

MODIFICATION OF ASSISTANCE

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1. MODIFICATION NUMBER 1	2. EFFECTIVE DATE OF MODIFICATION 01/08/2010	3. AWARD NUMBER:	4. EFFECTIVE DATE OF AWARD :
5. GRANTEE: To be determined DUNS NO. : TIN NO. : LOC NO. :		6. ADMINISTERED BY: Regional Agreement Office USAID/Peru Unit 3760 APO AA 34031	
7. FISCAL DATA: Amount Obligated: Budget Fiscal Year: Operating Unit: Strategic Objective: Team/Division: Benefiting Geo Area: Object Class:		8. TECHNICAL OFFICE: USAID/ODI	
		9. PAYMENT OFFICE:	
10. FUNDING SUMMARY:			
		Obligated Amount	Total Est. Amt.
Amount Prior to this Modification:			
Change Made by this Modification:			
New/Current Total:			
11. DESCRIPTION OF MODIFICATION: The purpose of this Request for Application amendment is to revise RFA 527-10-000003 to include the Questions & Answers document. All other terms of the Request for Application remain unchanged.			
12. THIS MODIFICATION IS ENTERED INTO PURSUANT TO THE AUTHORITY OF the Foreign Assistance Act (FAA) of 1961, AS AMENDED. EXCEPT AS SPECIFICALLY HEREIN AMENDED, ALL TERMS AND CONDITIONS OF THE GRANT REFERENCED IN BLOCK #3 ABOVE, AS IT MAY HAVE HERETOFORE BEEN AMENDED, REMAIN UNCHANGED AND IN FULL FORCE AND EFFECT.			
13. GRANTEE: ☐ IS ☐ IS NOT REQUIRED TO SIGN THIS DOCUMENT TO RECONFIRM ITS AGREEMENT WITH THE CHANGES EFFECTED HEREIN			
14. GRANTEE: BY: _____ _____ (Name Typed or Printed) TITLE: _____ DATE: _____		15. THE UNITED STATES OF AMERICA U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT BY: _____ Doanh Van. Agreement Officer (Name Typed or Printed) TITLE: Agreement Officer DATE: 01.08.2010	

RFA 527-10-000003
Citizens for Transparency and Improved local Governance
QUESTIONS & ANSWERS

Question 1: A prestigious professional nominated to be part of the core team as key personnel is member of the Board of a mining company publicly listed that operates in one of the regions included in the RFA. This person has been appointed as member of the board as an independent director, with no economic nor professional relationship whatsoever with any shareholder or group of shareholders or management of the company. Can such situation be interpreted as a precluding the appointment of such person as a key personnel?

Answer 1: To avoid the appearance of any conflict of interest that person should not be proposed as a key personnel

Question 2: A prestigious professional nominated to be part of the core team as key personnel is member of the Board of Central Bank. This person has been appointed as member of the board by the Congress. Can such situation be interpreted as a precluding the appointment of such person as a key personnel?

Answer 2: The Mission does not see this person's membership on the Board of Central Bank as a conflict of interest per se. However, in order for USAID to fully understand the possible ramifications of this person's position the technical application should include complete information on the candidate's functions as a board member.

Question 3: A prestigious professional nominated to be part of the core team as key personnel is member of the Board of SERVIR. Can such situation be interpreted as a precluding the appointment of such person as a key personnel?

Answer 3: The Mission does not see this person's membership on the Board of SERVIR as a conflict of interest per se. However, in order for USAID to fully understand the possible ramifications of this person's position the technical application should include complete information on the candidate's functions as a board member

Question 4: Should the reference to "local governments" in the RFA be interpreted restrictively to municipal governments or can it also include regional governments?

Answer 4: The "local governments" reference include both municipal and regional governments.

Question 5: Does the reference to "partners" in the regions (p. 10) also include NGOs?

Answer 5: Yes it does.

Question 6: Should Letters of Commitment of regional partners include an exclusivity clause?

Answer 6: No, it is not required.

Question 7: Is it possible to have access to the reports of the pilot projects promoting relationships between mining companies and civil society organizations mentioned in page 6? If so, how?

Answer 7: The RFA refers to an activity carried out through CARE a few years ago. Reports are not for public release.

Question 8: Would have a contract, grant or cooperative agreement with USAID be an impediment to being partner of the organization that leads the proposal for the present RFA?

Answer 8: No, it is not.

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Question 9: Can a contractor of a USAID grantee (under contract or cooperative agreement) participate in the present RFA as partner to the organization that leads the proposal for the present RFA?

Answer 9: Yes it can.

Question 10: Can private associations, foundations, mining funds created by the mining companies be partners and/or sub contractors of organization that leads the proposal for the present RFA, and as such, implement the activities of the project?

Answer 10: To avoid any appearance of conflict of interest only institutions with no links to extractive industries or to the government of Peru can partner the organization that leads the proposal.

Question 11: Can a government institution (for example, a research center, Mesa de Concertación) be a partner of the organization that leads the proposal for the present RFA?

Answer 11: Since the program will perform oversight activities of authorities at sub-national levels, a government institution can not partner the organization that leads the proposal.

[END OF QUESTIONS & ANSWERS]
[RFA 527-10-000003]