or work statements are not met;
b. Review and approval of one stage of work before another may begin;
c. Review and approval of substantive provisions of proposed sub-grants or contracts beyond existing Federal policy;
d. NRCS and recipient collaboration or joint participation;
e. Project monitoring to permit specified kinds of direction or redirection of the work because of interrelationships with and impacts on other projects;
f. Highly prescriptive NRCS requirements that limit recipient discretion;
g. Review and approval of key personnel; or
h. Direct NRCS operational involvement or participation during the activity.

b. Procurement Contracts

The agency does not expect to award procurement contracts associated with this NFO.

c. Eligibility of Renewal or Supplemental Project Applications

Applications for renewal or supplementation of existing projects are eligible to compete with applications for new Federal awards. An application for renewal means an application submitted to continue an existing agreement that meets the

objectives and requirements of this NFO. An application for supplementation of an existing project means an application to add components to an existing agreement so that it would meet the objectives and requirements in this NFO.

C. ELIGIBILITY INFORMATION

1. Eligible Applicants

Applicants and applications must meet eligibility criteria by the application deadline to be considered for award. Eligible applicant type is determined by the implementing program statute. Applicant entities identified in the SAM.gov exclusions database as ineligible, prohibited/restricted, or excluded from receiving Federal contracts and certain Federal assistance and benefits will not be considered for Federal funding, as applicable to the funding being requested under this Federal program (2 CFR 200.206(d)).

Eligibility for this opportunity is limited to the following entity types

- City or township governments
- County governments
- Native American tribal government (Federally recognized)
- Nonprofits having a 501(c)(3) status with the IRS (other than institutions of higher education)
- Nonprofits that do not have a 501 (c)(3) status with the IRS (other than institutions of higher education)
- Private institutions of higher education
- Public and State-controlled institutions of higher education
- Special district governments
- State governments

2. Other

Any award made pursuant to this NFO will be made to a single entity. Applicants that apply as "partnerships" or other similar groupings must clearly describe the relationship between the applicant and the "partner" parties. In all but exceptional cases, it must be reflected in the award as an awardee/subawardee relationship.

An applicant organization may submit more than one application as long as the proposals are for different projects.

Awards made pursuant to this NFO are not Farm Bill incentive contracts; therefore, the awards in and of themselves are not limited by the payment limitation in Chapter 58 of 16 U.S.C. However, any agricultural producers receiving a payment through participation in a project awarded under this NFO must meet the eligibility requirements of 7 CFR Part 12 and 7 CFR Part 1400 and have control of the land involved for the term of the proposed award period.

3. Cost Sharing or Matching

There is no cost sharing nor a specific match requirement for this NFO. However, applications will be evaluated in part on the relative contribution of non-Federal resources to the project. Cost sharing may be achieved with contributions of cash,

services, materials, equipment, or third-party in-kind contributions.

Refer to section D of this NFO for information about any required submittals related to match or cost-share requirements. Refer to section E for a description of how voluntary match will be evaluated. While the pace of cost-sharing/matching may vary throughout the award period, the agency will actively monitor cost-sharing/matching levels as it receives payment requests to ensure the total cost sharing/matching requirement is met by the award period of performance end date. Additional details about cost sharing or matching funds/contributions is located at 2 CFR 200.306.

D. APPLICATION AND SUBMISSION INFORMATION

1. Information for New Users of Grants.gov

a. Overview
While a Grants.gov account is not required to download an NFO and related documents, it is required to submit an application. If your organization has never submitted an application via Grants.gov, please be aware that there are several steps you must take to register your organization before you can submit an application. **Completing those steps takes a significant amount of time.**

For information about the Grants.gov pre-award phase of the grant lifecycle see https://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html.

b. Register to Apply through Grants.gov
Carefully review the registration steps and gather information requested prior to beginning the registration process to avoid last-minute searches for required information. For assistance with the registration process, contact Grants.gov Applicant Support at 1-800-518-4726 or support@grants.gov.

Organizations must complete all steps to register (steps i. through v. below). Complete organization instructions are included on Grants.gov here: https://www.grants.gov/web/grants/applicants/organization-registration.html.

Although creating a Grants.gov account online can take only minutes, DUNS and SAM registrations may take several weeks. Therefore, organizations should begin the process early to ensure they have sufficient time to complete registration and meet required application submission deadlines.

Individuals are not subject to the DUNS or SAM requirement and may begin with step iii., Create a Grants.gov Account, listed below. (Note: Individuals are not eligible for all opportunities.)

c. Steps to Register:

i. *Obtain a DUNS Number:* All entities applying for funding must have a Data Universal Numbering System (DUNS) Number from Dun & Bradstreet (D&B). Applicants must enter the DUNS Number in the data entry field labeled "Organizational DUNS" on the SF-424 form. For more detailed instructions for obtaining a DUNS Number, refer to:

https://www.grants.gov/web/grants/applicants/organization-registration/step-1-obtain-duns-number.html

ii. *Register with SAM:* All organizations must register with System for Award Management (SAM) in order to apply online through Grants.gov. SAM registration must be renewed annually. Part of the SAM registration process includes designating an Electronic Business Point of Contact (EBiz POC). **The EBiz POC plays an integral part in the organization's Grants.gov registration and application process.** The individual in that role must authorize all other roles in Grants.gov. For more detailed instructions for registering with SAM, refer to: https://www.grants.gov/web/grants/applicants/organization-registration/step-2-register-with-sam.html

iii. *Create a Grants.gov Account:* From the Grants.gov webpage (https://www.grants.gov/), click "Register" in the top right-hand corner and follow the on-screen instructions or refer to the detailed instructions here: https://www.grants.gov/web/grants/applicants/registration.html

iv. *Add an Organization Applicant Profile to a Grants.gov Account:* A profile in Grants.gov corresponds to a single applicant organization the user represents (i.e., an applicant) or an individual applicant. If you work for or consult with multiple organizations and have a profile for each, you may log in to one Grants.gov account to access all of your grant applications. To add an organizational profile to your Grants.gov account, enter the DUNS Number for the organization in the UEI (Unique Entity Identifier) field while adding a profile. For more detailed instructions about creating a profile on Grants.gov, refer to: https://www.grants.gov/web/grants/applicants/registration/add-profile.html

v. *EBiz POC Authorized Profile Roles:* After you register with Grants.gov and create an Organization Applicant Profile, you must establish roles for individuals in the organization. The Authorized Organizational Representative (AOR) role is critical; it gives an individual permission to complete and submit applications on behalf of the organization. Without this role, the organization cannot submit any applications. The request for role assignment will be routed to the organization's EBiz POC for approval. Once approved, the AOR can submit an application online. For more detailed instructions about creating a EBiz POC authorized profile on Grants.gov, refer to: https://www.grants.gov/web/grants/applicants/registration/authorize-roles.html

Track Role Status: To track your role request, refer to: https://www.grants.gov/web/grants/applicants/registration/track-role-status.html

d. *Electronic Signature*. When applications are submitted through Grants.gov, the name of the organization applicant with the AOR role that submitted the

application is inserted into the signature line of the application, serving as the electronic signature. The EBiz POC **must** authorize people who are able to make legally binding commitments on behalf of the organization as a user with the AOR role. T**his step (step c.v. above) is often missed and it is crucial for valid and timely submissions.**

e. Workspace. Workspace is a shared, online environment where members of a grant team may simultaneously access and edit different webforms within an application. For each NFO, you can create individual instances of a workspace. An applicant can start an application in Workspace and return to work on it later within Workspace.

f. Apply for an Opportunity. Below is an overview of applying on Grants.gov. For complete instructions on how to apply for opportunities, refer to: https://www.grants.gov/web/grants/applicants/workspace-overview.html

   i. Create a Workspace: Creating a workspace allows you to complete an application online and route it through your organization for review before submitting.

   ii. Complete a Workspace: Add participants to the workspace to work on the application together, complete all the required forms online or by downloading PDF versions, and check for errors before submission. The Workspace progress bar will display the status of your application process as you apply. As you apply using Workspace, you may click the blue question mark icon near the upper-right corner of each page to access context-sensitive help.

      - Adobe Reader: If you decide not to apply by filling out webforms you can download individual PDF forms in Workspace. The individual PDFforms can be downloaded and saved to your local device storage, network drive(s), or external drives, then accessed through AdobeReader.

        NOTE: Visit the Adobe Software Compatibility page on Grants.gov to download the appropriate version of the software at: https://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html

      - Mandatory Fields in Forms: In the forms, you will note fields marked with an asterisk and a different background color. These fields are mandatory fields that must be completed to successfully submit your application.

      - Complete SF-424 Fields First: The forms are designed to fill in common required fields across other forms, such as the applicant name, address, and DUNS Number. Once it is completed, the information will transfer (i.e., prepopulate) to the other forms.

   iii. Submit a Workspace: An application may be submitted through workspace by clicking the Sign and Submit button on the Manage Workspace page, under the Forms tab. Grants.gov recommends submitting your application

package at least 24-48 hours prior to the close date to provide you with time to correct any potential technical issues that may disrupt the application submission.

iv. Track a Workspace Submission: After successfully submitting a workspace application, a Grants.gov Tracking Number (GRANTXXXXXXXX) is automatically assigned to the application. The number will be listed on the Confirmation page that is generated after submission. Using the tracking number, access the Track My Application page under the Applicants tab or the Details tab in the submitted workspace.

For additional training resources, including video tutorials, refer to: https://www.grants.gov/web/grants/applicants/applicant-training.html

2. Electronic Application Package

Applicants interested in submitting an application in response to this NFO must submit it via Grants.gov; the agency will not accept applications submitted via email or any other method. Applicants are urged to submit early to the Grants.gov system.

For technical issues with Grants.gov, contact Grants.gov Applicant Support at 1-800-518-4726 or support@grants.gov. Awarding agency staff cannot support applicants regarding Grants.gov accounts.

Address to Request Application Package
All information necessary to apply for this opportunity is included in the Grants.gov opportunity announcement.

3. Content and Form of Application Submission

The agency may choose not to consider applications that fail to comply with the required content, format, and page limits, or those that are incomplete.To be considered for funding under this opportunity, an application must contain the documents listed below.

a. Project Narrative
Each page must be numbered and have one-inch margins. The text of the project narrative must be single spaced and typed in a standard typeface (e.g., Times New Roman, Arial, Courier) with no smaller than 12-point font. The project narrative must not exceed *seven* pages. This page limitation applies to the project narrative only.

I. Summary: 250 words maximum (1/2 page)
II. Introduction: One (1) page maximum
III. Needs Assessment: One (1) page maximum
IV. Program Objectives: One (1) page maximum
V. Methods: Two (2) page maximum plus Deliverables Narrative
VI. Budget: One (1) page maximum in a narrative format
VII. Evaluation: 250 words maximum (1/2 page)

Description of the Contents of Project Narrative

I. **Project Summary**: *(First page of grant/agreement application. 1/2 page maximum.)* A good summary will provide a frame of reference for the reviewer as they begin the review process. It should be clear, concise, and interesting. The summary should be one to three paragraphs long and include the Project Title. The summary should include one or two sentences about each of the following: the applicant organization, the need motivating the request as defined in Section I.B. the project start and end dates, the measurable outcomes and methodology, other organizations thatwill be involved, and the project total cost, funds already obtained, and theamount requested in this proposal.

II. **Introduction:** (Letters of Support and one (1) page narrative maximum.) This section should introduce your organization to the reviewer and lend credibility to your organization's ability to successfully manage a federal agreement. The response should be succinct, offer a good balance between quantitative and qualitative information, and be free of unnecessary verbiage. It should include a brief history of the organization, including its mission statement and goals, important past events or accomplishments, a description of your clientele, including demographic information, organizational funding sources. Describe your history of successfully managing these federal and non-federal agreements, including meeting and complying with reporting requirements, submitting final acceptable technical reports, and reporting on progress made in achieving the results under those agreements.

III. **Needs Assessment:** (One (1)-page maximum.) The problem statement, or needs assessment, is critical to the success of your application. It is the justification for your proposal and should focus on the condition that your proposal will address. Use care to ensure your proposal make no unsupported assumptions. Your Needs Assessment should demonstrate the tie-in to the organizational mission and goals of the USDA NRCS, describe the issue or problem, and the history of, and need for, the proposed innovation, provide statistical and authoritarian evidence that clearly supports the need identified in the proposal and supports a high probability for success, and make a compelling case for the project funding based demonstrated client needs. It should clearly state how the proposal will assist NRCS with their mission.

IV. **Program Objectives:** (One (1)-page maximum.) This section of the proposal should demonstrate project outcomes, not project activities. You should be using language that **supports** *increasing, decreasing or reducing* the problems identified in the needs assessment. Avoid including topics that pertain to *providing, establishing, or developing* a method to address the problem. Your objectives should include at least one objective for each problem identified in the Needs Assessment. Each objective must be specific, measurable, achievable, realistic, and contain

a timeline for completion. An example objective would be: *At the end of the3-day workshop, 70% of participants will demonstrate at least a 30% increase or better in pre/post-test exams*. Be sure to describe how the outcomes will be measured. Please note that underserved populations identified in this section as primary beneficiaries of the grant's outcomes, such as veterans, culturally specific populations, women, limited resource farmers/ranchers, socially disadvantaged, etc., will receive additional consideration during the ranking process. Explain how these entities willbenefit.

V. **Methods**: (Deliverables Narrative plus two (2)-page.) Methods, activities, and procedures explain to the reviewer the steps that will be taken to complete the objectives identified in the previous section. This section demands clarity and justification in describing how the objectives will be met. Use care to present a reasonable number of activities that can be completed within the grant period. Clearly describe the program client and the program activities, their sequence, and explain your reason for choosing this combination of activities. Provide a detailed description of how the project will be organized and managed. Include a list of key project personnel, their relevant education or experience, and their anticipated contributions to the project. Explain the level of participation required in the project by government and non-government entities and identify who will participate in monitoring and evaluating the project. Please note that demonstrating innovative methods will reflect positively on the applicant during the ranking process.

VI. **Budget Narrative.** (One (1)-page maximum narrative. Careful planning of your budget will reduce the number of changes needed during the award period. In a separate narrative titled "Budget Narrative," explain and justify all requested budget items/costs. The format of this information must be narrative and should be readable in an 8 ½ x 11 printable pages. The information needs to be presented in such a way that the evaluators and NRCS can readily understand what expenses will be incurred to support the project.

Detail how the totals on the SF-424A were determined and demonstrate a clear connection between costs and the proposed project activities. For personnel salary costs, include the base-line salary figures and the estimates of time (as percentages) to be directly charged to the project. Describe any item that under the applicable Federal cost principles requires the agency's approval and estimate its cost. The pages included as the budget narrative do not count toward the page limit. See Instructions for Completing Budget Narrative located in the Related Documents tab of this opportunity on Grants.gov.

As required in Title 2 of the Code of Federal Regulations Part 200, Subpart F Audit Requirements, all U.S. states, local governments, federally-recognized Indian tribal governments, and non-profit

organizations expending $750,000 USD or more in Federal award funds in a fiscal year must submit a Single Audit report for that year through the Federal Audit Clearinghouse's Internet Data Entry System.

All applicants are hereby notified of the following:

- Recipients without an approved indirect cost rate are prohibited from charging indirect costs to a Federal award. (Accepting the 10 percent *de minimis* rate as a condition of award constitutes establishing an approved rate.)
- Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award.
- Recipients are prohibited from shifting unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.

VII. **Evaluation.** (One half (1/2)-page maximum.) This section should clarify your objectives, define the ease with which they can be measured, and clearly state the criteria for how success will be measured using quantifiable methods. Your evaluation methods should determine the extent to which the program has achieved its stated objectives and the extent to which the objectives contributed to the program success. Further, your evaluation should determine whether the delivered program was consistent with the initial plan and identify which program activities individually contributed to the effectiveness of the program. The evaluation should clearly state the criteria of success – describe how success will be measured. The Evaluation should define how progress will be reported and the technical representative will be kept informed.

b. **Application Form**: (Standard Form 424 Application for Federal Assistance) SeeInstructions for Completing SF 424 located in the Related Documents tab of thisopportunity on Grants.gov. The application form and the Standard Form documents do not count toward theabove stated page limit.

c. **Standard Form (SF) 424A, Budget Information - Non-Construction Programs**. Fill in all spaces as appropriate. Section B, Item 6, Column 1 should reflect the agency funds, and Column 2 should reflect the applicant's matching funds. This form is the summary budget for the project and should include the full project totals on pages one and two. See Instructions for Completing SF 424A located in the Related Documents tab of this opportunity on Grants.gov. Refer to Section D of this opportunity for information regarding indirect costs. The application form and the Standard Form documents do not count toward theabove stated page limit.

d. **Grants.gov Lobbying Form, Certification and Disclosure of Lobbying Activities.** Under Title 31 of the United States Code, Section 1352, an applicant

or recipient must not use any federally appropriated funds (both annually appropriated and continuing appropriations) or matching funds under a grant or cooperative agreement award to pay any person for lobbying in connection with the award. Lobbying is defined as influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress connection with the award. Submission of an application also represents the applicant's certification of the statements in 2 CFR Part 418, Appendix A-Certification Regarding Lobbying. If you/your organization have/has made or agrees to make any payment using non-appropriated funds for lobbying, you must also complete and submit the SF LLL, Disclosure of Lobbying Activities located at 2 CFR 418, Appendix B. See 2 CFR, Subpart 418.110 for more information on when additional submission of this form is required.

e. **Negotiated Indirect Cost Rate Agreement (NICRA)** (if applicable). If charging indirect costs (including for cost share), upload the agreement under Other Attachments inthe Grants.gov Opportunity Application Package. See Section D for information regarding indirect costs.

f. **Unique entity identifier/DUNS and System for Award Management (SAM).**Each applicant (unless the applicant is an individual excepted from those requirements under 2 CFR §25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR §25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) provide a valid unique entity identifier (DUNS number) in its application; and (iii) continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. The agency may not make a Federal award to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements and, if an applicant has not fully complied with the requirements by the time the agency is ready to make an award, it may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

   Entities must obtain a DUNS and register in SAM prior to registering with Grants.gov. A description of each is below. Entities are strongly encouraged to apply early for their DUNS number and SAM registration.

   DUNS Number: A Dun and Bradstreet DUNS number is a unique, nine-digit sequence recognized as the universal standard for identifying and keeping track of over 70 million businesses worldwide. Applicants must obtain a DUNS number. Information on how to obtain a DUNS number can be found at http://fedgov.dnb.com/webform or by calling 1-866-705-5711.

   System for Award Management (SAM) Registration: SAM is the official Federal system that consolidated the capabilities of Central Contractor Registry, Federal Agency Registration, Online Representations and

Certifications Application, and Excluded Parties List System. To register, go to: https://www.sam.gov. The Federal Service Desk is available for registration assistance and can be contacted via the Help tab at the website listed above.

Awarding agency staff cannot support applicants regarding DUNS or SAM issues.

The Government intends to replace the D-U-N-S® number with a "new, non-proprietary identifier" requested in, and assigned by SAM.gov. This new identifier is being called the Unique Entity Identifier (UEI), or the Entity ID. See Planned UEI Updates in Grant Application Forms for UEI updates.

4. Submission Dates and Times and Correspondence

Applicants must submit applications via Grants.gov. Applications must be received by 11:59 pm Eastern Time (ET) on ***June 8, 2021***. An application submitted or resubmitted after the deadline is late (an application is considered on time at 11:59 pm ET, but it is late at 12:00 am ET). Late submissions will not be reviewed or considered.

Grants.gov will provide either an error or a successfully received transmission in the form of an email sent to the applicant with the Authorized Organizational Representative (AOR) role attempting to submit the application. The Grants.gov Support Center reports that some applicants end the transmission because they think that nothing is occurring during the transmission process; be patient and give the system time to process the application.

If you have trouble submitting an application to Grants.gov, you should FIRST contact the Grants.gov Help Desk to resolve any issues. Keep a record of any such correspondence. See Part G. for Grants.gov contact information.

For applications successfully transmitted to Grants.gov before the deadline, the applicant with the AOR role who submitted the application will receive:

- an acknowledgement of receipt and a tracking number (GRANTXXXXXXXX) from Grants.gov;
- an email with the official date/time stamp (this stamp is used to determine if the application was received prior to the deadline) and Grants.gov.

When the agency successfully retrieves the application from Grants.gov and acknowledges the download of submissions, Grants.gov will also provide an electronic acknowledgment of receipt of the application to the applicant.

5. Intergovernmental Review

This funding opportunity is not subject to Executive Order 12372, "Intergovernmental Review of Federal Programs."

6. Funding Restrictions

Funds may not be used to pay any of the following costs unless otherwise permitted by law and approved in writing by the agency in advance of incurring such costs:

a. Costs above the amount of funds authorized for the project;
b. Costs incurred prior to the effective date of the award, including time spent applying for this opportunity;
c. Costs which lie outside the scope of the approved project and amendments thereto;
d. Entertainment costs, regardless of their apparent relationship to project objectives;
e. Compensation for injuries to persons, or damage to property arising out of project activities;
f. Consulting services performed by a Federal employee during official duty hours when such consulting services result in the payment of additional compensation to the employee; and
g. Renovation or refurbishment of research or related spaces, the purchase or installation of fixed equipment in such spaces, and the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.
h. Management fees and profit.
i. Meals: Meals may be charged to an award only if they are necessary for the performance of the project. For instance, meals (normally only lunch) that are a necessary part of the costs of meetings and conferences (i.e., required attendance and continuity of a meeting), the primary purpose of which is the dissemination of information, are allowable, as are costs of transportation, rental of facilities, speakers' fees, and other items incidental to such meetings or conferences. Note: Meals consumed while in official travel status do not fall in this category. They are considered to be per diem expenses and should be reimbursed in accordance with the organization's established travel policies subject to statutory limitations or in accordance with Federal travel policies.
j. Costs normally charged as indirect costs may not be charged as direct costs without proper justification and agency approval. Proper justification includes documentation that the costs meet the criteria for allowability (see 2 CFR 200.403). Examples of such costs include rent, utilities, depreciation on buildings and equipment, the costs of operating and maintaining facilities, and general administration and general expenses, such as the salaries and expenses of executive officers, personnel administration, and accounting.
k. Salaries that are not commensurate with level of work: All costs must be reasonable to be allowable (CFR 200.403), and 2 CFR 200.404 defines a reasonable cost as one if, in its nature and amount, it does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the cost. Salaries determined not to be reasonable compared to the level of work will be unallowable.

This list is not exhaustive. Questions regarding the allowances of particular items of cost should be directed to the administrative contact listed in this NFO.

7. **Indirect costs limitations**:

   a. A non-profit organization or institution of higher education awarded a cooperative agreement will be limited to a 10% indirect cost rate in accordance with the Agency's annual appropriations act. The 10% indirect cost rate applies to both federal and non-federal funds. Other types of entities are not subject to this statutory limitation.

   b. To be eligible to recover any indirect cost under a Federal award, recipients must either 1) have a current negotiated indirect cost rate agreement (NICRA) with a Federal agency that has not expired; or 2) qualify for use of the de minimis rate authorized by 2 CFR 200.414(f). A State, local, or tribal governmental department or agency unit that receives more than $35 million in direct Federal funding is not eligible for the de minimis rate.

   c. Applicants not subject to a statutory limitation, which have a current NICRA must calculate indirect costs using the rate and base specified in their NICRA. A recipient may voluntarily reduce or waive recovery of indirect costs at its sole discretion and must not be encouraged or coerced in any way to do so by the agency. A copy of the applicant's current NICRA must be provided with the application. Indirect costs may not be recovered under an expired NICRA. However, if an applicant with a current NICRA is subject to the statutory limitation, indirect costs must be calculated as follows. First, multiply the NICRA rate by the base stated in the NICRA to arrive at Amount A. Next, multiply the statutory limit of 10 percent by the total of all direct costs to arrive at Amount B. The lower of Amount A and B is the amount of indirect cost to include on the budget.

   d. Entities that are eligible for the *de minimis* rate who already have a *de minimis* rate agreement must use the rate and base specified in the agreement, which is modified total direct costs (MTDC) as defined by 2 CFR 200.68 and also excluding the amount of each subaward exceeding $25,000. Note that MTDC excludes certain costs from the base to which the rate is applied. A copy of the applicant's de minimis rate agreement must be provided with the application. If a recipient is eligible to use the de minimis rate, but does not have a de minimis rate agreement, use an indirect cost rate of no more than 10 percent of MTDC when preparing the budget. If selected for award, a de minimis rate agreement will be executed along with the award.

   e. Applicants who are individuals applying for funds separate from a business or non-profit organization they may operate are not eligible to charge indirect costs to their award. If you are an individual applying for funding, do not include any indirect costs in your proposed budget.

E. APPLICATION REVIEW INFORMATION

1. Review and Selection Process

Applications will be screened for completeness and compliance with the provisions of this notice. Incomplete, noncompliant, and/or applications not meeting the formatting criteria may be eliminated from competition. In that event, the agency will send notification of elimination to the applicant. The agency intends to make a selection and award without conducting any discussions or allowing applicants to correct deficiencies or omissions in their applications. Consequently, applicants must ensure their applications are complete and accurate. However, while the agency intends to make a selection without contacting applicants, it reserves the right to request applicants to revise their applications to correct deficiencies or omissions it identifies. If this occurs, the agency will conduct discussions with all applicants, identify deficiencies and omissions for all, and give applicants an opportunity to submit a revised application by a common cut-off date. The agency may also contact individual applicants to clarify certain components of their applications.

Merit/technical reviews will be conducted by a technical review board nominated by the approving official. Risk reviews will be conducted by the FPAC Business Center, Grants and Agreements Division. The approving official will make the final award decisions. The approving official for this opportunity is the State Conservationist.

2. Merit/Technical Criteria

a. Summary: The extent to which the summary clearly summarizes the request. 250 words maximum (1/2 page)

i. Identifies the applicant
ii. Includes a statement on capability to implement the proposal
iii. Includes a statement on problem addressed in the cooperative agreement
iv. Includes a statement on objectives
v. Includes a statement on methods
vi. Includes the total cost, funds already obtained, and the amount requested in this proposal
vii. Includes if the applicant is requesting consideration of a grant or cooperative agreement.
viii. Addresses one or more of the purposes and/or areas of emphasis outlined in Section A, Program Description of this opportunity.

b. Introduction: The extent which the proposal describes the applicant's organization. One (1) page maximum

i. Describes the applicant's organizational purposes and goals
ii. Describes the applicant's programs and activities
iii. Provides evidence of the applicant's accomplishments and qualifications
iv. Supports qualifications in the activity areas in which funds are sought (e.g.: research, training, etc.)
v. Describe the capacity to implement the proposal

c. Needs Assessment: The extent which the proposal describes the need or problem to be

solved. One (1) page maximum

i. Relates to purposes and goals of the applicant agency
ii. Is of reasonable dimensions – not trying to solve all the problems of the world
iii. Is developed with input from clients and beneficiaries
iv. Makes no unsupportive assumptions
v. Makes a compelling case
vi. Identify focal area(s) within the project area or priority criteria

d. Program Objectives: The extent which the proposal describes the outcomes and benefits of the agreement in measurable terms. One (1) page maximum

i. At least one objective for each problem or need committed to in problem statement
ii. Includes when and how outcomes will be measured
iii. Describe the population that will benefit
iv. Objectives are specific, measurable, achievable, realistic, and contain a timeline for completion
v. Identifies underserved communities and how the project will address them

e. Methods: The extent which the proposal describes the activities to be completed to achieve the desired objectives. Two (2) page maximum plus Deliverables Narrative

i. Clearly describes program activities that constitute a solution
ii. States reasons for selections of activities
iii. Activities and outcomes are transferable to other organizations
iv. Describes staffing of program and brief staff job descriptions
v. Project methodology is innovative
vi. Leverages private and/or public-sector partnerships
vii. Presents a reasonable scope of activities that can be conducted within the budget, time and resources of the program.
viii. Describes efforts for sustainability of the project after the agreement expiration.

f. Budget: The extent which the proposal describes the cost required to achieve the desired objectives, including personnel, fringe benefits, travel, equipment, supplies, in-kind contributions, indirect costs, and requested NRCS financial assistance. One (1) page maximum.

i. Is detailed
ii. Is organized in the same manner as the SF-424A
iii. Includes a well written narrative

g. Evaluation: The extent which the proposal presents a plan for determining the degree to which objectives are met and methods are followed. 250 words maximum (1/2 page)

i. Presents a plan for evaluating accomplishments of objectives
ii. Presents a plan for evaluating and modifying methods over the course of the program
iii. Clearly states criteria of success – Describes how success will be measured
iv. Define how progress will be reported and technical representative kept informed

3. Administrative and Risk Criteria

Notice of selection after merit/technical evaluation does not guarantee that an applicant will receive an award. Following notification of selection for funding (see section F), the FPAC Business Center, Grants and Agreements Division's staff

conducts a final administrative and risk review of those applications. The administrative review includes, but is not limited to, a check to ensure that NFO requirements were met (e.g., applicant meets eligibility criteria, application was submitted via Grants.gov by the established deadline), and proposed costs are allowable, allocable and necessary. During this process, it may be necessary to request further documentation from the applicant (e.g., organizational information as part of the risk assessment, more detail regarding proposed costs).

In addition, to comply with the requirements at 2 CFR 200.205, the agency will follow, at a minimum, the risk review process described below (additional steps may be taken).

The awarding agency will check SAM to ensure the applicant is not suspended or debarred, which would preclude receiving an award. In addition, prior to making a Federal award with a total Federal share greater than the simplified acquisition threshold ($250,000), the agency must review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (the Federal Awardee Performance Integrity Information System, FAPIIS) (see 41 U.S.C. 2313 and 2 CFR 200.205(a)).

An applicant must meet the following standards to be considered for award:

a. Financial Stability. The applicant maintains an adequate financial resources or cash flow to meet its financial obligations on a routine basis in order to successfully complete any agreement it may be awarded.
b. Quality of Management Systems and Ability to meet Management Standards prescribed in 2 CFR Part 200. The applicant has a financial management system adequate to segregate and track federal funds. It has adequate systems in place for proper agreement administration; compliance with the standards outlined in 2 CFR Part 200 Section D for procurement, property, and records management; and required financial and performance reporting.
c. History of Performance. If the applicant has previously obtained Federal financial assistance award, it has never failed to materially comply with the Federal award terms and conditions and further that it has never had an award terminated on that basis.

Submission of an application constitutes certification that an applicant meets these standards (items a through c. above). The agency may request documentation to substantiate the certification. Based on risk assessment, the agency may impose specific award conditions in accordance with 2 CFR 200.207.

4. Awards Over the Simplified Acquisition Threshold (if applicable)

a. Prior to making a Federal award with a total Federal share greater than the simplified acquisition threshold ($250,000), the agency must review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (the Federal Awardee Performance Integrity Information System, FAPIIS) (see 41 U.S.C. 2313 and 2 CFR 200.205(a));

b. An applicant may review information in FAPIIS accessible through SAM and comment on any information about it that a Federal awarding agency previously entered;

c. The agency will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in 2 CFR 200.205, Federal awarding agency review of risk posed by applicants.

5. Anticipated Selection Announcement and Federal Award Dates

The agency anticipates announcing or notifying successful and unsuccessful applicants by *September 1, 2021* and expects to have Federal awards in place by *September 30, 2021.*

F. FEDERAL AWARD ADMINISTRATION INFORMATION

1. Federal Award Notices

The agency will provide notice that an application has been selected before it actually makes the Federal award. As such, the selection notification is not an authorization to begin performance. Any pre-award costs incurred by the awardee will not be reimbursed. The Notice of Grant and Agreement Award (ADS-093) signed by the authorized agency official is the only authorizing document and will be provided electronically to the entity's authorized official for signature.

Both successful and unsuccessful applicants with be notified of the award decision via letter.

2. Administrative and National Policy Requirements

All project funds will be used in accordance with 2 CFR 200 and the General Terms and Conditions, which are included in the Related Documents tab of the opportunity on Grants.gov.

Projects performed pursuant to this opportunity may be subject to the National Environmental Policy Act (NEPA). If applicable, NRCS must work with awardees to complete an environmental review of each awarded project before project commencement. In addition, prior to any ground-disturbing activities related to NRCS funding, the awardee must work with NRCS staff to complete an environmental evaluation (EE) related to those activities. Awardees may be required to prepare or pay for preparation of an environmental assessment (EA) or environmental impact statement (EIS), should any environmental review find that an EA or EIS is required.

In addition, a National Historic Preservation Act (NHPA), Section 106 review and consultation by an NRCS State or area office with consulting parties (such as the pertinent State Historic Preservation Officer and federally recognized Indian Tribes) may be required prior to the implementation of project activities that have the potential to impact cultural resources. NHPA Section 106, its implementing regulations (36 CFR Part 800), and other related authorities, require Federal agencies to determine if a project has the potential to cause an effect to historic properties and, if so, if they are adverse and how the effects may be addressed. The NHPA review and compliance in accordance with section 106 of NHPA and implementing regulations at 36 CFR Part 800 must be completed by NRCS and applicants may be required to pay for any cultural resource surveys needed for NRCS to assess project effects. More information on the applicant's role in the NHPA Section 106 process can be found on the Advisory Council on Historic Preservation website at https://www.achp.gov/digital-library-section-106-landing/section-106-applicant-toolkit.

Consultation with the U.S. Fish & Wildlife Service and/or the National Marine Fisheries Service under the section 7 of the Endangered Species Act (ESA) is also required for projects that may affect listed or proposed species or destroy or modify critical habitat. The ESA consultation in accordance with section 7 of the ESA and implementing regulations at 50 CFR Part 402 must be completed by NRCS prior to the implementation of project activities that have the potential to impact species or habitat protected under the ESA. More information on the section 7 consultation process can be found at https://www.fws.gov/endangered/what-we-do/consultations-overview.html.

3. Reporting

Upon awarding of the agreement, successful applicants will provide a descriptive summary, or abstract, of their project and objectives to the State Program Manager not to exceed one page.

Successful applicants will submit a written semi-annual report to the agency liaison assigned to the grant or agreement. Applicants will submit a written final report to the agency liaison assigned to the grant or agreement. The final reportwill be prepared in an easy-to-read, plain language format that includes a summary (one to several paragraphs) and additional graphics or pages as needed to convey the pertinent information of the completed project.

Reporting will follow the guidelines included in the agency's General Terms and Conditions, which are included in the Related Documents tab of the opportunity on Grants.gov.

If the Federal share of any agreement awarded under this opportunity notice may include more than $500,000 over the period of performance, recipients must also comply with the post award reporting requirements reflected in Appendix XII of 2 CFR Part 200 —Award Term and Condition for Recipient Integrity and

Performance Matters.

Applicants that receive awards pursuant to this opportunity and any subawardees must comply with the reporting requirements described at 2 CFR Part 170, unless an exception applies. Applicants must ensure they have the necessary processes and systems in place to comply with those requirements. A list of exceptions can be found at 2 CFR 170.110(b).

G. FEDERAL AWARDING AGENCY CONTACT

For questions regarding this opportunity, please contact the following individual with the NFO number in the subject line:

Name: Sondra Watkins
Grants Management Specialist
FPAC Business Center
Phone Number: (202) 572-5848
Email: sondra.watkins@usda.gov with a copy to FPAC.BC.GAD@USDA.GOV

H. OTHER INFORMATION

1. Questions regarding this opportunity must be submitted to the Federal Awarding Agency Contact identified above via email with the NFO number in the subject line. Questions must be submitted by **May 7, 2021**. A summary of questions and answers will be posted to the Related Documents tab of this NFO in Grants.gov approximately two weeks before the application due date.

2. For technical issues with Grants.gov, please contact Grants.gov Applicant Support at 1-800-518-4726 or support@grants.gov. Awarding agency staff cannot support applicants regarding Grants.gov accounts.

3. **Freedom of Information Act (FOIA)**
   Applications are considered confidential information. Applications are not shared with individuals or entities seeking public disclosure through the Freedom of Information Act (FOIA) without the consent of the applicant. More specifically, Executive Order 12600 and USDA FOIA regulation 7 CFR Part 1, Subpart A requires the awarding agency to provide notice to applicants that a third party has requested copies of their business information, and requires the awarding agency to consult with applicants regarding the releasing their records.

4. **Government Obligation**
   The Federal Government is not obligated to make any Federal award as a result of this opportunity. Only authorized federal officials can bind the Federal Government to the expenditure of funds.

U.S. Department of Agriculture Non-Discrimination Statement

In accordance with Federal civil rights law and U.S. Department of Agriculture (USDA)

civil rights regulations and policies, the USDA, its Agencies, offices, and employees, and institutions participating in or administering USDA programs are prohibited from discriminating based on race, color, national origin, religion, sex, gender identity (including gender expression), sexual orientation, disability, age, marital status, family/parental status, income derived from a public assistance program, political beliefs, or reprisal or retaliation for prior civil rights activity, in any program or activity conducted or funded by USDA (not all bases apply to all programs). Remedies and complaint filing deadlines vary by program or incident.

Persons with disabilities who require alternative means of communication for program information (e.g., Braille, large print, audiotape, American Sign Language, etc.) should contact the responsible Agency or USDA's TARGET Center at (202) 720-2600 (voice and TTY) or contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information may be made available in languages other than English.

To file a program discrimination complaint, complete the USDA Program Discrimination Complaint Form, AD-3027, found online at https://www.ascr.usda.gov/filing-program-discrimination-complaint-usda-customer and at any USDA office or write a letter addressed to USDA and provide in the letter all of the information requested in the form. To request a copy of the complaint form, call

(866) 632-9992. Submit your completed form or letter to USDA by:

(1) mail: U.S. Department of Agriculture
Office of the Assistant Secretary for Civil Rights

1400 Independence Avenue, SW

Washington, D.C. 20250-9410;

(2) fax: (202) 690-7442; or

(3) email: program.intake@usda.gov.

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