

Denali Commission

Pre-Award Risk Assessment Questionnaire

Recipient: _____

☐ Formal Partner ☐ Other

Program: ☐ Energy/Bulk Fuel ☐ Village Infrastructure Protection
 ☐ Other _____

This questionnaire is part of a 2 CFR 200 process for evaluating the risks associated with Financial Assistance Awards (FAA) issued by the Denali Commission. The responses will be used in conjunction with other information collected by the Commission to make go/no-go decisions on individual Awards, and/or to establish risk management measures such as reporting frequency, insurance requirements, the need for site visits, etc. for individual Awards. Formal non-federal partners (AEA, ANTHC, AVEC) that receive one or more FAA's or major FAA Amendments each year must complete this questionnaire annually. Other non-federal Recipients must complete this questionnaire during the pre-award process for each specific new FAA, or major amendment to an existing FAA if deemed appropriate by the responsible Denali Commission Program Manager.

	Yes	No	n/a
1. Has your organization operated or managed Federal funds for any projects within the last three years?	☐	☐	☐
2. Is this a new program, or project type, for your organization? (managed less than three years)	☐	☐	☐
3. Are key personnel experienced with the types of projects managed under the program referenced above, or the specific FAA being proposed? (worked with the program or on similar projects more than two years)	☐	☐	☐
4. Is the program, or specific FAA, unusually complex? (funding match requirements, new technology, remote location, etc.)	☐	☐	☐
5. Will your organization issue any sub-awards or contracts in excess of \$25,000 with funds provided by the Commission for the program referenced above, or the specific FAA being proposed?	☐	☐	☐
6a. Does your organization have effective internal controls, including written procurement policies?	☐	☐	☐
6b. If your organization is not a state agency, are your procurement policies consistent with 2 CFR 200.318 – 326?	☐	☐	☐
7. Has your organization had a lawsuit(s) filed against it in the past five years? If yes, please attach detailed documentation regarding who filed, reason for filing, and final judgment.	☐	☐	☐
8. Has your organization currently or previously been suspended or debarred, or have employees on an excluded parties list? If yes, please attach a detailed explanation.	☐	☐	☐

