

U.S. Fish and Wildlife Service

FWS - Wildlife and Sport Fish Restoration

<https://www.fws.gov/wsfrprograms/Subpages/GrantPrograms/CVA/CVA.htm>

2021

F21AS00153

11/23/2020

PAPERWORK REDUCTION ACT STATEMENT:

OMB Control Number: 1018-0100, Expiration Date: 7/31/2021

We are collecting this information in accordance with the authorizing legislation identified above. Your response is required to obtain or retain a benefit. We will use the information you provide to conduct a competitive review and select projects for funding and, if awarded, to evaluate performance. We may not conduct or sponsor and you are not required to respond to a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. We estimate that it will take you on average about 40 hours to complete an initial application, about 3 hours to revise the terms of an award, and about 8 hours per report to prepare and submit financial and performance reports, including time to maintain records and gather information. Actual time for these activities will vary depending on program-specific requirements. You may send comments on the burden estimate or any other aspect of this information collection to the Information Collection Clearance Officer, U.S. Fish and Wildlife Service, MS BPHC, 5275 Leesburg Pike, Falls Church, VA 22041-3803.

A. Program Description

A1. Authority

Clean Vessel Act, 33 United States Code (U.S.C.) §1322; and Dingell-Johnson Sport Fish Restoration Act, 16 U.S.C. §777 et seq.

15.616

A2. Background, Purpose and Program Requirements

Recreational boating is a popular activity in the United States. There are approximately 11.8 million registered motorized recreational boats in the United States, and each year over 141 million people, adults and children, enjoy a host of water-based recreational opportunities, such as fishing, cruising, waterskiing, and spending time with family and friends. Many recreational boats are equipped with on-board toilets and wastewater holding tanks, known as Marine Sanitation Devices (MSDs). Proper disposal of sewage from recreational boats is important in preserving and improving water quality in the country's vital aquatic ecosystems. The Clean Vessel Act (CVA) grant program provides funding to the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, American Samoa, and the United States Virgin Islands (hereafter States) to support their ongoing efforts to improve recreational boating opportunities and provide boaters with clean, efficient facilities to prevent waste disposal into public waterways and other ecosystems.

Funding comes from the Sport Fish Restoration and Boating Trust Fund and consists of excise taxes on fishing equipment, motorboat and small engine fuels, import duties, and interest on the fund. Four percent of the trust fund, after sequestration, is divided between the CVA and Boating Infrastructure Grants (BIG) programs each fiscal year.

Each year pumpouts and dump stations, funded through CVA and matched with State, local, and/or private funds, have a large impact on reducing the amount of waste discharged to the nation's waters. This has tremendous benefits such as improved water quality for public drinking water supplies, river ecosystems, shellfish beds and other aquatic life; convenient service for boaters; and boaters who are informed of how best to dispose of vessel sewage. Since the inception of CVA, the program has funded over 6,000 pumpout and dump stations and has provided funds to help operate and maintain them. Through dedication of the States, private marinas, the Sport Fishing and Boating Partnership Council, the States Organization for Boating Access, environmental groups, and the boating public, millions of gallons of sewage are diverted from polluting waterbodies each year. These groups have also been instrumental in keeping the facilities in working order, and providing information for the boating public regarding the importance of keeping waters clean by using pumpouts and dump stations.

The CVA slogan is: Keep Our Water Clean - Use Pumpouts.

References to "you" in this NOFO refer to the State agency completing the application and any potential subrecipient, if applicable. References to "we" or "us" in this NOFO refer to the U.S. Fish and Wildlife Service (Service).

The Wildlife and Sport Fish Restoration Program's (WSFR) mission is to work through partnerships to conserve and manage fish and wildlife and their habitats for the use and enjoyment of current and future generations. WSFR's vision is of healthy, diverse, and accessible fish and wildlife populations that offer recreation, economic activity, and other societal benefits, in addition to sustainable ecological functions. WSFR's guiding principle is that society benefits from conservation-based management of fish and wildlife, their habitats and opportunities to use and enjoy them. CVA aligns with WSFR's mission, vision, and guiding principle, and supports three of the Department's priorities:

1. Creating a conservation stewardship legacy second only to Teddy Roosevelt;
2. Utilizing our natural resources; and
3. Restoring trust with our local communities.

B. Federal Award Information

B1. Total Funding

Estimated Total Funding

\$ 14,000,000

B2. Expected Award Amount

Maximum Award

\$ 1,500,000

Minimum Award

\$ 0

B3. Expected Award Funding and Anticipated Dates

Expected Award Funding

Expected Award Date

May 04, 2021

Awards typically range from \$30,000 up to \$1,500,000 with an average award of approximately \$565,000. There is no minimum, and in FY 2021 the maximum award amount is \$1,500,000. Coastal States may apply for up to \$3,000,000 maximum, \$1,500,000 for coastal activities and \$1,500,000 for inland activities. Inland States may apply for up to \$1,500,000. See Section C below for more detail on Coastal and Inland States. Funding approvals are expected to occur during May 2021 with grants being awarded as compliance and permitting efforts are completed over the following 12 months. These awards may supplement previous CVA awards as long as there is no overlap.

B4. Number of Awards

Expected Number of Awards

B5. Type of Award**Funding Instrument Type**

G - Grant

C. Eligibility Information**C1. Eligible Applicants****Eligible Applicants**

00 – State governments

Additional Information on Eligibility**C2. Cost Sharing or Matching****Cost Sharing / Matching Requirement**

Yes

Percentage of Cost Sharing / Matching Requirement

25

The maximum Federal award under CVA is 75 percent of the total allowable project cost up to \$1.5 million. Recipients are required to provide the remaining 25 percent of total allowable project costs using matching non-Federal funds. American Samoa, Guam, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands are exempt from match requirements up to \$200,000.

You may meet your minimum required cost share or match through contributions from a third party. A third party is any individual or organization other than the State applicant, such as a partner or subrecipient. In order for match to be allowable, it must meet all the criteria listed in 2 CFR 200.306(b). As the primary State recipient, you are responsible for the full amount of the non-Federal match proposed, including any amount provided by one or more third parties as listed on the Standard Form 424, Application for Federal Assistance (SF-424).

You may attribute some or all of your allowable indirect costs as voluntary committed cost-share/match, however you may only charge to the Federal award the indirect costs calculated against the allowable direct costs charged to the Federal award.

C3. Other

Eligible applicants are the designated State agencies in the United States. If you are uncertain of your eligibility, please contact WSFR (see the contact lists in Section G).

Coastal State – A State of the United States in, or bordering on, the Atlantic, Pacific, or Arctic

Ocean, the Gulf of Mexico, Long Island Sound, or one or more of the Great Lakes. The term also includes Puerto Rico, the Virgin Islands, Guam, and the Commonwealth of the Northern Mariana Islands. Alaska is eligible as a Coastal State for the purposes of CVA.

Inland State - A State which is not a Coastal State as defined above. The District of Columbia and American Samoa are included as inland States. American Samoa is defined as an inland State because the ratio of number of recreational vessels to number of shoreline miles is less than one.

Many States provide CVA subawards to private marinas, local municipalities, other State agencies, or other non-State entities though it is the State's decision if they want to utilize this approach to meet their public boating needs. There may be a separate application process for potential subrecipients managed by the State agency responsible for administering CVA grants.

Foreign Entities or Projects:

This program does not provide funding to foreign entities or for projects conducted outside the United States.

Excluded Parties: FWS conducts a review of the SAM.gov Exclusions database for all applicant entities and their key project personnel prior to award. The Bureau cannot award funds to entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or otherwise excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits, as their ineligibility condition applies to this Federal program.

D. Application and Submission Information

D1. Address to Request Application Package

Eligible applicants may access the Application Package in Grants.gov or GrantSolutions. We recommend that agencies with access to GrantSolutions apply within the GrantSolutions platform. Access the application package in Grants.gov using the "Search Grants" tab, enter Funding Opportunity Number or CFDA number (15.616). Downloading and saving the Application Package to your computer makes the required government-wide standard forms fillable and printable. Submit applications downloaded via Grants.gov electronically through Grants.gov. In GrantSolutions search for the funding opportunity once you are logged in and follow the instructions to complete and submit your application.

Applicants should reach out to the technical and administration contacts listed in Section G if they have questions about their applications.

Program Website Link

<https://www.fws.gov/wsfrprograms/Subpages/GrantPrograms/CVA/CVA.htm>

D2. Content and Form of Application Submission

SF-424, Application for Federal Assistance

Applicants must submit the appropriate Standard Form (SF)-424, Application for Federal Assistance. Individuals applying as a private citizen (i.e., unrelated to any business or nonprofit organization you may own or operate in your name), must complete the SF-424, Application for Federal Assistance-Individual form. All other applicants must complete the standard SF-424, Application for Federal Assistance. The required application forms are available with this announcement on Grants.gov. The SF-424, Application for Federal Assistance must be complete, and signed and dated. Please note: Enter only the amount requested from this Federal program in the “Federal” funding box on the SF-424 Application form. Include any other Federal sources of funding in the “Other” box and provide details on those Federal source(s) and funding amount(s) in the required Budget Narrative (see the “Budget Narrative” section below).

Any applicant requesting support for a construction projects must submit as signed and dated SF-424D, Assurances for Construction Programs form. All required application forms are available with this announcement on Grants.gov.

Note that applicants with their annual assurances (including SF-424B, Assurances for Non-Construction Programs and/or SF-424D, Assurances for Construction Programs), already on file at their WSFR Regional Office do not need to re-submit assurance forms. All required application forms are available with this announcement on Grants.gov and GrantSolutions.

Project Summary

Briefly summarize the project, in one page or less. Include the title of the project, geographic location, and a brief overview of the need for the project. Goal(s), SMART (specific, measurable, attainable, relevant, and time-bound) objectives, specific project activities that would be funded, anticipated outputs and outcomes should also be included in this section.

Project Narrative

A project statement (10 pages maximum) that does not address the ranking criteria, but rather identifies and describes:

- a. Need: Explain why the project is necessary and how it fulfills the purpose of CVA;
- b. Identify specific, measurable, attainable, relevant, and time-bound (SMART) objectives to be accomplished during the project period. Please use WSFR’s Standard Objectives when designing your project. We encourage you to work with your WSFR Regional Office if you have questions about formatting your objectives for entry in the TRACS Enhancement. Also, please see the [WSFR Financial Assistance Guidance website](#) for more details and examples;
- c. Expected results or benefits from accomplishing the objectives;
- d. The approach to be used in meeting the objectives, including specific procedures, schedules, key personnel, and cooperators;
- e. Description of the activity so Service staff can make a preliminary determination of compliance needs for the National Environmental Policy Act (NEPA), Section 7 of Endangered Species Act (ESA), and Section 106 of the National Historic Preservation Act (NHPA); and

- f. The anticipated project location(s), including the GPS coordinates in minutes, degrees, seconds. If exact locations are not available at the time of application, please be as specific as possible.
- g. Provide a timeline of activities.

Applicants must submit the appropriate SF-424 Budget Information form and Budget Narrative. For non-construction programs or projects, applicants must complete and submit the SF-424A, “Budget Information for Non-Construction Programs” form. All of the required application forms are available with this announcement on Grants.gov. Federal award recipients and subrecipients are subject to Federal award cost principles in 2 CFR 200. Applicants must show funds requested from this Federal program separately from any other Federal sources of funding. In the “Budget Summary” section of the appropriate SF-424 budget form, use the first row for funding requested from this Federal program. Use subsequent row(s) for funding requested from this Federal program. Use subsequent row(s) for other Federal funding. Enter each Federal program’s CFDA number(s) in the corresponding fields on the form. The CFDA number(s) for this program appears on the first page of this announcement.

Applicants must submit the appropriate SF-424 Budget Information form and Budget Narrative. For construction programs or projects, applicants must complete and submit the SF-424C, “Budget Information for Construction Programs”. All of the required application forms are available with this announcement on Grants.gov. Federal award recipients and subrecipients are subject to Federal award cost principles in 2 CFR 200. Applicants must show funds requested from this Federal program separately from any other Federal sources of funding. In the “Budget Summary” section of the appropriate SF-424 budget form, use the first row for funding requested from this Federal program. Use subsequent row(s) for funding requested from this Federal program. Use subsequent row(s) for other Federal funding. Enter each Federal program’s CFDA number(s) in the corresponding fields on the form. The CFDA number(s) for this program appears on the first page of this announcement.

Budget Narrative

Describe and justify requested budget items and costs. Detail how the SF-424 Budget Information, Object Class Category totals were determined. For personnel salary costs, include the baseline salary figures and the estimates of time. Describe any item of cost that requires prior approval under the Federal cost principles. See [2 CFR 200.407](#) “Prior written approval (prior approval)” for more information. If equipment purchased previously with Federal funds is available for the project, provide a list of that equipment and identify the Federal funding source. Identify any cash or in-kind contributions that a partner or other entity will contribute to the project and describe how the contributions directly and substantively benefit completion of the project. For in-kind contributions, include the source, the amount, and the valuation methodology used to determine the total value. See 2 CFR 200.306 “Cost sharing or matching” for more information.

Be sure to provide sufficient detail for reviewers to understand the proposed costs.

Conflict of Interest Disclosure

Per the Financial Assistance Interior Regulation (FAIR), [2 CFR §1402.112](#),

applicants must state in their application if any actual or potential conflict of interest exists at the time of submission.

(a) Applicability.

(1) This section intends to ensure that non-Federal entities and their employees take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements.

(2) In the procurement of supplies, equipment, construction, and services by recipients and by sub recipients, the conflict of interest provisions in 2 CFR 200.318 apply.

(b) Notification.

(1) Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass-through entity in accordance with 2 CFR 200.112.

(2) Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the Financial Assistance Officer in writing of any conflicts of interest that may arise during the life of the award, including those that have been reported by sub recipients.

(c) Restrictions on lobbying. Non-Federal entities are strictly prohibited from using funds under a grant or cooperative agreement for lobbying activities and must provide the required certifications and disclosures pursuant to 43 CFR part 18 and 31 U.S.C. 1352.

(d) Review procedures. The Financial Assistance Officer will examine each conflict of interest disclosure on the basis of its particular facts and the nature of the proposed grant or cooperative agreement, and will determine whether a significant potential conflict exists and, if it does, develop an appropriate means for resolving it.

(e) Enforcement. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award. Failure to make required disclosures may result in any of the remedies described in 2 CFR 200.338, Remedies for noncompliance, including suspension or debarment (see also 2 CFR part 180).

Single Audit Reporting Statement

All U.S. states, local governments, federally recognized Indian tribal governments, and non-profit organizations expending \$750,000 USD or more in Federal award funds in the applicant's fiscal year must submit a Single Audit report for that year through the [Federal Audit Clearinghouse's Internet Data Entry System](#). U.S. state, local government, federally recognized Indian tribal government, and non-profit applicants must state if your organization was or was not required to submit a Single Audit report for the most recently closed fiscal year. If your organization was required to submit a Single Audit report for the most recently closed fiscal year, provide the EIN associated with that report and state if it is available through the [Federal Audit Clearinghouse](#) website.

Certification Regarding Lobbying

Applicants requesting more than \$100,000 in Federal funding must certify to the statements in [43 CFR Part 18, Appendix A-Certification Regarding Lobbying](#). If this application requests more than \$100,000 in Federal funds, the Authorized Official's signature on the appropriate SF-424,

Application for Federal Assistance form also represents the entity's certification of the statements in 43 CFR Part 18, Appendix A.

Applicants and recipients must not use any federally appropriated funds (annually appropriated or continuing appropriations) or matching funds under a Federal award to pay any person for lobbying in connection with the award. Lobbying is influencing or attempting to influence an officer or employee of any U.S. agency, a Member of the U.S. Congress, an officer or employee of the U.S. Congress, or an employee of a Member of the U.S. Congress connection with the award. Applicants and recipients must complete and submit the [SF-LLL, "Disclosure of Lobbying Activities"](#) form if the Federal share of the proposal or award is more than \$100,000 and the applicant or recipient has made or has agreed to make any payment using non-appropriated funds for lobbying in connection with the application or award. The SF-LLL form is available with this Funding Opportunity on Grants.gov. See 43 CFR, Subpart 18.100 for more information on when additional submission of this form is required.

Overlap or Duplication of Effort Statement

Applicants must provide a statement indicating if there is any overlap between this Federal application and any other Federal application, or funded project, in regards to activities, costs, or time commitment of key personnel. If no such overlap or duplication exists, state, "There are no overlaps or duplication between this application and any of our other Federal applications or funded projects, including in regards to activities, costs, or time commitment of key personnel". If any such overlap exists, provide a complete description of overlaps or duplications between this proposal and any other federally funded project or application in regards to activities, costs, and time commitment of key personnel, as applicable. Provide a copy of any overlapping or duplicative proposal submitted to any other potential funding entity and identify when that proposal was submitted, to whom (entity name and program), and when you anticipate being notified of their funding decision. When overlap exists, your statement must end with "We understand that if at any time we receive funding from another source that is duplicative of the funding we are requesting from the U.S. Fish and Wildlife Service in this application, we will immediately notify the U.S. Fish and Wildlife Service point of contact identified in this Funding Opportunity in writing."

D3. Unique Entity Identifier and System for Award Management (SAM)

Identifier and System for Award Management (SAM.gov) Registration: This requirement does not apply to individuals applying for funds as individual (i.e., unrelated to any business or nonprofit organization you may own or operate) or any entity with an exception approved by the funding bureau or office in accordance with bureau or office policy. All other applicants are required to obtain a Data Universal Numbering System (DUNS) number from Dun & Bradstreet and then register in SAM.gov prior to submitting a Federal award application. Federal award recipients must continue to maintain an active SAM.gov registration with current information through the life of their Federal award(s). See the "Submission Requirements" section of this document below for more information on SAM.gov registration. We may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the program is ready to

make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant. **There is no cost to register with Dun & Bradstreet or SAM.gov.** There are third-party vendors who will charge a fee in exchange for registering entities with Dun & Bradstreet and SAM.gov; **please be aware you can register and request help for free.**

Obtain a DUNS Number

Request a DUNS Number through the Dun & Bradstreet website. For technical difficulties, send an email to the D&B SAM Help Desk. Please ensure that you are able to receive emails from SAMHelp@dnb.com. The Grants.gov “Obtain a DUNS Number” webpage also provides detailed instructions. Once assigned a DUNS number, your organization must maintain up-to-date information with Dun & Bradstreet. Applicants must enter their DUNS number in the “Organizational DUNS” field on the SF-424, Application for Federal Assistance form.

Register with the System for Award Management (SAM)

Register on the SAM.gov website. “Help” tab on the website contains User Guides and other information to assist you with registration. The Grants.gov Register with SAM page also provides detailed instructions. You can also contact the supporting Federal Service Desk for help registering in SAM. Once registered in SAM, entities must renew and revalidate their SAM registration at least once every 12 months from the date previously registered. Entities are strongly encouraged to revalidate their registration as often as needed to ensure their information is up to date and reflects changes that may have been to the entity’s DUNS or IRS information.

D4. Submission Dates and Times

Due Date for Applications

11/23/2020

Application Due Date Explanation

State agency applicants must submit applications through GrantSolutions or Grants.gov by the deadline. The deadline for receipt is November 23, 2020, 11:59 P.M. PDT. The Service recommends that you submit your application early enough to address any unforeseen technical complications. We also recommend that you verify that all documents have been received with your Regional WSFR Office before the deadline. The Service will not consider applications received after the deadline.

Applicants requesting comments or assistance with their applications are encouraged to submit applications to their Regional WSFR Office at least 4 weeks prior to the due date. Although there is no guarantee that the Regional WSFR Office will provide comments, feedback may include recommendations to improve the application.

D5. Intergovernmental Review

Prior to application submission, U.S. state and local government applicants should visit the [OMB Office of Federal Financial Management website](#) and view the “State Point of Contact (SPOC) List” to determine whether their application is subject to the state intergovernmental review

process under Executive Order (E.O.) 12372 “Intergovernmental Review of Federal Programs.” States not on the list do not participate in the intergovernmental review process, and therefore do not have a SPOC. If you are located within a State that does not have a SPOC, you may send application materials directly to a Federal awarding agency. If your state is on the list, contact the designated entity for more information on the state’s prior review requirements for Federal assistance applications.

D6. Funding Restrictions

Indirect Cost: Individuals

Individuals applying for and receiving funds separate from a business or non-profit organization he/she may operate are not eligible to charge indirect costs to their award. If you are an individual applying for funding, you must not include any indirect costs in your proposed budget. Individuals are not required to submit any of the following statements regarding indirect costs.

Indirect Costs: Organizations

The Federal awarding agency that provides the largest amount of direct funding to your organization is your cognizant agency for indirect costs, unless otherwise assigned by the White House Office of Management and Budget (OMB). If the Department of the Interior is your organization’s cognizant agency, the Interior Business Center will negotiate your indirect cost rate. Contact the Interior Business Center by phone 916-930-3803 or using the [IBC Email Submission Form](#). See the [IBC Website](#) for more information.

Organizations must have an active Federal award before they can submit an indirect cost rate proposal to their cognizant agency. Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award. Recipients must have prior written approval from the Service to use amounts budgeted for direct costs to satisfy cost-share or match requirements or to cover unallowable indirect costs. Recipients shall not shift unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.

Required Indirect Cost Statement to be submitted with Application

All organizations must include the applicable statement from the following list in their application, and attach to their application any documentation identified in the applicable statement:

We are:

- A U.S. state or local government entity receiving more than \$35 million in direct Federal funding each year with an indirect cost rate of [insert rate]. We submit our indirect cost rate proposals to our cognizant agency. Attached is a copy of our most recently approved rate agreement/certification.
- A U.S. state or local government entity receiving less than \$35 million in direct Federal funding with an indirect cost rate of [insert rate]. We have prepared and will retain for audit an indirect cost rate proposal and related documentation.
- A [insert your organization type; U.S. states and local governments, do not use this statement] that has previously negotiated or currently has an approved indirect cost rate with our cognizant agency. Our indirect cost rate is [insert rate]. [Insert either: “Attached

is a copy of our most recently approved but expired rate agreement. In the event an award is made, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award is made.” *or* “Attached is a copy of our current negotiated indirect cost rate agreement.”]

- A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency. Our indirect cost rate is [insert rate]. If we receive an award, we will submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after the award date.
- A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency and has an indirect cost rate that is lower than 10%. Our indirect cost rate is [insert rate; must be lower than 10%]. However, if we receive an award we will not be able to meet the requirement to submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after award. We request as a condition of award to charge a flat indirect cost rate of [insert rate; must be lower than 10%] against [insert a clear description of the direct cost base against which your rate is charged (e.g., salaries; salaries and fringe benefits; or modified total direct costs). However, please note that your organization cannot charge indirect costs in excess of the indirect costs that would be recovered if applied against modified total direct costs as defined in [2 CFR 200.68](#)]. We understand that we must notify the Service in writing if we establish an approved rate with our cognizant agency at any point during the award period.
- A [insert your organization type] that has never submitted an indirect cost rate proposal to our cognizant agency and has an indirect cost rate that is 10% or higher. Our indirect cost rate is [insert your organization’s indirect rate; must be 10% or higher]. However, if we receive an award we will not be able to meet the requirement to submit an indirect cost rate proposal to our cognizant agency within 90 calendar days after award. We request as a condition of award to charge a flat *de minimis* indirect cost rate of 10% to be charged against modified total direct project costs as defined in [2 CFR §200.68](#). We understand that we must notify the Service in writing if we establish a negotiated rate with our cognizant agency at any point during the award period. We understand that additional Federal funds may not be available to support an unexpected increase in indirect costs during the project period and that such changes are subject to review, negotiation, and prior approval by the Service.
- A [insert your organization type] that is submitting this proposal for consideration under the [insert either “Cooperative Fish and Wildlife Research Unit Program” or “Cooperative Ecosystem Studies Unit Network”], which has a Department of the Interior-approved indirect cost rate cap of [insert program rate]. If we have an approved indirect cost rate with our cognizant agency, we understand that we must apply this reduced rate against the same direct cost base as identified in our approved indirect cost rate agreement per [2 CFR §1402.414](#). If we do not have an approved indirect cost rate with our cognizant agency, we understand that we must charge indirect costs against the modified total direct cost base defined in 2 CFR §200.68 “Modified Total Direct Cost (MTDC)”. We understand that we must request prior approval from the Service to use the 2 CFR 200 MTDC base instead of the base identified in our approved indirect cost rate agreement. We understand that Service approval of such a request will be based on: 1) a determination that our approved base is only a subset of the MTDC (such as salaries and wages); and 2) that use of the MTDC base will still result in a reduction of the total

indirect costs to be charged to the award. In accordance with 2 CFR §200.405, we understand that indirect costs not recovered due to a voluntary reduction to our federally negotiated rate are not allowable for recovery via any other means.

- A [insert your organization type] that will charge all costs directly.

Funding Restrictions

Pre-award costs are not guaranteed and may only be eligible if they are necessary and reasonable for completion of project objectives, and are approved in writing in advance by the Regional WSFR Office. Request for pre-award costs need to include the dates of validity, costs, and a justification

Eligible grant activities include:

1. Education/information program to educate/inform recreational boaters about the environmental pollution problems resulting from sewage discharges from vessels and to inform them of the location of pumpout and dump stations.
2. The construction, renovation, operation and maintenance of pumpout and dump stations, including floating restrooms in the water, not connected to land or structures connected to the land, used solely by boaters. They may also include any activity necessary to hold and transport sewage to sewage treatment plants, such as holding tanks, piping, haulage costs, and any activity necessary to get sewage treatment plants to accept sewage, such as installing bleedin facilities.
3. Administration and coordination your CVA grant program.

Ineligible activities include:

1. Activities that do not provide public benefits.
2. Enforcement activities.
3. Construction/renovation of upland restroom facilities.
4. Construction, renovation, operation and maintenance of onsite sewage treatment plants, such as package treatment plants and septic systems, and of municipal sewage treatment plants for primary and secondary treatment.

D7. Other Submission Requirements

Submit completed applications electronically through GrantSolutions or [Grants.gov](https://www.Grants.gov).

Applications must be formatted to fit on 8.5" X 11" paper, with 1" margins at the top, bottom, and both sides, and page numbers at the bottom of the page. Fonts must be no less than 12 point Arial, Times New Roman, or other commonly used font.

In accepting Federal funds, you must comply with all applicable Federal laws, regulations, and policies. If we select the application for award, you will need to provide evidence of compliance with the National Environmental Policy Act (NEPA), the Endangered Species Act (ESA), the National Historic Preservation Act (NHPA), and other Federal laws or regulations as part of the post-selection approval process.

Coastal States submitting applications for both the coastal and the inland portions of their state must submit two separate applications for ranking purposes.

E. Application Review Information

E1. Criteria

Scoring for Coastal State Applications - 1. Survey/Plan **Maximum Points: 0**

If your State doesn't have a survey or a plan, are you proposing to complete one? If yes, 50 points (Note that all Coastal States now have a plan. Hence there should be no points given for this criterion. As a result, the total possible score now is 50 rather than 100 points).

Scoring for Coastal State Applications - 2. Construction/Renovation of Pumpouts or Dump Stations **Maximum Points: 20**

Are you proposing to construct or renovate pumpout or dump stations in accordance with your State's plan approved under section 5603(c) of the Clean Vessel Act? If yes, 20 points.

Are you proposing to construct or renovate pumpout or dump stations and your State doesn't have a plan or your proposed projects are not in accordance with your State's plan approved under section 5603(c) of the Clean Vessel Act? If yes, 10 points.

Scoring for Coastal State Applications - 3. Partnership **Maximum Points: 10**

Will you have a public/private partnership in developing and/or operating the proposed pumpout or dump stations? If yes, 10 points.

Scoring for Coastal State Applications - 4. Innovative Approach **Maximum Points: 5**

Will you use innovative ways to increase the availability and use of pumpout and dump stations? If yes, up to 5 points. Note that "innovative" is an evolving criterion. What was innovative five years ago may not be innovative today if it has become a standard practice. Please thoroughly describe your innovative approach as we may award partial points.

Scoring for Coastal State Applications - 5. Education **Maximum Points: 5**

Are you proposing to include an education/information component? Or, does your State have an active, ongoing CVA education program? If yes, up to 5 points. Please thoroughly describe your education/information component as we may award partial points.

Scoring for Coastal State Applications - 6. Sensitive Area **Maximum Points: 5**

Will your project benefit waters that are most likely to be affected by the discharge of sewage from vessels? If yes, up to 5 points. Please thoroughly describe how your project will benefit sensitive waters as we may award partial points.

Scoring for Coastal State Applications - 7. Low Pumpout Ratio **Maximum Points: 5**

Will the project be in areas with many vessels and few pumpouts or dump stations? If yes, up to 5 points. Please describe your pumpout ratios and how they were determined as we may award partial points.

Scoring for Inland State Applications - 1. Construction/Renovation of Pumpouts or Dump Stations **Maximum Points: 20**

Are you proposing to construct or renovate pumpout or dump stations in accordance with your State's plan? If yes, 20 points.

Are you proposing to construct or renovate pumpout or dump station and your State doesn't have a plan or your proposals are not in accordance with your State's plan? If yes, 10 points.

Scoring for Inland State Applications - 2. Partnership

Maximum Points: 10

Will you have a public/private partnership in developing and/or operating the proposed pumpout or dump stations? If yes, 10 points.

Scoring for Inland State Applications - 3. Innovative Approach

Maximum Points: 5

Will you use innovative ways to increase the availability and use of pumpout and dump stations? If yes, up to 5 points. Note that "innovative" is an evolving criterion. What was innovative five years ago may not be innovative today if it has become a standard practice. Please thoroughly describe your innovative approach as we may award partial points.

Scoring for Inland State Applications - 4. Education

Maximum Points: 5

Are you proposing to include an education/information component? Or, does your State have an active, ongoing education program? If yes, up to 5 points. Please thoroughly describe your education/information component as we may award partial points.

Scoring for Inland State Applications - 5. Sensitive Area

Maximum Points: 5

Will your project benefit waters that are most likely to be affected by the discharge of sewage from vessels? If yes, up to 5 points. Please thoroughly describe how your project will benefit sensitive waters as we may award partial points.

Scoring for Inland State Applications - 6. Low Pumpout Ratio

Maximum Points: 5

Will the project be in areas with many vessels and few pumpouts or dump stations? If yes, up to 5 points. Please describe your pumpout ratios and how they were determined as we may award partial points.

E2. Review and Selection Process

Prior to award, the program will review any applicant statement regarding potential overlap or duplication between the project to be funded and any other funded or proposed project in terms of activities, funding, or time commitment of key personnel. Depending on the circumstances, the program may request modification to the application, other pending applications, or an active award, as needed to eliminate any duplication of effort, or the Bureau may choose not to fund the selected project.

The program may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the Bureau is ready to make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant.

Prior to award, the program will evaluate the risk posed by applicants as required in 2 CFR 200.205. Programs document applicant risk evaluations using the Bureau's "Financial Assistance Recipient Risk Assessment" form. Prior to approving awards for Federal funding in excess of the simplified acquisition threshold (currently \$250,000), the Bureau is required to review and consider any information about or from the applicant found in the Federal Awardee Performance and Integrity Information System. The Bureau will consider this information when completing the risk review. The Bureau uses the results of the risk evaluation to establish monitoring plans, recipient reporting frequency requirements, and to determine if one or more of the specific award conditions in 2 CFR 200.207 should be applied to the award.

Review and selection is a three-step process: application receipt, application ranking, and application selection.

Application receipt – Submit applications via Grants.Gov or GrantSolutions. The Regional WSFR Offices determine applicant eligibility and whether applications are complete, substantial, and contain only activities that are eligible. We will return ineligible applications to the applicant. Revision and resubmission of returned applications is allowable up until the identified deadline for application submittals. Note that your Regional WSFR contact may, upon request, review a draft application prior to submission. Check with your applicable contact if you would like to explore this option.

Application ranking - Once an application is received and determined to be eligible by the Regional WSFR Office, they notify the Service WSFR Headquarters Office, which coordinates the review and ranking of the applications by WSFR staff. We invite the National Oceanic and Atmospheric Administration, Environmental Protection Agency and United States Coast Guard to review the applications and make recommendations to the Service Director.

If funding requests for eligible applications exceed available funds, we will apply a discretionary formula to distribute CVA funds to all eligible applicants and support as many projects as possible across the country. The highest scoring applications have priority and we will partially award applications based on a proportional ratio. We will attempt to provide funding to each State that applies for at least a minimal level of support to address the critical need of preventing pollution from recreational boat sewage. We may not rank applications if funds are available to fund all eligible applications. We will review all applications for eligibility and to insure they meet program requirements.

Please pay close attention to the following information as it may assist you in your planning and application efforts, and we will use it to determine the distribution of funds in an equitable manner, with priority for the highest scoring applications. We will fully fund each eligible application requesting \$50,000 or less. No application will receive more than 10 percent of the total available funds, unless there are funds to meet all application requests. We will also:

1. Rank all eligible applications in descending point-score order;
2. Determine the percentage of each application's total score compared to the total possible score. We will use a total possible score of 50 points for all applications in determining the percentage (Note that all coastal States now have a plan. Hence the total possible score is 50 rather than 100 points.);

3. Determine funding based on the percentage in Step 2 for those applications with Federal CVA funding requests greater than \$50,000. For example, applications receiving a perfect score (100 percent) will receive the full Federal funding request (if they clear the 10 percent of total available funds threshold), applications with a percentage of 80 percent (score of 40 points out of 50 possible) will receive 80 percent of the Federal funding request, and applications with a percentage of 60 percent (score of 30 points out of 50 possible) will receive 60 percent of the Federal funding request, and so on, funds permitting;
4. Make additional adjustments as needed to ensure we award the full amount of available funding; and
5. Adjust the 25 percent matching share, if applicable.

Application selection - The Service Director makes the final funding selections.

E3. CFR – Regulatory Information

See the [Service’s General Award Terms and Conditions](#) for the general administrative and national policy requirements applicable to Service awards. The Service will communicate any other program- or project-specific special terms and conditions to recipients in their notices of award.

E4. Anticipated Announcement and Federal Award Dates

We anticipate announcing funding during May-June 2021.

F. Federal Award Administration Information

F1. Federal Award Notices

The Service Regional WSFR Office will notify State applicants of the funding approval and the process needed to receive a grant, including approval of pre-award costs and satisfactory completion of compliance requirements. When these requirements are met, the Regional WSFR Office will send a letter to your agency detailing the terms and conditions of the award. Notice of funding approval is not an approval of pre-award costs.

F2. Administrative and National Policy Requirements

See the “[DOI Standard Terms and Conditions](#)” for the administrative and national policy requirements applicable to DOI awards.

Data Availability

Per the Financial Assistance Interior Regulation (FAIR), [2 CFR §1402.315](#):

(a) All data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, valuation products or other scientific assessments in any medium or form, including textual, numerical, graphic, cartographic, narrative, or audiovisual, resulting from a financial

assistance agreement is available for use by the Department of the Interior, including being available in a manner that is sufficient for independent verification.

(b) The Federal Government has the right to:

(1) Obtain, reproduce, publish, or otherwise use the data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, produced under a Federal award; and

(2) Authorize others to receive, reproduce, publish, or otherwise use such data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, for Federal purposes, including to allow for meaningful third-party evaluation.

See the [Service's General Award Terms and Conditions](#) for the general administrative and national policy requirements applicable to Service awards. The Service will communicate any other program- or project-specific special terms and conditions to recipients in their notices of award.

F3. Reporting

Financial Reports

All recipients must use the [SF-425, Federal Financial Report](#) form for financial reporting. At a minimum, all recipients must submit a **final** financial report. Final reports are due no later than 90 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award. The only exception to the interim financial reporting requirement is if the recipient is required to use the SF 270/271 to request payment and requests payment at least once annually through the entire award period of performance. We will describe all financial reporting requirements in the Notice of Award.

Performance Reports

Performance reports must contain a comparison of actual accomplishments with the established goals and objectives of the award; a description of reasons why established goals were not met, if appropriate; and any other pertinent information relevant to the project results. Final reports are due no later than 90 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award.

Electronic submission of performance information using [Wildlife TRACS](#) may be required, as detailed in the terms and conditions of the award.

Significant Development Reports

Events may occur between the scheduled performance reporting dates which have significant impact upon the supported activity. In such cases, recipients are required to notify the Bureau in writing as soon as the recipient becomes aware of any problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation. The recipient should also notify the Service in writing of any favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Real Property Reports

Recipients and subrecipients are required to submit status reports on the status of real property acquired under the award in which the Federal government retains an interest. The required frequency of these reports will depend on the anticipated length of the Federal interest period. The Bureau will include recipient-specific real property reporting requirements, including the required data elements, reporting frequency, and report due dates, in the Notice of Award when applicable.

Conflict of Interest Disclosures

Recipients must notify the program immediately in writing of any conflict of interest that arise during the life of their Federal award, including those reported to them by any subrecipient under the award. Recipients must notify the program in writing if any employees, including subrecipient and contractor personnel, are related to, married to, or have a close personal relationship with any Federal employee in the Federal funding program or who otherwise may have been involved in the review and selection of the award. The term employee means any individual engaged in the performance of work pursuant to the Federal award. Recipients may not have a former Federal employee as a key project official, or in any other substantial role related to their award, whose participation put them out of compliance with the legal authorities addressing post-Government employment restrictions. See the [U.S. Office of Government Ethics website](#) for more information on these restrictions. The Service will examine each conflict of interest disclosure based on its particular facts and the nature of the project and will determine if a significant potential conflict exists. If it does, the Service will work with the recipient to determine an appropriate resolution. Failure to disclose and resolve conflicts of interest in a manner that satisfies the Service may result in any of the remedies described in 2 CFR 200.338 Remedies for Noncompliance, including termination of the award.

Other Mandatory Disclosures

The Non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Non-Federal entities that receive a Federal award including the terms and conditions outlined in 2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies described in 2 CFR 200.338 Remedies for Noncompliance, including suspension or debarment.

Reporting Matters Related to Recipient Integrity and Performance

If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings in accordance with Appendix XII to 2 CFR 200.

G. Federal Awarding Agency Contact(s)

G1. Program Technical Contact

For **programmatic technical assistance**, contact:

First Name:

Brad

Last Name:

Gunn

Address:

Telephone:

703-785-3829

Email:

Brad_Gunn@fws.gov

For state-specific programmatic technical assistance please contact the individuals listed below:

American Samoa, Hawaii: Chris Swenson, Chris_Swenson@fws.gov, 503 231-6758

Commonwealth of the Northern Mariana Islands and Guam: Ruth Utzurrum,
Ruth_Utzurrum@fws.gov, 503-231-2083

Idaho, Oregon, and Washington: Heidi Nelson, Heidi_Nelson@fws.gov 503-231-2096

Arizona, New Mexico, Oklahoma, and Texas: Brian Hobbs, Brian_Hobbs@fws.gov, 505-248-7476

Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, and Wisconsin: Jennie McNicoll, Jennifer_McNicoll@fws.gov, 612-713-5388

Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, the Commonwealth of Puerto Rico, South Carolina, Tennessee, and the United States Virgin Islands: Scott White, Scott_White@fws.gov, 404-679-7113

Connecticut, Delaware, the District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia: Bill Perry, Bill_Perry@fws.gov, 413-253-8302

Colorado, Kansas, Nebraska, Montana, North Dakota, South Dakota, Utah, and Wyoming: Michael Cotter, Michael_Cotter@fws.gov, 303-236-8179

Alaska: Mary Price, Mary_Price@fws.gov, 907-786-3982 or Scott Ayers, Scott_Ayers@fws.gov, 907-786-3982

California and Nevada: Julie Hana, Julie_Hana@fws.gov, 916-414-6507

G2. Program Administration

For **program administration assistance**, contact:

First Name:

Brad

Last Name:

Gunn

Address:

Telephone:
703-785-3829
Email:
Brad_Gunn@fws.gov

G3. Application System Technical Support

For **Grants.gov** technical registration and submission, downloading forms and application packages, contact:

Name:
Grants.gov Customer Support
Telephone:
1-800-518-4726
Email:
Support@grants.gov

For **GrantSolutions** technical registration and submissions, downloading forms and application packages, contact:

Name:
GrantSolutions Customer Support
Telephone:
1-866-577-0771
Email:
Help@grantsolutions.gov

H. Other Information

Payments

Domestic recipients are required to register in and receive payment through the U.S. Treasury's Automated Standard Application for Payments (ASAP), unless approved for a waiver by the Service program. Foreign recipients receiving funds to a final destination bank outside the U.S. are required to receive payment through the U.S. Treasury's International Treasury Services (ITS) System. Foreign recipients receiving funds to a final destination bank in the U.S. are required to enter and maintain current banking details in their SAM.gov entity profile and receive payment through the Automated Clearing House network by electronic funds transfer (EFT). The Bureau will include recipient-specific instructions on how to request payment, including identification of any additional information required and where to submit payment requests, as applicable, in all Notices of Award.

Clean Vessel Act Grant Program Questions and Answers:

Q1: Is an inspection or a monitoring program to ensure pumpouts installed with CVA funds are in working order an eligible activity?

A: Yes. An inspection or a monitoring program to ensure pumpouts installed with CVA funds are in working order is an eligible activity as long as it doesn't include law enforcement.

Q2: Is creating and/or revising a Coastal State's plan an eligible activity?

A: Yes. A Coastal State may apply for and receive funding to create and/or revise their State plan in accordance with the Technical Guidance. However, points for the first ranking criterion are only awarded to a Coastal State applicant that has no existing plan. Currently all Coastal States have an existing plan so we will award zero points for Coastal State plan development.

Please note, that inland States may not receive funding to create and/or revise their State plan (as dictated by the Clean Vessel Act of 1992).

Q3: Which cost categories should I include in my application budget?

A: You should include the following categories, by cooperator and project location/facility:

1. Personnel services including compensation for salaries and fringe benefits;
2. Contracts for services;
3. Travel;
4. Equipment, supplies, and construction costs;
5. Education program costs;
6. Operation and/or maintenance costs; and
7. Indirect costs, if applicable.

You may include other categories of eligible costs that you feel support your activities. Ineligible costs may disqualify your application.

Q4: How long must I maintain a pumpout or dump station that I build with CVA funds?

A: You are responsible for maintaining the pumpout or dump station according to the instructions in your grant award documents and guidance from your Regional WSFR Office.

Q5: Are operation and maintenance funds eligible costs?

A: Yes. You may include operation and maintenance activities in your application. They can be either for a specific location or for an overall CVA operation and maintenance program for the State.

Q6: May I charge more than \$5 as a usage fee for each pumpout or dump station?

A: While we encourage applicants to consider providing these services at no cost, the regulations, found in 50 CFR 85, limit the fee that you may charge for use of a CVA funded facility to \$5 or less. However, if you provide a written request to increase your per-use charges above the \$5 limit and the Service approves your request for an increase, you may charge a higher fee. The regulations also require that the facility operator must retain, account for, and use CVA usage fees to defray operation and maintenance costs for the useful life of the facility. Note that this program-specific regulation has precedence over general program income regulations as it expressly directs how the fees are to be used during, and sometimes beyond, the period of performance.

Q7: Where can I get more information about CVA and applying for funds?

A: You can contact WSFR CVA coordinators. National and regional contact information is listed above in Section G. An overview of the CVA program is available [here](#).

Q8: Are boats used for the primary purpose as a residence eligible as recreational vessels through

CVA?

A: No, to qualify as a recreational vessel for CVA, the vessel must be (a) manufactured for operation, or operated, primarily for pleasure; or (b) leased, rented, or chartered to another for the latter's pleasure.

Q9: Are commercial vessels eligible as recreational vessels through CVA?

A: It depends on whether it meets the criteria to be considered as a recreational vessel under CVA. To qualify as a recreational vessel for CVA, the vessel must meet at least one of the following criteria: (a) manufactured for operation, or operated, primarily for pleasure; or (b) leased, rented, or chartered to another for the latter's pleasure.

Q10: Why must I submit a statement regarding my indirect cost rate in my application?

A: The uniform guidance in 2 CFR 200.210(a)(15) indicates that applications must now include indirect cost rate information in applications for Federal grants. Service-wide policy also has stepped down this requirement to all Service offices.

Q11: Why do I need to include a single audit statement in my application?

A: Service-wide policy has made this a requirement for all Service offices as a result of requirements published in 2 CFR 200, Subpart F.

Q12: How long can the period of performance be on a CVA grant?

A: At present there is no limit set in rule or statute though the Regional WSFR Office may impose limits based on a number of factors including current and past performance by the recipient. We recommend a period of performance of no more than 3 years with an extension of up to 2 years in unusual circumstances. We plan to seek input from stakeholders as we consider addressing this in a revision of the CVA rule.

PAPERWORK REDUCTION ACT STATEMENT:

OMB Control Number: 1018-0100, Expiration Date: 7/31/2021

We are collecting this information in accordance with the authorizing legislation identified above. Your response is required to obtain or retain a benefit. We will use the information you provide to conduct a competitive review and select projects for funding and, if awarded, to evaluate performance. We may not conduct or sponsor and you are not required to respond to a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. We estimate that it will take you on average about 40 hours to complete an initial application, about 3 hours to revise the terms of an award, and about 8 hours per report to prepare and submit financial and performance reports, including time to maintain records and gather information. Actual time for these activities will vary depending on program-specific requirements. You may send comments on the burden estimate or any other aspect of this information collection to the Information Collection Clearance Officer, U.S. Fish and Wildlife Service, MS BPHC, 5275 Leesburg Pike, Falls Church, VA 22041-3803.