



USAID | INDONESIA

FROM THE AMERICAN PEOPLE

AMENDMENT NO. 1 ANNUAL PROGRAM STATEMENT (APS) NO. INDONESIA 09-014 “SUPPORTING UNIVERSITIES TO PARTNER ACROSS THE PACIFIC”

Issuance Date of the Original APS:	August 10, 2009
Deadline for Receipt of Questions:	August 14, 2009
Issuance Date of This Amendment No. 1:	August 20, 2009
Closing Dates for Concept Papers:	
First Phase	September 8, 2009
Second Phase	February 26, 2010
Third Phase	June 25, 2010
Closing Time for Submission of Concept Papers:	4:00 PM, Local Jakarta time
Submit Concept Papers to:	aps09-014@usaid.gov

The purpose of this Amendment No. 1 to APS No. Indonesia 09-014 is two-fold: (A) to respond to questions raised in response to the APS issued on August 10, 2009; (B) to add a new Section III “Full Applications Submission and Information” which outlines the procedures and requirements for submission of full applications; and (C) to provide the full APS (Original APS with Sections I, II and III included). The Original APS issued on August 10, 2009 remains unchanged; however, the closing dates for submission of Concept Papers for the Second Phase and Third Phase have been corrected to read “February 26, 2010” and “June 25, 2010”, respectively, and the USAID/Indonesia Country Strategy 2010-2014 is currently available at <http://indonesia.usaid.gov/en/Article.383.aspx>.

A. RESPONSES TO QUERIES RAISED IN RESPONSE TO THE APS

1. General: As general guidance, USAID/Indonesia would like to clarify that interested institutions should propose specific activities, institutional partners, and mechanisms for partnership, and not expect such guidance to be provided at this time by USAID.

2. Question: Please clarify if public institutions are eligible.

Response: Both public and private institutions of higher education are eligible.

3. Question: Are U.S. colleges that are primarily two-year institutions (offering a few four-year degrees) eligible for this competition?

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Response: Please note the characteristics given under “I.E. Intended Partnership Characteristics,” which are neutral to the type of higher education institution. Therefore, institutions in either the United States and Indonesia that offer two or three year degrees/diplomas are considered part of the broader category of “institutions of higher education”. As noted in Section I.E.9, however, the First Phase of the competition, there is a preference for a U.S. institution to be the lead.

4. Question: Is a partnership between a U.S. university and an Indonesian educational foundation eligible?

Response: As stated in “I.E Intended Partnership Characteristics,” a proposed partnership must include at least one institution of higher education in the United States and at least one institution of higher education in Indonesia. As noted in Section I.E.9, however, the First Phase of the competition, there is a preference for a U.S. institution to be the lead.

5. Question: Would a newly established university in Indonesia be an eligible member under a proposed partnership activity?

Response: Assuming that such an Indonesian institution has appropriate recognition from the Indonesian authorities (BAN and/or DIKTI), then yes they can be part of a proposed partnership. Please note, however, that the inclusion of a new institution as one of perhaps several members of a partnership does not waive the other requirements as listed under “I.E. Intended Partnership Characteristics,” such as needing to have appropriate needs assessment and demonstrating demand from related industry or practitioner associations.

6. Question: Can a consortium of U.S. universities be designated as the “lead” institution under this program?

Response: Please see Section “I.E. Intended Partnership Characteristics.” One institution of higher education needs to be designated as the lead.

7. Question: How does USAID interpret the desired characteristic of supporting at least one “regional” institution in Indonesia?

Response: For the purposes of this APS, a “regional” institution of higher education in Indonesia is one that primarily serves students and industry/government outside the island of Java.

8. Question: USAID references the desire to have multiple university partnerships within one project. Do you want numerous Indonesian universities included in the initial concept paper, or would it be possible to identify one primary partner,

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with the intent of expanding the partnerships over the period of the grant award?

Response: As stated in “II.B Application Requirements,” a Concept Paper will be evaluated more favorably if the institutions of the proposed partnership are identified, supported by analysis of the context, needs assessment of the institutions, and analysis of the demand for improved teaching, research, and outreach/service. In the event that not all partners have been identified, please be clear about the rationale, criteria, and process for expansion to new partners.

9. Question: Please clarify the dates for the second and third phases.

Response: The correct dates should be as follows:

Closing Dates for Concept Papers:	
First Phase	September 8, 2009
Second Phase	February 26, <u>2010</u>
Third Phase	June 25, <u>2010</u>

10. Question: Please verify that preferences #9-12 on page 7 of the solicitation will not apply to Phase 2 and phase 3 competitions.

Response: At this time, USAID anticipates that preferences #9 to 12 will be applicable to the First Phase only.

11. Question: What are your specific needs in the area of natural resources and climate change? Are there specific Indonesian universities and NGOs that you would recommend working with in this area? We would be interested in [knowing what] other Indonesian universities you would target.

Response: As stated in “II.B Application Requirements” and “I. Program Description,” applicants need to propose what organizations will partner and for what objectives. If a Concept Paper is accepted, USAID may provide further direction/comments about the technical aspects of a given Concept Paper.

12. Question: Is there any opportunity for questions to someone at USAID at that time regarding any changes in your country plan and goals?

Response: USAID values fair competition and sharing information as openly and transparently as possible. Should there be any major changes in the direction of this APS, an additional APS amendment will be issued. The purpose of this APS, as stated in the Program Description, is to solicit innovation from the higher education community in terms of addressing the development challenges of Indonesia. If a Concept Paper is accepted, USAID may provide further direction/comments about the technical aspects of a given Concept Paper.

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13. Question: On page 6, it says that there should be cost-sharing of 30% from partnership institutions. Does this mean the US universities and Indonesian universities?

Response: The target of 30% cost sharing is per partnership award regardless of the university's location. The Concept Paper should clarify which institutions or members of the partnership will provide cost sharing.

B. SECTION III "FULL APPLICATIONS SUBMISSION AND INFORMATION"

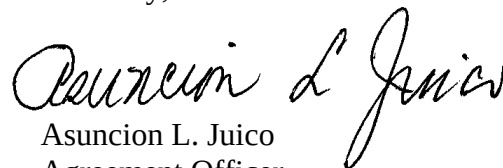
This Section III is attached and made part of this Amendment No. 1.

Issuance of this Amendment No. 1 to APS No. Indonesia 09-014 does not constitute a commitment on the part of the U.S. Government to make an award nor does it commit the Government to pay for costs incurred in the preparation and submission of a concept paper or application. Further, the U.S. Government reserves the right to reject any or all concept papers or applications received. In addition, final award of any resultant Agreement cannot be made until funds have been fully appropriated, allocated, and committed through internal USAID procedures the condition on which this APS is issued

Except as provided herein, all terms and conditions of the Original APS issued on August 10, 2009 remains unchanged and in full force and effect.

No further questions will be accepted under this APS.

Sincerely,



Asuncion L. Juico
Agreement Officer
USAID/Indonesia