

## **U.S. Fish and Wildlife Service**

FWS - Ecological Services

<https://www.fws.gov/program/cooperative-endangered-species-conservation-fund>

F23AS00235 FY 2023 Cooperative Endangered Species Conservation Fund Traditional  
Conservation Grants Program Region 5

Fiscal Year: 2023

F23AS00235

**Due Date for Applications: 04/25/2023**

## Table of Contents

|                                                                          |    |
|--------------------------------------------------------------------------|----|
| A. Program Description .....                                             | 2  |
| B. Federal Award Information.....                                        | 3  |
| B1. Total Funding .....                                                  | 3  |
| B2. Expected Award Amount .....                                          | 3  |
| B3. Expected Award Funding and Anticipated Dates .....                   | 4  |
| B4. Number of Awards .....                                               | 5  |
| B5. Type of Award.....                                                   | 5  |
| C. Eligibility Information .....                                         | 5  |
| C1. Eligible Applicants .....                                            | 5  |
| C2. Cost Sharing or Matching.....                                        | 5  |
| C3. Other .....                                                          | 6  |
| D. Application and Submission Information .....                          | 7  |
| D1. Address to Request Application Package .....                         | 7  |
| D2. Content and Form of Application Submission.....                      | 7  |
| D3. Unique Entity Identifier and System for Award Management (SAM) ..... | 12 |
| D4. Submission Dates and Times .....                                     | 13 |
| D5. Intergovernmental Review .....                                       | 14 |
| D6. Funding Restrictions .....                                           | 14 |
| D7. Other Submission Requirements.....                                   | 16 |
| E. Application Review Information.....                                   | 17 |
| E1. Criteria .....                                                       | 17 |
| E2. Review and Selection Process .....                                   | 19 |
| E3. CFR – Regulatory Information.....                                    | 21 |
| E4. Anticipated Announcement and Federal Award Dates .....               | 21 |
| F. Federal Award Administration Information .....                        | 22 |
| F1. Federal Award Notices .....                                          | 22 |
| F2. Administrative and National Policy Requirements .....                | 22 |
| F3. Reporting .....                                                      | 22 |
| G. Federal Awarding Agency Contact(s) .....                              | 24 |
| G1. Program Technical Contact.....                                       | 24 |
| G2. Program Administration.....                                          | 24 |
| G3. Application System Technical Support.....                            | 25 |
| H. Other Information .....                                               | 25 |

## A. Program Description

**Authority:**

16 U.S.C. §1535

**Assistance Listing Number:**

15.615

**Background, Purpose and Program Requirements:**

As many species listed as endangered or threatened under the Endangered Species Act (ESA) spend at least part of their life cycle on non-federal lands, the U.S. Fish and Wildlife Service (Service) recognizes success in conserving and recovering these species depends largely on working cooperatively with States and Territories (hereafter, “States”).

Section 6 of the ESA authorizes the Secretary of Interior (Secretary) to enter into cooperative agreements with States that establish and maintain an "adequate and active" program for the conservation of threatened and endangered species of fish, wildlife, and plants that are resident in the States. A cooperative agreement sets forth the roles and responsibilities of the State and the Service in the management of threatened and endangered species. Once a State enters into such an agreement, the Service is authorized to provide Federal financial assistance for the implementation of a State’s conservation program, through the Traditional Conservation Grant Program.

The principle objective of the Traditional Conservation Grant Program is to support the development and implementation of States’ programs to conserve and recover threatened and endangered species under the Service’s jurisdiction. Financial assistance, provided in the form of competitive grants, can be used to support projects that have direct benefits for federally listed species, candidate and at-risk species, and recently delisted species. States may apply for funding to conduct conservation work on federally-listed resident species that are included in the State’s cooperative agreement. States may also apply for funding to monitor candidate, at-risk, and recently delisted species. [Candidate Species](#) are those that the Service determined warrant listing as a threatened or endangered species, but the listing is precluded by other higher priority actions. For the purposes of this Notice of Funding Opportunity, we are considering certain “at-risk” species in addition to Candidate Species: 1) those species that are the subject of a positive 90-day finding, 2) species that are the subject of a positive 12-month finding but not yet the subject of a proposed rule, 3) species that are subject of a proposed listing rule but not a final rule, and 4) species included on the National Listing Workplan. A full list of at-risk species can be found in the NOFO attachments. Further, for purposes of this solicitation, recently delisted species are those species delisted within the past five years. Only species delisted due to recovery may receive funding under this solicitation. A list of all delisted species and the year delisted is available at the Service’s [ECOS Delisted Species page](#).

Projects proposed for funding may involve management, research, monitoring, and outreach activities or any combination thereof. Applications should include clear and specific information about how the proposed work would contribute to species recovery or prevent the need to list a

species under the ESA. Successful applications will be those that clearly demonstrate a direct benefit to the species or its habitat.

Eligible activities may include, but are not limited to:

- Introduction of species into suitable habitats within their historic range
- Enhancement or restoration of habitat
- Surveys and inventories of habitats
- Species status surveys
- Propagation of animals and plants
- Research such as genetic analysis to determine genetic health and population structure
- Public education and outreach tools such as website development or coordination workshops with local landowners to address a specific threat to a species
- Monitoring of candidate, at-risk, and recently recovered species

The general program funding priorities for Traditional Conservation Grants address the listing status of the species and implementation of tasks identified in a final or draft recovery plan, recovery outline, or similar conservation or management strategy.

Highest priority is given to projects designed to have a direct impact on the recovery of listed species, prevent extinction of a listed species or prevent the need to list a species. Applications to monitor candidate, at-risk, and recently delisted species may be addressed even though no recovery plan exists. Applications that involve only research must provide information that can be directly used to prevent listing, recover, manage, or improve current management strategies for a species. Applications involving only public education and outreach activities must demonstrate a high probability of improving or increasing public understanding of and participation in conservation activities.

In addition, the Traditional Conservation Grants Program, as currently executed, furthers the Administration's goal for conserving lands and waters by protecting biodiversity, slowing extinction rates and facilitating local and State conservation efforts in close coordination with private landowners and local communities.

## **B. Federal Award Information**

### **B1. Total Funding**

#### **Estimated Total Funding**

\$864,330

The Service expects to award an estimated \$864,330 through this opportunity.

### **B2. Expected Award Amount**

#### **Maximum Award**

\$864,330

#### **Minimum Award**

\$1,000

### **B3. Expected Award Funding and Anticipated Dates**

#### **Expected Award Funding**

\$864,330

#### **Expected Award Date**

June 30, 2023

Applications will be accepted through April 25, 2023, and continually reviewed and approved as long as funds are available. The Service expects to award an estimated \$864,330 through this opportunity.

Funding for the Traditional Conservation Grants Program is allocated by formula to Service Regions based on the number of listed species covered by Section 6 cooperative agreements with States within that Region.

#### **Service Region 5: Connecticut, Delaware, the District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, and West Virginia**

Available funds are allocated to States based on the number of species covered by their respective cooperative agreement/ total number of species covered by cooperative agreements in the Region. However, no State will be given a target of less than 5% or more than 20% of the total available funding. Targets will be further divided proportionally by plant and animal for each State. When different agencies have jurisdiction over plants and animals, this breakdown will be applied in deciding awards; however, if a State agency with authority for both plants and animals requests only funds for one group (i.e., plants or animals), the State's full amount will be distributed to that group. Similarly, if only one agency in a State applies for funding, that agency will be awarded the full target amount for the State. Projects compete at the State level. However, any funds remaining after application of the target formula and any recovered funds are awarded based on competition at the regional level.

Applications may address new or ongoing species conservation work. Projects supporting ongoing work in which the scope, objectives, and expected outcomes remain consistent over time are considered continuation projects. Applications for continuation projects must include a brief discussion of accomplishments to date and a justification for the continuation in the Project Narrative.

The Regional Office will announce awards for the fiscal year 2023 CESCOF Traditional Conservation Grants through direct notification of the applicant. The Service's goal is to obligate CESCOF funds to States within 120 days of the award announcement. States will assist the Service in meeting the 120-day target by providing the documents necessary for grant issuance as soon as possible following the pre-award notification.

Awarded grant funds must be expended in a timely manner or returned to the Service for reallocation through the next grant award cycle. The maximum period of performance (PoP) for a grant awarded through this notice is three years from the effective date established at the time of grant approval or the date of award obligation, whichever is earlier. If an approved grant

award will not be completed within the PoP, the State may request a one-time extension of up to 12 months with appropriate justification. Any awarded grant project that will not be completed, and from which funds are deobligated, may be eligible for funding in future competitions. These projects will not be provided any preferential treatment and will be assessed along with all other applications submitted in response to the current solicitation based solely on the merits of the project.

#### **B4. Number of Awards**

##### **Expected Number of Awards**

14

In FY 2022, the Service awarded 16 grants in Region 5 with an average award amount of \$62,442. The Service expects to award 14 grants in FY 2023 should the average grant amount remain consistent with the previous fiscal year.

#### **B5. Type of Award**

##### **Funding Instrument Type**

G - Grant

#### **C. Eligibility Information**

##### **C1. Eligible Applicants**

##### **Eligible Applicants**

00 – State governments

##### **Additional Information on Eligibility**

Only State agencies that have entered into a cooperative agreement with the Service pursuant to section 6(c) of the ESA or enters into/reconfirms such an agreement within 30 days of the application deadline are eligible to apply under this funding opportunity. The Service requires a complete, signed cooperative agreement before it can obligate Federal funds to a project [50 CFR 81.3, 50 CFR 81.5, 43 CFR 12.50(b)(3)]. While funding can only be granted to States, individuals or groups (for example counties or conservation organizations) may work with a State agency that has a cooperative agreement on conservation efforts that are mutually beneficial, as a subgrantee.

##### **C2. Cost Sharing or Matching**

##### **Cost Sharing / Matching Requirement**

Yes

##### **Percentage of Cost Sharing / Matching Requirement**

25

In accordance with section 6(d) of the ESA, the minimum non-Federal cost share is 25 percent of the total project cost, *i.e.*, the amount requested from the program plus the amount of non-Federal cost share. Other funds related to the project, but not designated as cost share, should not be included in the total project costs when calculating minimum non-Federal cost share.

If an application involves the collaboration of two or more States, the minimum non-Federal cost share decreases to 10 percent of the total project cost. The application and budget must reflect the work and responsibilities to be carried out by each of the cooperating States. The source(s) of the non-Federal cost share is up to the applicants and may come from more than one source. Each cooperating State is responsible for submitting performance and financial reports related to the joint project. Incomplete work by any one of the cooperating States may result in recovery of Federal funds from all States, if it is determined that the joint cooperative objective will not be accomplished.

In accordance with 48 United States Code (U.S.C.) 1469(a), Amendment of Subsection (d), the required match is waived for each grant awarded to the U.S. Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands.

Non-Federal cost share requirements are detailed in 50 Code of Federal Regulations (CFR) 81.8(b) and allow the cost share to be in the form of cash or in-kind contributions, including real property, in accordance with the regulations at 2 CFR 200.306. Applications must identify the appropriate minimum non-Federal cost share in the standard form (SF)-424 Budget Information form and in the budget narrative.

### C3. Other

To be eligible for consideration, applicants must seek funding to conduct conservation work on federally-listed resident species currently included in the State's Section 6 cooperative agreement. States may also apply for funding to monitor any species that has become a candidate or at-risk species by the application deadline or has been delisted due to recovery within the last five years.

Projects must involve voluntary conservation efforts within the United States. Projects within a grant must be consistent with approved or draft species recovery plans. In no case will proposed work be in conflict with approved recovery plans [50 CFR 81.2].

A permit from the Regional Director may be required if a project within a grant involves taking, transporting across State lines, introduction into an area beyond its historic range, or holding wildlife in captivity for more than 45 days. If you anticipate any of these activities, you must submit an Environmental Assessment to the Regional Director before we will grant a permit [50 CFR 81.9, 43 CFR 12.50(b)(3)].

#### **Foreign Entities or Projects:**

**State Sponsors of Terrorism:** This program will not fund projects in [countries determined by the U.S. Department of State to have repeatedly provided support for acts of international terrorism](#) and therefore are subject to sanctions restricting receipt of U.S. foreign assistance and other financial transactions.

**Office of Foreign Assets Control Sanctions:** This program will not fund projects in countries subject to [comprehensive sanction programs administered by the U.S. Department of Treasury, Office of Foreign Asset Control](#) without proper licenses.

**In-Country Licenses, Permits, or Approvals:** Entities conducting activities outside the U.S. are responsible for coordinating with appropriate U.S. and foreign government authorities as necessary to obtain all required licenses, permits, or approvals before undertaking project activities. The Service does not assume responsibility for recipient compliance with the laws, regulations, policies, or procedures of the foreign country in which they are conducting work.

**Excluded Parties:**

The DOI conducts a review of the SAM.gov Exclusions database for all applicant entities and their key project personnel prior to award. The DOI cannot award funds to entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or otherwise excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits, as their ineligibility condition applies to this Federal program.

## **D. Application and Submission Information**

### **D1. Address to Request Application Package**

Applicants can request paper application packages through the appropriate contact listed below in Section G. Federal Awarding Agency Contacts. Information on CESCO grants is also available from the Division of Budget & Technical Support, Ecological Services, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS:ES Falls Church, VA 22041-3803, or electronically at <https://www.fws.gov/program/cooperative-endangered-species-conservation-fund>

**Program Website Link**

<https://www.fws.gov/program/cooperative-endangered-species-conservation-fund>

### **D2. Content and Form of Application Submission**

#### **SF-424, Application for Federal Assistance**

All applicants must submit the Standard Form (SF)-424, Application for Federal Assistance. This form is available with the announcement on Grants.gov and in GrantSolutions. The form must be complete and signed by an Authorized Representative. For all applicants except individuals and commercial entities, the Authorized Representative's signature on a standard application form submitted to the Service represents their certification that the entity's financial management system meets [2 CFR §200.302](#) financial management requirements. The non-Federal entity's financial management system must be sufficient to:

1. Permit the preparation of required reports;
2. Trace funds to a level of expenditures adequate to establish that the entity has used such funds per Federal statutes, regulations, and terms and conditions of the Federal award;
3. Provide for the requirements in [2 CFR §200.302\(b\)](#); and

4. Comply with [§200.334](#) Retention requirements for records, [§200.335](#) Requests for transfer of records, [§200.336](#) Methods for collection, transmission, and storage of information, and [§200.337](#) Access to records.

If this application requests more than \$100,000 in Federal funds, the Authorized Representative's signature on or submission of the SF-424 form in GrantSolutions also represents their certification of the statements in 43 CFR Part 18, Appendix A-Certification Regarding Lobbying.

When completing the SF-424 Application form, enter only the amount requested from this Federal program in Box 18a, Estimated Federal Funding. Include any other Federal sources of funding in Box 18e, Estimated Other Funding and identify any such sources and amounts in the required Budget Narrative (see below). For individuals applying as a private citizen (i.e., unrelated to any business or nonprofit organization you may own or operate in your name), do NOT include your Social Security Number on this or any other document to be submitted with your application! When completing the SF-424 Application form, individuals must enter in Box 8b, Employee/Taxpayer Identification Number (EIN/TIN) the substitute number "444-44-4444." Individuals may register in SAM.gov but are not required to have a SAM.gov registration. For individuals without a SAM.gov registration enter in Box 8c, the substitute Unique Entity Identifier (UEI) "KA5HQCLKUVW1".

#### **Project Abstract Summary (OMB Number 4040-0019)**

Applicants must complete and submit the Project Abstract Summary form. The Project Abstract Summary form must provide a brief award description. The description must be in plain language that the public can understand without viewing the full application proposal. It should include a brief, simple description of the project purpose, activities to be performed, deliverables and expected outcomes, intended beneficiaries, and subrecipient activities, if known at the time of submission.

Do not include personally identifiable, sensitive, or proprietary information in the award description as this is available to the public. Use only English characters, numbers, punctuation, and standard symbols. Use of non-English, non-standard characters (also referred to as special or extended ASCII characters) will result in the award description failing to be reported correctly to USASpending.gov. Award descriptions are limited to 4,000 characters or less. Applicants should check the length of the award description and proofread for proper grammar and spelling.

For applicants applying through Grants.gov: Applicants must download and complete the Grants.gov "Project Abstract Summary" form from the full text announcement. To submit the Grants.gov "Project Abstract Summary" form with the application, applicants must add the form as an attachment to the Grants.gov "Attachments" form that is included in the application package.

For applicants applying through GrantSolutions-Grants Management Module (GS-GMM): Applicants must enter the information in the Project Abstract Summary screen. Do not upload a document in place of entering the information directly into GS-GMM Project Abstract Screen.

#### **Project Narrative**

The project narrative must contain each of the elements listed below. Narratives that clearly address all stated eligibility and evaluation criteria in an organized manner will facilitate application review and scoring.

- **Project Title;**
- **Description of entity(ies) undertaking the project;**
- **Statement of Need** – Explain why the project is necessary and how it fulfills the purposes of the Traditional Conservation Grant program.
  - A need statement will identify the conservation issue, problem or opportunity to be addressed.
  - A need statement will provide evidence such as results from surveys, research or other data to demonstrate that the need is real and not perceived.
  - A need statement will identify the negative result of taking no action;
- **Purpose** – Describe the desired future state that addresses the need in whole or part.
- **Objectives** – Objectives state the desired outcome of the proposed project in terms that are specific and quantified.
  - Objectives are meant to be realistic targets or benchmarks that, if achieved, will resolve the project need.
  - Objectives are written in an active tense and use action verbs such as construct, survey, train, research, establish, repair, conduct, provide, etc.
- **Methods/Approach** – Describes the methods used to achieve the stated objectives.
  - Approach describes the specific conservation actions or efforts necessary to accomplish project objectives.
  - Approach answers the question of “how” the objectives will be accomplished by describing the actual work that will be done.
  - Approach will demonstrate that the agency will use sound design, appropriate procedures, and accepted fish and wildlife conservation, management, or research principles.
  - Any deliverables resulting from the funding should be noted in this section.
- **Timetable or milestones** – Describe significant milestones in completing the project and any accomplishments to date.
- **Information to support environmental compliance review requirements** – the Service has the responsibility for making the final determination regarding compliance with Federal laws.
  - National Environmental Policy Act (NEPA) – provide any information that may be relevant to compliance with NEPA.
  - Endangered Species Act (ESA) – provide any information that may be relevant to compliance with the ESA.
  - National Historic Preservation Act (NHPA) – provide any information that may be relevant to compliance with NHPA, such as locations of historic or cultural properties.
  - Other Permits – list and provide the current status of any other required Federal permits
- **Information on key project personnel**
  - Principal investigator (Research)
  - Recipient project lead

- **Project Location** - Provide a general description of where the work will be conducted.
- **Continuation Projects Only:** Include a brief discussion of accomplishments to date and a justification for the continuation of work.

### **SF-424A, Budget Information for Non-Construction Programs**

Applicants must complete and submit the SF-424A Budget Information form for Non-Construction Programs or Projects. All required application forms are available with this announcement on Grants.gov or in GrantSolutions. Federal award recipients and subrecipients are subject to Federal award cost principles in Title 2 of the Code of Federal Regulations (CFR) part 200. Applicants must show funds requested from this Federal program separately from any other Federal sources of funding. In “Section A – Budget Summary” on the SF-424A form enter the funding requested from this Federal program in the first row. Identify any other Federal funding sources and amounts in the required Budget Narrative (see below). In the SF-424A “Contractual” category total, do not combine estimated subawards and contractual costs. Use the “Contractual” category to reflect estimated contractual costs only. Enter estimated subaward costs in the SF-424A “Other” category. Provide a separate description and total estimated costs for both contractual and subaward costs in the required Budget Narrative (see below).

### **Budget Narrative**

Applicants must include a budget narrative that describes and justifies requested budget items and costs. In your budget narrative, describe how the SF-424 Budget Information, “Object Class Category” totals were determined. For personnel salary costs, generally describe how estimates were determined by identifying what type of staff will support the project and how much time they will contribute to the project (in hours or workdays). Describe any proposed [items of cost that require prior approval](#) under the [Federal award cost principles](#), including any anticipated subawarding, transferring, or contracting out of any work under the award. Provide a separate description and total estimated costs for both contractual and subaward costs. If equipment previously purchased with Federal funds is available for the project, provide a list of that equipment and identify the Federal funding source. Identify any third-party cash or in-kind contributions that a partner or other entity will contribute to the project and describe how the contributions directly and substantively benefit completion of the project. For in-kind contributions, identify the source, the amount, and the valuation methodology used to determine the total value. See [2 CFR §200.306](#) for more information. Please note the prohibitions on certain telecommunications and video surveillance services or equipment in [2 CFR 200.216](#). The Department of the Interior’s [Unmanned Aircraft web page](#) provides a list of approved unmanned aircraft and related equipment and software.

Budget narratives must be sufficiently detailed to show that the project is cost-effective and that costs are both necessary and reasonable for accomplishing the purposes of the proposal. Indicate whether partial funding of the project is practicable and, if so, what specific portion(s) of the project could be implemented with what level of funding. The budget narrative should provide sufficient detail for reviewers to understand how costs were estimated. Reviewers must be able to clearly see that costs are realistic and are commensurate with the project needs and time frame. Applications will be disqualified if reviewers cannot determine that applications meet this threshold.

### **Conflict of Interest Disclosure**

Per the Financial Assistance Interior Regulation (FAIR), [2 CFR §1402.112](#), applicants must state in their application if any actual or potential conflict of interest exists at the time of submission.

a. *Applicability.*

1. This section intends to ensure that non-Federal entities and their employees take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements.
2. In the procurement of supplies, equipment, construction, and services by recipients and by sub recipients, the conflict of interest provisions in [2 CFR§200.318](#) apply.

b. *Notification.*

1. Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass-through entity in accordance with [2 CFR §200.112](#).
2. Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the Financial Assistance Officer in writing of any conflicts of interest that may arise during the life of the award, including those that have been reported by sub recipients.

c. *Restrictions on lobbying.* Non-Federal entities are strictly prohibited from using funds under a grant or cooperative agreement for lobbying activities and must provide the required certifications and disclosures pursuant to [43 CFR §18](#) and [31 USC §1352](#).

d. *Review procedures.* The Financial Assistance Officer will examine each conflict of interest disclosure on the basis of its particular facts and the nature of the proposed grant or cooperative agreement, and will determine whether a significant potential conflict exists and, if it does, develop an appropriate means for resolving it.

Enforcement. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award. Failure to make required disclosures may result in any of the remedies described in [2 CFR §200.339](#), Remedies for noncompliance, including suspension or debarment (see also [2 CFR §180](#)).

### **Uniform Audit Reporting Statement**

All U.S. states, local governments, Indian tribes, institutions of higher education, and non-profit organizations expending \$750,000 USD or more in Federal award funds in the applicant's fiscal year must submit a Single Audit report for that year through the [Federal Audit Clearinghouse's Internet Data Entry System](#), in accordance with 2 CFR 200 subpart F. U.S. state, local government, Indian tribes, institutions of higher education, and non-profit applicants must state if your organization was or was not required to submit a Single Audit report for the most recently closed fiscal year. If your organization was required to submit a Single Audit report for the most recently closed fiscal year, provide the EIN associated with that report and state if it is available through the [Federal Audit Clearinghouse](#) website.

### **Certification Regarding Lobbying**

Applicants requesting more than \$100,000 in Federal funding must certify to the statements in [43CFR Part 18, Appendix A-Certification Regarding Lobbying](#). If this application requests more than \$100,000 in Federal funds, the Authorized Official's signature on the appropriate SF-424, Application for Federal Assistance form also represents the entity's certification of the statements in [43 CFR Part 18, Appendix A](#).

### **Disclosure of Lobbying Activities**

Applicants and recipients must not use any federally appropriated funds (annually appropriated or continuing appropriations) or matching funds under a Federal award to pay any person for lobbying in connection with the award. Lobbying is influencing or attempting to influence an officer or employee of any U.S. agency, a Member of the U.S. Congress, an officer or employee of the U.S. Congress, or an employee of a Member of the U.S. Congress connection with the award. Applicants and recipients must complete and submit the [SF-LLL, "Disclosure of Lobbying Activities"](#) form if the Federal share of the proposal or award is more than \$100,000 and the applicant or recipient has made or has agreed to make any payment using non-appropriated funds for lobbying in connection with the application or award. The SF-LLL form is available with this Funding Opportunity on Grants.gov. See 43 CFR, Subpart 18.100 for more information on when additional submission of this form is required.

### **Overlap or Duplication of Effort Statement**

Applicants must provide a statement indicating if there is any overlap between this Federal application and any other Federal application, or funded project, in regard to activities, costs, or time commitment of key personnel. If no such overlap or duplication exists, state, "There are no overlaps or duplication between this application and any of our other Federal applications or funded projects, including in regard to activities, costs, or time commitment of key personnel". If any such overlap exists, provide a complete description of overlaps or duplications between this proposal and any other federally funded project or application in regard to activities, costs, and time commitment of key personnel, as applicable. Provide a copy of any overlapping or duplicative proposal submitted to any other potential funding entity and identify when that proposal was submitted, to whom (entity name and program), and when you anticipate being notified of their funding decision. When overlap exists, your statement must end with "We understand that if at any time we receive funding from another source that is duplicative of the funding we are requesting from the U.S. Fish and Wildlife Service in this application, we will immediately notify the U.S. Fish and Wildlife Service point of contact identified in this Funding Opportunity in writing."

## **D3. Unique Entity Identifier and System for Award Management (SAM)**

### **Identifier and System for Award Management (SAM.gov) Registration:**

This requirement does not apply to individuals applying for funds as an individual (i.e., unrelated to any business or nonprofit organization you may own, operate, or work within), or any entity with an exception to bypass SAM.gov registration with prior approval from the funding bureau or office in accordance with bureau or office policy. All other applicants are required to register as a financial assistance recipient in SAM.gov prior to submitting a Federal award application and obtain a [Unique Entity Identifier \(UEI\)](#). A Federal award may not be made to an applicant

that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the program is ready to make an award, the program may determine the applicant is not qualified to receive an award. Federal award recipients must also continue to maintain an active SAM.gov registration with current information through the life of their Federal award(s). Entities already registered in SAM.gov should review their registration to confirm that they are registered as a financial assistance recipient, which requires completion of the SAM.gov “Financial Assistance General Certifications and Representations”. See the “Submission Requirements” section of this document below for more information on SAM.gov registration.

Applicants can register on the [SAM.gov](https://sam.gov) website. The “Help” tab on the website contains User Guides and other information to assist you with registration. The Grants.gov “[Register with SAM](#)” page also provides detailed instructions. Applicants can contact the supporting Federal Service Desk for help registering in SAM. Once registered in SAM, entities must renew and revalidate their SAM registration at least once every 12 months from the date previously registered. Entities are strongly encouraged to revalidate their registration as often as needed to ensure their information is up to date and reflects changes that may have been made to the entity’s IRS information. If applicable, foreign entities who want to receive payment directly to a U.S. bank account must enter and maintain valid, current banking information in SAM.

#### **D4. Submission Dates and Times**

##### **Due Date for Applications**

04/25/2023

##### **Application Due Date Explanation**

Electronically submitted applications must be submitted no later than 11:59 PM., ET, on the listed application due date.

This program requires the submission of applications online through GrantSolutions.gov as applicants will receive an acknowledgement of application receipt. GrantSolutions automatically generates an electronic date and time stamp in the system upon application receipt. Applicants are encouraged to verify the completeness of their application package before submission using the checklist provided below.

##### **APPLICATION CHECKLIST**

- SF-424, Application for Federal Assistance
- Project Abstract Summary Form
- Project Narrative
- SF-424A , Budget Information
- Budget Narrative
- Indirect Cost Statement and related documentation (when applicable)
- Conflict of Interest Disclosure (when applicable)
- Single Audit Reporting Statement (when applicable)
- SF-LLL, Disclosure of Lobbying Activities (when applicable)

- Overlap or Duplication of Effort Statement

## D5. Intergovernmental Review

An intergovernmental review may be required for applications submissions from a U.S. state or local government prior to submission. Applicants must contact their State's Single Point of Contact (SPOC) to comply with the state's process under [Executive Order 12372](#). The State Single Point of Contact list is available on the [OMB Office of Federal Financial Management website](#).

## D6. Funding Restrictions

### Indirect Costs: Individuals

Individuals applying for and receiving funds separate from a business or non-profit organization they may operate are not eligible to charge indirect costs to their award. If you are an individual applying for funding, you must not include any indirect costs in your proposed budget.

### Indirect Costs: Organizations

The Federal awarding agency that provides the largest amount of direct funding to your organization is your cognizant agency for indirect costs, unless otherwise assigned by the White House Office of Management and Budget (OMB). If the Department of the Interior (DOI) is your organization's cognizant agency, the Interior Business Center (IBC) will negotiate your indirect cost rate. Contact the IBC by phone 916-930-3803 or using the [IBC Email Submission Form](#). See the [IBC Website](#) for more information.

Organizations must have an active Federal award before they can submit an indirect cost rate proposal to their cognizant agency. Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award. Recipients may not shift unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.

### Required Indirect Cost Statement to be submitted by Organization:

**U.S. state or local government entities receiving more than \$35 million in direct Federal funding** must include the following statement in their application and attach a copy of their most recently negotiated rate agreement:

- We are a U.S. state or local government entity receiving more than \$35 million in direct Federal funding. We submit our indirect cost rate proposals to our cognizant agency. Our current indirect cost rate is [insert rate]. Attached is a copy of our most recently negotiated rate agreement/certification.

**U.S. state or local government entities receiving \$35 million or less in direct Federal funding** must include the applicable statement from this list:

- We are a U.S. state or local government entity receiving \$35 million or less in direct Federal funding. We prepare and retain for audit an indirect cost rate proposal and

documentation per 2 CFR 200, Appendix VII. Our current indirect cost rate is [insert rate], which is charged against [insert a complete description of the direct cost base used to distribute indirect costs to the award].

- We are a U.S. state or local government entity receiving \$35 million or less in direct Federal funding. We have not prepared an indirect cost rate proposal and documentation per 2 CFR §200, Appendix VII and elect to charge the de minimis rate of 10% of Modified Total Direct Costs as defined in 2 CFR §200.1. We understand we must use this methodology consistently for all Federal awards until we choose to establish a rate per 2 CFR §200. We understand we must notify the Service in writing if we establish a rate that changes the methodology used to charge indirect costs during the award period. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs during the project period and that such changes are subject to review, negotiation, and prior approval by the Service.

**All other organizations** must include the applicable statement from this list and any related documentation in their application. Please note, an organization with a current negotiated (including provisional) rate may not elect to charge the 10% de minimis rate of Modified Total Direct Costs during the period covered by their current negotiated rate.

- We are an organization with a current negotiated indirect cost rate. In the event we receive an award, we will charge indirect costs per our current negotiated rate agreement. Attached is a copy of our current rate agreement.
- We are an organization with a negotiated indirect cost rate that has expired. Attached is copy of our most recently negotiated rate agreement. If we receive an award, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award date. We understand we must provide the Service a copy of our approved rate agreement before charging indirect costs to the Federal award.
- We are an organization that has never negotiated an indirect cost rate with our cognizant agency. Our indirect cost rate is [insert rate], which is charged against [insert a complete description of the direct cost base used to distribute indirect costs to the award]. If we receive an award, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award date. We understand we must provide the Service a copy of our approved rate agreement before charging indirect costs to the Federal award.
- We are an organization that does not have a current negotiated (including provisional) rate. In the event an award is made, we elect to charge the de minimis rate of 10% of Modified Total Direct Costs as defined in 2 CFR §200.1. We understand we must use this methodology consistently for all Federal awards until such time as we negotiate a different rate with our cognizant agency. We understand that we must notify the Service in writing if during the award period we establish a rate that changes the methodology used to charge indirect costs to the award. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs and that such changes are subject to review, negotiation, and prior approval by the Service.
- We are an organization submitting a [insert either “Cooperative Fish and Wildlife Research Unit Program” or “Cooperative Ecosystem Studies Unit Network”] project proposal, which has an indirect cost rate cap of [insert rate; CRU is currently 15%; CESU is currently 17.5%]. In the event we receive an award, we understand that if we have a

current negotiated (including provisional) indirect cost rate agreement we must charge the capped indirect cost rate to the same base identified in our approved indirect cost rate agreement. We understand we must request prior approval from the awarding program to use the [2 CFR 200.1 Modified Total Direct Costs](#) (MTDC) base instead of our approved base and that we must submit such requests with our application, including a calculation showing how use of the MTDC base results in an overall reduction in the total indirect costs recovered. If we do not have current negotiated (including provisional) rate, we understand we must charge the capped indirect cost rate against Modified Total Direct Costs (MTDC) as defined in 2 CFR §200.1. If we have never negotiated a rate, we understand we must use the de minimis rate of 10% of MTDC.

- We are an organization that will charge all costs directly.

Projects within a grant must be consistent with approved or draft species recovery plans or similar conservation strategy documents. Projects proposed in conflict with conservation objectives will not be considered.

The State must assure and certify that it will comply with all applicable Federal laws, regulations, and requirements as they relate to the application, acceptance, and use of Federal funds for projects under the Act [50 CFR 81.9].

A permit from the Regional Director may be required if a project within a grant involves taking, transporting across State lines, introduction into an area beyond its historic range, or holding wildlife in captivity for more than 45 days.

An application cannot include U.S. Fish and Wildlife Service Full-Time Equivalent (FTE) costs.

State administrative costs must either be assumed by the State or included in the application in accordance with Federal requirements. Grants may not be submitted to cover administrative costs alone.

Land acquisition is not an eligible use of Traditional Conservation Grant funds.

Neither the Federal funds awarded through this opportunity, nor the associated non-Federal cost share, may be used to satisfy regulatory requirements of the Endangered Species Act, including complying with a biological opinion under Section 7, fulfilling Federal mitigation, minimization, and/or monitoring requirements of a Habitat Conservation Plan permitted under Section 10, or any other Federal regulatory mitigation requirement (e.g., mitigation for Clean Water Act permits

Generally, only expenses incurred and budgeted during the period of performance are reimbursable; the period of performance begins with the effective date established at the time the grant is approved. However, a State may request reimbursement of pre-award costs for certain necessary expenses detailed in the grant application. Pre-award costs are those incurred prior to the approval of the grant where such costs are necessary to comply with the proposed period of performance. Such costs are allowable only if the grant is awarded, only to the extent that they would have been allowable if incurred after the date of the award, and only with the written approval of the awarding agency ([2 CFR 200.458](#)).

## **D7. Other Submission Requirements**

The Service uses the GrantSolutions system to manage financial assistance applications and awards. Applicants must register in and conduct any subsequent award business with the Service in GrantSolutions. To apply, your organization and organization officials must be established in GrantSolutions. To register your organization in GrantSolutions, send an email to [help@grantsolutions.gov](mailto:help@grantsolutions.gov) with the following information:

Subject: New Organization Request

- Organization/Individual Name
- Point of Contact first and last name, email, and phone number
- Organization Type
- SAM.gov Unique Entity Identifier (not required for individuals or Service-waived entities)
- Organization Employer Identification Number (Applicants that are INDIVIDUALS DO NOT include your social security number)
- Address

Organizational details should match those in the organization's SAM.gov registration. To establish organization official accounts and user role(s), complete a Recipient User Account Request Form for each official and email it to [help@grantsolutions.gov](mailto:help@grantsolutions.gov). The GrantSolutions entity user roles are: Authorizing Official (ADO); Principal Investigator/Program Director (PI/PD); Support Specialist (GSS); Financial Officer (FO); and Financial Support Staff (FSS). All roles can do the following: enter applications, amendments, and reports, view awards, and view and create notes. The ADO and the PI/PD roles can also submit applications, amendments, and reports. The FO role can also submit reports. At a minimum, registered organizations must assign someone to the ADO and PI/PD roles. For more information, see the GrantSolutions Recipient Training and FAQs web page. For GrantSolutions registration, submission, and other assistance contact their Customer Support by telephone at 1-866-577-0771 or by email at [help@grantsolutions.gov](mailto:help@grantsolutions.gov).

## E. Application Review Information

### E1. Criteria

| 1. Species Listing Status and Implementation of High Priority Recovery Tasks                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Maximum Points: 35 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| <p>A. The listing status of the species. Federally listed species will be prioritized. Applications to monitor candidate, at-risk, and recently delisted species will be a lower priority for consideration.</p> <p><i>Scoring</i> = 5-20 points, where, for example, 5 =recently delisted species, 10 =candidate or at-risk species, 15 =threatened species, 20 =endangered species.</p> <p>B. Whether or not the project will accomplish tasks identified in a final or draft Recovery Plan, Recovery Outline, or similar conservation strategy or management plan. Applications addressing listed species for which the Service has released a draft or final Recovery Plan</p> |                    |

should indicate how the project would address one or more of the recovery objectives or actions identified and what priority level the actions are assigned. If a species does not have an approved recovery plan in place, the proposed activities should be described in the recovery outline, 5-year review recommendations, delisting monitoring plan, species status assessment, or other conservation or management plan for the species.

*Scoring* = 0-15 points, where, for example, 0 =no contribution to identified tasks, 7= contributes to an identified task but additional projects are necessary to complete the task, 15=completes an identified task.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|
| <b>2. Importance/Relevance and Applicability of the Application to the Program Goals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>Maximum Points: 35</b> |
| <p>Reviewers will consider whether applications clearly identify project goals and objectives and whether those goals and objectives are applicable to conservation and recovery of threatened or endangered species, candidate or at-risk species, or delisted species as specified in previous sections of this document.</p> <p>Reviewers will consider whether the application is designed to have a direct impact on species recovery as a result of implementation of management actions. If the application addresses the continuation of previously funded or ongoing work, reviewers should consider whether the applicant provides an adequate justification for the continuation of the same work (e.g., what benefit would additional results have?).</p> <p><i>Scoring:</i> 1-35 points; where, for example, 1= no importance/relevance to the program goals or objectives, very limited potential to contribute to species recovery, no implementation of management actions; 20= moderately important/ relevant, reasonably likely to make some meaningful contribution to species recovery; 35= extremely important/relevant, extremely likely to make a significant contribution to recovery of a threatened or endangered species, involves implementation of significant management actions, addresses a high priority recovery plan action.</p> |                           |
| <b>3. Technical/ Scientific Merit</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>Maximum Points: 20</b> |
| <p>For applications including management and outreach, this criterion addresses whether the activities and approach described in the project narrative is appropriate for achieving the stated goals and objectives, result in successful execution of the project, and if the anticipated results can be achieved in the timeline specified. Reviewers will consider whether sufficient information is provided to evaluate the design of the project relative to the stated goals/objectives.</p> <p>For applications including research and monitoring, reviewers will consider the sufficiency of information to evaluate the project technically, the strengths and/or weaknesses of the technical design relative to securing productive results, and the inclusion of quality assurance considerations for data collected.</p> <p><i>Scoring:</i> 1-20 points; where, for example, 1 = completely unsound or unlikely to meet the stated objectives, and information insufficient to evaluate project design; 10 = intermediately sound, or</p>                                                                                                                                                                                                                                                                                                              |                           |

reasonably likely to meet the stated objectives; 20 = extremely sound and highly likely to meet the stated objectives.

#### **4. Project Costs**

**Maximum Points: 10**

Reviewers will evaluate the budget to determine if it is sufficiently detailed, realistic and commensurate with the project needs and timeframe. Reviewers will consider whether sufficient detail was provided to evaluate how costs were estimated.

*Scoring:* 1-10 points; where, for example, 1 = unrealistic and lacking sufficient detail; 5 = adequately detailed and realistic; 10 = extremely detailed and realistic.

## **E2. Review and Selection Process**

Prior to award, the program will review any applicant statement regarding potential overlap or duplication between the project to be funded and any other funded or proposed project in terms of activities, funding, or time commitment of key personnel. Depending on the circumstances, the program may request modification to the application, other pending applications, or an active award, as needed to eliminate any duplication of effort, or the FWS may choose not to fund the selected project.

Prior to award, the program will conduct and document a review of the proposed budget to ensure figures are calculated correctly, proposed costs are clearly linked to the project narrative and seem necessary and reasonable, no obviously unallowable costs are included, costs requiring prior approval are identified and described, indirect cost are applied correctly, and any program match or cost share requirements are addressed.

The program may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the Bureau is ready to make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant.

Prior to award, the program will evaluate the risk posed by applicants as required in [2 CFR §200.206](#). Prior to approving awards for Federal funding in excess of the simplified acquisition threshold (currently \$250,000), the Bureau is required to review and consider any information about or from the applicant found in the Federal Awardee Performance and Integrity Information System. The Bureau will consider this information when completing the risk review. The Bureau uses the results of the risk evaluation to establish monitoring plans, recipient reporting frequency requirements, and to determine if one or more of the specific award conditions in [2 CFR §200.208](#) should be applied to the award.

States must confer with the program contact on potential projects prior to submitting an application for consideration. Coordinating and communicating early and often will enable both the States and Service to ensure mutual priorities are addressed when awarding these funds.

Applications will be accepted and continually reviewed during the open period for this Notice or

as long as funds are available.

### Initial Screening

A. Status of Cooperative Agreement: Applicants must be a party to a Cooperative Agreement pursuant to Section 6(c) and have provided the information necessary for annual renewal (reconfirmation) of their cooperative agreement to be eligible for funding. Applicants that have not fulfilled both criteria will not be awarded funding.

B. Completeness and Eligibility: Applications will be screened to ensure that application packages have all required forms, application elements, and meet all eligibility criteria. Regions will review the status of applicants' administration of other grants, including Single Audit submissions, past performance, financial strength and management capabilities, and procedures and methods for monitoring sub-recipients or vendors. Applicants in poor standing may not be considered for funding. If there are any questions about the ability of the project to be completed in compliance with Federal laws and regulations, additional information may be requested from the State. A project may be disqualified if Service staff determine the project cannot meet Federal requirements. Incomplete applications will be returned for corrections. Corrections must be submitted within two weeks of the returned application. Applicants that do not respond within two weeks with corrected materials will be disqualified. The Service, in its sole discretion, may continue the review process for applications with non-substantive issues that may be easily rectified or cured.

### Merit Review

After initial screening, applications meeting the basic eligibility requirements will undergo merit review based on the criteria outlined above. Each application will be reviewed, evaluated, and scored independently by Regional staff with appropriate subject-matter expertise (e.g., species recovery, candidate conservation, ESA policy and programs, Federal financial assistance). Reviewers may discuss individual applications but not all scoring will be based on consensus. The reviewers' scores will be averaged and used to produce a rank order of the applications for consideration by the Regional Director.

### Selection by Regional Director

Applications will be selected for funding based on the evaluation of criteria described above unless an application is justified by the Regional Director to be funded based upon the following considerations, where applicable:

a. State priority

b. State's ability to use partial funding

- If a State indicates that a project cannot be completed with partial funding, a lower State priority project within the target-funding amount may be funded instead of a higher priority project.

- A State may receive less than requested, if an activity identified in the grant has been deemed ineligible, insubstantial, or in conflict with conservation goals. States may be asked to adjust project objectives, tasks, and budgets should an activity be deemed ineligible.

- c. Availability of funding
- d. Matching funds greater than the requirement
- e. Balance/distribution of funds within State or Region
  - i. Geographically
  - ii. By research areas
  - iii. By project types
  - iv. By species or species groups
- f. Whether this project duplicates other projects funded by Service or other agencies
- g. Whether a project would be eligible for funding through a more appropriate Service grant program
- h. Applicant's prior award performance
- i. Partnerships with and/or participation of targeted groups
- j. Adequacy of information necessary for Service staff to make a NEPA determination and draft necessary documentation before recommendations for funding are made to Regional Director

The Service may select all, some, or none of the applications, or part of any application, ask applicants to work together or combine projects, defer applications to the future, or reallocate funds to different funding categories, to the extent authorized. Applicants may be asked to modify objectives, work plans or budgets, and provide supplemental information required by the agency prior to the award. The exact amount of funds to be awarded, the final scope of activities, the project duration of each project proposed for funding will be determined in pre-award negotiations between the State and the Service.

The Service reserves the right to reject an application in its entirety where information is uncovered that raises a significant risk with respect to the responsibility or suitability of an applicant.

### **E3. CFR – Regulatory Information**

See the [Service's General Award Terms and Conditions](#) for the general administrative and national policy requirements applicable to Service awards. The Service will communicate any other program- or project-specific special terms and conditions to recipients in their notices of award.

### **E4. Anticipated Announcement and Federal Award Dates**

Pre-award notifications will be made as early in fiscal year 2023 as possible. The Service's goal is to obligate CESCOF funds within 120 days of pre-award notification. States will assist the Service in meeting the 120-day target by providing the documents necessary for grant issuance as soon as possible following the pre-award notification. Awarded funds must be expended in a timely manner or returned to the Service for reallocation through the next competitive grant cycle.

## F. Federal Award Administration Information

### F1. Federal Award Notices

If the application is selected for an award, the Service Regional Office will notify the applicant of the selection and outline the process for finalizing the award, including any additional information that may be required from the applicant for the satisfactory completion of compliance review. Applicants may be required to revise the project scope and/or budget before a final Notice of Award can be issued. The Notice of Award is the authorizing document for a grant and will be received through GrantSolutions upon completion of all review requirements. Acceptance of an award is defined as starting work, drawing down funds, or accepting the award via electronic means. The Notice of Award will include specific instructions on how to request payment. If applicable, the instructions will detail any additional information/forms required and where to submit payment requests.

The Service may publish one or more media releases and post information about projects selected for funding on Service websites. These releases may be made in coordination with the Department of the Interior and may be made nationally and/or regionally.

### F2. Administrative and National Policy Requirements

See the [DOI Standard Terms and Conditions](#) for the administrative and national policy requirements applicable to DOI awards.

See the [Service's General Award Terms and Conditions](#) for the general administrative and national policy requirements applicable to Service awards.

#### **Buy America Provision for Infrastructure: Required Use of American Iron, Steel, Manufactured Products, and Construction Materials.**

As required by Section 70914 of the Infrastructure Investment and Jobs Act (Pub. L. 117-58), on or after May 14, 2022, none of the funds under a federal award that are part of a Federal financial assistance program for infrastructure may be obligated for a project unless all the iron, steel, manufactured products, and construction materials used in the project are produced in the United States, unless subject to an approved waiver. Recipients conducting infrastructure projects under the award must include related requirements all subawards, including all contracts and purchase orders for infrastructure work or products under this program. For the full text term applicable to infrastructure and related waiver request standards and procedures, see the Service's General Award Terms and Conditions.

### F3. Reporting

#### **Financial Reports**

All recipients must use the [SF-425, Federal Financial Report](#) form for financial reporting. At a minimum, all recipients must submit a **final** financial report. Final reports are due no later than

120 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award. The only exception to the interim financial reporting requirement is if the recipient is required to use the SF 270/271 to request payment and requests payment at least once annually through the entire award period of performance. We will describe all financial reporting requirements in the Notice of Award.

### **Non-Construction Performance Reports**

Performance reports must contain a comparison of actual accomplishments with the established goals and objectives of the award; a description of reasons why established goals was not met, if appropriate; and any other pertinent information relevant to the project results. **Final** reports are due no later than 120 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim performance** reports on the frequency established in the Notice of Award.

### **Construction Performance Reports**

For construction awards, onsite technical inspections and certified percentage of completion data may be relied on to monitor progress for construction. Additional performance reports for construction activities may be required only when considered necessary. However, awards that include both construction and non-construction activities require performance reporting for the non-construction activities. See [2 CFR§200.329](#) for more information. The USFWS will describe all performance reporting requirements in the Notice of Award.

### **Significant Development Reports**

Events may occur between the scheduled performance reporting dates which have significant impact upon the supported activity. In such cases, recipients are required to notify the Bureau in writing as soon as the recipient becomes aware of any problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation. The recipient should also notify the Service in writing of any favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

### **Real Property Reports**

Recipients and subrecipients are required to submit status reports on the status of real property acquired under the award in which the Federal government retains an interest. The required frequency of these reports will depend on the anticipated length of the Federal interest period. The Bureau will include recipient-specific real property reporting requirements, including the required standard form or data elements, reporting frequency, and report due dates, in the Notice of Award when applicable.

### **Conflict of Interest Disclosures**

Per 2 CFR §1402.112, non-Federal entities and their employees must take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements. In the procurement of supplies, equipment, construction, and services by recipients and by subrecipients, the provisions in [2 CFR §200.318](#) apply. Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of

interest to the DOI awarding agency or pass-through entity in accordance with [2 CFR §200.112](#). Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the Service Project Officer identified in their notice of award in writing of any conflicts of interest that may arise during the life of the award, including those that reported by subrecipients. The Service will examine each disclosure to determine whether a significant potential conflict exists and, if it does, work with the applicant or recipient to develop an appropriate resolution. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award.

### **Other Mandatory Disclosures**

The Non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Non-Federal entities that receive a Federal award including the terms and conditions outlined in 2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies for noncompliance described in 2 CFR §200.339, including suspension or debarment.

### **Reporting Matters Related to Recipient Integrity and Performance**

If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the [System for Award Management](#) that is made available in the designated integrity and performance system (currently the [Federal Awardee Performance and Integrity Information System](#)) about civil, criminal, or administrative proceedings in accordance with [Appendix XII to 2 CFR 200](#).

## **G. Federal Awarding Agency Contact(s)**

### **G1. Program Technical Contact**

For **programmatic technical assistance**, contact:

First and Last Name:

Kyle Welsh

Telephone:

413-253-8709

Email:

Kyle\_Welsh@fws.gov

### **G2. Program Administration**

For **program administration assistance**, contact:

First and Last Name:

Kyle Welsh

Telephone:

413-253-8709

Email:

Kyle\_Welsh@fws.gov

### **G3. Application System Technical Support**

**For Grants.gov technical registration and submission, downloading forms and application packages, contact:**

Grants.gov Customer Support

Numeric Input Field: 1-800-518-4726

[Support@grants.gov](mailto:Support@grants.gov)

**For GrantSolutions technical registration, submission, and other assistance contact:**

GrantSolutions Customer Support

1-866-577-0771

[Help@grantsolutions.gov](mailto:Help@grantsolutions.gov)

## **H. Other Information**

### **Payments**

Domestic recipients are required to register in and receive payment through the U.S. Treasury's Automated Standard Application for Payments (ASAP), unless approved for a waiver by the Service program. Foreign recipients receiving funds to a final destination bank outside the U.S. are required to receive payment through the U.S. Treasury's International Treasury Services (ITS) System. Foreign recipients receiving funds to a final destination bank in the U.S. are required to enter and maintain current banking details in their SAM.gov entity profile and receive payment through the Automated Clearing House network by electronic funds transfer (EFT). The Bureau will include recipient-specific instructions on how to request payment, including identification of any additional information required and where to submit payment requests, as applicable, in all Notices of Award.

### **PAPERWORK REDUCTION ACT STATEMENT:**

#### **OMB Control Number: 1018-0100**

Per the Paperwork Reduction Act of 1995 (PRA; 44 U.S.C. 3501 et seq.), the U.S. Fish and Wildlife Service (Service) collects information in accordance with program authorizing legislation to conduct a review and select projects for funding and, if awarded, to evaluate performance. Your response is required to obtain or retain a benefit. We may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

**Privacy Act Statement:** This information collection is authorized by 5 U.S.C. 5701 et seq. The information provided will be used to administer all Service financial assistance programs and activities including to: (1) determine eligibility under the authorizing legislation and applicable program regulations; (2) determine allowability of major cost items under the Cost Principles at 2 CFR 200; (3) select those projects that will provide the highest return on the Federal investment; and (4) assist in compliance with laws, as applicable, such as the National Environmental Policy Act, the National Historic Preservation Act, and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970. This information may be shared in accordance with the Privacy Act of 1974 and the routine uses listed in INTERIOR/DOI-89, Grants and Cooperative Agreements: FBMS - 73 FR 43775 (July 28, 2008). Furnishing this information is voluntary; however, failure to provide all requested information may prevent the Service from awarding funds.

**Estimated Burden Statement:** We estimate that it will take you on average about 40 hours to complete an initial application, about 3 hours to revise the terms of an award, and about 8 hours per report to prepare and submit financial and performance reports, including time to maintain records and gather information. Actual times for these activities will vary depending on program-specific requirements. Direct comments regarding the burden estimates or any other aspect of the specific forms to the Service Information Clearance Officer, USFWS, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB (JAO/3W), Falls Church, VA 22041-3803, or by email to [Info\\_Coll@fws.gov](mailto:Info_Coll@fws.gov).