MODIFICATION OF ASSISTANCE

1. MODIFICATION NUMBER	2. EFFECTIVE DATE OF MODIFICATION	3. AWARD NUMBER:	4. EFFECTIVE DATE OF AWARD :
01	See block 15	N/A	N/A

5. GRANTEE:
TO BE DETERMINED

DUNS NO. :
TIN NO. :
LOC NO. :

6. ADMINISTERED BY:

Regional Agreement Office
USAID/Peru
Av. La Encalada s/n, cdra. 17
Monterrico, Lima 33
Peru

7. FISCAL DATA:
Amount Obligated:

Budget Fiscal Year:
Operating Unit:
Strategic Objective:
Team/Division:
Benefiting Geo Area:
Object Class:

8. TECHNICAL OFFICE: USAID/BRAZIL/HEALTH PROGRAM

9. PAYMENT OFFICE:

10. FUNDING SUMMARY:

	Obligated Amount	Total Est. Amt.
Amount Prior to this Modification:		
Change Made by this Modification:		
New/Current Total:		

11. DESCRIPTION OF MODIFICATION:

The purpose of this Request for Application Amendment is to:

a) consolidate responses to the questions submitted to the Mission in Reference to the RFA-512-10-000004;
b) add language requirement to key personnel;
c) add substantial involvement requirement of prior approval for subawards and contracts; and
d) eliminate the minimum cost sharing requirement of 5%.

Please find attached complete Q&A package

12. THIS MODIFICATION IS ENTERED INTO PURSUANT TO THE AUTHORITY OF the Foreign Assistance Act (FAA) of 1961, AS AMENDED. EXCEPT AS SPECIFICALLY HEREIN AMENDED, ALL TERMS AND CONDITIONS OF THE GRANT REFERENCED IN BLOCK #3 ABOVE, AS IT MAY HAVE HERETOFORE BEEN AMENDED, REMAIN UNCHANGED AND IN FULL FORCE AND EFFECT.

13. GRANTEE: ☐ IS ☒ IS NOT REQUIRED TO SIGN THIS DOCUMENT TO RECONFIRM ITS AGREEMENT WITH THE CHANGES EFFECTED HEREIN

14. GRANTEE:

BY: ______

______
(Name Typed or Printed)

TITLE: ______

DATE: ______

15. THE UNITED STATES OF AMERICA
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT

BY: Cynthia L. Shartzer

Cynthia L. Shartzer
(Name Typed or Printed)

TITLE: Agreement Officer

DATE: 07/30/2010

RFA-512-10-000004
Trilateral Cooperation – Food Security
USAID, Brazil, Mozambique
Questions & Answers

1) How the US based organization (implementing partner) will work with GOB?

Answer: The recipient, i.e., the implementing partner, in consultation with USAID/Brazil and coordination with the Government of Brazil (GOB) will design and implement agreed-upon activities.

2) Do we know the different stakeholders from GOB participating with this program?

Answer: GOB stakeholders will be identified by the Brazilian Cooperation Agency (ABC) when discussing the work plan after selection of the recipient.

3) If the funding for Phase 1 is $2 million for FY2010 than how we estimate for other 3 years costs till 2014?

Answer: The cover letter to this RFA provides the estimated total amount: "Subject to the availability of funds, USAID intends to provide approximately $8,000,000 in total USAID funding to be allocated over a four-year period."

4) Is this RFA geared towards a single applicant or consortium is preferred?

Answer: There is no preference. Applications may be presented from a single applicant or consortium. If a consortium is proposed, the prime applicant should be identified and its relationship with the other partner must be explained throughout the application.

5) In RFA, it is mentioned that English and Portuguese language is must for the technical personnel: so do we need only to select a bilingual staff for the program?

Answer: No. As stated under Section V - Evaluation Criteria - Personnel Capability and Experience – fluency in both Portuguese and English for the technical staff will be highly considered.

6) Do we require a Program Manager to be bilingual?

Answer: The following paragraph is hereby added to Section IV- E.3 – Program Narrative, as follows:

"Key Personnel, the program manager should have FSI or equivalent Speaking(S)/Reading(R) proficiency level S4/R4 in both English and Portuguese.

7) What is the F&A cost—if we reduce the rate can we include it as a cost share (5%).

Answer: Please refer to Office of Management & Budget Circular A-21 ''Cost Principles for Educational Institutions,'' http://www.whitehouse.gov/omb/assets/omb/fedreg/2005/083105_a21.pdf . Circular A-21 states in Appendix E. F&A Costs "1. General. F&A costs are those that are incurred for common or joint objectives and therefore cannot be identified readily and specifically with a particular sponsored project, an instructional activity, or any other institutional activity. See Section F.1 of this Appendix for a discussion of the components of F&A costs. Also information is provided in Section G. Determination and Application of F&A Cost Rate or Rates.

8) Can we use a portion of online delivery of existing information resources as in kind cost share for this project?

Answer: Please refer to 22 Code of Federal Regulations 226, Sec. 226.23 Cost sharing or matching regarding the criteria for the acceptance of cost share and how values for recipient contributions shall be established and documented**. http://edocket.access.gpo.gov/cfr_2010/aprqtr/22cfr226.23.htm**

9) Do we need one long term expert for Brazil and one for Mozambique or we add some short term expert team?

Answer: Team size should be determined by the applicant. USAID/Brazil anticipates that activities for year one will be held in Mozambique. For the following years activities may occur in Mozambique or in another third country to be defined by ABC and USAID/Brazil.

10) We kindly request clarification in relation to the following: Page 4 last but one paragraph*: it is anticipated that USAID/Brazil will receive $2 million to partner with the Government of Brazil to implement activities to combat hunger and increase food security in Mozambique.*

a) Are we expected to plan and spend the $2 million in one year?

Answer: Yes.

b) How much of the planned $2 million amount is going to be used for school feeding and for small crops?

Answer: Applicants may identify how much of the planned amount is to be used for each proposed activity in the application. However, final funding amounts for the respective program areas will be reflected in the Cooperative Agreement.

11) Is it correct to assume that this RFA will make funding available for the applicant and the government of Brazil? If yes what is the anticipated proportion to each party.

Answer: As stated in the cover letter of this RFA, subject to availability of funds, award will be made to the responsible applicant whose application offers the greatest value to the U.S. Government. In other words, funds will be available only for the recipient to whom the Cooperative Agreement is awarded. The Government of Brazil costs will be covered by the GOB. However, USAID/Brazil anticipates covering relevant costs of Brazil consultants as part of the final funding amounts that will be reflected in the Cooperative Agreement.

12) Page 4 last paragraph: *While the first year of this expected 4-year program willfocus on programs (Food Security) for agriculture (small crop) and school nutrition in Mozambique, future areas of cooperation may be in other development sectors and in other designated beneficiary countries/regions*

a) Given this assertion and our understanding that funding may not be available for the thematic area and/or for Mozambique would you encourage us to put forward an action plan for one year or four years?

Answer: This is a 4-year activity. However, the work plan should address activities and budget for the first year.

b) What is the possibility of the continuation of the school feeding program, because from our understanding and experience it is difficult to get positive impact in implementing a program one year

Answer: The results of specific program activities will be monitored and evaluated by USAID/Brazil and ABC and may influence the focus of program activities for the following years.

13) With the school feeding program, could we understand better the concept is it to buy and feed the school then after six or one year stops. Could this be a good implementation.

Answer: Question is unclear. Unable to answer.

14) Is the small crop production project going to be within the same catchment area of the school feeding programme?

Answer: Applicants may propose a geographic association between small production and the school feeding program.

15) Could we get a better clarification on Collective units and Demonstration units as they seem to be having the same objective?

Answer: Concept papers are illustrative.

16) What are the target numbers for small crop production?

Answer: Concept papers are illustrative.

17) Would it be a good idea to include soya products in the school feeding programme?

Answer: Concept papers are illustrative.

18) As we read the RFA it was not clear if the United Nations agencies alone, or with partnerships between themselves will be able to bid. This information is important to us to decide if we will submit a proposal. On the other hand, will the deadline for applications be postponed, since there was a delay on the release of this document?

Answer: PIO (Public International Organizations) such as United Nations agencies are welcome to participate. However they were not explicitly included in the eligibility criteria because according to USAID regulations they do not require competition.

The deadline for applications will not be postponed. The issuance of the full announcement was in compliance with the synopsis posted on July 7 at grants.gov, which stated that "It is expected that Request for Applications that will be issued will be advertised for 30 days and close on August 16, 2010."

19) The RFA mentions in page 4 "While the first year of this expected 4-year program will focus on programs (Food Security) for agriculture (small crop) and school nutrition in Mozambique, future areas of cooperation may be in other development sectors and in other designated beneficiary countries/regions." We understand from this that the applicant should only include these activities for the first year in Mozambique in program design and the Detailed Implementation Plan (DIP). If this is the case, is USAID/Brazil expecting any program activities in Mozambique from the applicant for years 2-4?

Answer: Yes, we expect activities in years 2-4 but the location of those activities cannot be determined by this time.

20) Do you anticipate that funding will be spread evenly at $2 million per year for each of the four years? However in the first paragraph on the cover letter, it mentions that the cooperative agreement with be for a three-year program.

Answer: Subject to availability of funds, USAID/Brazil intends to obligate $2 million per year for each of the four years for the implementation of this project. This is a four-year program. The first paragraph of the RFA cover letter is hereby deleted and replaced as follows:

"The United States Government, as represented by the United States Agency for International Development (USAID) Mission to Brazil, intends to award a Cooperative Agreement to implement a four-year program, subject to the availability of funds, as described in the following Request for Application (RFA)."

21) On page 5, it mentions that the partner will work with the GOB and its representatives to implement project activities. May we have a list of the key Ministries and institutions we should partner with from Brazil?

Answer: The list of key Ministries and institutions are to be provided by USAID/Brazil after award when GOB stakeholders are identified by ABC.

22) Also on page 5, it suggests that the Award Recipient who becomes the USAID/Brazil Partner for this project, will work with USAID/Brazil, ABC, GOB and representatives in future beneficiary countries in the development and implementation of activities. Can you clarify whether the Award Recipient for this solicitation will become a partner with USAID/Brazil, for the entire four-year period, to also work with other beneficiary counties? In section **D. Activities**, does this **General** section refer to the scope of work for the Award Recipient for the full four-year period?

Answer: Subject to the recipient's performance and compliance with terms and conditions of the Cooperative Agreement, the recipient will become a USAID/Brazil partner for the planned four-year period of the program. Yes, Section D, General, refers to the 4-year period.

23) Will there be future solicitations for other beneficiary countries?

Answer: Future solicitations for this program are not foreseen at this time.

24) In page 42, the RFA states that “Mozambican technicians from the ministries involved in the project trained in onsite production and purchase of family farming produce as supply for school feeding programs”. Is USAID/Brazil and GOB expecting the project to necessarily link the farmers trained in the agriculture component to the School Feeding Program (SFP)? Or is it also open to any farmers that could provide food to the SFP?

Answer: This should be proposed by the applicant.

25) On page 45 of the RFA, it states that the target area for this project is the entire national territory. Our understanding of this is that all provinces could be targeted, but not all of them will be necessarily selected for implementation. Could you please provide clarification on the target area since this is a proposed one-year program? Does the same target area apply for all project activities in the food security, small crops and school feeding program? Can the applicant select one or more provinces for the target geographic area?

Answer: This should be proposed by the applicant.

26) The RFA on page 50 point 4.5 lists an activity that says “Training and capacity building of Technicians and Producers in Brazil and Mozambique.” Would it be correct to say that the implementer will conduct trainings for Technicians and Producers that are located in both Brazil and Mozambique? Are these project technicians for this initiative?

Answer: Concept papers are illustrative. It is not our intention to train project technicians for this project.

27) Will the Grantee be given discretion to modify the assumptions and approach of ABC’s concepts papers (School feeding and small agriculture), ensuing program design, and program implementation?

Answer: Yes. Concepts papers are in draft and were added to the RFA as illustrative examples.

28) In what circumstances will the Grantee be given discretion to propose and implement alternative assumptions, approach and proposals?

Answer: Concepts papers are in draft and were added to the RFA as illustrative examples. However, applicants are expected to submit their application and propose activities addressing school feeding and small crops production in the first year.

29) Our understanding of the RFA is that USAID must approve all subawards and subcontracts, though this is not technically allowed per ADS 303. Can USAID clarify this issue?

Answer: The following requirement is hereby added to the Section II - Substantial Involvement, as follows:

- Approval of subawards or contracts.

30) The RFA states that the first year of the expected 4-year program will focus on food security programs in Mozambique (specifically in agriculture and school nutrition), and that future areas of cooperation may be in other sectors and countries/ regions. Can any further clarity or guidance be provided on what other sectors or countries would be considered? When would these critical details for a four-year program be made known? Would the implementing partner have a say in deciding priorities for years 2 and beyond?

Answer: The results of specific program activities will be monitored and evaluated by USAID/Brazil and ABC and will influence the focus of program activities for the following years. Implementation may continue in Mozambique or in another third country to be identified by ABC and USAID/Brazil. Recommendations from the recipient will be considered.

31) Can we substitute leverage for cost share?

Answer: Section III – B. Cost Share is hereby deleted in its entirety and replaced as follows:

> **"B. COST SHARE**
> Cost sharing is an important element of the USAID-recipient relationship. In addition to USAID funds, applicants are encouraged to contribute resources from its own, private or local sources for the implementation of this program. Contributions can be either cash or in kind (in accordance with OMB Circular A-110 and 22 CFR 226.23)."

32) Who chooses the Brazilian partner(s)? The RFA mentions the work with Brazilian government technical ministries and agencies (e.g. Brazilian Cooperation Agency), but not the Brazilian agricultural universities or EMBRAPA who would be the likely partners for any activity involving food and agriculture.

Answer: The list of key Ministries and institutions are to be provided by USAID/Brazil after award when GOB stakeholders are identified by ABC.

33) What is the role of the Brazilian partners(s)?

Answer: Brazilian partners will act as ABC's subject matter experts and will participate in the design and discussion of work plan and implementation of select activities.

34) Beyond the administrative costs, how should the USAID funding be allocated if the school feeding effort is only the first year. For example, do we expect the level of effort to be roughly constant over the four year life of the project?

Answer: This should be proposed by the applicant.

[END OF RFA-512-10-000004-01]