



USAID | EGYPT

FROM THE AMERICAN PEOPLE

Questions and Answers for RFA No. 263-10-029-RFA Regional Development Research Partnership Program 6/17/2010

Technical Questions

1. Question: I am interested in any information that you could provide on what is required to receive grant money for this project.
Answer: All relevant information is contained in the referenced Request for Applications (RFA), posted to the internet on grants.gov. Please read thoroughly.
2. Question: Please let me know if it is all possible for universities to get involved with this project. I am currently teaching at a four-year college and would like to know if institutions of higher education can apply for this grant.
Answer: Please refer to the RFA, Section III, Eligibility. US organizations are eligible, including US institutions of higher education, non-profit organizations, and US commercial organizations.
3. Question: I would like to draw your attention to the mention of Attachment 1 on page 7 of the PDF, and the attachment itself which identifies research institutions in the region. We are very keen for Dubai School of Government to be included in this list for people's reference in your updated document and for future reference. The Dubai School of Government is a think tank and school of public policy and administration focusing on the 22 Arab countries, based in Dubai, UAE and Licensed by the Ministry of Higher Education and Scientific Research in the UAE. Our research areas of focus are Youth and Inclusion, Education and Entrepreneurship, Gender and Public Policy, ICT in Governance and Public Sector Management.
Answer: Attachment 1 provided an illustrative / partial listing of organizations for reference purposes.
4. Question: I was wondering if USAID is also seeking private companies as well as NGO's and whether they can apply or not?
Answer: Please refer to the RFA, Section III, Eligibility.
5. Question: This question concerns partnership with research institutions located in middle eastern countries where USAID does NOT have ongoing programming, but whose work is focused on countries where the USAID does have programming; i.e. Egypt, Jordan, West Bank/Gaza, Lebanon, Yemen, Morocco, and Iraq. For example, would a teaming agreement with the International Center for Agricultural Research in the Dry Areas in Syria (listed in "Attachment 1: Regional Research Institutions" under the RFA) be feasible under this RFA?

Answer: Yes, as per RFA, Section III, Eligibility, international organizations such as ICARDA are eligible as Applicants or in a teaming arrangement.

6. Question: I would like to confirm whether organizations headquartered in the U.S. but with research specifically targeted at the MENA region (such as Brookings' Doha Center and the Middle East Research and Information Portal) may be included in research networks.

Answer: Yes, as per RFA, Section III, Eligibility, US and international organizations are eligible.

7. Question: The RFA emphasizes the information gathering and dissemination components of the project, as opposed to analysis, assessment, or evaluation which can sometimes be used for project design. However, the RFA occasionally references, as examples, the use of information for formulation of planning, strategy, and project implementation. Does USAID anticipate that the implementer of this project would run any risk of being excluded from bidding on procurement arising from information generated by this project in the sectors of climate change, youth, or democracy, or any other sectors that may be addressed? Does USAID expect the project to be used for assessment or evaluation, and if so, would such evaluations or assessments potentially prohibit the implementer from bidding on potential procurement?

Answer: USAID's expectation is that these issues will not arise given the regional nature of the program and its focus on comparative evaluation, analysis and information dissemination. As to general organizational conflict of interest (OCI) issues arising due to design-related work: Work completed under an assistance agreement generally will not be the basis of a contract design; however, if such work does lead "directly, predictably and without delay" to a contract design, it will be subject to OCI restrictions. That said, we do not expect this project to be the basis of a contract design. As to OCI issues arising due to evaluation tasks: OCI restrictions may apply, depending on the facts of a particular evaluation at that time; you are encouraged to work with the Agreement Officer and AOTR (Agreement Officer Technical Representative) to mitigate any potential OCI before engaging in the task if you think OCI issues may arise.

8. Question: If USAID foresees no such conflict because of the information nature of the project, is it possible to make such a statement as often appears in other RFA's such as: "USAID has made a determination that, because the awardee will not be involved in research that contributes directly to specific procurement, there will be no organizational conflict of interest (OCI) restrictions that would prevent the awardee from participating in future solicitations for development work in the MENA region."

Answer: This statement cannot be made at this time, as some OCI restrictions are mandatory. However, OCI restrictions are not normally required when organizations participate in matters regarding only assistance instruments.

9. Question: The last bullet on the bottom of p.3 is incomplete. it says : "Assemble and disseminate publications and research findings on". Please kindly provide an illustrative list of types of publications anticipated.

Answer: The full paragraph should read as follows:

- Disseminate information and translate Arabic language analyses of relevant regional issues. Assemble and disseminate significant publications and research findings on development issues to the regional USAID community. Highlight/disseminate successful local initiatives.

10. Question: In reference to bullets 3 & 4 on p. 3, will interested/participating Missions or the project fund these events and training sessions?

Answer: Cost share may be provided by interested Missions.

11. Question: The cost of translation services will be quite high. Is it anticipated that there will be two-way translation services required (Arabic to English as well as English to Arabic)?

Answer: Two-way translation services are expected to be required on a selective basis.

12. Question: Under Component B (p. 7) it states "... this component will have a total three-year value of \$1,500,000." We would greatly appreciate expansion of this statement. For example, are grant management, administration, and monitoring costs to be included as part of this amount or are they to be separate? Are only grantees anticipated to conduct research, evaluations, and analyses?

Answer: This figure was provided as a reference amount to assist in initial program planning and budgeting. It represents the amount for grants, without management, administration or monitoring costs. Research, evaluation and analyses may be conducted by the grantee, sub-grantee(s) or through other types of arrangements.

13. Question: On Page 3 – final bullet states "... *translate Arabic language analyses...*" Are expectations that translations are only from Arabic to English or also from English to Arabic? What about translation from French to Arabic and vice versa?

Answer: Translations may be required from Arabic to English, English to Arabic or from other languages to Arabic and/or English depending upon the source document.

14. Question: On Page 6 – under Program Components Bullet B. it states "*Support the development of applied research and analysis in areas that address specific regional needs.*" By "Support" is this meant to include both financial and technical support?

Answer: Support may be financial and/or technical.

15. Question: On Page 6 – bottom of the page, last bullet states "*Translating the most significant research before disseminating it to USAID Missions and other development actors (see component D)*" How is "most significant" to be determined?

Answer: The most significant research shall be defined by the applicant. In this context, the most significant research is expected to be that which is most relevant and applicable to USAID development programming in the region.

16. Question: On Page 7 – first bullet states “*The program may make use of USAID’s preliminary assessment of the status of networks and portals in MENA.*” Can USAID make the preliminary assessment available to all applicants before proposals are due?
Answer: Please see the attachments to the RFA.
17. Question: On Page 7 – second bullet states “*Producing summaries of research to extract the most critical points for development audiences*”. How will “most critical” be determined?
Answer: The applicant shall define the most critical points. In this context, “most critical” means that which is most relevant and applicable to development audiences in the region.
18. Question: On Page 7 – first paragraph following bullets states “*Activities under this component are expected to lead to enhanced engagement of, and analytical centers ...*” What are analytical centers? Can USAID provide some illustrative examples of analytical centers?
Answer: Please see attachments to the RFA for illustrative examples of regional research / analytical centers.
19. Question: On Page 9 – first bullet states “*Creating a resource hub The hub will share information about tools and trainings that have proved successful, particularly those adapted to e-learning interfaces.*” What constitutes a successful tool or training from USAID’s perspective? In other words what are the criteria or indicators of success per USAID?
Answer: Successful tools or training are those which achieved the desired impact and results.
20. Question: On Page 9 – third bullet states “*These events will gather eminent thinkers in a particular field and local practitioners, leaders, and entrepreneurs. USAID expects participants to prepare for the events by connecting with other participants beforehand and finding ways to maximize conference time.*” What are the expected outcomes of these meetings? Are there outputs that are expected like products, or specific purpose beyond sharing of knowledge?
Answer: The expected outcomes of the meetings would vary depending on the specific topic and objectives.
21. Question: On Page 11 – second item states “*Technical concurrence with the proposed research activities under Component B on a quarterly basis*”. What is meant by “Technical concurrence”?
Answer: USAID will concur with the proposed research activities before they are undertaken.
22. Question: On Page 12 – under bullet iv. Can teaming agreements be in the annex or are they meant to be part of the 3 page Program Organization/Management section?
Answer: Teaming arrangements should be described in the Program Organization / Management section.

23. Question: On Page 12 – under bullet v. – it states “*Past Performance (as many pages as needed) – to include a listing of all contracts, grants, or cooperative agreements involving similar or related programs during the three years before the application.*” Please clarify if these should be ones that have been awarded in the past three years or if they could be operational projects? If the answer is operational in the past 3 years, can projects that ended in the past year or 2 be included as long as they were in operation in the past 3 years?

Answer: The applicant should include information on similar or relevant programs that were operational in the past three years.

24. Question: Matching Fund Question: Does the application allow applicants the opportunity to provide matching funds?

Answer: Although cost share is not required, applicants may provide matching funds.

25. Question: Key staff are not mentioned in this solicitation. Does USAID have any requirements for key staff or proposed staffing structure?

Answer: No. Applicants should present the management structure and staffing that would best support program implementation.

26. Question: USAID does not list any requirements for appendices to the narrative proposal. We will assume that bidders will be able to determine a reasonable number and appropriate kind of appendices. Please confirm that this is correct.

Answer: That is not correct. The Program Description is limited to 15 pages in all; annex is limited to past performance information. Additional pages will not be accepted or evaluated as part of the application.

27. Question: At the bottom of page 3, the final sentence of the fifth bullet is cut off. Can you please provide the remaining text?

Answer: See response above.

28. Question: On page 4, USAID names an activity as “Disburse small applied grants that test new development hypotheses”.

Is this activity the same as the “research grants” activity mentioned as illustrative on page 8?

Answer: No.

Can USAID suggest a cost-per-grant or total cost of grants over the period of performance?

Answer: Applicants should develop their own estimates based on projected need and activity scope.

29. Question: On page 10, USAID states that an expected overall outcome will be the “Replication or scaling up of successful programs across the MENA region.” Could USAID clarify this? Does this suggest that the grantee will be responsible for the

Answer: The grantee would be responsible for the dissemination of information which may lead to replication or scaling up of activities by other MENA missions.

30. Question: Can USAID provide the geographic code for this award?

Answer: For awards with a total procurement element of \$250,000 or under, the geographic code in order of preference will be Code 000, the cooperating country, Code 941, and Code 935.

31. Question: USAID notes that the countries of interest include: Egypt, Jordan, West Bank / Gaza, Lebanon, Yemen, Morocco and Iraq. Of these, are there countries that are of greater interest to USAID than others? Within these seven countries, does USAID have a preferred geographic focus or priority?

Answer: All countries are of equal interest for the purposes of this RFA. However, certain areas of research or analysis may be more relevant to some countries than others.

32. Question: The RFA does not make mention of the project's sustainability or any requirement or evaluation criteria related to providing a sustainability plan. The proposal does specify, however, that the project will build on USAID/Washington's Knowledge Services Center, including the Development Experience Clearinghouse and research assistance. When designing proposals, should applicants assume that project activities will be carried on by USAID's Knowledge Services Center or another USAID entity following completion?

Answer: Applicants should focus on the three year program period. USAID's intention is to continue these activities beyond this period, subject to availability of funds and an evaluation of program effectiveness.

33. Question: On Page 12 – under bullet (a) type of font is not specified. Can any font type be used as long as it is 12 font size?

Answer: The font to be used is "Times New Roman".

34. Question: Branding and Marking Plan: USAID would only require such a plan at a later stage? We understand that it does not have to be submitted with the main application by July 10th. Please confirm.

Answer: Confirmed, Branding and Marking Plan is required upon request at a date later than July 10th.

35. Question: Certifications and Assurances: Can you please indicate where we can find the required formats?

Answer: See attachment to this Q&A.

Cost Questions

1. Question: On Page 14 – bullet 8 states “*In the event of system problems with electronic submission, please contact Dana Rose and Botros Wilson at Cairoproposals@usaid.gov for alternate submission instructions.*” Can USAID provide an alternative submission process now in case at the last minute the email system doesn’t work and reaching named individuals is not possible due to time difference?

Answer: We require electronic submission by the submission due date. If you know in advance that you cannot submit electronically, contact us for alternate instructions.

2. Question: Is there a specific version of the Microsoft Office required such as Microsoft Word 1997-2003 or Microsoft Excel 2007?

Answer: No.

3. Question: Page 1 of the RFA indicates the budget will be \$8.5 million but page 10 says it will be between \$7.5 and \$8.5 million. Could USAID please confirm the correct amount? If not, we assume we can budget the higher figure and that USAID will inform us later when this information is available in order to revise the budget.

Answer: The range is between \$7.5 and 8.5 million.

4. Question: Since there are no other indications of the contents of the cost proposal in addition to the SF 424s, the budget, and the detailed narrative, we assume that no other documents are required. Could USAID please confirm that these are the only documents needed?

Answer: Please refer to the RFA Cost Application Format instructions.

5. Question: Would it be possible to extend the "Application Submission Date"?

Answer: No, an extension is not possible.

Attachment 1:

**Certifications, Assurances, and Other Statements of the Recipient
(May 2006)**

NOTE: When these Certifications, Assurances, and Other Statements of Recipient are used for cooperative agreements, the term "Grant" means "Cooperative Agreement".

Part I – Certifications and Assurances

1. Assurance of Compliance with Laws and Regulations Governing Non-Discrimination in Federally Assisted Programs

Note: This certification applies to Non-U.S. organizations if any part of the program will be undertaken in the United States.

(a) The recipient hereby assures that no person in the United States shall, on the bases set forth below, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under, any program or activity receiving financial assistance from USAID, and that with respect to the Cooperative Agreement for which application is being made, it will comply with the requirements of:

(1) Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352, 42 U.S.C. 2000-d), which prohibits discrimination on the basis of race, color or national origin, in programs and activities receiving Federal financial assistance;

(2) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), which prohibits discrimination on the basis of handicap in programs and activities receiving Federal financial assistance;

(3) The Age Discrimination Act of 1975, as amended (Pub. L. 95-478), which prohibits discrimination based on age in the delivery of services and benefits supported with Federal funds;

(4) Title IX of the Education Amendments of 1972 (20 U.S.C. 1681, et seq.), which prohibits discrimination on the basis of sex in education programs and activities receiving Federal financial assistance (whether or not the programs or activities are offered or sponsored by an educational institution); and

(5) USAID regulations implementing the above nondiscrimination laws, set forth in Chapter II of Title 22 of the Code of Federal Regulations.

(b) If the recipient is an institution of higher education, the Assurances given herein extend to admission practices and to all other practices relating to the

treatment of students or clients of the institution, or relating to the opportunity to participate in the provision of services or other benefits to such individuals, and shall be applicable to the entire institution unless the recipient establishes to the satisfaction of the USAID Administrator that the institution's practices in designated parts or programs of the institution will in no way affect its practices in the program of the institution for which financial assistance is sought, or the beneficiaries of, or participants in, such programs.

(c) This assurance is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts, or other Federal financial assistance extended after the date hereof to the recipient by the Agency, including installment payments after such date on account of applications for Federal financial assistance which was approved before such date. The recipient recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations and agreements made in this Assurance, and that the United States shall have the right to seek judicial enforcement of this Assurance. This Assurance is binding on the recipient, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this Assurance on behalf of the recipient.

2. Certification Regarding Lobbying

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal Cooperative Agreement, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, United States Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

"The undersigned states, to the best of his or her knowledge and belief, that: If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure."

3. Prohibition on Assistance to Drug Traffickers for Covered Countries and Individuals (ADS 206)

USAID reserves the right to terminate this Agreement, to demand a refund or take other appropriate measures if the Grantee is found to have been convicted of a narcotics offense or to have been engaged in drug trafficking as defined in 22 CFR Part 140. The undersigned shall review USAID ADS 206 to determine if any certifications are required for Key Individuals or Covered Participants.

If there are COVERED PARTICIPANTS: USAID reserves the right to terminate assistance to or take other appropriate measures with respect to, any participant approved by USAID who is found to have been convicted of a narcotics offense or to have been engaged in drug trafficking as defined in 22 CFR Part 140.

4. Certification Regarding Terrorist Financing, Implementing Executive Order 13224

By signing and submitting this application, the prospective recipient provides the certification set out below:

1. The Recipient, to the best of its current knowledge, did not provide, within the previous ten years, and will take all reasonable steps to ensure that it does not and will not knowingly provide, material support or resources to any individual or entity that commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated, or participated in terrorist acts, as that term is defined in paragraph 3.

2. The following steps may enable the Recipient to comply with its obligations under paragraph 1:

a. Before providing any material support or resources to an individual or entity, the Recipient will verify that the individual or entity does not (i) appear on the master list of Specially Designated Nationals and Blocked Persons, which list is maintained by the U.S. Treasury's Office of Foreign Assets Control (OFAC) and is available online at OFAC's website : <http://www.treas.gov/offices/eotffc/ofac/sdn/t11sdn.pdf>, or (ii) is not included in any supplementary information concerning prohibited individuals or entities that may be provided by USAID to the Recipient.

b. Before providing any material support or resources to an individual or entity, the Recipient also will verify that the individual or entity has not been designated by the United Nations Security (UNSC) sanctions committee established under UNSC Resolution 1267 (1999) (the "1267 Committee") [individuals and entities linked to the Taliban, Usama bin Laden, or the Al Qaida Organization]. To determine whether there has been a published designation of an individual or entity by the 1267 Committee, the Recipient should refer to the consolidated list available online at the Committee's website: <http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm>.

c. Before providing any material support or resources to an individual or entity, the Recipient will consider all information about that individual or entity of which it is aware and all public information that is reasonably available to it or of which it should be aware.

d. The Recipient also will implement reasonable monitoring and oversight procedures to safeguard against assistance being diverted to support terrorist activity.

3. For purposes of this Certification-

a. "Material support and resources" means currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safehouses, false documentation or identification,

communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials.”

b. “Terrorist act” means-

(i) an act prohibited pursuant to one of the 12 United Nations Conventions and Protocols related to terrorism (see UN terrorism conventions Internet site:

<http://untreaty.un.org/English/Terrorism.asp>); or

(ii) an act of premeditated, politically motivated violence perpetrated against noncombatant targets by subnational groups or clandestine agents; or

(iii) any other act intended to cause death or serious bodily injury to a civilian, or to any other person not taking an active part in hostilities in a situation of armed conflict, when the purpose of such act, by its nature or context, is to intimidate a population, or to compel a government or an international organization to do or to abstain from doing any act.

c. “Entity” means a partnership, association, corporation, or other organization, group or subgroup.

d. References in this Certification to the provision of material support and resources shall not be deemed to include the furnishing of USAID funds or USAID-financed commodities to the ultimate beneficiaries of USAID assistance, such as recipients of food, medical care, micro-enterprise loans, shelter, etc., unless the Recipient has reason to believe that one or more of these beneficiaries commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated or participated in terrorist acts.

e. The Recipient’s obligations under paragraph 1 are not applicable to the procurement of goods and/or services by the Recipient that are acquired in the ordinary course of business through contract or purchase, e.g., utilities, rents, office supplies, gasoline, etc., unless the Recipient has reason to believe that a vendor or supplier of such goods and services commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated or participated in terrorist acts.

This Certification is an express term and condition of any agreement issued as a result of this application, and any violation of it shall be grounds for unilateral termination of the agreement by USAID prior to the end of its term.

5. Certification of Recipient

By signing below the recipient provides certifications and assurances for (1) the Assurance of Compliance with Laws and Regulations Governing Non-Discrimination in Federally Assisted Programs, (2) the Certification Regarding Lobbying, (3) the Prohibition on Assistance to Drug Traffickers for Covered Countries and Individuals (ADS 206) and (4) the Certification Regarding Terrorist Financing Implementing Executive Order 13224 above.

RFA/APS No. _____

Application No. _____

Date of Application _____

Name of Recipient _____

Typed Name and Title _____

Signature _____

Date _____

Part II – Key Individual Certification Narcotics Offenses and Drug Trafficking

I hereby certify that within the last ten years:

1. I have not been convicted of a violation of, or a conspiracy to violate, any law or regulation of the United States or any other country concerning narcotic or psychotropic drugs or other controlled substances.
2. I am not and have not been an illicit trafficker in any such drug or controlled substance.
3. I am not and have not been a knowing assistor, abettor, conspirator, or colluder with others in the illicit trafficking in any such drug or substance.

Signature:

Date:

Name:

Title/Position:

Organization:

Address:

Date of Birth:

NOTICE:

1. You are required to sign this Certification under the provisions of 22 CFR Part 140, Prohibition on Assistance to Drug Traffickers. These regulations were issued by the Department of State and require that certain key individuals of organizations must sign this Certification.
2. If you make a false Certification you are subject to U.S. criminal prosecution under 18 U.S.C. 1001.

Part III – Participant Certification Narcotics Offenses and Drug Trafficking

1. I hereby certify that within the last ten years:

a. I have not been convicted of a violation of, or a conspiracy to violate, any law or regulation of the United States or any other country concerning narcotic or psychotropic drugs or other controlled substances.

b. I am not and have not been an illicit trafficker in any such drug or controlled substance.

c. I am not or have not been a knowing assister, abettor, conspirator, or colluder with others in the illicit trafficking in any such drug or substance.

2. I understand that USAID may terminate my training if it is determined that I engaged in the above conduct during the last ten years or during my USAID training.

Signature: _____

Name: _____

Date: _____

Address: _____

Date of Birth: _____

NOTICE:

1. You are required to sign this Certification under the provisions of 22 CFR Part 140, Prohibition on Assistance to Drug Traffickers. These regulations were issued by the Department of State and require that certain participants must sign this Certification.

2. If you make a false Certification you are subject to U.S. criminal prosecution under 18 U.S.C. 1001.

Part IV – Certification of Compliance with the Standard Provisions Entitled “Condoms” and “Prohibition on the Promotion or Advocacy of the Legalization or Practice of Prostitution or Sex Trafficking.”

Applicability: This certification requirement only applies to the prime recipient. Before a U.S. or non-U.S. non-governmental organization receives FY04-FY08 HIV/AIDS funds under a grant or cooperative agreement, such recipient must provide to the Agreement Officer a certification substantially as follows:

“[Recipient's name] certifies compliance as applicable with the standard provisions entitled “Condoms” and “Prohibition on the Promotion or Advocacy of the Legalization or Practice of Prostitution or Sex Trafficking” included in the referenced agreement.”

RFA/APS No.	_____
Application No.	_____
Date of Application	_____
Name of Applicant/Subgrantee	_____
Typed Name and Title	_____

Signature	_____

Part V – Survey on Ensuring Equal Opportunity for Applicants

Applicability: All RFA's must include the attached Survey on Ensuring Equal Opportunity for Applicants as an attachment to the RFA package. Applicants under unsolicited applications are also to be provided the survey. (While inclusion of the survey by Agreement Officers in RFA packages is required, the applicant's completion of the survey is voluntary, and must not be a requirement of the RFA. The absence of a completed survey in an application may not be a basis upon which the application is determined incomplete or non-responsive. Applicants who volunteer to complete and submit the survey under a competitive or non-competitive action are instructed within the text of the survey to submit it as part of the application process.)

Survey on Ensuring Equal Opportunity for Applicants

Part VI – Other Statements of Recipient

1. Authorized Individuals

The recipient represents that the following persons are authorized to negotiate on its behalf with the Government and to bind the recipient in connection with this application or grant:

Name	Title	Telephone No.	Facsimile No.

2. Taxpayer Identification Number (TIN)

If the recipient is a U.S. organization, or a foreign organization which has income effectively connected with the conduct of activities in the U.S. or has an office or a place of business or a fiscal paying agent in the U.S., please indicate the recipient's TIN:

TIN: _____

3. Data Universal Numbering System (DUNS) Number

(a) In the space provided at the end of this provision, the recipient should supply the Data Universal Numbering System (DUNS) number applicable to that name and address. Recipients should take care to report the number that identifies the recipient's name and address exactly as stated in the proposal.

(b) The DUNS is a 9-digit number assigned by Dun and Bradstreet Information Services. If the recipient does not have a DUNS number, the recipient should call Dun and Bradstreet directly at 1-800-333-0505. A DUNS number will be provided immediately by telephone at no charge to the recipient. The recipient should be prepared to provide the following information:

- (1) Recipient's name.
- (2) Recipient's address.
- (3) Recipient's telephone number.
- (4) Line of business.
- (5) Chief executive officer/key manager.
- (6) Date the organization was started.
- (7) Number of people employed by the recipient.
- (8) Company affiliation.

(c) Recipients located outside the United States may obtain the location and phone number of the local Dun and Bradstreet Information Services office from the Internet Home Page at <http://www.dbisna.com/dbis/customer/custlist.htm>. If an offeror is unable to locate a local service center, it may send an e-mail to Dun and Bradstreet at globalinfo@dbisma.com.

The DUNS system is distinct from the Federal Taxpayer Identification Number (TIN) system.

DUNS: _____

4. Letter of Credit (LOC) Number

If the recipient has an existing Letter of Credit (LOC) with USAID, please indicate the LOC number:

LOC: _____