



**U.S. Department of Housing and Urban Development**

Lead Hazard Control and Healthy Homes

Lead Hazard Reduction Grant Program

FR-6400-N-13

08/24/2020

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**Program Office:**

Lead Hazard Control and Healthy Homes

**Funding Opportunity Title:**

Lead Hazard Reduction Grant Program

**Funding Opportunity Number:**

FR-6400-N-13

**Primary CFDA Number:**

14.905

**Due Date for Applications:**

08/24/2020

**Overview**

The U.S. Department of Housing and Urban Development (HUD) issues this Notice of Funding Availability (NOFA) to invite applications from eligible applicants for the program and purpose described within this NOFA. Prospective applicants should carefully read all instructions in all sections to avoid sending an incomplete or ineligible application. HUD funding is highly competitive. Failure to respond accurately to any submission requirement could result in an incomplete or noncompetitive proposal.

During the selection process HUD is prohibited from disclosing 1) information regarding any applicant's relative standing, 2) the amount of assistance requested by an applicant, and 3) any information contained in the application. Prior to the application deadline, HUD may not disclose the identity of any applicant or the number of applicants that have applied for assistance.

For Further Information Regarding this NOFA: Please direct questions regarding the specific requirements of this Notice of Funding Availability (NOFA) to the office contact identified in Section VII.

**OMB Approval Number(s):**

2539-0015

**I. FUNDING OPPORTUNITY DESCRIPTION.****A. Program Description.****1. Purpose**

The purpose of the Lead-Based Paint Hazard Reduction (LHR) grant program is to maximize the number of children under the age of six protected from lead poisoning by assisting states, cities, counties/parishes, Native American Tribes or other units of local government in undertaking comprehensive programs to identify and control lead-based paint hazards in eligible privately-owned rental or owner-occupied housing populations.

Program funds will be awarded to applicants to accomplish the following objectives:

**a. (Targeted Units)** Target lead hazard control efforts in housing units where children less than six years of age are at greatest risk of lead poisoning (pre-1960, and, especially, pre-1940 construction), which has historically included children in low-income and minority neighborhoods, to reduce the likelihood of elevated blood lead levels in these children.

**b. (Cost Effectiveness)** Utilize cost-effective lead hazard control methods and approaches that ensures the long-term safety of the building occupants.

**c. (Capacity)** Build local capacity of trained and certified individuals and firms to safely and effectively address lead hazards during lead hazard control, and renovation, remodeling, and maintenance activities. Another core element for capacity includes the development of comprehensive, community-based approaches to integrating this grant program within other local initiatives through public and private partnerships that address housing related health and safety hazards and or serve low income families with children under the age of six (6).

**d. (Affirmative Marketing)** Establish and implement a detailed process of monitoring and ensuring that units made lead-safe units are affirmatively marketed, and priority given, to families with children under age 6 years for not less than three years.

**e. (Data Collection)** Gather pre- and post-treatment data that supports and validates lead hazard control investments. Program data collected should support the evaluation of grant program activities and outcomes.

**f. (Targeted Outreach and Education)** Conducting targeted outreach, affirmative marketing, education or outreach programs on lead hazard control and lead poisoning prevention designed to increase the ability of the applicant to deliver the specified lead hazard control services through this program; including educating owners of eligible rental properties, tenants, and others on the benefits and expectations of participating in this program provided by Title X of the Residential Lead-Based Paint Hazard Reduction Act.

## **2. Changes from Previous NOFA.**

- **High Impact Neighborhood-** Not more than ten , rather than seven, jurisdictions with areas of no more than four contiguous census tracts which contain high concentrations of pre-1940 housing, in which low-income families with children are a significantly higher proportion of the population compared to the State average, and for which the instances of elevated blood lead levels in the jurisdiction reported to the State are significantly higher than the State average. For further clarification please see *Program Definitions* below

Below are other changes from the previous NOFA:

- The High Impact Neighborhood funding maximum is \$9,000,000 rather than \$9,100,000 as in FY 2019.

- If you received Lead Hazard Reduction grant funding in 2018 or 2019, you are not eligible to apply under this program.
- In Rating Factor 2 Target Area Need, a new table 1C must include the data on the percentage of children under age 6 in the jurisdiction who had been tested for confirmed elevated blood lead level (EBLL), the percentage of children under age 6 in the application's target area with a confirmed EBLL ( $\geq 5 \mu\text{g/dL}$ ), and the data sources for these percentages, which had been sought in a narrative in the 2019 NOFA.
- [The Healthy Homes Supplement](#) has a new maximum amount up to \$700,000 for previous grantees and up to \$400,000 for first time grantees.

### 3. Definitions.

#### a. Standard Definitions

**Affirmatively Furthering Fair Housing (AFFH).** The obligation to affirmatively further the purposes and policies of the Fair Housing Act.

**Assistance Listings (formerly CFDA)** is a directory of the various Federal listings, projects, services and activities offering financial and non-financial assistance and benefits to the American public. An Assistance Listing (CFDA) Number is the unique number assigned to each program, project, service or activity listed in the Catalog of Federal Domestic Assistance (CFDA).

**Authorized Organization Representative (AOR)** is the person authorized to submit applications on behalf of the organization via Grants.gov. The AOR is authorized by the E-Biz point of contact in the System for Award Management. The AOR is listed in item 21 on the SF-424.

**Award**, as used in this NOFA means a federal grant OR cooperative agreement as specified in Section II.E (Type of Funding Instrument).

**Consolidated Plan** is a document developed by states and local jurisdictions. This plan is completed by engaging in a participatory process to assess their affordable housing and community development needs and market conditions, and to make data-driven, place-based investment decisions with funding from formula grant programs. (See 24 CFR part 91 for more information about the Consolidated Plan and related Action Plan).

**Contract** means a legal instrument by which a non-Federal entity purchases property or services needed to carry out the project or program under a Federal award. The term as used in this NOFA does not include a legal instrument, even if the non-Federal entity considers it a contract, when the substance of the transaction meets the definition of a Federal award or subaward (See 2 CFR 200.22.)

**Contractor** means an entity receiving a contract.

**Deficiency** is information missing or omitted within a submitted application. Examples of deficiencies include missing documents, information on a form, or some other type of unsatisfied information requirement (e.g., an unsigned form, unchecked box.). Depending on specific criteria, deficiencies may be either curable or non-curable.

- Curable Deficiency – Applicants may correct a curable deficiency with timely action.

To be curable the deficiency must:

- Not be a threshold requirement, except for documentation of applicant eligibility;
- not influence how an applicant is ranked or scored versus other applicants; and
- be remedied within the time frame specified in the notice of deficiency.
- Non-Curable Deficiency – An applicant cannot correct a non-curable deficiency after the submission deadline.

*Non-curable deficiencies* are deficiencies that, if corrected, would change an applicant's score or rank versus other applicants. Non-curable deficiencies may result in an application being marked ineligible, or otherwise adversely affect an application's score and final determination.

**DUNS Number** is the nine-digit identification number assigned to a business or organization by Dun & Bradstreet and provides a means of identifying business entities on a location-specific basis. Requests for a DUNS number can be made by visiting the Online DUNS Request Portal.

**Eligibility requirements** are mandatory requirements for an application to be eligible for funding.

**Grants.gov** is the website serving as the Federal government's central portal for searching and applying for federal financial assistance throughout the Federal government. Registration on Grants.gov is required for submission of applications to prospective agencies unless otherwise specified in this NOFA.

**Non-Federal Entity** is a state, local government, Indian tribe, institution of higher education (IHE), or non-profit organization carrying out a Federal award as a recipient or sub recipient. If eligible applicants under the NOFA include for profit entities, this definition of non-federal entity includes for profit entities.

**Opportunity Zone (OZs)** are defined in 26 U.S.C. 1400Z-1. In general, OZs are census tracts located in low-income communities where new investments, under certain conditions, may be eligible for preferential tax treatment.

**Point of Contact (POC)** is the person who may be contacted with questions about the application submitted by the AOR. The POC is listed in item 8F on the SF-424.

**Promise Zones (PZs)** are high poverty communities where the federal government partners with local leaders to increase economic activity, improve educational opportunities, leverage private investment, reduce violent crime, enhance public health and address other priorities identified by the community.

**Recipient** means a non-Federal entity receiving an award directly from HUD to carry out an activity under a HUD program.

**Subaward** means an award provided by a pass-through entity to a subrecipient for the subrecipient to carry out part of a Federal award received by the recipient. It does not include payments to a contractor or payments to an individual beneficiary of a Federal program. A subaward may be provided through any form of legal agreement, including an agreement that the pass-through entity considers a contract. The legal agreement must contain the subrecipient's assurance of compliance with program requirements, including but not limited to nondiscrimination and equal opportunity requirements.

**Subrecipient** is a non-Federal entity receiving a subaward from a pass-through entity to carry out part of a HUD program; but does not include an individual beneficiary of such program. A subrecipient may also receive other Federal awards directly from a Federal awarding agency (including HUD).

**System for Award Management (SAM)**, is a U.S. Government system that consolidated the capabilities of Central Contractor Registry (CCR), Excluded Parties List System (EPLS) and the Online Representations and Certifications Application (ORCA). Registration with SAM is required for submission of applications via Grants.gov. You can access the website at <https://www.sam.gov/SAM/>. There is no cost to use SAM.

**Threshold Requirements** are an eligibility requirement that must be met for an application to be reviewed. Threshold requirements are not curable, except for documentation of applicant eligibility and are listed in Section III.D Threshold Eligibility Requirements. Similarly, there are eligibility requirements under Section III.E, Statutory and Regulatory Requirements Affecting Eligibility.

**Unique Entity Identifier** is a number used to identify a specific commercial, nonprofit, or government entity. SAM states that currently Dun & Bradstreet (D&B) is the designated entity to establish and maintain the DUNS Number as the unique entity identifier required for registration in SAM and further used throughout federal procurement, financial assistance, and financial management systems. Beginning December 2020, the DUNS number will no longer be the official identifier for entities doing business with the government.

#### **4. Program Definitions**

**Areas with the Highest Lead-Based Paint Abatement Needs** means jurisdictions with the highest lead-based paint abatement needs with at least 3,500 pre-1940 occupied rental housing units, based on American Community Survey 2013-2017 (i.e., the most recent) data; see Rating Factor 2 and Appendix B

**Capacity Building** means providing resources to build local capacity for work to address lead-based paint hazard control and other work addressing housing related health hazards. This could include delivery of HUD and/or EPA approved lead hazard control training courses and completion of other HUD-approved courses that further the effectiveness of lead hazard control interventions or promote the integration of this grant program with housing rehabilitation, property maintenance, weatherization, healthy homes initiatives, and housing-related health hazard interventions.



**Community Health Workers (CHW)** are specialists who serve as a liaison, link and intermediary between health services and the community to facilitate access to services and improve the quality and cultural competence of service delivery. Examples of job titles considered to be Community Health Worker positions include but are not limited to Promotores(as) de Salud; Community Health Educators; Health Communicators; Outreach Workers; and Health Advocates.

**Equipment Purchase** means the purchase of equipment such as an X-ray fluorescence (XRF) analyzer needed to execute lead hazard control interventions.

**High Impact Neighborhoods** means areas of no more than four contiguous census tracts within communities which contain high concentrations of both pre-1940 housing and low-income families. Additionally, high impact neighborhoods have instances of elevated blood lead levels within the jurisdiction reported to the state that are significantly higher than the state average.

**Inspections/Testing** means performing lead dust, soil/paint-chip testing, XRF testing, lead-based paint inspections, risk assessments, clearance examination, and engineering and architectural activities that are required and in direct support of interim control and lead hazard control work. Inspections and testing assess eligible housing units constructed prior to 1978 to determine the presence of lead-based paint and/or lead hazards from paint, dust, or soil through the use of the mentioned testing procedures.

**Insurance** means securing liability insurance for housing-related environmental health and safety hazard evaluation and control activities, if the scope of the insurance is restricted to work under this grant.

**Lead-Based Paint Hazard Control** means the control or elimination of all lead-based paint hazards identified in housing units and in common areas of multi-family housing through either interim controls or lead-based paint abatement, or a combination of both. For a complete description of interim controls and abatement, see HUD's 2012 Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing ("HUD Guidelines"), located at [https://www.hud.gov/program\\_offices/healthy\\_homes/lbp/hudguidelines](https://www.hud.gov/program_offices/healthy_homes/lbp/hudguidelines).

**Occupant Blood Testing** means conducting pre-hazard control blood lead testing of persons residing in or frequently visiting units enrolled for or undergoing lead hazard control work.

**Other Jurisdiction** means a jurisdiction with pre-1978 housing that has lead-based paint hazards.

**Relocation** means carrying out temporary relocation for families and individuals while the remediation is conducted and until the time the affected unit receives clearance for re-occupancy.

**Support Costs Associated with Lead Hazard Control Activities** means activities that directly support the undertaking of lead hazard control, and without such support activities, the lead hazard control could not be conducted. These costs may include: staff costs for intake, review

and approval of applications and preparation of documents to be signed by applicants for lead remediation work; staff costs for arranging for temporary relocation; staff costs associated with reimbursement and assisting residents in relation to lead hazard control; on-site monitoring of lead hazard control activities; and travel and transportation for staff that perform lead hazard control.

**Target housing** means any housing constructed prior to 1978, except housing for the elderly or persons with disabilities, or any 0-bedroom dwelling (unless any child who is less than 6 years of age resides or is expected to reside in such housing for the elderly, persons with disabilities, or 0 bedroom dwelling. In the case of jurisdictions which banned the sale or use of lead-based paint prior to 1978, the Secretary, at the Secretary's discretion, may designate an earlier date.

**Worker Protection** means a standard for worker rights adopted by the Occupational Safety and Health Administration (OSHA)'s Lead Standard for the Construction Industry, title 29 Code of Federal Regulations 1926.62. This regulation covers lead, including metallic lead, all inorganic lead compounds, and organic lead soaps; therefore, the standard includes workers in the lead-based paint abatement industries. Additionally, lead-based paint abatement contractors must protect their workers in accordance with 29 CFR 1926.62. At a minimum, workers shall be provided with appropriate respiratory protection and disposable clothing. Other protections of section 1926.62 may also apply depending on the levels of lead exposure to the workers. Hygiene practices should also be used to prevent exposure and prevent and prevent take-home of lead dust.

## **B. Authority.**

The Lead-Based-Paint Hazard Reduction (LHR) Grant Program is authorized by Section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X of the Housing and Community Development Act of 1992, 42 U.S.C. 4852), and funding is provided by the Further Consolidated Appropriations Act, 2020, approved December 20, 2019 (Public Law 116-94), and the Consolidated Appropriations Act, 2019 (Public Law 116-6, approved February 15, 2019).

The Healthy Homes Supplemental funding is intended to enhance the lead-based paint hazard control activities by comprehensively identifying and addressing other housing hazards that affect occupant health. The Healthy Homes Supplemental funding is authorized under Section 502 of the Housing and Urban Development Act of 1970 (12 U.S.C. 1701z-2), and funding is provided by the Further Consolidated Appropriations Act, 2020, approved December 20, 2019 (Public Law 116-94), and the Consolidated Appropriations Act, 2019 (Public Law 116-6, approved February 15, 2019).

## **II. Award Information.**

### **A. Available Funds**

Funding of approximately **\$ 275,000,000** is available through this NOFA. Additional funds may become available for award under this NOFA, because of HUD's efforts to recapture funds, use carryover funds, or because of the availability of additional appropriated funds. Use of these funds is subject to statutory constraints. All awards are subject to the funding restrictions contained in this NOFA.

Approximately \$38,000,000 of the amount above is available for the Healthy Homes Supplemental funding and is available only to applicants who are awarded an LHR grant.

### **B. Number of Awards.**

HUD expects to make approximately 70 awards from the funds available under this NOFA.

**For information on the methodology used to make award determinations under this NOFA, please see Section V.B Review and Selection Process below.**

**Funding will be set aside for applicants that have never** received an award under the Lead Hazard Reduction grant program. If there are an insufficient number of eligible applicants to use this set-aside, the funding will be made available to other eligible grant applicants. If there are more eligible applicants for this set-aside than can be funded using the set aside, the highest rated applicants will be awarded, and the remaining eligible applicants will be evaluated along with the remaining group of eligible applicants.

### **C. Minimum/Maximum Award Information**

For the purposes of application under this FY 2020 NOFA; you should consider the minimum and maximum funding amounts below as you develop your program approach and budget for consideration.

The following is a breakdown of estimated total funding available for Lead-Based Paint Hazard Reduction

- ☐ High Impact Neighborhoods: \$66,000,000
- ☐ Highest Lead-Based Paint Abatement Needs: \$95,000,000
- ☐ Other Jurisdictions: \$76,000,000
- ☐ Healthy Homes Supplemental funding: \$38,000,000
- ☐ Total \$275,000,000

The minimum award for any applicant to request under this NOFA is \$1,000,000 other than a High Impact Neighborhood applicant. The maximum award amounts for the Lead-Based Paint Hazard Reduction grant program will be divided into the following categories:

1. High Impact Neighborhoods = minimum award of \$6,000,000; maximum award of \$9,000,000

Applicants for the High Impact Neighborhoods funding must be a jurisdiction that is one of the counties or cities listed in Appendix A and have received a Lead Hazard Control grant previously. These applicants will need to be able to implement this project to demonstrate how intensive, extended, multi-year interventions can dramatically reduce the presence of lead-based paint hazards in those communities. These no more than 10 grantees will have 60 months to utilize their grant funds and serve no more than four contiguous census tracts in which there are high concentrations of housing stock built before 1940, in which low income families with children make up a significantly higher proportion of the population as compared to the State average, and that are located in the jurisdictions in which instances of elevated blood lead levels reported to the State are significantly higher than the State average.

To help applicants determine potential eligibility for the High Impact Neighborhoods grant category, HUD developed the online mapping tool available through the link in Appendix C ([https://www2.census.gov/geo/pdfs/\\_education/CensusTracts.pdf](https://www2.census.gov/geo/pdfs/_education/CensusTracts.pdf)). This mapping tool allows

users to identify possible eligible Census tracts within a given jurisdiction. Applicants must select one to four contiguous ([that is, two](#) or more areas that are adjacent to one another, sharing either a common boundary or at least one common point census tracts as the proposed target area. The mapping tool outputs a document highlighting selected census tracts and corresponding eligibility; this tract selection document should be included in the application. The census tract code (11-digit codes that contain a 2- digit code for the state, a 3-digit code for the county, and 6 digits for the census tract) for each selected census tract must be clearly outlined by applicants for consideration. Note the tool can only be used to identify potentially eligible tracts. In order for a selected neighborhood to be considered eligible, the proposed census tracts must be highlighted as eligible in the mapping tool and the proposed jurisdiction must meet the additional eligibility criteria outlined above (regarding being a previous Lead Hazard Control grantee, and having demonstrated higher-than-State-average prevalence, (i.e., “the percentage of a population that is affected with a particular disease at a given time”) of elevated blood lead levels reported to the state. Note also, that if your jurisdiction such as a county, includes another jurisdiction, such as a city within, that will not be part of your target area (e.g., the county’s health department works only in the suburbs because the city’s health department works within the city limits), you must select only census tracts that are in the portion of your jurisdictions in which you will be working.

Grant funds shall be made available for draw down contingent upon the grantee meeting cost-savings, productivity, and grant compliance benchmarks established by the Secretary. Specifically, these contingencies are that each grantee must meet the grant compliance benchmarks to be identified by the grantee and approved by the Government Technical Representative for the grant as part of the grant’s work plan, with the cost-savings and productivity benchmark being that the average cost per housing unit is less than the average for high-performing grantees i.e., \$12,000 per housing unit (excluding Healthy Homes activities) plus an adjustment for the cost of living in the jurisdiction, its state, or the nation based on information from the U.S. Department of Labor’s Bureau of Labor Statistics (e.g., <https://data.bls.gov/timeseries/cuur0000sa0>) and either the U.S. Office of Personnel Management ([www.federalpay.org/gs/locality](http://www.federalpay.org/gs/locality)) or other source of locality cost of living information as determined by the Secretary.

2. Areas with the Highest Lead-Based Paint Abatement needs with at least 3,500 pre-1940 occupied rental housing units = minimum award of \$1,000,000; maximum award of \$5,000,000.
3. Other Jurisdictions = minimum award of \$1,000,000; maximum award of \$4,000,000.
4. Jurisdictions that have never received an LBPHC or LHRD grant or whose grant period of performance ended 2 or more years ago = [minimum award of \\$1,000,000; maximum award of \\$3,000,000.](#)

## **Healthy Homes Funding**

The maximum award amount for the Healthy Homes Supplemental funding will be divided into the following categories:

1. High Impact Neighborhoods jurisdictions, and Highest Lead-Based Paint Abatement Needs

jurisdictions, and other jurisdictions (but see below) = \$700,000

2. Jurisdictions that have never received an LBPHC or LHRD grant or whose grant period or performance ended 2 or more years ago = \$400,000

Census tracts are small, relatively permanent statistical subdivisions of a county; on average, they contain about 4,000 residents. They are uniquely numbered in each county with a numeric code, as discussed below. More information is available at <https://www2.census.gov/geo/pdfs /education/CensusTracts.pdf>  
[www.federalregister.gov/d/2011-21647](http://www.federalregister.gov/d/2011-21647)  
[www.merriam-webster.com/dictionary/prevalence](http://www.merriam-webster.com/dictionary/prevalence)

Estimated Total Funding:

\$ 275,000,000

Minimum Award Amount:

\$ 1,000,000

Per Project Period

Maximum Award Amount:

\$ 9,000,000

Per Project Period

#### **D. Period of Performance**

Estimated Project Start Date:

09/30/2020

Estimated Project End Date:

09/29/2025

Length of Project Periods:

Other

Length of Periods Explanation of Other:

For all grants except for the High Impact Neighborhoods grants category, the period of performance is expected to be 42 months project and the budget period is expected to end 03/30/2024.

For the High Impact Neighborhoods grants category, the period of performance is expected to be 60 months project and the budget period is expected to end 9/29/2025 (with no possibility of an extension).

#### **E. Type of Funding Instrument.**

Funding Instrument Type:

G (Grant)

#### **F. Supplementation.**

For this NOFA, sub-section "F. Supplementation" is Applicable

HUD is providing grantees with an option to request Healthy Homes Supplemental funding. The Healthy Homes Supplemental funding request is an additional amount distinct from the requested federal lead hazard control grant award amount for this program and must be treated as such. A separate SF 424 must be filled out and attached to the grant application package in the [grants.gov](https://www.grants.gov) system to receive these funds in addition to the required narrative and budget documents as detailed in the rating factors below.

The Healthy Homes Supplemental request **must also be clearly indicated in your abstract**. It is your responsibility to include the additional SF 424 requesting the Healthy Homes Supplement amount as a separate additional award and to clearly define in the abstract and the narrative submitted the exact dollar amount requested for both the Lead Based Paint Hazard Reduction Grant award and any total amount of Healthy Homes Supplement requested to ensure that the breakdown of the total request is accurately reflected on any such awarded application. Please Note: As described in section III.C.3.a, below, in the event you request an amount over the maximum award, on line 18a of the SF 424, the application will not pass threshold and will not be reviewed.

### **III. Eligibility Information.**

#### **A. Eligible Applicants.**

State governments

County governments

City or township governments

Special district governments

Native American tribal governments (Federally recognized)

Others (see text field entitled "Additional Information on Eligibility" for clarification)

#### **Information on Eligible Applicants**

Only cities, counties/parishes, and other units of local government, and certain States and Native American Tribes (those that have an EPA-authorized lead abatement certification program on the submission deadline) are eligible applicants. Multiple entities may apply as a consortium, but a principal (lead) applicant responsible for ensuring compliance with NOFA requirements must be designated, and each entity must meet the Resolution of Civil Rights Matters threshold requirement.

If your department or agency does not report directly or through a direct chain of command to your jurisdiction's chief executive officer (governor, county executive, mayor, etc.), you must identify the specific statute(s) (e.g., 1 MyState Revised Code 2345) establishing it as a part of the government, and either attach the relevant wording, or include the specific freely accessible web address(es) in the application.

An applicant with at least 3,500 pre-1940 occupied rental housing units (either alone or through a consortium) may apply under the Highest Lead-Based Paint Abatement Needs grants category for up to \$5,000,000.

An applicant that has been a prior lead hazard control grantee and has at least one area of up to four contiguous census tracts: (a) with high concentrations of pre-1940 housing in which low-income families with children make up a significantly higher proportion of the population than

the State average, and (b) that are located in a jurisdiction in which instances of elevated blood lead levels reported to the State are significantly higher than the State average, may apply under the High Impact Neighborhoods grants category for up to \$9,000,000.

An applicant that would be a first-time grantee or whose previous lead hazard control grant ended 2 or more years before the deadline may request up to \$3,000,000. An applicant whose previous grant ended less than 2 years ago may request up to \$4,000,000 (Other Jurisdictions). An applicant requesting funding under the High Impact Neighborhoods or Highest Lead-Based Paint Abatement Needs category that does not meet the applicable criteria will be put into the general applicants pool and the requested amount lowered to the maximum for the category under which they are assigned, i.e., \$3,000,000 or \$4,000,000, as above, if the requested funding exceeded the applicable maximum.

## **B. Ineligible Applicants.**

The following are not eligible entities for this competition and applications will not be reviewed:

1. Individuals.
2. Non-Profit Organizations. Applications from organizations established under section 501(c) (including any subsidiary sections) of the Internal Revenue Code will not be reviewed.
3. 2018 and 2019 Lead Hazard Reduction grant awardees.
4. [Foreign entities.](#)
5. Sole proprietorship organizations.

## **C. Cost Sharing or Matching.**

This Program requires cost sharing or matching as described below.

Match is required for this program by statute 42 U.S.C. 4852(h) and Public Law 116-94. Generally, Federal sources are not allowed to be used as cost share or match unless otherwise permitted by a program's authorizing statute (for example, HUD's Community Development Block Grants program).

The chart below describes the match percentage requirement, minimum percentage of Federal funds for lead hazard control activities, and maximum administrative cost (as a percentage of federal funds). **The minimum match requirement applies to the federal lead hazard control requested amount for applicants for each of the four lead hazard reduction funding categories and excludes the requested Healthy Homes (HH) Supplemental funding amount.**

**Match and Cost Requirements Table.**

| <b>Program</b>                    | <b>Minimum Match (of federal request)</b>       | <b>Minimum Lead Hazard Control Costs</b>        | <b>Maximum Administrative Costs</b>             |
|-----------------------------------|-------------------------------------------------|-------------------------------------------------|-------------------------------------------------|
| Lead Based Paint Hazard Reduction | 10 percent<br>(excluding HH Supplemental funds) | 65 percent<br>(excluding HH Supplemental funds) | 10 percent<br>(excluding HH supplemental funds) |



### **Matching Funds Evaluation.**

Applicants must include the Matching Contribution Table below and should match the commitment on the form HUD 424 CBW and the SF 424 application documents submitted under this opportunity. The SF 424 and the match table will constitute the applicants' commitment to fund the match requirement. The applicant is responsible for all match commitments, including those from donors, discounts and property owners, should those contributions not materialize. The table should indicate the source, proposed eligible uses and amounts of match committed on the SF424 and Form HUD\_424\_CBW. Add additional rows to the table, as needed, for each match.

| Source of Allowable Match | Purpose of the Match | Match Amount |
|---------------------------|----------------------|--------------|
|                           |                      |              |
|                           |                      |              |
|                           |                      |              |

Shared costs or matching funds and contributions must not be paid by another Federal award, except where the Federal statute authorizing a program specifically provides that Federal funds made available for such program can be applied to matching or cost sharing requirements of other Federal programs.

**NOTE** : Community Development Block Grant (CDBG) funds may be used as match to satisfy the matching resource requirements, provided they are specifically designated for the activities and costs allowed in this NOFA. Proposed matching commitments that are not eligible, such as, funding sources that are federal (e.g. HOME or Weatherization Assistance Program funds) or that are not committed for allowable uses (e.g., rehabilitation, code compliance) will not be counted towards satisfying the match requirements of the programs in this NOFA.

Evidence of match commitment. You must provide documentation of all match indicated on the SF424 and the Form HUD\_424\_CBW by letters of firm commitment, such as Memoranda of Understanding or other signed agreements from those entities identified as partners in the application with your submission to this NOFA. All letters of commitment, including those provided by your organization, MUST clearly identify the dollar amount or value, the source(s) of the funds, and the proposed uses of matching funds being committed. Commitments for match to be supplied by your organization must be supported by a letter signed by the authorized official whose signature appears on the SF424 detailing sources and uses of the committed match. The commitment documentation must mention this NOFA and have been signed on or after the date this NOFA was published. You must show that matching contributions will be used



specifically for allowable program costs and come from allowable non-federal sources. Both the source of the funds and use of the funds must comply with the requirements of this NOFA. The Department will track and monitor all match commitments according to Office of Management and Budget (OMB) and program requirements.

**Permissible Match Contributions.** Examples of eligible sources that are permissible as match contributions include:

- Documentation of Contributions from Property Owners. Homeowners or landlords (owners) may contribute match dollars. You must provide detailed documentation of the cost to be paid by the homeowner or landlord. Only owner contributions for eligible activities will count as match. Owner contributions must be supported and verified by a third party: for example, materials or labor that the owner paid for or provided must be substantiated via receipts/records. You must document and verify all owner-provided labor through a third party, and this labor must be valued at market rates.
- The value of in-kind donated items, such as paint and other materials or equipment that are used for lead-based paint hazard control, must be established at market rates.
- For services or products provided at a discounted rate and used an eligible use under the grant, the discounted part of the fee or price is the eligible match, not the entire value of the services or products. For example: if a supply company provides a product to the contractor at a lower rate, the difference in the cost of the product the supplier would typically charge, and the discounted rate is a match if otherwise eligible.
- Third Party In-Kind Contributions. See 2 CFR 200.306 for additional information on third party in-kind contributions.

#### **D. Threshold Eligibility Requirements.**

Applicants who fail to meet any of the following threshold eligibility requirements will be deemed ineligible. Applications from ineligible applicants will not be evaluated.

**1. Outstanding civil rights matters** must be resolved to HUD's satisfaction prior to grant award, provided that all applicable legal processes have been satisfied.

**2. Timely Submission of Applications.** Applications submitted after the deadline stated within this NOFA that do not meet the requirements of the grace period policy will be marked late. Late applications are ineligible and will not be considered for funding. See Section IV. D. Application Submission Dates and Times.

**a. Resolution of Civil Rights Matters.** Outstanding civil rights matters must be resolved before the application deadline. Applicants who after review are confirmed to have civil rights matters unresolved at the application deadline will be deemed ineligible; the application will receive no further review, will not be rated and ranked, and will not receive funding. Such matters include:

- Charges from HUD concerning a systemic violation of the Fair Housing Act or receipt of a cause determination from a substantially equivalent state or local fair housing agency concerning a systemic violation of a substantially equivalent state or local fair housing law proscribing discrimination because of race, color, religion, sex, national origin, disability or familial status;

- Status as a defendant in a Fair Housing Act lawsuit filed by the Department of Justice alleging a pattern or practice of discrimination or denial of rights to a group of persons raising an issue of general public importance under 42 U.S.C. 3614(a);
- Status as a defendant in any other lawsuit filed or joined by the Department of Justice, or in which the Department of Justice has intervened, or filed an amicus brief or statement of interest, alleging a pattern or practice or systemic violation of Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Section 109 of the Housing and Community Development Act of 1974, the Americans with Disabilities Act or 13 of 55 a claim under the False Claims Act related to fair housing, non-discrimination, or civil rights generally including an alleged failure to affirmatively further fair housing;
- Receipt of a letter of findings identifying systemic non-compliance with Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Section 109 of the Housing and Community Development Act of 1974; or the Americans with Disabilities Act; or
- Receipt of a cause determination from a substantially equivalent state or local fair housing agency concerning a systemic violation of provisions of a state or local law prohibiting discrimination in housing based on sexual orientation, gender identity, or lawful source of income.

**b. Documentation of Resolution.** HUD will determine if actions to resolve the charge, cause determination, lawsuit, or letter of findings taken before the application deadline will resolve the matter. Examples of actions that may be sufficient to show documentation of resolution of these matters include (all options must have been completed prior to the application deadline date), but are not limited to:

- Current compliance with a voluntary compliance agreement signed by all the parties;
- Current compliance with a HUD-approved conciliation agreement signed by all the parties;
- Current compliance with a conciliation agreement signed by all the parties and approved by the state, governmental or local administrative agency with jurisdiction over the matter;
- Current compliance with a consent order or consent decree;
- Current compliance with a final judicial ruling or administrative ruling or decision; or

If the applicant has a HUD Office of the Inspector General (OIG) and/or Government Accountability Office (GAO) finding, it must be resolved if the applicant is awarded a grant before HUD will execute the grant. It is within HUD's discretion to determine that prompt resolution is unlikely and for HUD to withdraw the award on that basis and make the funds available elsewhere.

**c. Request Funding Amount.** You must clearly document the requested federal funding amount on line 18a of the SF424 (Application for Federal Assistance), and the Form HUD\_424\_CBW (HUD Detailed Budget Worksheet including Total Budget).

d. **Applications Not in Scope with Program Purpose.** Applications submitted to conduct activities other than lead-hazard control evaluation and remediation and, if Healthy Homes Supplement funding is also being requested, evaluation and control of housing-related health and safety hazards, will not be reviewed.

e. **Duplicate Application.** Only one application will be accepted from any given state, tribal or local government under this [NOFA. Title X requires that each award be made to “A State or unit of local government” \(42 U.S.C. § 4852\(b\)\)](#) or, by extension based on an EPA determination, to a federally-recognized tribe that is authorized by that Agency to administer its lead activities certification program, rather than to an individual agency of such a government, so that only one award may be made to an eligible government. If more than one application is received from a state, tribal or local government, whether from the same or a different government agency, the most recent application that was received by [Grants.gov](#) that meets the timely receipt requirements will be considered for review and funding, and the other applications will not be reviewed.

f. **Match Requirement.** If the application does not include documentation that details the minimum 10 percent matching requirement as described in the Cost Sharing or Matching section, above, it will not be reviewed.

g. **Required Documents.** If the application does not contain each of the required application documents as indicated in Section IV, below, it will not be reviewed.

h. **Jurisdiction Identification.** If the applicant does not clearly identify in the application the jurisdiction in which it intends to utilize these program resources, the application will not be reviewed. State applicants may list more than one city, town or borough, or other comparable local jurisdiction terminology (e.g., parish if appropriate), as the focus of their jurisdiction.

## **E. Statutory and Regulatory Requirements Affecting Eligibility.**

### **Eligibility Requirements for Applicants of HUD’s Grants Programs**

The following requirements affect applicant eligibility. Detailed information on each requirement is posted on [HUD’s Funding Opportunities Page](#).

- Outstanding Delinquent Federal Debts
- Debarments and/or Suspensions
- Pre-selection Review of Performance
- Sufficiency of Financial Management System
- False Statements
- Mandatory Disclosure Requirement
- Prohibition Against Lobbying Activities
- Equal Participation of Faith-Based Organizations in HUD Programs and Activities

## **F. Program-Specific Requirements Affecting Eligibility.**

1. **Allowable Costs and Activities.** This section applies to allowable costs and activities funded under this NOFA. Allowable costs are determined in accordance with the cost principles in 2 CFR part 200, subpart E - Cost Principles. Costs and activities outlined in

sections 2) and 3) below are not considered administrative costs and, therefore, do not count as part of the 10 percent administrative cost cap of this program.

\*\* For more information on Allowable Costs, please see Policy Guidance 2015-01 Clarification of Costs for Lead Based Paint Hazard Reduction Programs. Available at [https://www.hud.gov/sites/documents/2015-01\\_COST\\_CATEGORIES.pdf](https://www.hud.gov/sites/documents/2015-01_COST_CATEGORIES.pdf)

2. For the purposes of application under this FY 2020 NOFA; you should consider the minimum and maximum funding amounts below as you develop your program approach and budget for consideration.

The minimum award for any applicant to request under this opportunity is \$1,000,000 except that for the Lead-Based Paint Hazard Reduction in High Impact Neighborhoods category, the minimum award is \$6,000,000.

The maximum award amounts for the Lead-Based Paint Hazard Reduction grant program will be divided into the following categories:

a. High Impact Neighborhoods = \$9,000,000

Applicants for the High Impact Neighborhoods funding must be a jurisdiction that is one of counties or cities listed in Appendix A and have received a Lead Hazard Control grant previously. These applicants will need to be able to implement this project to demonstrate how intensive, extended multi-year interventions can dramatically reduce the presence of lead-based paint hazards in communities containing high concentrations of both pre-1940 housing and low-income families by achieving economies of scale that substantially reduce the cost of lead based paint remediation activities and administrative costs. These applicants will have 60 months to utilize their grant funds and serve no more than four contiguous census tracts in which there are high concentrations of housing stock built before 1940, in which low income families with children make up a significantly higher proportion of the population as compared to the State average, and that are located in the jurisdictions in which instances of elevated blood lead levels reported to the State are significantly higher than the State average.

b. Highest Lead-Based Paint Abatement Needs jurisdictions = \$5,000,000

c. Other state and local jurisdictions (but see below) = \$4,000,000

d. Jurisdictions that have never received an LBPHC, LHRD or LHR grant or whose last grant's period of performance ended 2 or more years ago = \$3,000,000

The maximum award amount for the Healthy Homes Supplemental funding will be divided into the following categories:

a. Large, urban jurisdictions or other state/local jurisdictions (but see below) = \$700,000

b. Jurisdictions that have never received an LBPHC or LHRD grant or for which their last LBPHC or LHRD grant period of performance ended 2 or more years ago = \$400,000

Healthy Homes Supplemental funding is exclusively for direct costs associated with the identification and remediation of the housing related health and safety hazards identified in each individual eligible unit. A complete Healthy Homes Assessment of each individual unit results in a report and scope of work that prioritizes hazards found and corresponds with priorities based on the community needs identified while reflective of a maximum amount per unit. Those costs allowable with the Healthy Homes Supplemental funding include costs for completing an assessment to identify housing hazards that affect health, development of scopes of work of the

identified hazards, and conducting remediation of identified and documented health and safety hazards that are individualized for each of the housing units selected to receive this funding where lead hazard control activities are being completed. Eligible costs also include re-evaluation of the completed work, reporting, and notification to occupants and owners, if different, of the nature and results of the remediation. There are additional limitations for the use of this funding; See Policy Guidance PG 2018-01, Revision of the Purpose and Use of Healthy Homes Supplemental Funding, at [https://www.hud.gov/program\\_offices/healthy\\_homes/lbp/pg](https://www.hud.gov/program_offices/healthy_homes/lbp/pg) for additional information.

**a. Administrative Costs.** You can utilize up to 10 percent of the federal award for payments of reasonable grant administrative costs related to planning and executing the project, preparation/submission of HUD reports, etc. Administrative costs must be reflected under each appropriate line items (e.g., salaries, fringe, supplies, on the Form HUD\_424\_CBW) and a detailed cost element breakdown in the budget narrative must be provided. The 10 percent administrative cost cap for this program must include any indirect cost rates placed in the HUD share budget columns, as well as the sum of the budget line items that have inherent administrative costs per OLHCHH Policy Guidance 2015-01, plus any administrative costs of sub recipient organizations (also detailed by budget line item and budget narrative). There are two categories of administrative costs: direct administrative costs and indirect costs. For the purposes of this grant, all direct administrative costs and all indirect costs count towards the 10 percent administrative cost limit.

**i.) Direct Administrative Costs.**

Direct administrative costs are the reasonable, necessary, allocable, and otherwise allowable costs of general management, oversight, and coordination of the grant (i.e., program administration). Such costs include, but are not necessarily limited to, expenditures for: Salaries, wages, fringe benefits, and related costs of the recipient's staff engaged in program administration that can be specifically identified with the grant. (See OLHCHH Policy Guidance 2012-01 ([www.hud.gov/sites/documents/PGI\\_2012-01.PDF](http://www.hud.gov/sites/documents/PGI_2012-01.PDF)))

**ii). Indirect Costs, if applicable.** Indirect facilities and administrative (F&A) costs are, by nature, administrative and represent the expenses of doing business that are not readily identified exclusively with a specific grant, contract, project function or activity, but are necessary for the general operation of the organization and the conduct of activities it performs. 2 CFR 200, subpart E Cost Principles, establishes the federal requirements for the determination of allowable and unallowable direct and indirect (F&A) costs, and is available at [Code of Conduct](#). Indirect (F&A) costs may only be charged to an OLHCHH grant program under a cost allocation plan or an indirect cost rate agreement or in accordance with the requirements of 2 CFR 200.414(f), on the de minimis rate.

**Lead Hazard Control Direct Costs.** Lead Hazard Control direct costs are defined specifically as the performance of lead-based paint identification and remediation activities. Awardees must expend at least 65 percent of grant funds on direct lead-based paint hazard control remediation and related activities in the home and this must be documented when preparing the program

budget. (See OLHCHH Policy Guidance 2012-01 ([www.hud.gov/sites/documents/PGI\\_2012-01.PDF](http://www.hud.gov/sites/documents/PGI_2012-01.PDF)); see Attachment 2.)

**Other Allowable Costs.** Costs for the activities below are allowable costs but should not be counted as direct costs toward the minimum 65% requirement:

- Targeted Outreach, Education, and Training. Conducting targeted outreach, affirmative marketing, education or outreach programs on lead hazard control and lead poisoning prevention that will result in increased lead hazard control activities or that are designed to increase the ability of the program to deliver lead hazard control services, including educating owners of rental properties, tenants, and others on the Residential Lead-Based Paint Hazard Reduction Act, Lead Disclosure Rule, Lead Safe Housing Rule (24 CFR part 35, subparts A, and B-R, respectively), the EPA Renovation, Repair and Painting (RRP) Rule (40 CFR 745, especially subpart E), and applicable provisions of the Fair Housing Act, especially as it pertains to familial status (e.g., families with children) and disability discrimination, providing meaningful access to these program benefits and information to Limited English Proficient (LEP) individuals through language assistance strategies and services, in accordance with Title VI of the Civil Rights Act of 1964 and the Final Guidance to Federal Financial Assistance Recipients Regarding Title VI, Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons published on January 22, 2007 in the Federal Register (72 FR 2732), and providing training on lead-safe maintenance and renovation practices and management. Upon request, this also would include making all materials available in alternative formats to persons with disabilities (e.g., Braille, audio, and large type) pursuant to 24 CFR 8.6(b) and Titles II and III of the Americans with Disabilities Act, as applicable
- The registry of lead-safe units that must be developed and provided to families as part of the outreach program.
- Purchasing or leasing equipment having a per-unit cost under \$5,000.
- Supporting data collection, analysis, and evaluation of grant program activities. This includes compiling and delivering such data as may be required by HUD.
- Evaluating the effectiveness of hazard remediation conducted under this grant to assess how healthy homes interventions affect the health of the population being served relative to the population at large.
- Securing liability insurance for housing-related environmental health and safety hazard evaluation and control activities. This is considered either an indirect cost or an administrative cost, depending on the relationship of the insurance applicable for this grant to the applicant's overall insurance policy portfolio
- Occupant Blood Testing. Conducting pre-hazard control blood lead testing of persons residing in or frequently visiting units enrolled for or undergoing lead hazard control work.
- Research and Studies. Participating in technical studies, or developing information systems to enhance the delivery, analysis, or conduct of lead hazard control activities; or to facilitate targeting and consolidating resources to further childhood lead poisoning prevention efforts. For this program, we do not expect research that could affect human subjects to be conducted.



## **2. Program Requirements and Prohibitions**

a. Blood Lead Testing. The applicant should request testing of each child under the age of six years who resides in a housing unit under contract to receive lead hazard control work, or document that a child has been tested for blood lead levels within the six months preceding the lead hazard control work, unless it is documented that the child's parent or legal guardian chooses not to have the child tested. You must refer any child with an elevated blood lead level for appropriate medical follow-up with his or her health care provider or local health department. See also, the CDC Response to Advisory Committee on Childhood Lead Poisoning Prevention Recommendations in Low Level Lead Exposure Harms Children: A Renewed Call of Primary Prevention (2012) available at

[www.cdc.gov/nceh/lead/ACCLPP/CDC\\_Response\\_Lead\\_Exposure\\_Recs.pdf](http://www.cdc.gov/nceh/lead/ACCLPP/CDC_Response_Lead_Exposure_Recs.pdf).

b. Code of Conduct. If you are awarded a grant, you must be prepared to submit a copy of your Organization's Code of Conduct and describe the methods you will use to ensure that all officers, employees, and agents of their organization are aware of your Code of Conduct, prior to entering into a grant agreement with HUD.

c. Public Private Partnerships. You must work to further collaboration and coordination with public private partnerships to assist in meeting your program goals. HUD encourages collaboration and coordination with other agencies and partners to identify and eliminate lead-based paint and housing-related health and safety hazards. You are encouraged to enter into formal arrangements with partners, such as childhood lead poisoning prevention programs, health agencies, community development agencies, public housing agencies (noting, however, that lead hazard control funds may not be used for evaluating or controlling lead-based paint hazards in public housing but may be used in housing choice voucher units), weatherization assistance agencies, fair housing organizations, code enforcement agencies, state Medicaid agencies, community-based non-profit organizations, and faith-based or other community-based organizations. These formal arrangements may be in the form of a contract, a Memorandum of Understanding (MOU), a Memorandum of Agreement (MOA), or other comparable documentation of agreement. Such relationships must be established prior to the execution of an award or be contingent upon award, becoming effective within 60 days after award. In particular, your workplan to be developed after award must include implementing a mechanism for providing rapid response (i.e., several business days) to requests from a public housing agency participating in the HUD housing choice voucher target housing units assessment pilot at the time of initial assistance for a particular unit. Agreements for goods and services to be paid for the award must be eligible and must be procured through a competitive process as defined in 2 CFR sections 200.317 and 200.326 as applicable.

d. Compliance with HUD Regulations and Guidelines. You must conduct lead hazard evaluation and control work in compliance with HUD's Title X, LHR Act, the current HUD Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing (current HUD [Guidelines](http://www.hud.gov/program_offices/healthy_homes/lbp/hudguidelines/); [www.hud.gov/program\\_offices/healthy\\_homes/lbp/hudguidelines/](http://www.hud.gov/program_offices/healthy_homes/lbp/hudguidelines/), applicable OLVCHH Program Policies, and applicable federal, state and local regulations and guidance, including, but not limited to the EPA's Renovation, Repair, and Painting (RRP) Rule (found within 40 CFR part 745; see [Renovation-repair-and-painting-program](#)).

e. Prohibited Practices. Grantees are not permitted to engage in practices prohibited under HUD's Lead Safe Housing Rule at 24 CFR 35.140, EPA's RRP Rule at 40 CFR 745.83(a)(3), or EPA's lead abatement rule at 40 CFR 745.227(e)(6).

f. Compliance with Section 504 of the Rehabilitation Act. Facilities where program participants come for assistance (e.g., for intake and enrollment in the program), training or education, must be held in facilities that are accessible to persons with disabilities in accordance with Section 504 of the Rehabilitation Act and its implementing regulations at 24 CFR Part 8, and with Titles II and III of the Americans with Disabilities Act, as applicable.

g. Consolidated Plans. You must submit Form HUD2991. (By submitting Form HUD2991, you certify that the work will be conducted in accordance with your and other jurisdictions' Consolidated Plans for areas where the project will be carried out. You must also submit, as an attachment, the current lead-based paint element from your approved Consolidated Plan or a web site address where the Consolidated Plan is located and provide page number). Be sure to verify that the web address is active, and available without cost. If the jurisdiction does not have a currently approved Consolidated Plan, but is otherwise eligible for this grant program, you must include the jurisdiction's abbreviated Consolidated Plan that includes a lead-based paint hazard control strategy developed in accordance with 24 CFR 91.235. ([An Indian tribe applying for a grant for which the target area for projects under the grant will be located on a reservation of the tribe need not submit a Consolidated or abbreviated Consolidated Plan. \(24 CFR 583.155\(c\)\).](#) If a non-tribal jurisdiction does not include such a strategy, it is ineligible to participate in the grant.)

h. Continued Availability of Lead-Safe Housing to Low-Income Families with children under 6 years of age. Units in which lead hazards have been controlled under this program must be occupied by or continue to be available to low-income residents with children under 6 years of age for a minimum of three years as required by Title X, Section 1011. You must describe previous efforts, whether on your own or in partnership with others, such as the organizations listed in paragraph c, above, if applicable, to maintain a publicly accessible registry (listing) of low-income units made lead-safe because of previous activities. You must also describe the plans you have on your own or in partnership with others, for continuing an existing registry or establishing a new registry, and procedures for monitoring and affirmatively marketing these units to low-income families with children less than six years of age. Your approach must include the entire period of performance, the process, persons responsible and actions that will occur when violations are noted.

i. Control/Elimination Strategies. All lead-based paint hazards identified in housing units and in common areas of multifamily housing enrolled in this grant program must be controlled or eliminated by either of the following strategies or a combination of the two methods below within 10 days or less:

- Interim Controls. Interim controls of lead-based paint hazards including paint-lead hazards, dust-lead hazards, and soil-lead hazards, as defined by EPA at 40 CFR §§ 745.65 and 745.227, shall be conducted in accordance with the current HUD Guidelines, and shall be completed by conducting and passing clearance, including visual inspection and, on applicable interior and patio surfaces, dust-lead sampling and analysis demonstrating residual dust lead below the lower of EPA's or HUD's dust lead hazard standards or clearance levels.



- Abatement means any set of measures designed to permanently eliminate lead-based paint hazards in accordance with standards established by the EPA. Abatement includes the removal of lead-based paint and lead contaminated dust, the permanent containment or encapsulation of lead-based paint, the replacement of lead painted surfaces or fixtures, and the removal or covering of lead contaminated soil; and all preparation, cleanup, disposal, and post-abatement clearance testing activities associated with such measures; however, for clearances under this NOFA on applicable interior and patio surfaces, dust-lead sampling and analysis shall demonstrate residual dust lead below the lower of EPA's or HUD's dust lead hazard standards or clearance levels (40 CFR 745.227, or 24 CFR 35.1320 and 35.1340).

j. Cooperation with Related Research and Evaluation. Grantees must cooperate fully with any research or evaluation sponsored by HUD or another government agency associated with this grant program, including preservation of project data and records and compiling requested information in formats provided by the researchers, evaluators or HUD. This may include the compiling of certain relevant local demographic, dwelling unit, and participant data not contemplated in the original proposal. Participant data must be subject to the Privacy Rule of the Health Insurance Portability and Accountability Act of 1996 (HIPAA). HIPAA and the Privacy Rule can be found at

[www.hhs.gov/ocr/privacy/](http://www.hhs.gov/ocr/privacy/) For the program in this NOFA, HUD does not expect research to be conducted that could affect human subjects.

k. Data Collection. You must collect, maintain, and provide to HUD the data necessary to document and evaluate grant program outputs and outcomes, including pre and post lead hazard control sampling and clinical management follow-up.

l. Economic Opportunities for Low- and Very Low-Income Persons (Section 3). Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. § 1701u) is applicable to grants funded under this program NOFA (see 24 CFR 135.3(a)(2)(i)). All grantees that receive awards exceeding \$200,000 are required to comply with Section 3. If you plan to hire any new employees or award contracts to carry out the grant, you must comply with the Section 3 requirements found at 24 CFR 135.32. Additionally, any contractor, subcontractor or sub-grantee receiving contracts under the grant totaling more than \$100,000 must comply with the Section 3 requirements for any new training, hiring or sub-contracting opportunities provided under those contracts. Applicants must plan to recruit and collect the level of detailed information to report out to the federal government the success of their efforts to meet these goals annually.

m. Required Grantee Training. If you are awarded a grant under this NOFA, you will be required to attend at a minimum two training per year of award. These training will consist of a one-time OLHCHH New Grantee Orientation (within the DC area) and an annual Program Manager School. You are required to send a minimum of two key staff from each active award to each required training, as applicable. If your grant agreement is not signed prior to the New Grantee Orientation, you will be reimbursed for any reasonable costs you incur to attend the New Grantee Orientation that are allowable in accordance with 2 CFR part 200, especially 2 CFR 200.458 and 2 CFR 200.474. HUD reserves the right to disallow costs that are not reasonable, allowable and allocable in accordance with OMB Cost Principles, 2 CFR part 200, subpart E.

n. Institutional Review Board (IRB). For the program in this NOFA, HUD does not expect research that could affect human subjects to be conducted. However, if such research is

conducted, it shall be conducted in accordance with 24 CFR part 60, Protection of Human Subjects, which invokes the Department of Health and Human Services' Common Rule at 45 CFR part 46, subpart A.

o. Lead-Based Paint and Lead-Based Paint Hazard Identification. A complete lead-based paint inspection and lead hazard risk assessment, evaluating [each housing unit](#), common area, exterior surface, [and bare soil](#) (and not a sample of any of them), including either separate reports or a combined report is required for all properties enrolled under this program. **Presumption of the presence of lead-based paint or lead-based paint hazards is not permitted** Paint inspections and risk assessments must follow the procedures as defined in the HUD Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in [Housing](#) and as defined by the policies of the Lead [Hazard Reduction](#) Grant Program. Refer to Policy Guidance 2013-01 the OLHCHH website, posted at [https://www.hud.gov/sites/documents/PGI\\_2013-01.pdf](https://www.hud.gov/sites/documents/PGI_2013-01.pdf) for additional requirements.

p. Notification Requirements. A copy of EPA's [Renovate Right](#) brochure (see the EPA RRP homepage below) must be provided to the owner of the unit and to an adult occupant of the unit (whether or not RRP work will be conducted). All lead-based paint testing results, summaries of lead-based paint hazard control treatments, and clearances must be provided to the owner of the unit, together with a notice describing the owner's legal duty to disclose the results to tenants and buyers (see 24 CFR 35.88 of the Lead Disclosure Rule). Grantees must ensure that this information is provided in a manner that is effective for persons with disabilities (24 CFR 8.6) and, also that persons with limited English proficiency (LEP) will have meaningful access to it (see Executive Order 13166). Grant files must contain verifiable evidence of providing lead hazard evaluation and control reports to owners and tenants, such as a signed and dated receipt. You must also describe how you will provide owners with lead hazard evaluation and control information generated by activities under this grant, so that the owner can comply with the Lead Disclosure Rule (24 CFR part 35, subpart A, or the equivalent 40 CFR part 745, subpart F), the Lead Safe Housing Rule (24 CFR part 35, subparts B-R), and the EPA's Renovation, Repair, and Painting (RRP) Rule (see 40 CFR part 745 and <http://www2.epa.gov/lead/renovation-repair-and-painting-program>).

q. Priority Treatment for Elevated Blood Lead Cases. The grantee shall develop and implement, in its workplan and policies, written procedures for an expedited process (including expedited intake, evaluation, procurement, and hazard control work) for treating housing of children under the age of six years who have an elevated blood lead level. The norm for completing lead hazard control work in such units and the common areas that service them shall be within 50 days after the program receives the referral (with the environmental investigation to be completed within 15 days (whether the whole investigation or the increment from a risk assessment conducted within the past 12 months) and the lead hazard control work within 30 days of receiving the environmental investigation report. The grantee shall negotiate with the local housing authority(ies) with Housing Choice Voucher units in the grantee's target area for this grant, a Memorandum of Understanding (or Agreement, etc.) to ensure tenants in Housing Choice Voucher target units who report a child with an elevated blood lead are protected from losing their assistance, and the unit does not lose its voucher eligibility status. See also, the CDC Response to Advisory Committee on Childhood Lead Poisoning Prevention Recommendations in Low Level Lead Exposure Harms Children: A Renewed Call of Primary Prevention (2012) at [www.cdc.gov/nceh/lead/ACCLPP/CDC\\_Response\\_Lead\\_Exposure\\_Recs.pdf](http://www.cdc.gov/nceh/lead/ACCLPP/CDC_Response_Lead_Exposure_Recs.pdf).

r. Procurement Requirements. All goods and services must be procured through a competitive

process. Recipients must follow federal procurement requirements as defined in 2 CFR 200.317-326, as applicable. The designation of an entity as a subrecipient or contractor must follow program policies and 2 CFR 200.330.

s. Temporary Relocation. HUD expects that the lead hazard control work and temporary relocation will take 10 days or less. Assisting with reasonable costs of temporary relocation for those persons required to vacate housing while participating in this voluntary maintenance program for lead hazard reduction is an eligible activity of the program described in this NOFA. Occupants who enroll in the programs described in this NOFA must be treated fairly and equitably, in particular, regarding removing participation barriers created by relocation requirements if housing must be vacated while lead hazard reduction measures are being conducted. Such tenant-occupants may be entitled to receive temporary relocation assistance where applicable if relocation is required in excess of 15 days to complete the lead hazard control work pursuant to the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (URA), 42 U.S.C. §§ 4601-4655, as described in regulations at 49 CFR 24.2(a)(9)(ii)(D)) and the corresponding Appendix A to Part 24. (These regulations can be accessed from the Government Publishing Office website at [49 CFR Part 24](#).)

Owner-occupants temporarily relocating while lead hazard reduction measures are conducted pursuant to a program described in this NOFA may receive assistance but are not entitled to URA relocation assistance for relocation based on needs assessment completed at enrollment and based on the discretion of the program policy and procedures approved by the GTR. When tenant occupants with physical disabilities are temporarily relocated, they must be offered housing that is compliant with Section 504 of the Rehabilitation Act. For additional information on relocation requirements, see the HUD Handbook 1378 (Real Estate Acquisition and Relocation Policy and Guidance). All relocation assistance is expected to support the approved occupant protection plan received and approved by the program manager for each unit under this award.

t. Occupant protection plan. The grantee shall ensure that an occupant protection plan is written and implemented for each housing unit in which lead hazard reduction work will be conducted. The plan shall conform to the HUD Guidelines chapter 8, Resident Protection and Worksite Preparation.

u. Testing, sampling and laboratory analysis. All testing, sampling and laboratory analysis for lead must comply with the Title X Section 1011, and conform to the current HUD Guidelines, the EPA lead hazard standards and clearance levels at 40 CFR part 745, OLHCHH Program Policy 2017-01 ([https://www.hud.gov/program/offices/healthy\\_homes/lbp/pg](https://www.hud.gov/program/offices/healthy_homes/lbp/pg)), and federal, state, or tribal regulations developed as part of the appropriate contractor certification program, whichever is most protective of children. Paint chip sampling alone is not a cost effective or practical method for grantees to use alone in the identification of all lead hazards for the purposes of this grant. It is expected that an XRF will be utilized to complete each Lead Inspection / Risk Assessment in combination with dust wipes, paint sampling, and soil sampling as applicable. (Paint chip sampling in accordance with the HUD Guidelines chapters 5 and 7 ([https://www.hud.gov/sites/documents/CH05\\_12-13-12.PDF](https://www.hud.gov/sites/documents/CH05_12-13-12.PDF) and <https://www.hud.gov/sites/documents/LBPH09.pdf>) may be used in certain cases.) All laboratory analyses conducted on paint chips, soil and/or dust samples must be performed by an environmental laboratory recognized by EPA under the National Lead Laboratory Accreditation Program pursuant to the Toxic Substances Control Act (15 U.S.C. 2685) (See the list of laboratories at <https://www.epa.gov/lead/national-lead-laboratory-accreditation-program-list>)

v. Trained and Certified Professionals. Funded activities must be conducted by firms certified or licensed for, and persons certified for, the activities according to 24 CFR part 35, subparts B-R (possessing certification as risk assessors, inspectors, abatement supervisors, abatement workers, or sampling technicians (clearance inspections); or certified renovator (for workers and supervisors performing non-abatement work after clearance of all lead hazard control scopes of work), as applicable to each unit. Any lead hazard control activities conducted under this grant program requires a certified or licensed lead abatement firm, a certified lead abatement supervisor, and certified lead abatement workers to complete all scopes of work whether interim or abatement is identified in the scope of work for any unit. Each certified person must work for an appropriately certified or licensed firm, e.g., a certified risk assessment, certified inspection, licensed abatement as applicable. All abatement firms, workers and supervisors must hold an RRP license if any interim control work is conducted as a result of this award alone or in combination with abatement methods. Please NOTE: EPA RRP certification alone is NOT sufficient for completion of interim control work under this program based on the intent of the program is to remove and reduce lead-based paint hazards specifically.

w. This program requires a certification of Consistency with the Consolidated Plan under 24 CFR 91.2. This certification means the proposed activities in the application are consistent with the jurisdiction's strategic plan, and the location of the proposed activities is consistent with the geographic areas specified in the Consolidated Plan. The Consolidated Plan also includes the jurisdiction's certification to affirmatively further fair housing. For competitive programs, a certification of consistency of the application with the approved consolidated plan for the jurisdiction may be required, whether the applicant is the jurisdiction or another applicant. If you fail to provide the certification, and you do not cure the omission as a curable deficiency, HUD will not fund the application.

x. Waste Disposal. You must handle waste disposal according to the requirements of the appropriate local, state, and federal regulatory agencies, and the HUD Guidelines. The HUD Guidelines are available at [this link](#).

y. Worker Protection Procedures. You must observe the procedures for worker protection established in the current HUD Guidelines, as well as the requirements of the Occupational Health and Safety Administration (OSHA) (in particular, 29 CFR 1910.1025, Lead, and/or 29 CFR 1926.62, Lead Exposure in Construction, as applicable), or the state or local occupational safety and health regulations, whichever are most protective.

z. Written Policies and Procedures. You will be required to develop written policy and procedures during the first 60 days. The policies and procedures must describe how your program will handle items such as, but not limited, to: procurements (contracting), unit eligibility, unit selection and prioritization, all phases of lead hazard evaluation and control, including risk assessments, inspections, development of specifications for contractor bids, pre-hazard control blood lead testing, financing, temporary relocation and clearance examinations, unit monitoring and sub recipient monitoring. You, and your subcontractors, sub-grantees, sub-recipients, and their contractors at all tiers must adhere to these policies and procedures.

## **G. Criteria for Beneficiaries.**

Funds must only be used under this grant program to provide assistance for pre-1978, privately owned housing that is not federally assisted and that meets the following criteria:

- For rental housing, at least 50 percent of the units must be occupied by or made available to families with incomes at or below 50 percent of the area median income level and the remaining units must be occupied or made available to families with incomes at or below 80 percent of the area median income level, and in all cases the landlord must give priority in renting units assisted under this section, for not less than 3 years following the completion of lead abatement activities, to families with a child under the age of six years, except that buildings with five or more units may have 20 percent of the units occupied by families with incomes above 80 percent of area median income level; or
- For housing owned by owner-occupants, all units assisted with grants under this section must be the principal residence of families with income at or below 80 percent of the area median income level, and not less than 90 percent of the units assisted with grants must be occupied by a child under the age of six years or must be units where a child under the age of six years spends a significant amount of time visiting. (See Policy Guidance PG 2014-01, Eligibility of Units for Assistance, at [https://www.hud.gov/sites/documents/2014-01\\_UNIT\\_ELIGIBILITY.pdf](https://www.hud.gov/sites/documents/2014-01_UNIT_ELIGIBILITY.pdf) for additional information. The income requirements above are considered on a program-wide basis (cumulatively), not a project by project basis.)

## **IV. Application and Submission Information.**

### **A. Obtaining an Application Package.**

#### **Instructions for Applicants.**

You must download both the Application Instructions and the Application Package from Grants.gov. You must verify that the CFDA Number and CFDA Description on the first page of the Application Package, and the Funding Opportunity Title and the Funding Opportunity Number match the Program and NOFA to which you are applying.

The Application Package contains the portable document forms (PDFs) available on Grants.gov, such as the SF-424 Family. The Instruction Download contains official copies of the NOFA and forms necessary for a complete application. The Instruction Download may include Microsoft Word, Microsoft Excel and additional documents.

An applicant demonstrating good cause may request a waiver from the requirement for electronic submission. For example, a lack of available Internet access in the geographic area in which your business offices are located. Lack of SAM registration or valid DUNS is not good cause. If you cannot submit your application electronically, you must ask in writing for a waiver of the

electronic grant submission requirements. HUD will not grant a waiver if HUD does not receive your written request at least 15 days before the application deadline and if you do not demonstrate good cause. An email request for a waiver received by HUD 15 days before the application is due will also be considered. If HUD waives the requirement, HUD must receive your paper application before the deadline of this NOFA. To request a waiver you must contact:

Name:

Yolanda Brown

Email:

Yolanda.A.Brown@hud.gov

HUD Organization:

OLHCHH

Street:

451 7th Street SW Room 8236

City:

Washington, DC

State:

DC DISTRICT OF COLUMBIA

Zip:

20410

Yolanda Brown can also be reached by phone at (202)-402-7596. The phone number above may also be reached by individuals who are deaf or hard of hearing, or who have speech disabilities, through the Federal Relay Service's teletype service at 800-877-8339; for additional means of communicating with federal agencies, go to [www.gsa.gov/fedrelay](http://www.gsa.gov/fedrelay)

## **B. Content and Form of Application Submission.**

You must verify that boxes 11, 12, and 13 on the SF-424 match the NOFA for which you are applying. If they do not match, you have downloaded the wrong Application Instruction and Application Package.

Submission of an application that is otherwise sufficient, under the wrong CFDA and Funding Opportunity Number is a curable deficiency.

### **1. Content.**

| <b>Forms/Assurances/Certifications</b>     | <b>Submission Requirement</b>                                          | <b>Notes/Description</b> |
|--------------------------------------------|------------------------------------------------------------------------|--------------------------|
| Application for Federal Assistance (SF424) | Submission is required for all applicants by the application due date. |                          |



| <b>Forms/Assurances/Certifications</b>                                                            | <b>Submission Requirement</b>                                                 | <b>Notes/Description</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|---------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Disclosure of Lobbying Activities (SFLLL), if applicable                                          | HUD will provide instructions to grantees on how the form is to be submitted. | If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the applicant shall complete and submit the SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Applicants must furnish an executed copy of the Certification Regarding Lobbying prior to award. |
| HUD Applicant Recipient Disclosure Report (HUD) 2880 Applicant/Recipient Disclosure/Update Report | HUD will provide instructions to grantees on how the form is to be submitted. | HUD instructions to grantees are provided by webcast, To view the webcast, <a href="#">click here</a> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Form HUD-424 CBW Detailed Budget Worksheet                                                        | Must submit with your application                                             | Amounts on HUD-424 CBW must be consistent with the requested and matched amounts on lines 18b-f of the SF424; Application for federal assistance. As with the SF424, if you are requesting Healthy Homes Supplement funds you will need to include a SF424-CBW for the application as a whole, a separate 424-CBW for the request for federal funds for lead hazard control only (excluding the Homes Supplement funds requested) and a separate SF424-CBW for Healthy Homes Supplement funds requested only.                                                                 |
| Acknowledgment of Application Receipt (HUD 2993), if applicable                                   | This form is applicable                                                       | This form is not required but is available for applicants who want                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |

| Forms/Assurances/Certifications | Submission Requirement                                                                           | Notes/Description                                                                                                                                                                                                               |
|---------------------------------|--------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                 | only to applications submitted on paper, following receipt of a waiver of electronic submission. | confirmation that their hard-copy application was received by HUD. The form must be submitted with the application, in accordance with the application submission instructions included in the waiver of electronic submission. |

Additionally, your complete application must include the following narratives and non-form attachments.

## 2. Format and Form.

Narratives and other attachments to your application must follow the following format guidelines.

A. 2-page abstract (project summary) must contain the information detailed below (abstracts are not evaluated with your application, but they provide required documentation of threshold elements regarding target area, confirmation of Healthy Homes Supplement amount requests, and a good introduction to your proposed program):

1. Applicant Table. Please include this table at the beginning of your abstract.

|                               |                                                                           |                                         |
|-------------------------------|---------------------------------------------------------------------------|-----------------------------------------|
| Type of applicant             | New<br>Current<br>Recent/Prior                                            |                                         |
| Type of jurisdiction          | $\geq 3,500$ occupied rental housing units<br>(large, urban jurisdiction) | $< 3,500$ occupied rental housing units |
| List Complete Target Area     |                                                                           |                                         |
| Lead Funding Request          | \$ _____                                                                  |                                         |
| Healthy Homes Funding Request | \$ _____                                                                  |                                         |

2. A general summary of your target area, why funding is needed, partners you intend to work with and the lead hazard control and healthy homes work you intend to undertake.



B. The narrative responses to Rating Factors 1 to 3, below, are limited to:

1. A **maximum of 15 pages** (excluding appendices, budget forms/narrative, and worksheets)
2. Double Spaced
3. Letter sized paper, 8-1/2 x 11 inches
4. 12-point (minimum) Times New Roman font
5. At least 1-inch margins on all sides

**NOTE: Any information submitted in response to the Rating Factors beyond the page limit will not be reviewed.**

C. Appendices and Attachments:

1. Budget Narrative. Your application must include a budget narrative separate from the rating factor narrative that details the eligible cost amounts and items for each budget line proposed. The narrative must provide details on administrative costs (maximum of 10 percent of the federal requested amount excluding Healthy Homes Supplement request amount), details on staffing costs, details on which costs are included in the minimum 65 percent lead hazard control cost requirement, and, if applicable, details on how you will budget your Healthy Homes Supplemental request amount. See section IV.F, Funding restrictions, below, regarding restrictions on the use of funds, and, especially, section IV.F.6, on the use of Healthy Homes Supplemental funds.)
2. Consolidated Plan Lead-Based Paint Element. You must provide a copy of your jurisdiction's Lead-Based Paint Element or the link to the website identifying where the Lead-Based Paint Element can be found, from its Consolidated Plan or abbreviated Consolidated Plan (as applicable; see section III.C.5.g, above).
3. Résumés for key staff or position descriptions for vacant positions
4. Supporting match documentation (Letters of Commitment)

**Material provided in the appendices must support Rating Factor narrative information and will not be used in lieu of information provided in the 15 page limited response to the Rating Factors.** You are strongly urged to submit only information that is required and/or requested in the NOFA or relevant to a specific narrative response. All attachments must identify the related Rating Factor in the page footer by providing the related Rating Factor number and the page number of the attachment (e.g., Factor 1 Attachment, page 1).

### **C. System for Award Management (SAM) and Dun and Bradstreet Universal Numbering System (DUNS) Number.**

#### **1. SAM Registration Requirement.**

Applicants must be registered with <https://www.sam.gov/SAM> before submitting their application. In addition, Applicants must maintain an active SAM registration with current information while they have an active Federal award or an application or plan under consideration by HUD.

#### **2. DUNS Number Requirement.**

Applicants must provide a valid DUNS number, registered and active at <https://www.sam.gov/SAM>, in the application. DUNS numbers may be obtained for free from

Dun & Bradstreet.

### **3. Requirement to Register with Grants.gov.**

Anyone planning to submit applications on behalf of an organization must register at grants.gov and be approved by the EBiz POC in SAM to submit applications for the organization.

Registration for SAM and grants.gov is a multi-step process and can take four (4) weeks or longer to complete if data issues arise. Applicants without a valid registration cannot apply through grants.gov. Complete registration instructions and guidance are provided on grants.gov.

### **D. Application Submission Dates and Times.**

#### **Application Due Date Explanation**

The application deadline is 11:59:59 pm Eastern Standard time on

08/24/2020

Applications must be received no later than the deadline.

Submit your application to Grants.gov unless a waiver has been issued allowing you to submit your application in paper form. Instructions for submitting your paper application will be contained in the waiver of electronic submission.

"Received by Grants.gov" means the applicant received a confirmation of receipt and an application tracking number from Grants.gov. Grants.gov then assigns an application tracking number and date-and timestamps each application upon successful receipt by the Grants.gov system. A submission attempt not resulting in confirmation of receipt and an application tracking number is not considered received by Grants.gov.

Applications received by Grants.gov must be validated by Grants.gov to be received by HUD.

"Validated by Grants.gov" means the application has been accepted and was not rejected with errors. You can track the status of your application by logging into Grants.gov, selecting "Applicants" from the top navigation, and selecting "Track my application" from the dropdown list. If the application status is "rejected with errors," you must correct the error(s) and resubmit the application before the 24-hour grace period ends. Applications in "rejected with errors" status after the 24-hour grace period expires will not be received by HUD. Visit Grants.gov for a complete description of processing steps after applying.

HUD strongly recommends applications be submitted at least **48 hours before the deadline** and during regular business hours to allow enough time to correct errors or overcome other problems.

You can verify the contents of your submitted application to confirm Grants.gov received everything you intended to submit. To verify the contents of your submitted application:

- Log in to Grants.gov.
- Click the Check Application Status link, which appears under the Grant Applications heading in the Applicant Center page. This will take you to the Check Application Status page.
- Enter search criteria and a date range to narrow your search results.

- Click the Search button. To review your search results in Microsoft Excel, click the Export Data button.
- Review the Status column, to view more detailed submission information, click the Details link in the Actions column.
- To download the submitted application, click the Download link in the Actions column.

Please make note of the Grants.gov tracking number as it will be needed by the Grants.gov Help Desk if you seek their assistance.

HUD may extend the application deadline for any program if Grants.gov is offline or not available to applicants for at least 24 hours immediately prior to the deadline date, or the system is down for 24 hours or longer and impacts the ability of applicants to cure a submission deficiency within the grace period.

HUD may also extend the application deadline upon request if there is a presidentially declared disaster in the applicant's area.

If these events occur, HUD will post a notice on its website establishing the new, extended deadline for the affected applicants. HUD will also include the fact of the extension in the program's Notice of Funding Awards required to be published in the Federal Register.

In determining whether to grant a request for an extension based on a presidentially-declared disaster, HUD will consider the totality of the circumstances including the date of an applicant's extension request (how closely it followed the basis for the extension), whether other applicants in the geographic area are similarly affected by the disaster, and how quickly power or services are restored to enable the applicant to submit its application.

**PLEASE NOTE:** Busy servers, slow processing, large file sizes, improper registration or password issues are not valid circumstances to extend the deadline dates or the grace period.

### **1. Amending or Resubmitting an Application.**

Before the submission deadline, you may amend a validated application through Grants.gov by resubmitting a revised application containing the new or changed material. The resubmitted application must be received and validated by Grants.gov by the applicable deadline.

If HUD receives an original and a revised application for a single proposal, HUD will evaluate only the last submission received by Grants.gov before the deadline.

### **2. Grace Period for Grants.gov Submissions.**

If your application is received by Grants.gov before the deadline, but is rejected with errors, you have a grace period of 24 hours after the application deadline to submit a corrected, received, and validated application through Grants.gov. The date and time stamp on the

Grants.gov system determines the application receipt time. Any application submitted during the grace period not received and validated by Grants.gov will not be considered for funding. There is no grace period for paper applications.

### **3. Late Applications.**

An application received after the NOFA deadline date that does not meet the Grace Period requirements will be marked late and will not be received by HUD for funding consideration. Improper or expired registration and password issues are not causes that allow HUD to accept applications after the deadline.

### **4. Corrections to Deficient Applications.**

HUD will not consider information from applicants after the application deadline. Before the deadline, HUD may contact the applicant to clarify information submitted.

HUD will uniformly notify applicants of each curable deficiency. A curable deficiency is an error or oversight that, if corrected, would not alter, in a positive or negative fashion, the review and rating of the application. See curable deficiency in the definitions section (Section I.A.3.). Examples of curable (correctable) deficiencies include inconsistencies in the funding request and failure to submit required certifications. These examples are non-exhaustive.

When HUD identifies a curable deficiency, HUD will notify the authorized representative by email. This email is the official notification of a curable deficiency. Each applicant must provide accurate email addresses for receipt of these notifications and must monitor their email accounts to determine whether a deficiency notification has been received. The applicant must carefully review the request to cure a deficiency and must provide the response in accordance with the instructions contained in the deficiency notification.

Applicants must email corrections of curable deficiencies to [applicationsupport@hud.gov](mailto:applicationsupport@hud.gov) within the time limits specified in the notification. The time allowed to correct deficiencies will be no less than 48 hours and no more than 14 calendar days from the date of the email notification. The start of the cure period will be the date stamp on the email sent from HUD. If the deficiency cure deadline date falls on a Saturday, Sunday, Federal holiday, or on a day when HUD's Headquarters are closed, then the applicant's correction must be received on the next business day HUD Headquarters offices in Washington, DC are open.

The subject line of the email sent to [applicationsupport@hud.gov](mailto:applicationsupport@hud.gov) must state: Technical Cure and include the Grants.gov application tracking number or the GrantSolutions application number (e.g., Subject: Technical Cure - GRANT123456 or Technical Cure - XXXXXXXXXXXX). If this information is not included, HUD cannot match the response with the application under review and the application may be rejected due to the deficiency.

Corrections to a paper application must be sent in accordance with and to the address indicated in the notification of deficiency. HUD will treat a paper application submitted in accordance with a waiver of electronic application containing the wrong DUNS number as having a curable deficiency. Failure to correct the deficiency and meet the requirement to have a DUNS number and active registration in SAM will render the application ineligible for funding.

**5. Authoritative Versions of HUD NOFAs.** The version of these NOFAs as posted on Grants.gov are the official documents HUD uses to solicit applications.

**6. Exemptions.** Parties that believe the requirements of the NOFA would impose a substantial

burden on the exercise of their religion should seek an exemption under the Religious Freedom Restoration Act (RFRA).

#### **E. Intergovernmental Review.**

This program is not subject to Executive Order 12372, Intergovernmental Review of Federal Programs.

#### **F. Funding Restrictions.**

1. **Administrative Costs.** Administrative costs, as defined above, may not exceed 10 percent of the grant award, excluding the Healthy Homes Supplemental funds. Administrative costs are determined based on the nature of the activity being performed and, therefore, may be found in both the direct and indirect cost categories. OLHCHH considers all costs included in your negotiated indirect cost rate as “administrative costs”.
2. **Lead Hazard Control Costs.** This NOFA requires awardees to expend not less than 65 percent of the federal award on direct lead hazard control activities, as outlined in Section III.C, above. The federal award amount alone is used to determine the minimum percent of expenditure and does not include the requested Healthy Homes Supplemental funds.
3. **Ineligible Costs and Activities.** You may not use grant funds for any of the following activities:
  - a. Purchase of real property.
  - b. Purchase or lease of equipment having a per-unit cost in excess of \$5,000, except for the purchase or lease of up to two X-ray fluorescence analyzers to be used exclusively by the grant program.
  - c. Chelation or other medical treatment costs, including case management, related to children with elevated blood lead levels (EBLs). Non-federal funds used to cover these costs may not be counted as part of the matching contribution.
  - d. Lead hazard evaluation or control activities in public housing, project-based Section 8 housing, housing for the elderly, housing for persons with disabilities, [or any 0-bedroom dwelling](#) (unless any child who is less than 6 years of age resides or is expected to reside in such housing for the elderly, housing for persons with disabilities, or 0-bedroom dwelling), or housing built after 1977.
  - e. Complete or gut rehabilitation, demolition of housing units or detached buildings.
  - f. Lead hazard evaluation or control activities in housing covered by a pending or final HUD, EPA, and/or Department of Justice (DOJ) settlement agreement, consent decree, court order or other similar action regarding violation of the Lead Disclosure Rule (24 CFR part 35, Subpart A, or the equivalent 40 CFR part 745, subpart F), or by HUD or DOJ regarding the Lead Safe Housing Rule (24 CFR part 35, subparts B–R).
  - g. Activities that do not comply with the Coastal Barrier Resources Act (16 U.S.C. § 3501).
  - h. Lead-hazard control or rehabilitation of a building or manufactured home that is located in an area identified by the Federal Emergency Management Agency (FEMA) under the Flood Disaster Protection Act of 1973 (42 U.S.C. §§ 4001– 4128) as having special flood hazards unless:

1. The community in which the area is situated is participating in the National Flood Insurance Program in accordance with the applicable regulations (44 CFR parts 59–79), or less than a year has passed since FEMA notification regarding these hazards; and

2. Where the community is participating in the National Flood Insurance Program, flood insurance on the property is obtained in accordance with section 102(a) of the Flood Disaster Protection Act (42 U.S.C. § 4012a(a)). You are responsible for assuring that flood insurance is obtained and maintained for the appropriate amount and term.

**5. Replacing Existing Resources.** Funds received under the grant programs covered under this NOFA must not be used to replace existing community resources dedicated to any on-going project.

**6. Healthy Homes Supplemental Funds.** Healthy Homes Supplemental funds may not be used to pay for salary or fringe benefits, indirect costs, administrative costs, or outreach costs. Refer to Policy Guidance 2018-01 for additional detail.

**7. Pre-award Costs.** Pre-award costs are not eligible for reimbursement. All costs reimbursed for must be incurred with the established period of performance, not before. Any expenses for application preparation or coordination are the responsibility of the applicant in full.

#### **Indirect Cost Rate.**

Normal indirect cost rules under 2 CFR part 200, subpart E apply. If you intend to charge indirect costs to your award, your application must clearly state the rate and distribution base you intend to use. If you have a Federally negotiated indirect cost rate, your application must also include a letter or other documentation from the cognizant agency showing the approved rate. Successful applicants whose rate changes after the application deadline must submit new rate and documentation.

Applicants other than state, county and local governments. If you have a Federally negotiated indirect cost rate, your application must clearly state the approved rate and distribution base and must include a letter or other documentation from the cognizant agency showing the approved rate. If you have never received a negotiated indirect cost rate and elect to use the de minimis rate, your application must clearly state you intend to use the de minimis rate of 10% of Modified Total Direct Costs (MTDC). As described in 2 CFR 200.403, costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Once elected, the de minimis rate must be applied consistently for all Federal awards until you choose to negotiate for a rate, which you may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit.

State, county and local governments. If your department or agency unit has a Federally negotiated indirect cost rate, your application must include that rate, the applicable distribution base, and a letter or other documentation from the cognizant agency showing the negotiated rate. If your department or agency unit receives more than \$35 million in direct federal funding per year, you may not claim indirect costs until you receive a negotiated rate from your cognizant agency for indirect costs as provided in Appendix VII to 2 CFR Part 200.

If your department or agency unit receives no more than \$35 million in direct federal funding per

year and your department or agency unit has developed and maintains an indirect cost rate proposal and supporting documentation for audit in accordance with 2 CFR Part 200, Appendix VII, you may use the rate and distribution base specified in that indirect cost rate proposal. Alternatively, if your department or agency unit receives no more than \$35 million in direct Federal funding per year and has never received a negotiated indirect cost rate, you may elect to use the de minimis rate of 10% of MTDC. As described in 2 CFR 200.403, costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Once elected, the de minimis rate must be applied consistently for all Federal awards until you choose to negotiate for a rate, which you may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit.

## **G. Other Submission Requirements.**

### **1. Application, Assurances and Certifications.**

Standard Form 424 (SF-424) Application for Federal Assistance Programs is the government-wide form required to apply for Application for Federal Assistance Programs, discretionary Federal grants and other forms of financial assistance programs. Applicants for this Federal assistance program must submit all required forms in the SF-424 Family of forms, including SF-424B (Assurances of Non construction Programs) or SF424D (Assurances for Construction Programs). Applications receiving funds for both non construction programs and construction programs must submit both the SF-424B and SF-424D.

By signing the forms in the SF-424 either through electronic submission or in paper copy submission (for those granted a waiver), the applicant and the signing authorized representative affirm that they have reviewed the certifications and assurances associated with the application for federal assistance and (1) are aware the submission of the SF424 is an assertion that the relevant certifications and assurances are established and (2) acknowledge that the truthfulness of the certifications and assurances are material representations upon which HUD will rely when making an award to the applicant. If it is later determined the signing authorized representative to the application made a false certification or assurance, caused the submission of a false certification or assurance, or did not have the authority to make a legally binding commitment for the applicant, the applicant and the individual who signed the application may be subject to administrative, civil, or criminal action. Additionally, HUD may terminate the award to the applicant organization or pursue other available remedies. Each applicant is responsible for including the correct certifications and assurances with its application submission, including those applicable to all applicants, those applicable only to federally recognized Indian tribes, and those applicable to applicants other than federally recognized Indian tribes. All program specific certifications and assurances are included in the program Instructions Download on Grants.gov.

**Assurances.** By submitting your application, you provide assurances that, if selected to receive an award, you will comply with U.S. statutory and public policy requirements, including, but not limited to civil rights requirements.

### **2. Lead Based Paint Requirements.**

When providing housing assistance funding for purchase, lease, support services, operation, or work that may disturb painted surfaces, of pre-1978 housing, you must comply with the lead-based paint evaluation and hazard reduction requirements of HUD's lead-based paint rules (Lead Disclosure; and Lead Safe Housing (24 CFR part 35)), and EPA's lead-based paint rules (e.g.,

Repair, Renovation and Painting; Pre-Renovation Education; and Lead Training and Certification (40 CFR part 745)).

### 3. Discrepancies between the NOFA on [Grants.gov](https://www.grants.gov) and other Documents

The Program NOFA posted at the [Grants.gov](https://www.grants.gov) website is the official document HUD uses to solicit applications. Applicants are advised to review their application submission against the requirements in the posted Program NOFA. If there is a discrepancy between the Program NOFA posted on [Grants.gov](https://www.grants.gov) and other information provided in any other copy or version or supporting documentation, the posted Program NOFA located at [Grants.gov](https://www.grants.gov) prevails. If discrepancies are found, please notify HUD immediately by calling the program contact listed in the Program NOFA. HUD will post any corrections or changes to a Program NOFA on the [Grants.gov](https://www.grants.gov) website. Applicants must enroll an email address at the application download page to receive an e-mail alert from [Grants.gov](https://www.grants.gov) in the event the opportunity is changed.

## V. Application Review Information.

### A. Review Criteria.

#### 1. Rating Factors.

HUD will consider whether your application is clear, concise and well organized. Each rating factor is reviewed independently. Be sure your response for each rating factor does not include information that belongs with another rating factor.

| Summary of Applicant Scoring, point distribution                                  |                   |
|-----------------------------------------------------------------------------------|-------------------|
| Rating Factor 1: Capacity of the Applicant and Relevant Organizational Experience | 45 points         |
| Rating Factor 2: Justification of Applicant Need                                  | 30 points         |
| Rating Factor 3: Budget Proposal                                                  | 25 points         |
| Preference Points                                                                 | 2 points          |
| <b>Total Points Possible</b>                                                      | <b>102 points</b> |

When determining score for the narrative responses reviewers will utilize the following scale to apply % of points available consistently for each applicant reviewed.

| Qualitative Rating | Rating level explanation                                                                                                                                                                                                                                                                                           | %     |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| Outstanding        | Answer is thorough and provides high confidence that the criteria are surpassed, or that the applicant will likely surpass the performance criteria covered by the question within the time and cost established. There were no weaknesses noted. The description gives confidence of high probability of success. | 100 % |
| Excellent          | No significant weakness noted. Weaknesses or concerns can be corrected with just a moderate amount of effort. In general, the answer gives confidence that the applicant will likely meet the performance criteria covered by the question with the time and cost established.                                     | 75 %  |



|                        |                                                                                                                                                                                                                                                                                                                                                                                                                          |      |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Good                   | Answer provided generally meets the standards required, but has information weaknesses, or design or concept flaws that, while correctable, will likely require considerable effort. The applicant may have not fully answered the question. The answer is mediocre, and therefore, gives concern whether the applicant will meet the performance criteria covered by the question within the time and cost established. | 50 % |
| Fair                   | Answer is vague or has substantial programmatic weaknesses that would require substantial efforts to correct. There is a low likelihood that the applicant will meet the performance criteria covered by the question within the time and cost established.                                                                                                                                                              | 25 % |
| Poor or Non-Responsive | Applicant did not address question or answer shows a lack of understanding of requirements and/or concepts. Poor design concept and no or very little confidence that the applicant will meet the performance criteria covered by the question within the time and cost established. Success regarding this element is very unlikely.                                                                                    | 0 %  |

#### **Rating Factor One**

**Maximum Points: 45**

For this NOFA, the three categories of funding for applicants to apply for are:

Sub-category

A Large, urban jurisdictions that have had an LBPHC or LHRD grant:

1. Applicants that do not have current funding

2. Applicants that have current funding from before FY 2018

Applicants for High Impact Neighborhoods awards indicated in Appendix A must have been a previous Lead Hazard Control grant recipient.

Sub-category B Other state and local jurisdictions that have had an LBPHC or LHRD grant

1. Applicants that do not have current funding

2. Applicants that have current funding from before FY 2018

Sub-category C Jurisdictions that will be considered new:

1. Applicants that have never had a LBPHC or LHRD grant

2. Applicants for which the last LBPHC or LHRD grant period of performance ended 2 or more years before the application submission deadline for this program.

To assist applicants in responding to the appropriate sections of this rating factor; a table is provided to guide applicants based on the sub-category of applicant described above:

#### **Subfactor Title and Points Possible by applicant sub category for Rating Factor 1**

| Subfactor | Sub Category A<br>Points Possible | Sub Category B<br>Points Possible | Sub Category C<br>Points Possible |
|-----------|-----------------------------------|-----------------------------------|-----------------------------------|
|-----------|-----------------------------------|-----------------------------------|-----------------------------------|

|                                                        |    |    |    |
|--------------------------------------------------------|----|----|----|
| a. Performance History                                 | 13 | 13 | NA |
| b. Program Administration and Oversight                | 13 | 13 | 26 |
| c. Sub-recipient and Sub-grantee                       | 7  | 7  | 7  |
| d. Partners                                            | 7  | 7  | 7  |
| e. Contractor Capacity and Public Private Partnerships | 5  | 5  | 5  |

Provide a narrative response for each prompt within the sub-category that best fits your organizational type. These responses are to be included in the 15 page limit:

**a. Performance History: (Sub-Category A and B only)**

HUD will consider numerous factors of your prior performance, performance against benchmarks, and other relevant information, to evaluate capacity and relevant organization experience. Past performance will be included in the scoring of all previously awarded grantees. Points may be deducted for not meeting benchmarks, extensions given, and lack of overall responsiveness to OLHCHH.

If you have a current LBPHC or LHRD grant at the time of application, and your grant's performance is below 75% of any of the performance benchmarks as documented in the Healthy Homes Grants Management System (HHGMS) on the submission deadline, or the grant is in a High Risk Status at the time of application deadline, or if you had a previous LBPHC or LHRD grant for which the period of performance ended in calendar years 2019 or 2020 with the grant on High Risk Status: Your narrative must describe the structural and operational reforms you have made to address those issues (not merely, e.g., replacing the program manager and/or other staff).

**b. Program Administration and Oversight: (All applicants)**

1. Describe how you will administer this program, including how you will address oversight and financial management and provide examples of current financial reports.
2. Describe how funding will flow from you to those who will perform work under you on this program, and whether and, if so, how you will ensure that acceptable work is conducted, and acceptable products were provided before you pay invoices and before you submit invoices to HUD.
3. If awarded a grant, you are committing to working in the described target area and shall develop a work plan for which the recruitment, evaluation and control elements are provided proportionately to the need in each.
4. Applicants performing lead hazard control work or healthy homes work under other programs, whether funded by HUD, other federal agencies, or other sources, must describe the safeguards in place to avoid commingling of funds. Current Lead-Based

Paint Hazard Control grantees must describe their plans to concurrently implement lead hazard control activities under this NOFA with other ongoing, as applicable, Lead-Based Paint Hazard Control grant program funds, especially in describing the time frame in which concurrent grant programs will be conducted, in allocating staff and other program costs or resources for all Lead-Based Paint Hazard Control grant program funds.

5. Describe the key personnel, staff, procedures, and electronic management system you will use on this program to ensure proper project oversight/monitoring, contract administration, routine monitoring and, if you will conduct it, targeted monitoring, of all sub-grantees and contractors to ensure conformity to the terms, conditions and specifications of contracts or other formal agreements. Key personnel should include, at a minimum, a Project Director (PD) and a Program Manager (PM). Because of the larger funding amounts for grants under this NOFA compared to amounts under previous LBPHC, LHRD, and LHR NOFAs, key personnel shall not work on another LBPHC, LHRD, or LHR grant (except for such other grant in its close-out period after the completion of its period of performance). Unless financial management of the grant falls under the responsibility of the PD or PM, the applicant should also identify the person responsible for the financial management of the grant. The applicant must provide resumes, no longer than 3 pages, of the key personnel and job descriptions for planned key personnel, including the person responsible for the financial management of the grant. You must describe the roles and responsibilities of each key personnel for the project. Please do not include the Social Security Numbers (SSN) of any person. The day-to-day Program Manager must be experienced in housing rehabilitation, lead hazard control, or other work related to the project. The Program Manager must have demonstrated project management experience and must dedicate at least 75 percent of his/her time for the proposed project. It is expected that the Program Manager will complete (or have previously completed) a Lead Inspector / Risk Assessor course completion prior to assuming duties related to reviewing, approving or performing any lead inspections, risk assessments, writing scopes of work, or approving invoices for the grant to be reimbursed for by HUD. It is expected that the Program Manager and any support staff will have all necessary certifications and experience for the job descriptions for the position which may include unit monitoring and would require Lead Abatement Supervisor Course completion. If you have not yet hired a Program Manager, you must include the job announcement for this position in the Appendix to your application. Applications that propose key personnel that do not meet the minimum qualifications described above will not receive full points under this sub factor. If you have not yet hired a Program Manager for this award, a letter of commitment from the applicant agency leadership with the authority to ensure the position is filled must confirm their understanding and intent to fill or retain a qualified candidate as described above to serve in this required position within 60 days of award. This letter of commitment must accompany the application to receive full points under this rating factor element. Note: The Program Manager is expected to be the center of the program communication and must be technically prepared and experienced to take on the oversight and administration of these funds with the applicant agency. The lack of qualified staff in this position has been identified as a high risk of program failure. The value of placing the right staff in this key position must be evident by the description in the letter of commitment and planning being taken by the applicant to ensure the position is or will be in place by the

applicant agency within 60 days of award. If there will be concurrent awards a separate and dedicated program manager is expected to fill and serve each individual award through the performance period.

**c. Sub recipient and Sub grantee: (All applicants)**

You must address the following related to partner organizations that will receive federal funds from your program and that will provide critical services and/or carry out critical activities for the proposed grant program (vs. commercial services and off-the-shelf suppliers):

1. List and describe sub-grantees, sub-contractor organizations, sub-recipients and consultants at all tiers that will provide these critical services and activities;
2. Detail each of these critical partner entities' qualifications and experience in initiating and implementing related environmental, health, or housing projects; and
3. List the key personnel from each of these critical partner entities, their respective roles and responsibilities, their qualifications and experience, and the percentages of time committed for all key personnel identified. You may provide this information in your narrative or in resumes, no longer than 3 pages, on each of the key personnel from these critical partner entities.
4. Include the DUNS number and Legal Business Name of all partner organizations. For any partner that does not have a DUNS number, include the date of incorporation.

**d. Partnerships (All Applicants)**

1. Demonstrate your organizational experience or that your most recent lead hazard control grant, has achieved a measurable outcome by collaborating with public private partnerships in the community you served such as, Women Infants, and Children (WIC), Health Department, Housing Authorities, Code Enforcement, Community Development, etc. Indicate what enduring enhancements or expansion of the local infrastructure or program services, reach or policy resulted from your activities.
2. Engaging with External Agencies. Describe your involvement in coordination among critical agencies, including participation in the state-wide or jurisdiction-wide strategic plan to eliminate childhood lead poisoning as a major health problem. If you do not describe strong engagement with external agencies in addressing childhood lead poisoning, you will not receive full points.
3. Involving Grass-roots Organizations. Describe how grassroots community-based nonprofit organizations, including faith-based organizations, will be involved in your grant program's activities. These activities may include outreach, community education, marketing, program sustainability activities and lead-based paint inspections/risk assessments and lead hazard control work. If you do not describe strong engagement with external non-profit organizations in addressing childhood lead poisoning across the period of performance, you will not receive full points.

**e. Contractor Capacity: (All applicants)**

1. Describe the quality and number of lead abatement contractor; Lead Abatement Supervisor and Lead Abatement Worker (LAS/LAW) capacity to support unit production in a competitive contracting environment.

2. Describe the activities that will be taken to recruit and retain additional contractor firms and workers to serve the program production benchmarks.
3. Describe the resources that will be used for innovative capacity building in the intensive reduction of lead hazards during and after the grant, and the method of such innovative capacity building that will be implemented

**Rating Factor Two**  
**Target Area Need**

**Maximum Points: 30**

It is the intent of the program to ensure lead hazard reduction applicants serve community residents with highest lead needs, per [Title X](#) criteria. In order to effectively leverage grant resources, applicants must identify one or more high need target areas in their respective jurisdictions for proposed lead hazard evaluation and control activities. The target area(s) must be clearly specified, and may include more than one city, town, borough, or comparable local jurisdiction within the applicant's jurisdiction.

Points for Rating Factor Two will be determined according to the need for lead hazard control outlined in the description of the targeted area. Low-income families with children should make up a significantly higher proportion of the population within the target area compared to the state average, and instances of elevated blood lead levels reported should be significantly higher in the target area than the state average.

Specifically, for applicants applying for High Impact Neighborhood funding, the target area must be no more than four contiguous census tracts in the applicant's jurisdiction in which there are high concentrations of housing stock built before 1940s, in which low-income families with children make up a significantly higher proportion of the population as compared to the State average, and that are located in jurisdictions in which instances of elevated blood lead levels report to the State that are significantly higher than the State average. To identify the census tracts and eligibility, all High Impact Neighborhood applicants must use the [OLHCHH mapping tool](#) for target area determinations.

Note that a jurisdiction that is eligible for a grant under the High Impact Neighborhoods grant category or the Areas with the Highest Lead-Based Paint Abatement Needs grant category may choose to apply under the Other Jurisdictions category.

**1. Housing Stock and Blood Lead Data - Quantitative Data (27 Points)**

Using one of the tables 1A or 1B below, all applicants must provide the following data **for designated target area(s)**:

- A. Total # of Occupied Pre-1978 (or Pre-1940 for High Impact Neighborhoods) Housing Stock for both Rental and Owner Occupied;
- B. Total # of Occupied Housing Stock;
- C. Number of Children under 6 years of age;
- D. Total Population;
- E. Number of Low-Income Families at or below 80% of Area Median Income; and

F. Total Population of Families.

**Table 1A: Target Area Data (Other Jurisdictions and Never-Before-Grantee Applicants Only)**  
All Other Jurisdiction and Never-Before-Grantee Applicants must complete Table 1A: Target Area Data. To obtain percentages for Table 1A, divide the Target Area Data by the Comparison Data. Points will be applied based on the following scale for each resulted target data category. If the applicant's jurisdiction, such as a county includes another jurisdiction that will not be a part of the target area (e.g., the county's health department works only in the suburbs because the city's health department works within the city limits), reduce the numbers of units, children and families to reflect that exclusion, and calculate the percentages accordingly. For all information provided, sources of data, including website addresses, must be included in the second part of the table; data without a valid source will be considered not to have been provided. The applicant is responsible for expending funds proportionately within the total jurisdiction in which the funds are being requested and for which points will be awarded.

| <b>Table 1A</b>                                                 |                                    |                     |                              |                         |                                  |
|-----------------------------------------------------------------|------------------------------------|---------------------|------------------------------|-------------------------|----------------------------------|
| Maximum Points                                                  | Target Area Data                   | Target Area Results | Comparison Data              | Comparison Data Results | Justification of need percentage |
| 10 points                                                       | # of Pre-1978 Housing Units        | #                   | Total Housing Units (ALL)    | #                       | %                                |
| 10 points                                                       | # of Children under 6 years of age | #                   | Total Population (ALL AGES)  | #                       | %                                |
| 5 points                                                        | # of Low-Income Families           | #                   | Total Population of Families | #                       | %                                |
| <b>Data Sources, including Website Addresses, for Table 1A:</b> |                                    |                     |                              |                         |                                  |
|                                                                 | # of Pre-1978 Housing Units        |                     |                              |                         |                                  |
|                                                                 | # of Children under 6 years of age |                     |                              |                         |                                  |
|                                                                 | # of Low-Income Families           |                     |                              |                         |                                  |

**Scoring for Table 1A: Target Area Data**

| # of Housing Units (Points) |      |
|-----------------------------|------|
| 10                          | >10% |
| 5                           | <=5% |

|                                   |                          |
|-----------------------------------|--------------------------|
| 0                                 | No data provided or <=5% |
| # of Children (Points)            |                          |
| 10                                | >10%                     |
| 5                                 | >5%                      |
| 0                                 | No data provided or <=5% |
| # of Low-Income Families (Points) |                          |
| 5                                 | >10%                     |
| 2.5                               | >5%                      |
| 0                                 | No Data provided or <=5% |

**Table 1B: High Priority Area (High Impact Neighborhoods and Highest Lead-Based Paint Abatement Needs Applicants Only)**

All applicants for High Impact Neighborhoods and Highest Lead-Based Paint Abatement Needs category grant must complete Table 1B: High Impact Neighborhoods Target Area. If the applicant's jurisdiction, such as a county includes another jurisdiction that will not be a part of the target area (e.g., the county's health department works only in the suburbs because the city's health department works within the city limits), reduce the numbers of units, children and families to reflect that exclusion, and calculate the percentages accordingly. For all information provided, sources of data, including website addresses, must be included in the second part of the table; data without a valid source will be considered not to have been provided. The applicant is responsible for expending funds proportionately within the total jurisdiction in which the funds are being requested and for which points will be awarded.

| <b>Table 1B</b>                                                 |                                    |                     |                              |                         |                                  |
|-----------------------------------------------------------------|------------------------------------|---------------------|------------------------------|-------------------------|----------------------------------|
| Maximum Points                                                  | Target Area Data                   | Target Area Results | Comparison Data              | Comparison Data Results | Justification of need percentage |
| 10 points                                                       | # of Pre-1940 Housing Units        | #                   | Total Housing Units (ALL)    | #                       | %                                |
| 10 points                                                       | # of Children under 6 years of age | #                   | Total Population (ALL AGES)  | #                       | %                                |
| 5 points                                                        | # of Low- Income Families          | #                   | Total Population of Families | #                       | %                                |
| <b>Data Sources, including Website Addresses, for Table 1B:</b> |                                    |                     |                              |                         |                                  |
|                                                                 | # of Pre-1940 Housing Units        |                     |                              |                         |                                  |

|  |  |                                    |  |  |  |  |  |
|--|--|------------------------------------|--|--|--|--|--|
|  |  | # of Children under 6 years of age |  |  |  |  |  |
|  |  | # of Low-Income Families           |  |  |  |  |  |
|  |  |                                    |  |  |  |  |  |

### Scoring for Table 1B: High Impact Neighborhoods Target Area

|                                                          |                  |
|----------------------------------------------------------|------------------|
| # of Housing Units (Points)                              |                  |
| 10                                                       | >10%             |
| 5                                                        | >5%              |
| 0                                                        | <=5%             |
| <u>Ineligible</u>                                        | No data provided |
| # of Children (Points)                                   |                  |
| 10                                                       | >10%             |
| 5                                                        | >5%              |
| 0                                                        | <=5%             |
| # of Low-Income Families (Points)                        |                  |
| 5                                                        | >10%             |
| 2.5                                                      | >5%              |
| 0                                                        | <=5%             |
| 0 for Highest Abatement Needs category                   | No data provided |
| <u>Ineligible for High Impact Neighborhoods category</u> | No data provided |

### **Table 1C: Elevated Blood Lead Level Prevalence**

All applicants must complete table 1C, Elevated Blood Lead Level Prevalence. The table is to show the number and percentage of children under age 6 in the applicant's jurisdiction tested for their blood lead level and having a confirmed elevated blood lead level; and the number and percentage of children under age 6 in the target area having a confirmed elevated blood lead level.

- In the first data row, all applicants must show the percentage of children under age 6 in their jurisdiction tested for confirmed Elevated Blood Lead Level (EBLL), using the jurisdiction's definition, and the data from which that percentage is calculated.



- In the second data row, applicants for High Impact Neighborhoods grants only must show the comparison of the percentage of children under age 6 with a confirmed EBL in their jurisdiction with the percentage in their state.
  - As described in the data sources portion of table 1C, if the state uses an EBL threshold of 5.0 µg/dL, the applicant must use the CDC National Childhood Blood Lead Surveillance Data website's National Surveillance Data table, or, if the state uses another EBL threshold, the applicant must specify the threshold and provide the data source, including website address.
  - Applicants for other grant categories need not complete the second data row.
- In the third data row, all applicants must show the percentage of children under age 6 in the target area with a confirmed EBL ( $\geq 5$  µg/dL), and the data from which that percentage is calculated.

An applicant for the High Impact Neighborhoods grants category should note that not completing the second data row, with the percentages of confirmed EBLs in its jurisdiction and the state, or having the jurisdiction's percentage not significantly greater than the state's percentage, will render it ineligible for an award in that category; its application will be recategorized in either the Other Jurisdiction category or the Highest Lead-Based Paint Abatement Need category based on information it provides in tables 1A and 1B.

If the applicant's jurisdiction, such as a county includes another jurisdiction that will not be a part of the target area (e.g., the county's health department works only in the suburbs because the city's health department works within the city limits), reduce the numbers of children in the various blocks to reflect that exclusion, and calculate the percentages accordingly. For all information provided, sources of data, including website addresses, must be included in the second part of the table; data without a valid source will be considered not to have been provided. The applicant is responsible for expending funds proportionately within the total jurisdiction in which the funds are being requested and for which points will be awarded.

Using table 1C below, all applicants must provide the following data for your jurisdiction and your proposed target area:

For all information provided, sources of data, including website addresses, must be included in the second part of the table; data without a valid source will be considered not to have been provided.

Provide the total number of children in your jurisdiction (city, county, or reservation) under the age of 6, and the number of children in your jurisdiction under the age of 6 who were tested for confirmed elevated blood lead levels per the definition used by your jurisdiction (whether federal, state, tribal, or local), in the most recent year (a calendar year or the end of a 12-month period) in 2015-2019 for which data are available for both measures. (1 point)

- b. Provide the total number of children under the age of 6 in the proposed target area, and the number of children under the age of 6 in the proposed target area with a blood lead level of 5 µg/dL or above in the proposed target area(s), in the most recent year (a calendar year or the end of a 12-month period) in the 2015-2019 for which data are available for both measures. (1 point)

| <b>Table 1C</b>                                                 |                                                                          |                                                |                                                                      |                                                                                                   |                                                                                                       |
|-----------------------------------------------------------------|--------------------------------------------------------------------------|------------------------------------------------|----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| Maximum Points                                                  | Data year                                                                | Jurisdiction name                              | Number of children under age 6 in jurisdiction                       | Number of children under age 6 in jurisdiction tested for confirmed EBLL                          | Percentage of children under age 6 in jurisdiction tested for confirmed EBLL                          |
| 1 point                                                         | #                                                                        |                                                | #                                                                    | #                                                                                                 | %                                                                                                     |
| Maximum Points (only High Impact Neighborhood grant applicants) | Data year                                                                | Number of children under age 6 in jurisdiction | Number of children under age 6 in jurisdiction with a confirmed EBLL | Percentage of children under age 6 in jurisdiction with a confirmed EBLL                          | Percentage of children under age 6 in state with a confirmed EBLL                                     |
| 0 points                                                        | #                                                                        | #                                              | #                                                                    | %                                                                                                 | %                                                                                                     |
| Maximum Points                                                  | Data year                                                                | Target area name                               | Number of children under age 6 in target area                        | Number of children under age 6 in target area with a confirmed EBLL ( $\geq 5$ $\mu\text{g/dL}$ ) | Percentage of children under age 6 in target area with a confirmed EBLL ( $\geq 5$ $\mu\text{g/dL}$ ) |
| 1 point                                                         | #                                                                        |                                                | #                                                                    | #                                                                                                 | %                                                                                                     |
| <b>Data Sources, including Website Addresses, for Table 1B:</b> |                                                                          |                                                |                                                                      |                                                                                                   |                                                                                                       |
|                                                                 | Number of children under age 6 in jurisdiction                           |                                                |                                                                      |                                                                                                   |                                                                                                       |
|                                                                 | Number of children under age 6 in jurisdiction tested for confirmed EBLL |                                                |                                                                      |                                                                                                   |                                                                                                       |
|                                                                 | <u>Number of children under age 6 in</u>                                 |                                                |                                                                      |                                                                                                   |                                                                                                       |

|  |                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|--|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | <u>jurisdiction with a confirmed EBLL</u>                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|  | Percentage of children under age 6 in state with a confirmed EBLL               | If the state uses an EBL threshold for children < 6 years of 5.0 µg/dL, use <a href="http://www.cdc.gov/nceh/lead/data/national.htm">www.cdc.gov/nceh/lead/data/national.htm</a> , the CDC National Childhood Blood Lead Surveillance Data website, especially the National Surveillance Data table, <a href="http://www.cdc.gov/nceh/lead/docs/CBLS-National-Table-Update-042619.xlsx">www.cdc.gov/nceh/lead/docs/CBLS-National-Table-Update-042619.xlsx</a> , using the most recent year's data. If no data are shown for the state, use the most recent national percentage (for 2017), 3.0% of tested children <6 years with BLL ≥ 5.0 µg/dL. If the state uses another EBL threshold, specify the threshold and provide the data source, including website address. |
|  | Number of children under age 6 in target area                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|  | Number of children under age 6 in target area with a confirmed EBLL (≥ 5 µg/dL) |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |

### Scoring for Table 1C: Blood Lead Levels

|                                                                            |            |
|----------------------------------------------------------------------------|------------|
| % of children under age 6 in jurisdiction tested for confirmed EBLL        |            |
| ≥23%                                                                       | 1 point    |
| ≥20%                                                                       | 0.75 point |
| ≥17%                                                                       | 0.5 point  |
| ≥11%                                                                       | 0.25 point |
| <11%                                                                       | 0 point    |
| % of children under age 6 in target area with a confirmed EBLL (≥ 5 µg/dL) |            |
| >5%                                                                        |            |
| ≥2.6%                                                                      | 1 point    |

|        |            |
|--------|------------|
| >=2.1% | 0.75 point |
| >=1.8% | 0.5 point  |
| >=0.8% | 0.25 point |
| <0.8%  | 0 point    |

## **2. Target Area Need – Narrative Responses (3 points)**

After completing the requested tables in Section One, all applicants must answer the following questions in narrative form and provide the data source for each.

1. Describe the defined Elevated Blood Lead Level (EBLL) and blood lead testing requirements for your jurisdiction (city, county, or state). (1 point)
2. Provide a link and brief description of the city or state's Case Management Plan. (1 point)
3. Provide a brief explanation of how you will use the data to select and prioritize housing units for lead hazard control work under the grant. (1 point)

An applicant that has never had an LHC grant may have applied previously, so it may not be a new applicant.

### **Rating Factor Three**

**Maximum Points: 25**

#### **Budget Estimate of Costs**

You must thoroughly estimate all applicable costs, including direct, indirect, and administrative expenses, and present them in a clear and coherent format. **Note that HUD is not required to approve or fund all proposed activities if awarded.** In completing your estimations, you must document and justify all budget categories and costs and all major tasks of your organization, sub-recipients, major sub-contractors, joint venture participants, or others contributing resources to the project.

#### **Budget Planning Documentation (Required Attachments) (10 Points)**

1. A separate budget must be submitted using the HUD\_424\_CBW: HUD Detailed Budget Worksheet for yourself and for each partner that would receive more than 10 percent of the federal budget request, for the lead hazard control activities.
2. Similarly, if you are applying for Healthy Homes Supplemental funding, you must submit a HUD\_424\_CBW: HUD Detailed Budget Worksheet for yourself and for each partner that would receive more than 10 percent of the Healthy Homes Supplemental funding, for the healthy homes activities.
3. HUD 424 CBW: The Primary Budget must include the following specific information in the appropriate columns when attached:
  - a. Plan for using high level of funding:
    1. If you have had a previous LBPHC, LHRD, or LHR grant, describe your plan for using the increased amount of funding if awarded a grant under this NOFA compared to how funding was used under the previous grant. A particular emphasis on how you would use your funds to produce at least proportionately greater numbers of:

- Housing units made lead safe,
- Individuals trained in lead-safe work practices and certified the EPA or State (as applicable) lead certification disciplines, and
- Members of the target area community educated in residential lead safety.

2. If you have not had a previous LBPHC, LHRD, or LHR grant:

- Describe your plan for using and managing the large amount of funding if awarded a grant under this NOFA, with particular emphasis on how you would use your funds to produce large numbers of housing units and individuals defined above for applicants that have had a grant.
- Controls to ensure proper use of high level of funding: Describe your plan to ensure proper use of funds if awarded a grant under this NOFA with a high level of funding, considering, especially, the criteria of 2 CFR 200.302, Financial Management, and other regulatory sections cited there, and applicable state and local laws, regulations, and policies on funding controls.
- You must identify the number of units and average expected cost in your budget documents. You will be evaluated on the extent to which resources are appropriate for the scope of the proposed project.
- You must provide clear details on administrative costs (maximum 10 percent of grant, excluding Healthy Homes Supplemental funds), staffing costs and details on which costs are included and not included in the direct lead hazard control minimum cost requirement.
- You must clearly identify the funding or cash equivalent amounts being provided as matching resources. These descriptions must include: the amounts and sources and use of any contributions being received and must be detailed and supported by letters of commitment.
- Applicants must include all amounts they detailed in response to Section III.B, Cost Sharing or Matching, above, regarding the minimum match requirement.

#### **Additional Match (3 points)**

Any additional match beyond the minimum statutory match requirement, if you choose to seek additional points under this rating factor for match, using the same methods in Section III.B, Cost Sharing or Matching, above, identifying the additional contributions from State, local, government, and private (e.g., philanthropies, nongovernmental organizations, community development corporations, for-profit firms, landlords) funds to supplement the grant funds from HUD.

Priority shall be given to applicants for High Impact Neighborhood awards that commit in their application to contribute, by securing commitments for additional contributions from public and private sources, more than the statutory match requirement of 10 percent of the total award, by giving rating points as in the following table.

The following table describes the points available for additional match: In awarding points, fractional percentages will be rounded down to whole number percentages, and will not be rounded up (e.g., a Lead-Based Paint Hazard Control application providing 14.99% match resources will be treated as 14% and receive 1 point).

#### **Lead Hazard Reduction Grant Program**

##### **Match Resources Point Table**

| Match Resources as Percent  | Points Awarded |
|-----------------------------|----------------|
| of Requested Federal Amount |                |

|                 |   |
|-----------------|---|
| 10 percent      | 0 |
| 12 percent      | 1 |
| 15 percent      | 2 |
| over 15 percent | 3 |

**Budget Justification Narrative (Required Attachments): (3 points)**

You must submit the budget narrative justification attachment associated with each budget HUD 424 CBW that includes all budgeted costs as part of the Total Budget (Federal Share and Matching) that correspond to that HUD 424 Budget including those submitted for partners and Healthy Homes funds.

1. Submit a separate narrative justification for yourself and for each partner that is submitting a separate HUD 424 CBW Detailed Budget Worksheet to comply with the requirement for those that would receive 10 percent or more of the federal award amount for lead hazard control activities. Each and every HUD 424 CBW submitted must be accompanied by a detailed budget justification that describes what you are expecting to accept as invoices under each line item total. Each expense must be unique, reasonable and eligible under the requirements and limitations of this award as described above either by summary or reference.

2. Similarly, if you are applying for Healthy Homes Supplemental funding, a separate narrative justification must be submitted for yourself and for each partner that would receive 10 percent or more of the Healthy Homes Supplemental funding, for healthy homes activities. Please refer to the OLHCHH Policy Guidance PG 2017-5; the Healthy Homes Supplemental Funds (<https://www.hud.gov/program offices/healthy homes/lbp/pg>); to identify what cost are eligible to include.

The Budget narrative document should include all of the following details:

1. Detail or denote administrative costs, indirect costs, staffing costs and details on which costs are included and not included in the direct lead hazard control minimum cost requirement for each category/line items.
2. Detail or denote the funding or cash equivalent amounts being provided as matching resources for both resources which are statutorily required and those resources above the statutory minimum.
3. Detail, with examples, that the activities of all staff included for HUD-funded share or match are providing an eligible, unique and reasonable contribution to the OLHCHH award.
4. Justify the allocation of funds among successfully completed tasks, units within your organization, and your partners, in support of the scope of the proposed project. In particular, your narrative should show enough detail by line and category that provides transparency and linkage between production of successfully treated housing units, whether for lead or both lead and healthy homes, and payments associated with activities that resulted in those units being treated, from the outreach stage through recruitment, enrollment, evaluation and control, and clearance for re occupancy. (For lead, clearance is as described in section III, above; for healthy homes, this may be quantitative or qualitative, as appropriate for the intervention, as you should detail in your narrative).

**Answer the following questions by including a narrative response within the 15-page**

**maximum:**

Fiscal Oversight and Financing Strategy Narrative (included in 15-page maximum): (4 points)

1. Discuss your lead hazard control financing strategy (i.e., the type of assistance - grants, deferred/forgivable loans, etc.), including verification of income eligibility requirements, terms, conditions, dollar limits, amounts available for lead hazard control work in the various categories of housing (e.g., single-family, multi-family, vacant, owner or tenant-occupied).
2. Identify who is responsible and their technical program expertise for establishing, administering and overseeing the fiscal aspect of the program which may include bidding, procurement, and contracting the unit work.
3. Describe the role of other fiscal resources such as private sector financing and matching, if any, including from rental property owners.
4. Describe how the recapture of grant or loan funds to owners of housing units treated under this program will occur if recipients fail to comply with any terms and conditions of the financing arrangement (e.g., failure to comply with fair housing and civil rights requirements, affordability, affirmative marketing and providing priority to renting units to families with a child under the age of six years for a period of 3 years, sale of property requirements).
5. Describe how you will keep costs per housing unit under tight control, so that the maximum number of children and families will have their health and safety protected as an outcome of your work.

**Section 3 Strategy (3 Points):**

1. Describe your strategy for hiring local low-income residents, providing training opportunities and awarding contracts to local Section 3 businesses.
2. Applicants should:
  - a) outline their plan to notify residents and contractors about jobs and contracts that may become available
  - b) notify potential contractors about the requirements of Section 3;
  - c) hire community residents and award contracts to local businesses; and
  - d) assist in obtaining compliance among contractors and subcontractors.

**Sustainability of Fiscal Resources (2 Points):**

1. Describe in detail your plan for sustaining your lead hazard control accomplishments in additional housing units within and/or outside of your target area after the end of the period of performance of this grant, preferably including letters of commitment explicitly for that period.
2. If you are applying for Healthy Homes Supplemental funding, describe in detail your plan for sustaining your healthy homes accomplishments in additional housing units within and/or outside of your target area after the end of the period of performance of this grant, preferably including letters of commitment explicitly for that period.

## **2. Other Factors.**

### **Preference Points.**

HUD encourages activities in support of the Secretary's FY20 Initiatives. HUD may award up to two (2) points for any of the 3 preferences (OZ, PZ or HBCU).

### **Opportunity Zones.**

**Opportunity Zone (OZ) Certification and Supporting Documentation:** Applicants proposing projects in an Opportunity Zone community must identify the state, county and census tract(s) of the opportunity zone(s) in which the activity will be carried out and provide certification to HUD in order to receive preference points. The certification must affirm that the investment is in a qualified Opportunity Zone, and be submitted as a supporting attachment on the applicant's letterhead with the application package. Applicants must also provide supporting documentation showing that the investment is in an Opportunity Zone. To view the list of designated Opportunity Zones, please see the following link on the U.S. Department of the Treasury website: <https://www.cdfifund.gov/Pages/Opportunity-Zones.aspx>. HUD encourages activities in Opportunity Zones. This form will be included as part of the grant application package on [grants.gov](https://www.grants.gov) for all HUD grants that offer Opportunity Zone preference points. For Fiscal Year 2020, applicants will be required to complete and submit this form along with the SF-424 in order to be eligible for Opportunity Zone preference. Applicants who do not complete this form and submit it along with the rest of their application package will not be eligible to receive the points. Additionally, applicants who do complete and submit this form, but indicate they intend to use less than 50% of the award within Opportunity Zone tracts, will also be ineligible to receive preference points, unless: a.) the applicant can show why they are unable to expend at least 50% of the grant award within Opportunity Zone designated tracts, or b.) the applicant is able to make a compelling case for why the amount that will be expended will have a significant impact within Opportunity Zone designated tracts.

### **HBCU.**

This program does not offer HBCU preference points.

### **Promise Zones**

HUD encourages activities in Promise Zones (PZ). To receive Promise Zones Preference Points, applicants must submit form HUD 50153, "Certification of Consistency with Promise Zone Goals and Implementation," signed by the Promise Zone Official authorized to certify the project meets the criteria to receive preference points. To view the list of designated Promise Zones and persons authorized to certify, please see the [Promise Zone](#) pages on HUD's website.

## **B. Review and Selection Process.**

### **1. Past Performance**

In evaluating applications for funding, HUD will consider an applicant's past performance in managing funds. Items HUD will consider include, but are not limited to:

The ability to account for funds in compliance with applicable reporting and recordkeeping requirements;

Timely use of funds received from HUD;

Timely submission and quality of reports submitted to HUD;

Meeting program requirements;

Meeting performance targets as established in the grant agreement;

The applicant's organizational capacity, including staffing structures and capabilities;

Timely completion of activities and receipt and expenditure of promised matching or leveraged



funds;

The number of persons served or targeted for assistance;

HUD may reduce scores as specified under V. A. Review Criteria. Whenever possible, HUD will obtain past performance information. If this review results in an adverse finding related to integrity or performance, HUD reserves the right to take any of the remedies provided in Section III. E Statutory and Regulatory Requirements Affecting Eligibility, “Pre-selection Review of Performance” document link above.

## **2. Assessing Applicant Risk.**

In evaluating risks posed by applicants, HUD may use a risk-based approach and may consider any items such as the following:

- Financial stability;
- Quality of management systems and ability to meet the management standards prescribed in this part;
- History of performance. The applicant's record in managing Federal awards, if it is a prior recipient of Federal awards, including timeliness of compliance with applicable reporting requirements, conformance to the terms and conditions of previous Federal awards, and if applicable, the extent to which any previously awarded amounts will be expended prior to future awards;
- Reports and findings from audits performed under Subpart F—Audit Requirements of this part or the reports and findings of any other available audits; and
- The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities.

In addition to the performance review described in previous sections; each grant program must have a framework for evaluating the degree of risks posed by applicants before they receive Federal awards. As a result of the risk evaluation an applicant may be awarded with special conditions applied to the award that correspond to the degree of risk assessed or the applicant may be determined ineligible due to the operational risk it has historically posed to HUD, OLHCHH or other federal agencies as applicable. Possible risk indicators that could impact the risk evaluation determination could include the following elements of the described approach for use of funds:

1. Size of potential subaward
2. Size and diversity of federal award portfolio of entity applying
3. Impact of subaward on operations
4. Past federal award experience of applicant with OLHCHH with HUD or other Federal Agencies
5. Past federal award experience of applicant of Key staff
6. Extent of other oversight (audits, monitoring)

Minimum Score. Applications scoring 75 points or more will be eligible to receive an award. Applications will be scored and ranked, based on the total number of points allocated for each of the rating factors described in Section V.A of this NOFA. The maximum number of points to be awarded is 102.

## **VI. Award Administration Information.**

### **A. Award Notices.**

Following the evaluation process, HUD will notify successful applicants of their selection for funding. HUD will also notify other applicants, whose applications were received by the deadline, but have not been chosen for award. Notifications will be sent by email to the person listed as the AOR in item 21 of the SF424.

**Negotiation.** After HUD has made selections, HUD will negotiate specific terms of the funding agreement and budget with selected applicants. If HUD and a selected applicant do not successfully conclude negotiations in a timely manner, or a selected applicant fails to provide requested information, an award will not be made to that applicant. In this case, HUD may select another eligible applicant. HUD may impose special conditions on an award as provided under 2 CFR 200.207:

- Based on HUD's review of the applicant's risk under 2 CFR 200.205;
- When the applicant or recipient has a history of failure to comply with the general or specific terms and conditions of a Federal award;
- When the applicant or recipient fails to meet expected performance goals contained in a Federal award; or
- When the applicant or recipient is not otherwise responsible.

**Adjustments to Funding.** To ensure the fair distribution of funds and enable the purposes or requirements of a specific program to be met, HUD reserves the right to fund less than the amount requested in an application.

a. HUD will fund no portion of an application that:

- (1) Is not eligible for funding under applicable statutory or regulatory requirements;
- (2) Does not meet the requirements of this notice; or
- (3) Duplicates other funded programs or activities from prior year awards or other selected applicants.

b. If funds are available after funding the highest-ranking application, HUD may fund all or part of another eligible fundable application. If an applicant turns down an award offer, or if HUD and an applicant do not successfully complete grant negotiations, HUD may make an offer of funding to another eligible application.

c. If funds remain after all selections have been made, remaining funds may be made available within the current FY for other competitions within the program area, or be held for future competitions, or be used as otherwise provided by authorizing statute or appropriation.

d. If, after announcement of awards made under the current NOFA, additional funds become available either through the current appropriations, a supplemental appropriation, other appropriations or recapture of funds, HUD may use the additional funds to provide additional funding to an applicant awarded less than the requested amount of funds to make the full award, and/or to fund additional applicants that were eligible to receive an award but for which there were no funds available.

**Funding Errors.** If HUD commits an error that when corrected would cause selection of an applicant during the funding round of a Program NOFA, HUD may select that applicant for funding, subject to the availability of funds.

Successful applicants will receive a letter from the Office of Lead Hazard Control and Healthy Homes providing details regarding the effective start date of the grant agreement and any

conditions, additional data and information to be submitted to execute the grant. They will then participate in negotiations to determine the specific terms of the grant agreement, budget, work plan, benchmarks, or other requirements. If HUD is not able to successfully conclude negotiations with a selected applicant within a period determined by HUD, an award will not be made.

If you accept the terms and conditions of the grant agreement, you must return a signed grant agreement by the date specified. Instructions on how to have the grant agreement account entered into HUD's Line of Credit Control System (LOCCS) payment system will be provided. In accordance with 2 CFR part 200, subpart F—Audit Requirements, if you expend \$750,000 in federal funds in a single year, you must follow the requirements of the Single Audit Act and must submit your completed audit-reporting package along with the Data Collection Form (SF-SAC) to the Single Audit Clearinghouse. The address can be obtained from its website. The SF-SAC can be downloaded from: [Single Audit Clearinghouse](#).

NOTE: HUD reserves the right to delay execution of the grant award based on performance of current awards. Delays will be considered and assessed based on the following criteria:

1. Period of Performance Extension Status at the time of a currently funded grant
2. High Risk Status placement on a currently funded grant during the application review period
3. Unresolved monitoring or OIG Audit findings regarding a currently funded grant
4. OLHCHH Discretion

#### **B. Administrative, National and Department Policy Requirements for HUD recipients**

For this NOFA, the following [Administrative, National and Department Policy Requirements and Terms for HUD Financial Assistance Awards](#) apply. (Please select the linked text to read the detailed description of each applicable requirement).

##### 1. Compliance with Non-discrimination and Related Requirements.

Unless otherwise specified, these non-discrimination and equal opportunity authorities and other requirements apply to all NOFAs. Please read the following requirements carefully as the requirements are different among HUD's programs.

- Compliance with Fair Housing and Civil Rights Laws, Which Encompass the Fair Housing Act and Related Authorities (cf. 24 CFR 5.105(a)).

- Affirmatively Furthering Fair Housing.

- Economic Opportunities for Low-and Very Low-income Persons (Section 3).

- Improving Access to Services for Persons with Limited English Proficiency (LEP).

- Accessible Technology.

##### 2. Equal Access Requirements.

##### 3. Ensuring the Participation of Small Disadvantaged Business, and Women-Owned Business.

##### 4. Participation in HUD-Sponsored Program Evaluation.

##### 5. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

##### 6. Drug-Free Workplace.

##### 7. Safeguarding Resident/Client Files.

##### 8. Compliance with the Federal Funding Accountability and Transparency Act of 2006 (Pub. L.109-282) (Transparency Act), as amended.

##### 9. Accessibility for Persons with Disabilities.

10. Conducting Business in Accordance with Ethical Standards/Code of Conduct.
11. Environmental Requirements.

Compliance with 24 CFR part 50 or 58 procedures is explained below:

- a. Environmental Requirements. Award of an FY 2020 Lead-Based Paint Hazard Reduction Grant does not constitute approval of specific sites where activities that are subject to environmental review may be carried out. Recipients of funding under this NOFA that are states, units of general local government or Native American tribes must carry out environmental review responsibilities as a responsible entity under 24 CFR part 58, "Environmental Review Procedures for Entities Assuming HUD Environmental Responsibilities." For recipients that are not a state, unit of general local government, or Native American tribe (or a consortium with such a government as principal applicant), HUD will perform environmental reviews of proposed activities under 24 CFR part 50. Reasonable expenses incurred for compliance with these environmental requirements are eligible expenses under this NOFA.
- b. For all grants awarded under this NOFA, recipients and other participants in the project are prohibited from undertaking or committing or expending HUD or non-HUD funds on a project or activities under this NOFA (other than activities listed at 24 CFR 58.34, 58.35(b) or 58.22(f), e.g., lead-based paint inspections, risk assessments, and housing related health hazard assessments using the Healthy Homes Supplement Funds until the recipient completes an environmental review including the submission, and HUD approval, of a Request for Release of Funds and the recipient's Environmental Certification (both on Form HUD 7015.15) or, in the case where the recipient is not a state, unit of general local government, or Native American tribe and HUD performs the environmental review under part 50, HUD has completed the review and notified the recipient of its approval. For applicants that are not States, units of general local government, or Native American tribes, in accordance with 24 CFR 50.3(h) the application constitutes an assurance that the applicant will comply with this prohibition until HUD approval of the property is received, and that the applicant will assist HUD in complying with part 50; will supply HUD with all available, relevant information necessary for HUD to perform for each property any required environmental review; and will carry out mitigating measures required by HUD or select alternate eligible property. The results of the environmental review may require that proposed activities be modified, or proposed sites rejected.
- c. Recipients of a grant under this NOFA will be provided additional guidance in these environmental responsibilities. For technical assistance with environmental reviews during the period of performance of a grant under this NOFA, contact Karen Griego, the Office of Lead Hazard Control and Healthy Homes' Program Environmental Clearance Officer at 505-346-6462 (this is not a toll-free number). If you are hearing or speech impaired person, you may reach the telephone number above via TTY by calling the Federal Relay Service at 800-877-8339. For Part 58 procedures see: <https://www.onecpd.info/environmental-review/>
- d. Grantees who will conduct lead hazard control work on eligible units located outside of the recipient's jurisdiction will be required to submit an executed contract, Memorandum of Understanding (MOU), Memorandum of Agreement (MOA) or comparable documentation of agreement with and between each jurisdiction of the target area. The grant recipient will be considered the lead agency; the other jurisdiction(s) will be required to provide resources, information, and documentation, including for environmental reviews under Part 58, pertaining to work in the area of the particular jurisdiction. The contract, MOU, MOA or comparable agreement is akin to a consortium agreement as described in the definition of

consortium in the HOME regulations at 24 CFR part 92.101.

7. Davis-Bacon Wage Rates. The Davis-Bacon wage rates are not applicable to these programs. However, if you use grant funds in conjunction with other federal programs, Davis-Bacon requirements may apply to the extent required under the other federal programs.

8. Compliance with Section 872 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Pub. L. 110-417) (“Section 872”). Section 872 requires the establishment of a government wide data system - the Federal Awardee Performance and Integrity Information System (FAPIIS) - to contain information related to the integrity and performance of entities awarded federal financial assistance and making use of the information by federal officials in making awards. Grantees must follow OMB guidance regarding federal agency implementation of Section 872 requirements for grants, at 2 CFR Subtitle A, Chapter I, and Part 25 (75 FR 55671-76, September 14, 2010).

### **C. Reporting.**

HUD requires recipients to submit performance and financial reports under OMB guidance and program instructions.

**1. Recipient Integrity and Performance Matters.** Applicants should be aware that if the total Federal share of your Federal award includes more than \$ 500,000 over the period of performance, you may be subject to post award reporting requirements reflected in Appendix XII to Part 200-Award Term and Condition for Recipient Integrity and Performance Matters.

If you execute a grant under this NOFA, reports must comply with the specific program reporting requirements as described below.

-If you purchase X-ray fluorescence (XRF) analyzers in excess of \$5,000 a piece, you must complete and submit to OLHCHH the General Services Administration's annual Tangible Personal Property Report. This report has four components: the Annual Report, the Final (Award Closeout) Report, the Disposition Report/Request, and, if needed, the Supplemental Sheet (see <http://www.whitehouse.gov/omb/grantsstandardreportforms>) Generally, the average estimated time to complete each of these components is 0.5 hours; it is likely to be less for this grant program.

-Transparency Act Reporting. You must report on funding and expenditures for yourself and sub recipients to meet the requirements of the Federal Funding Accountability and Transparency Act of 2006.

**2. FOIA Reporting.** HUD makes frequently requested materials, including information on the highest-scoring funding grant applications available on its Freedom of Information Act (FOIA) website. To avoid disclosure of PII, proprietary information, business confidential information, or other content that should not be disclosed to the public, HUD will only post applications redacted pursuant to FOIA. Those recipients who receive the highest score in each competition must provide a redacted version of their applications within 30 days of notification from HUD that they submitted the highest-scoring application. If multiple applicants receive the same score, all of them will be asked to submit redacted applications. When submitting a redacted version, recipients may black out information that would be considered trade secrets and commercial or financial information and the information is privileged or confidential, as provided in 5 U.S.C. 552(b)(4), should the information be disclosed to the public. HUD will take the recipient's

proposed redactions under advisement when determining what information should be released to the public.

**2. Race, Ethnicity and Other Data Reporting.** HUD requires recipients that provide HUD-funded program benefits to individuals or families to report data on the race, color, religion, sex, national origin, age, disability, and family characteristics of persons and households who are applicants for, participants in, or beneficiaries or potential beneficiaries of HUD programs in order to carry out the Department's responsibilities under the Fair Housing Act, Executive Order 11063, Title VI of the Civil Rights Act of 1964, and Section 562 of the Housing and Community Development Act of 1987.

NOFAs may specify the data collection and reporting requirements. Many programs use the Race and Ethnic Data Reporting Form HUD-27061, U.S. Department of Housing OMB Approval No. 2535-0113.

You must comply with reporting requirements of subpart E (Section 3 of the Housing and Urban Development Act of 1968, 12 U.S.C. § 1701u (Economic Opportunities for Low- and Very Low-Income Persons in Connection with Assisted Projects)) and the HUD regulations at 24 CFR 135 for projects for which Section 3 applies (see section IV.F.2, Program Requirements and Prohibitions).

You must collect demographic data throughout the performance period through an appropriate method that allows for annual reporting and filing of the HUD approved Race/Ethnic Form (HUD-27061).

### **3. Program-Specific Reporting Requirements**

All HUD-funded programs, including this program, require recipients to submit, not less than annually, a report documenting achievement of outcomes under the purpose of the program and the work plan in the award agreement. You must submit applicable quarterly, annual, and final program and financial reports according to the requirements of the OLHCHH, including new Transparency Act Reporting requirements. Specific guidance and additional details will be provided to successful applicants in the grant agreement. OLHCHH will include these reporting requirements in its terms and conditions as part of the grant agreement in its 2020 awards.

#### **D. Debriefing.**

For a period of at least 120 days, beginning 30 days after the public announcement of awards under this NOFA, HUD will provide a debriefing related to their application to requesting applicants. A request for debriefing must be made in writing or by email by the authorized official whose signature appears on the SF-424 or by his or her successor in office and be submitted to the POC in Section VII Agency Contact(s), below. Information provided during a debriefing may include the final score the applicant received for each rating factor, final evaluator comments for each rating factor, and the final assessment indicating the basis upon which funding was approved or denied.

#### **VII. Agency Contact(s).**

HUD staff will be available to provide clarification on the content of this NOFA.

Questions regarding specific program requirements for this NOFA should be directed to the POC listed below. Name:

Yolanda Brown

Phone:

202-903-9576

Email:

Yolanda.A.Brown@hud.gov

Persons with hearing or speech impairments may access this number via TTY by calling the toll-free Federal Relay Service at 800-877-8339. Please note that HUD staff cannot assist applicants in preparing their applications.

## **VIII. Other Information.**

### **1. National Environmental Policy Act.**

A Finding of No Significant Impact (FONSI) with respect to the environment has been made for this NOFA in accordance with HUD regulations at 24 CFR part 50, which implement section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332(2)(C)).

The FONSI is available for inspection at [HUD's Funding Opportunities](#) web page.

HUD's Office of Lead Hazard Control and Healthy Homes administers this program, which is aligned with HUD's Strategic Plan 2018-2022 (and HUD's 2012-2015 Environmental Justice Strategy. The Strategic Plan includes a Strategic Objective to "Remove Lead-Based Paint Hazards and Other Health Risks from Homes." The Environmental Justice Strategy, which is in the process of being updated (see <http://portal.hud.gov/hudportal/documents/huddoc?id=HUDEnvJustStratUBF.pdf>), addresses environmental and human health issues in high-risk populations, such as minorities, low-income homes, children, and people with disabilities. For more information about HUD's Environmental Justice Strategy, refer to: [HUD Environmental Justice Strategy Update](#)

**2. Paperwork Reduction Act Statement.** The information collection requirements in this notice have been approved by OMB under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520). In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid OMB control number. Each NOFA will identify its applicable OMB control number unless its collection of information is excluded from these requirements under 5 CFR part 1320.

### **3. Web Resources.**

- [Affirmatively Furthering Fair Housing](#)
- [Code of Conduct list](#)
- [CFDA](#)
- [Dun & Bradstreet](#)
- [Equal Participation of Faith-Based Organizations](#)
- [Federal Awardee Performance and Integrity Information System](#)
- [FFATA Subaward Reporting System](#)
- [Grants.gov](#)
- [HBCUs](#)
- [Healthy Homes Strategic Plan](#)



- [Healthy Housing Reference Manual](#)
- [HUD's Strategic Plan](#)
- [HUD Grants](#)
- [Limited English Proficiency](#)
- [NOFA Webcasts](#)
- [Opportunity Zone](#)
- [Procurement of Recovered Materials](#)
- [Promise Zones](#)
- [Section 3 Business Registry](#)
- [State Point of Contact List](#)
- [System for Award Management \(SAM\)](#)
- [Uniform Relocation Act – Real Property Acquisition and Relocation Requirements](#)
- [USA Spending](#)

## APPENDIX

### **APPENDIX A: High Impact Neighborhoods jurisdictions table**

An applicant for the High Impact Neighborhoods grants category funding must be a jurisdiction that is one of the counties or cities listed in the High Impact Neighborhoods jurisdictions table on the [FY 2020 Lead Hazard Reduction NOFA Appendices](#) webpage (on the OLVCHH website), and have received a Lead Hazard Control grant previously, in order to be eligible for a grant in this category. Applicants for this grant category must review the High Impact Neighborhoods jurisdictions table to identify whether they are an eligible county or city. The table lists eligible jurisdictions sorted by state, with the cities and counties listed alphabetically within the state.

### **APPENDIX B: Highest Lead-Based Paint Abatement Needs Areas jurisdictions table**

An applicant for the Highest Lead-Based Paint Abatement Needs Areas grant category funding must be a jurisdiction that is one of the counties or cities listed in the Highest Lead-Based Paint Abatement Needs Areas jurisdictions table on the [FY 2020 Lead Hazard Reduction NOFA Appendices](#) webpage (on the OLVCHH website). Applicants must review the Highest Lead-Based Paint Abatement Needs Areas jurisdictions table to identify whether they are an eligible county or city. The table lists eligible jurisdictions sorted by state, and then county or city. The table also shows the Bureau of Census 2017 American Community Survey 5-Year Estimates of the number of renter-occupied pre-1940 housing units in each county or city.

### **APPENDIX C: High Impact Neighborhoods online mapping tool**

An applicant for the High Impact Neighborhoods grant category funding must first use the High Impact Neighborhoods jurisdictions table (see Appendix A, above) and determine that its jurisdiction is eligible for the High Impact Neighborhoods grant category. The applicant must then identify eligible census tracts within its jurisdiction by using the High Impact Neighborhoods online mapping tool linked from the [FY 2020 Lead Hazard Reduction NOFA Appendices](#) webpage (on the OLVCHH website) to identify eligible census tracts in its application. (Applicants should note that the presence of an eligible census tract does not, on its own, make the jurisdiction eligible; the jurisdiction must be listed in Appendix A as well.)



