

**U.S. Fish and Wildlife Service  
Financial Assistance Business Process  
Notice of Funding Opportunity Template**

**Point of Contact**

Send any questions about this document by email to the Chief, Branch of Financial Assistance Policy and Oversight (FAPO), Wildlife and Sport Fish Restoration Program (WSFR), Division of Administration and Information Management (AIM). See the FAPO About/Contact Us Intranet page at <https://inside.fws.gov/index.cfm/go/post/FAPO-About> for contact information.

**Document Change Log**

FAPO will update this template over time to incorporate changes resulting from any new government-wide regulations, Department of the Interior or Service policy, or new/changed Financial and Business Management System (FBMS) functionality. We will post revised versions of this document on the Intranet at <https://inside.fws.gov/go/post/FAPO-NewAwards> and will log updates made to this document in the following table:

| Date Changed | Description of Change Made                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2-20-2015    | Incorporated requirements under new 2 CFR 200, including: <ul style="list-style-type: none"> <li>• Changed Notice of Funding Availability to Notice of Funding Opportunity (see 200.203);</li> <li>• Updated reference to applicable Federal cost principles;</li> <li>• Removed references to A-133 and replaced with 2 CFR 200-Subpart F. Changed single audit threshold from \$500K to \$750K (see 200.501); and</li> <li>• Inserted mandatory disclosure requirements as a new reporting requirement (see 200.113).</li> </ul>                                       |
| 2-20-2015    | Incorporated requirements under recently issued Department policies, including: <ul style="list-style-type: none"> <li>• DIG 2011-03, Amendment 1, "Financial Assistance Monitoring Protocol" (see also "Service Recipient Risk Assessment Guidance");</li> <li>• DOI-AAAP-0008, "Conflict of Interest and Mandatory Disclosures for Financial Assistance"; and</li> <li>• DOI-AAAP-0009, "Financial Assistance Application and Merit Review Process".</li> </ul>                                                                                                        |
| 2-20-2015    | Other revisions made: <ul style="list-style-type: none"> <li>• Section III, inserted new template text related to projects conducted outside the U.S.;</li> <li>• Section III.C., incorporated new requirement to extend active SAM Exclusions search to key project person(s);</li> <li>• Section III.D., inserted clarifying text related to applicant/recipient use of indirect cost as cost-share/matching;</li> <li>• Section IV.C., yellow highlighted items 4 and 5;</li> <li>• Section IV.E., inserted previously omitted text requiring a Federally-</li> </ul> |

|  |                                                                                                                                                                                                                                                                                                         |
|--|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | <p>funded equipment list and replaced previous indirect cost rate statements table with simplified statements to be submitted by applicants; and</p> <ul style="list-style-type: none"> <li>Added note above Section IX to instruct programs to remove the section for single source awards.</li> </ul> |
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## Instructions

Use the Notice of Funding Opportunity Template as a starting point for developing the full text of a program- or award-specific Notice of Funding Opportunity (NOFO). Programs that already have a NOFO in place must compare that document to this template at least annually to make sure it contains all required elements and content. For more information, see the [Issuing a New Financial Assistance Award Guidance](https://inside.fws.gov/go/post/FAPO-NewAwards) document posted on the Intranet at <https://inside.fws.gov/go/post/FAPO-NewAwards>.

The NOFO Template begins on page 3 of this document. Do not include this Instructions cover page on your customized NOFO. The table below provides editing instructions for generating the full text of a NOFO:

| Text Characteristic                           | Represents                                                                                     |
|-----------------------------------------------|------------------------------------------------------------------------------------------------|
| Times New Roman text with gray highlighting   | Instructions for completing required elements that must be replaced with program-specific text |
| Times New Roman text with yellow highlighting | Sample content that programs may use, revise, or remove, as appropriate                        |
| Arial text with blue highlighting             | Notes and additional instructions that must be removed                                         |
| Times New Roman text, not highlighted         | Required text that must not be removed                                                         |

WSFR/AIM/Financial Assistance Policy and Oversight

## **Notice of Funding Opportunity**

### **I. Description of Funding Opportunity**

Funding is provided for continued services updating and maintaining the Conservation Planning Atlas (CPA) for the Desert and Gulf Coast Prairie Landscape Conservation Cooperatives. The web platform is powered by Data Basin, belonging to the Conservation Biology Institute, and is compatible with other LCC Conservation Planning Atlases across the LCC Network. The purpose of established CPAs is to facilitate landscape conservation design by creating a platform with common geospatial data and tools for communication, evaluation, and planning. The CFDA profile is 15.669.

### **II. Award Information**

This is a Single Source announcement. The U.S. Fish and Wildlife Service (Service) will be substantially involved in projects under this funding opportunity. In particular, the Service will be responsible for the following:

**Collaborative Design Process:** Under the leadership of Service staff (LCC Science Coordinators), the LCC Science (Gulf Coast Prairie LCC) and GIS (Desert) Working Groups (WG) will collaborate with Cooperator to establish and refine general, functional and technical requirements. Software developers will work with the WGs to jointly prioritize, test, and implement features. In addition, on behalf of the LCCs, Service staff will work with Cooperator to identify priority data layers and outline necessary steps to document and upload datasets. Dataset upload will be the primary responsibility of LCC/Service staff. Supported formats of datasets and map services will be limited to those currently supported by Data Basin or developed through customization of the CPA.

The Cooperator has unique qualifications to perform the duties as outlined as a result of its previous work and ownership of intellectual property called Data Basin, which the operating platform for the Landscape Conservation Cooperatives across the national network.

4. **User Support and Training:** CBI will provide tutorial materials and e-mail and phone

### **III. Basic Eligibility Requirements**

#### **Eligible Applicants:**

This is a sole source notification for a proposal from Conservation Biology Institute that facilitates the continuation of maintenance of the Conservation Planning Atlas for the Desert and Gulf Coast Prairie Landscape Conservation Cooperatives.

U.S. non-profit, non-governmental organizations must provide a copy of their Section 501(c)(3) or (4) status determination letter received from the Internal Revenue Service.

Federal law mandates that all entities applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the System for Award Management (SAM). See Title 2 of the Code of Federal

Regulations (CFR), Part 25 for more information. Exemptions: The SAM registration requirement does not apply to individuals submitting an application on their own behalf and not on behalf of a company or other for-profit entity, state, local or Tribal government, academia or other type of organization.

#### **A. DUNS Registration**

Request a DUNS number online at <http://fedgov.dnb.com/webform>. U.S.-based entities may also request a DUNS number by telephone by calling the Dun & Bradstreet Government Customer Response Center, Monday – Friday, 7 AM to 8 PM CST at the following numbers:

U.S. and U.S Virgin Islands: 1-866-705-5711

Alaska and Puerto Rico: 1-800-234-3867 (Select Option 2, then Option 1)

For Hearing Impaired Customers Only call: 1-877-807-1679 (TTY Line)

Once assigned a DUNS number, entities are responsible for maintaining up-to-date information with Dun & Bradstreet.

#### **B. Entity Registration in SAM**

Register in SAM online at <http://www.sam.gov/>. Once registered in SAM, entities must renew and revalidate their SAM registration at least every 12 months from the date previously registered. Entities are strongly urged to revalidate their registration as often as needed to ensure that their information is up to date and in synch with changes that may have been made to DUNS and IRS information. Foreign entities who wish to be paid directly to a United States bank account must enter and maintain valid and current banking information in SAM.

#### **C. Excluded Entities**

Applicant entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits will not be considered for Federal funding, as applicable to the funding being requested under this Federal program.

#### **D. Cost Sharing or Matching:**

Cost sharing is not required.

### **IV. Application Requirements**

To be considered for funding under this funding opportunity, an application must contain:

- A. A completed, signed and dated Application for Federal Assistance form. Forms are included in this announcement.

#### **B. Project Summary**

The Conservation Biology Institute worked with Desert and Gulf Coast Prairie LCC staff and stakeholders to build their Conservation Planning Atlases (CPA) (<http://dlcc.databasin.org/>). This web platform is powered by the Data Basin platform and is compatible with other LCC Conservation Planning Atlases and dozens of others initiatives (more at: <http://databasin.org/services/gateways/list>). The CPA was designed and populated with spatial datasets and information in a collaborative design process with LCC staff and

resources. Project will continue to on/about May 31, 2017 (Desert LCC) and July 31, 2017 (Gulf Coast Prairie LCC).

6. **Description of Entities Undertaking the Project:** Provide a brief description of the applicant organization and all participating entities and/or individuals. Identify which of the proposed activities each agency, organization, group, or individual is responsible for conducting or managing. Provide complete contact information for the individual within the organization that will oversee/manage the project activities on a day-to-day basis.

7. **Scientific Integrity: (This paragraph must be included)** Scientific integrity is vital to Department of the Interior (DOI) activities under which scientific research, data, summaries, syntheses, interpretations, presentations, and/or publications are developed and used. Failure to uphold the highest degree of scientific integrity will result not only to potentially flawed scientific results, interpretations, and applications but will damage DOI's reputation and ability to uphold the public's trust. All work performed must comply with the DOI Scientific Integrity Policy, 305 DM 3, posted to <http://www.doi.gov>, or its equivalent as provided by their organization or State Law.

**NOTE:** All applicants are required to submit the standard budget form and budget justification (see Sections D and E below). The only exception to these requirements is if the program has an approved OMB Information Collection Clearance to request budget information in a different format. Remove this note.

#### D. Budget Form

Complete the Budget Information for Non-Construction Programs (SF 424A) or Budget Information for Construction Programs (SF 424C) form. Use the SF 424A if your project does not include construction and the SF 424C if the project includes construction or land acquisition. The budget forms are available on the Internet at <http://apply07.grants.gov/apply/FormLinks?family=15>. When developing your budget, keep in mind that financial assistance awards and subawards are subject to the Federal cost principles in Title 2 of the Code of Federal Regulations Part 200, as applicable to the recipient organization type. Links to the full text of the Federal cost principles are available on the Internet at <http://www.ecfr.gov/>.

**Multiple Federal Funding Sources:** If the project budget includes multiple Federal funding sources, you must show the funds being requested from this Federal program *separately* from any other requested/secured Federal sources of funding on the budget form. For example, enter the funds being requested from this Federal program in the first row of the Budget Summary section of the form and then enter funding related to other Federal programs in the subsequent row(s). Be sure to enter each Federal program's CFDA number in the corresponding fields on the form. The CFDA number for this Federal program appears on the first page of this funding opportunity.

stakeholders. CPA users now have access to spatial data downloads, uploading support, premium tools, and support for Spanish (DLCC only). This Conservation Planning Atlas is an online resource that can be used to facilitate collaboration at expanded scales and make it easier for LCCs and stakeholders to discover, disseminate, visualize, integrate, analyze, and preserve relevant biological, environmental, and socioeconomic data, tools, and information. Conservation Biology Institute project team members provided project management, delivered presentations (updates and training opportunities; e.g., DLCC Mexico Working Group), provided software development services, content development support, shared information, tools, and conservation resources.

### C. Project Narrative

1. **Statement of Need:** Maintenance of Desert and Gulf Coast Prairie LCCs Conservation Planning Atlases.
2. **Project Goals and Objectives:** This Conservation Planning Atlas is an online resource that can be used to facilitate collaboration at expanded scales and make it easier for LCCs and stakeholders to discover, disseminate, visualize, integrate, analyze, and preserve relevant biological, environmental, and socioeconomic data, tools, and information. ■
3. **Project Activities, Methods and Timetable:**
  - Keeping the site online
  - Providing limited technical and user support
  - Up to 10 hours of developer/web design/intern time per year
  - Keeping all datasets online and hosted

### BUDGET

|                                                                                            |                 |
|--------------------------------------------------------------------------------------------|-----------------|
| Desert LCC Annual Maintenance June 1, 2016 through May 31, 2017                            | \$10,000        |
| Spanish language version - Desert LCC Annual Maintenance June 1, 2016 through May 31, 2017 | \$2,500         |
| GCP LCC Annual Maintenance August 1, 2016 through July 31, 2017                            | \$10,000        |
| <b>Total Annual Maintenance</b>                                                            | <b>\$22,500</b> |

4. **Stakeholder Coordination/Involvement:** LCC partners and the LCC network are engaged and involved in developing and using the CPA.\s.
5. **Project Monitoring and Evaluation:** Conservation Biology Institute project team members provided project management, delivered presentations (updates and training opportunities; e.g., DLCC Mexico Working Group), provided software development services, content development support, shared information, tools, and conservation

## E. Budget Justification

In a separate narrative titled “**Budget Justification**”, explain and justify all requested budget items/costs. Detail how the SF 424 Budget Object Class Category totals were determined and demonstrate a clear connection between costs and the proposed project activities. For personnel salary costs, include the base-line salary figures and the estimates of time (as percentages) to be directly charged to the project. Describe any item that under the applicable Federal cost principles requires the Service’s approval and estimate its cost.

If Federally-funded equipment will be used for the project, provide a list of that equipment, including the Federal funding source. N/A.

**Required Indirect Cost Statement:** All applicants except individuals applying for funds separate from a business or non-profit organization he/she may operate must include in the budget justification narrative one of the following statements and attach to their application any required documentation identified in the applicable statement:

“We are:

A business that will charge all costs directly.

All applicants are hereby notified of the following:

- Recipients without an approved indirect cost rate are prohibited from charging indirect costs to a Federal award. Accepting the 10% *de minimus* rate as a condition of award is an approved rate.
- Failure to establish an approved rate during the award period renders all costs otherwise allocable as indirect costs unallowable under the award.
- Only the indirect costs calculated against the Federal portion of the total direct costs may be charged to the Federal award. Recipients may not charge to their Service award any indirect costs calculated against the portion of total direct costs charged to themselves or charged to any other project partner, Federal and non-Federal alike.
- Recipients must have prior written approval from the Service to transfer unallowable indirect costs to amounts budgeted for direct costs or to satisfy cost-sharing or matching requirements under the award.
- Recipients are prohibited from shifting unallowable indirect costs to another Federal award unless specifically authorized to do so by legislation.”

Applicants who are individuals applying for funds separate from a business or non-profit organization he/she may operate are not eligible to charge indirect costs to their award. If you are an individual applying for funding, do not include any indirect costs in your proposed budget.

For more information on indirect cost rates, see the Service’s **Indirect Costs and Negotiated Indirect Cost Rate Agreements** guidance document on the Internet at <http://www.fws.gov/grants/>.

**Negotiating an Indirect Cost Rate with the Department of the Interior:** Entities that do not have a NICRA must first have an open, active Federal award before they can submit an indirect cost rate proposal to their cognizant agency. The Federal awarding agency that provides the largest amount of direct funding to your organization is your cognizant agency, unless otherwise assigned by the White House Office of Management and Budget (OMB). If the Department of the Interior is your cognizant agency, your indirect cost rate will be negotiated by the Interior Business Center (IBC). For more information, contact the IBC at:

Indirect Cost Services

Acquisition Services Directorate, Interior Business Center

U.S. Department of the Interior

2180 Harvard Street, Suite 430

Sacramento, CA 95815

Phone: 916-566-7111

Email: [ics@nbc.gov](mailto:ics@nbc.gov)

Internet address: <http://www.aqd.nbc.gov/Services/ICS.aspx>

- F. Single Audit Reporting Statements:** As required in [Title 2 of the Code of Federal Regulations Part 200](#), Subpart F, all U.S. states, local governments, federally-recognized Indian tribal governments, and non-profit organizations expending \$750,000 USD or more in Federal award funds in a fiscal year must submit a Single Audit report for that year through the Federal Audit Clearinghouse's Internet Data Entry System. All U.S. state, local government, federally-recognized Indian tribal government and non-profit applicants must provide a statement regarding if your organization was/was not required to submit a Single Audit report for the organization's most recently closed fiscal year and, if so, state if that report is available on the Federal Audit Clearinghouse Single Audit Database website (<http://harvester.census.gov/sac/>) and provide the EIN under which that report was submitted. Include these statements at the end of the Project Narrative in a section titled "**Single Audit Reporting Statements**".
- G. Assurances:** Include the appropriate signed and dated Assurances form available online at <http://apply07.grants.gov/apply/FormLinks?family=15>. Use the **Assurances for Non-Construction Programs (SF 424B)** for construction and land acquisition projects. Use the **Assurances for Construction Programs (SF 424D)** for all other types of projects. Signing this form does not mean that all items on the form are applicable. The form contains language that states that some of the assurances may not be applicable to your organization and/or your project or program.
- H. Certification and Disclosure of Lobbying Activities:** Under Title 31 of the United States Code, Section 1352, an applicant or recipient must not use any federally appropriated funds (both annually appropriated and continuing appropriations) or matching funds under a grant or cooperative agreement award to pay any person for lobbying in connection with the award. Lobbying is defined as influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress connection with the award. Submission of an application also represents the applicant's certification of the statements in 43 CFR Part 18, Appendix A-

Certification Regarding Lobbying. If you/your organization have/has made or agrees to make any payment using non-appropriated funds for lobbying in connection with this project AND the project budget exceeds \$100,000, complete and submit the **SF LLL, Disclosure of Lobbying Activities** form. See 43 CFR, Subpart 18.100 for more information on when additional submission of this form is required. Submission of an application also represents the applicant's certification of the statements in 43 CFR Part 18, Appendix A-Certification Regarding Lobbying.

- I. Conflict of Interest Disclosures:** Applicants must notify the Service in writing of any actual or potential conflicts of interest that are known at the time of application or that may arise during the life of this award, in the event an award is made. Conflicts of interest include any relationship or matter which might place the recipient, the recipient's employees, or the recipient's subrecipients in a position of conflict, real or apparent, between their responsibilities under the award and any other outside interests. Conflicts of interest may also include, but are not limited to, direct or indirect financial interests, close personal relationships, positions of trust in outside organizations, consideration of future employment arrangements with a different organization, or decision-making affecting the award that would cause a reasonable person with knowledge of the relevant facts to question the impartiality of the applicant, the applicant's employees, or the applicant's future subrecipients in the matter. Upon receipt of such a notice, the Service Project Officer in consultation with their Ethics Counselor will determine if a conflict of interest exists and, if so, if there are any possible actions to be taken by the applicant to reduce or resolve the conflict. Failure to resolve conflicts of interest in a manner that satisfies the Service may result in the project not being select for funding.

**NOTE:** Revise application checklist below to match your program's application requirements, as needed. Do not remove any universally required items. Remove yellow highlighting when highlighted items are retained. Remove this note.

#### **Application Checklist**

- ☐ **Evidence of non-profit status:** If a non-profit organization, a copy of their Section 501(c)(3) or (4) status determination letter received from the Internal Revenue Service.
- ☐ **SF 424, Application for Federal Assistance:** A complete, signed and dated SF 424, SF 424-Mandatory, or SF 424-Individual form.
- ☐ **Project summary**
- ☐ **Project narrative**
- ☐ **Timetable**
- ☐ **Description of key personnel qualifications**
- ☐ **Single Audit Reporting statement:** If a U.S. state, local government, federally-recognized Indian tribal government, or non-profit organization, statements regarding applicability of and compliance with Single Audit reporting requirements.
- ☐ **SF 424 budget form:** A complete SF 424A or SF 424C Budget Information form.
- ☐ **Budget justification**

- ☐ **Federally-funded equipment list:** If Federally-funded equipment will be used for the project, a list of that equipment.
- ☐ **NICRA:** When applicable, a copy of the organization's current Negotiated Indirect Cost Rate Agreement.
- ☐ **SF 424 Assurances form:** Signed and dated SF 424B or SF 424D Assurances form.
- ☐ **SF LLL form:** If applicable, completed SF-LLL Disclosure of Lobbying Activities form.

Failure to provide complete information may cause delays, postponement, or rejection of the application.

## **V. Submission Instructions**

**SUBMISSION DEADLINE:** June 17-June 23, 2016

**Intergovernmental Review:** Before submitting an application, U.S. state and local government applicants should visit the following website ([http://www.whitehouse.gov/omb/grants\\_spoc/](http://www.whitehouse.gov/omb/grants_spoc/)) to determine whether their application is subject to the state intergovernmental review process under Executive Order (E.O.) 12372 "Intergovernmental review of Federal Programs." E.O. 12372 was issued to foster the intergovernmental partnership and strengthen federalism by relying on state and local processes for the coordination and review of proposed Federal financial assistance and direct Federal development. The E.O. allows each state to designate an entity to perform this function. The official list of designated entities is posted on the website. Contact your state's designated entity for more information on the process the state requires to be followed when applying for assistance. States that do not have a designated entity listed on the website have chosen not to participate in the review process.

## **VI. Application Review**

**Criteria:** To be considered for funding, applications must meet compatibility standards for the Conservation Planning Atlas.

### **Review and Selection Process:**

Conservation Biology Institute was selected for funding through a competitive funding opportunity made available by the U.S. Fish and Wildlife Service, Office of the Science Advisor to support the Landscape Conservation Cooperative (LCC) Network by creating a network of LCC Conservation Planning Atlases (CPAs). CPA pilot projects were pioneered by the South Atlantic LCC, Gulf Coastal Plains and Ozarks LCC, Southeast Region, North Atlantic LCC, Gulf Coast Prairie LCC, and North Pacific LCC. The purpose of established CPAs is to facilitate landscape conservation design by creating a platform with common geospatial data and tools for communication, evaluation, and planning. This NOFO allows for a continuation of work based on unique qualifications.

Each fiscal year, for every entity receiving one or more awards in that fiscal year, the Service conducts a risk assessment based on eight risk categories. The result of this risk assessment is used to establish a monitoring plan for all awards to the entity in that fiscal year. The Service's risk assessment form is available on the Internet at <http://www.fws.gov/forms/3-2462.pdf>.

## **VII. Award Administration**

**Award Notices:** Following review, applicants may be requested to revise the project scope and/or budget before an award is made. Successful applicants will receive written notice in the form of a notice of award document. Notices of award are typically sent to recipients by e-mail. If e-mail notification is unsuccessful, the documents will be sent by courier mail (e.g., FedEx, DHL or UPS). Award recipients are not required to sign/return the Notice of Award document. Acceptance of an award is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by, the Service. The notice of award document will include instructions specific to each recipient on how to request payment. If applicable, the instructions will detail any additional information/forms required and where to submit payment requests. Applicants whose projects are not selected for funding will receive written notice, most often by e-mail, within 30 days of the final review decision.

**Domestic Recipient Payments:** Prior to award, the Service program office will contact you/your organization to either enroll in the U.S. Treasury's Automated Standard Application for Payments (ASAP) system or, if eligible, obtain approval from the Department of the Interior to be waived from using ASAP.

The Notice of Award document from the Service will include instructions specific to each recipient on how to request payment. If applicable, the instructions will detail any additional information/forms required and where to submit payment requests.

**Transmittal of Sensitive Data:** Recipients are responsible for ensuring any sensitive data being sent to the Service is protected during its transmission/delivery. The Service strongly recommends that recipients use the most secure transmission/delivery method available. The Service recommends the following digital transmission methods: secure digital faxing; encrypted emails; emailing a password protected zipped/compressed file attachment in one email followed by the password in a second email; or emailing a zipped/compressed file attachment. The Service strongly encourages recipients sending sensitive data in paper copy to use a courier mail service. Recipients may also contact their Service Project Officer and provide any sensitive data over the telephone.

**Award Terms and Conditions:** Acceptance of a financial assistance award (i.e., grant or cooperative agreement) from the Service carries with it the responsibility to be aware of and comply with the terms and conditions applicable to the award. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service and are subject to the terms and conditions incorporated into the notice of award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Federal regulations applicable to Service awards are available on the Internet at <http://www.fws.gov/grants/>. If you do not have access to the Internet and require a full text copy of the award terms and conditions, contact the Service point of contact identified in the Agency Contacts section below.

### **Recipient Reporting Requirements:**

**Financial and Performance Reports:** Interim financial reports and performance reports may be required. Interim reports will be required no more frequently than quarterly, and no less frequently than annually. A final financial report and a final performance report will be required and are due within 90 calendar days of the end date of the award. Performance reports must contain: 1) a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work; 2) a description of reasons why established goals were not met, if appropriate; and 3) any other pertinent information relevant to the project results.

**Significant Developments Reports:** Events may occur between the scheduled performance reporting dates that have significant impact upon the supported activity. In such cases, recipients are required to notify the Service in writing as soon as the following types of conditions become known:

- Problems, delays, or adverse conditions that will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of any corrective action(s) taken or contemplated, and any assistance needed to resolve the situation.
- Favorable developments that enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

The Service will specify in the notice of award document the reporting and reporting frequency applicable to the award.

**Conflict of Interest Disclosures:** Recipients are responsible for notifying the Service Project Officer in writing of any actual or potential conflicts of interest that may arise during the life of this award. Conflicts of interest include any relationship or matter which might place the recipient, the recipient's employees, or the recipient's subrecipients in a position of conflict, real or apparent, between their responsibilities under this award and any other outside interests.

Conflicts of interest may also include, but are not limited to, direct or indirect financial interests, close personal relationships, positions of trust in outside organizations, consideration of future employment arrangements with a different organization, or decision-making affecting the award that would cause a reasonable person with knowledge of the relevant facts to question the impartiality of the Recipient, the Recipient's employees, or the Recipient's subrecipients in the matter. Upon receipt of such a notice, the Service Project Officer in consultation with their Ethics Counselor will determine if a conflict of interest exists and, if so, if there are any possible actions to be taken by the Recipient, the Recipient's employee(s), or the Recipient's Subrecipient(s) that could reduce or resolve the conflict. Failure to resolve conflicts of interest in a manner that satisfies the Service may result in any of the remedies described in 2 CFR 200.338, Remedies for Noncompliance, including termination of this award.

**Other Mandatory Disclosures:** Recipients and their subrecipients must disclose, in a timely manner and in writing, to the Service or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting this award. Failure to make required disclosures can result in any of the remedies described in 2 CFR 200.338, Remedies for

noncompliance, including suspension or debarment (See 2 CFR 200.113, 2 CFR Part 180, and 31 U.S.C. 3321).

**VIII. Agency Contacts**

Clair Dutton, 505-248-6411