



Centers for Disease Control and Prevention

NATIONAL CENTER FOR IMMUNIZATION AND RESPIRATORY DISEASES

Immunization and Vaccines for Children
CDC-RFA-IP19-19010101SUPP24
05/28/2024

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Part 1. Overview Information

Federal Agency Name:

Federal Centers for Disease Control and Prevention (CDC)

Notice of Funding Opportunity (NOFO) Title:

Immunization and Vaccines for Children

Announcement Type:

Competing Continuation - Type 2

Agency Notice of Funding Opportunity Number:

CDC-RFA-IP19-19010101SUPP24

Assistance Listings Number:

93.268

Key Dates:

Due Date for Applications 05/28/2024

05/28/2024

Application must be successfully submitted to Grants.gov by 11:59 pm Eastern Standard Time on the deadline date.

Additional Overview Content:

Executive Summary

The National Center for Immunization and Respiratory Diseases (NCIRD) announces the availability of funds for 19-1901 Immunization and Vaccines for Children recipients to enhance

their jurisdiction-based immunization information system (IIS) with a new VTrckS application programming interface (VTrckS API). The API enables jurisdictional programs to submit vaccine order files and support data directly from their jurisdiction-based immunization information systems. This automation increases the efficiency of vaccine order submission and reduces the burden on jurisdictional program staff. The purpose of this competitive funding is to allow jurisdictional programs using awardee-developed platforms to compete for funding needed to enhance their jurisdiction-based immunization information systems to utilize the new VTrckS API.

Measurable outcomes of the program will be in alignment with one (or more) of the following performance goal(s) for the NATIONAL CENTER FOR IMMUNIZATION AND RESPIRATORY DISEASES

Healthy People 2030 goal(s)

This funding opportunity supports Healthy People 2030 objectives in the topic area of Vaccination (IID). For specific objectives within the topic area, please visit www.health.gov/healthypeople.

This announcement is only for non-research activities supported by CDC. If research is proposed, the application will not be considered. For purposes of this NOFO, research is defined as set forth in 45 CFR 75.2 and, for further clarity, as set forth in 42 CFR 52.2 (see eCFR :: 45 CFR 75.2 -- Definitions and <https://www.gpo.gov/fdsys/pkg/CFR-2007-title42-vol1/pdf/CFR-2007-title42-vol1-sec52-2.pdf>). In addition, for purposes of research involving human subjects and available exceptions for public health activities, please see 45 CFR 46.102(l) ([https://www.ecfr.gov/current/title-45/subtitle-A/subchapter-A/part-46/subpart-A/section-46.102#p-46.102\(l\)](https://www.ecfr.gov/current/title-45/subtitle-A/subchapter-A/part-46/subpart-A/section-46.102#p-46.102(l))).

Section I. Funding Opportunity Description

Statutory Authority

The program is authorized under Section 317 of the Public Health Service Act [42 U.S.C. section 247b], as amended.

The Vaccines for Children (VFC) Program is authorized under Section 1928 of the Social Security Act. [42 U.S.C. section 1396s].

Background

The National Center for Immunization and Respiratory Diseases (NCIRD) announces the availability of funds for 19-1901 Immunization and Vaccines for Children recipients to enhance their jurisdiction-based immunization information system (IIS) with a new VTrckS application programming interface (VTrckS API) that CDC has developed.

Vaccine Tracking System (VTrckS) is an enterprise vaccine order management system used by CDC, jurisdictional programs, and enrolled providers. It integrates the entire publicly funded vaccine supply chain, from purchasing vaccine to distribution to providers. Most jurisdictional programs create vaccine orders (as well as inventory and wastage/return transactions) in jurisdiction-based immunization information systems, extract aggregated order files, and manually upload these files to VTrckS using the External Information System (ExIS) interface.

Similarly, jurisdictional programs manually download shipment information from VTrckS before uploading it into their jurisdiction-based immunization information systems.

Purpose

Consistent with data modernization, CDC is continuing to add new functionality to VTrckS to support an application programming interface (API) to automate the ExIS interface. The API enables jurisdictional programs to submit vaccine order files and supporting data directly from their jurisdiction-based immunization information systems. This automation increases the efficiency of vaccine order submission and reduces the burden on jurisdictional program staff. The purpose of this competitive funding is to allow jurisdictional programs using awardee-developed platforms to compete for funding needed to enhance their jurisdiction-based immunization information systems to utilize the new VTrckS API.

Program Implementation

Recipient Activities

Recipients are required to enhance their jurisdiction-based immunization information system to utilize the VTrckS API designed to send and receive information to/from VTrckS. Phased activities include pre-planning for transactional and master data interfaces followed by design, development, testing, and implementation. *For additional technical detail, please reference “VTrckS API High-Level Specifications” in GrantSolutions.*

- **Pre-Planning Required Activities:** Security requirements including new, enhanced, and modified settings and project plan finalization.
 - Participate in CDC-led orientation/training before and after onboarding.
 - Sign security documentation for data exchange through API between VTrckS and IIS (if applicable).
 - Complete VTrckS Interface API request forms for staging and production systems.
 - Recipient onboarding to the System for Award Management (SAMS).
 - Verify the receipt of secure data elements and system access.
 - Finalize project plan, including timeline for design, development, testing, and implementation, within three (3) weeks of kickoff call with CDC.
- **Design, Development, Testing, and Implementation to Support Transactional and Master Data Interfaces Required Activities:** For all phases of the project, it is required that a dashboard be developed to capture details of successful and failed transactions. This dashboard should be designed to provide detailed reports of all API services to facilitate monitoring and troubleshooting metrics and may include data visualization using the Run Status API service. The dashboard design is customized by the recipient to meet the needs of the project.

PHASE 1: Inbound (VTrckS to ExIS) [Estimated time to complete Phases 1 and 2 is 2 to 3 months.]

- Shipment Data – Transactional Data.

- Run Status – The Run Status API Service will provide the list of calls with status of each call for a date range. This service can also provide the details of each record/transaction along with error/warning message for a given run ID.

The following are required activities.

- Create design plans for IIS development needed for API connection and dashboard.
- Complete development in the IIS for the API connection and dashboard.
- Establish and test API connection to VTrckS API.
- Send/receive transactional and master data from the VTrckS API.
- Conduct unit testing and integration testing with CDC.
- Implement an error-handling process.

PHASE 2: Inbound (VTrckS to ExIS)

- Vaccine List – Federal (Required)
- Vaccine List – Non-Federal
- Vaccine List – Pandemic
- County Codes

The following are required activities.

- Create design plans for IIS development needed for API connection and dashboard.
- Complete development in the IIS for the API connection and dashboard.
- Establish and test API connection to VTrckS API.
- Send/receive transactional and master data from the VTrckS API.
- Conduct unit testing and integration testing with CDC.
- Implement an error-handling process.

PHASE 3: Outbound (ExIS to VTrckS) [Estimated time to complete Phase 3 is 3 to 5 months.]

- Provider Master Data (Required)
- Inventory On Hand (Required)
- Provider Order Data (Required)
- Vaccine Returns
- Vaccine Wastage

The following are required activities.

- Create design plans for IIS development needed for API connection and dashboard.
- Complete development in the IIS for the API connection and dashboard.
- Establish and test API connection to VTrckS API.
- Send/receive transactional and master data from the VTrckS API.
- Conduct unit testing and integration testing with CDC.
- Implement an error-handling process

Performance Measures and Reporting

Successful completion of Phase 1/2 or Phase 3 will include the following deliverables.

- Signed Information Exchange Agreements.
- Participation in orientation and trainings.
- Recipient project plan, including timeline for design, development, testing, and implementation.
- Completion of required technical forms.
- Security credentialing.
- Three (3) successful integration tests with CDC.
- Dashboard metrics and error handling process.

CDC Activities

N/A

Funding Strategy

N/A

Section II. Award Information

Type of Award:

CA (Cooperative Agreement)

CDC substantial involvement in this program appears in the Activities Section above.

Award Mechanism:

HL - Health

Fiscal Year Funds:

2024

Approximate Total Supplemental Funding:

\$2,800,000

This amount is subject to availability of funds. Includes direct and indirect costs.

Approximate Number of Awards:

7

Approximate Average Award:

\$300,000

This amount is for the budget period only and includes direct costs and indirect costs as applicable.

Floor of Individual Award Range:

\$0

Ceiling of Individual Award Range:

\$0

This ceiling is for a 12-month budget period.

Funds are for total costs including indirect costs.

Anticipated Award Date:

August 01, 2024

Budget Period Length:

12 month(s)

Period of Performance Length:

1 year(s)

If you are successful and receive a Notice of Award, in accepting the award, you agree that the award and any activities thereunder are subject to all provisions of 45 CFR Part 75, currently in effect or implemented during the period of the award, other Department regulations and policies in effect at the time of the award, and applicable statutory provisions.

Section III. Eligibility Information

Eligible Applicants

The following recipients may submit an application:

Eligibility Category:

25 (Others (see text field entitled "Additional Information on Eligibility" for clarification))

Additional Information on Eligibility

CDC-RFA-IP19-1901 recipients are only eligible for these funds.

If two jurisdictions share a single jurisdiction-based IIS, only one jurisdiction will be eligible for this award.

VTrckS funding eligibility includes the following.

- Recipients who currently use the VTrckS External Information System (ExIS) interface to upload vaccine orders (and other transactions) to VTrckS.
- Recipients who have developed an awardee-developed immunization information system (IIS) platform with or without CDC-funded technology partner support.
- Recipients that are **not** planning to transition to a CDC-funded technology partner platform within the next two (2) years.
- Recipients who have previously been funded to complete application programming interface (API) Phases 1 and 2 can only apply for Phase 3 activities.

Required Registrations

An organization must be registered at the three following locations before it can submit an application for funding at www.grants.gov.

PLEASE NOTE: Effective April 4, 2022, applicants must have a Unique Entity Identifier (UEI) at the time of application submission (SF-424, field 8c). The UEI is generated as part of SAM.gov registration. Current SAM.gov registrants have already been assigned their UEI and

can view it in SAM.gov and Grants.gov. Additional information is available on the [GSA website](#), [SAM.gov](#), and [Grants.gov- Finding the UEI](#).

a. Unique Entity Identifier (UEI):

All applicant organizations must obtain a Unique Entity Identifier (UEI) number by registering in SAM.gov prior to submitting an application. A UEI number is a unique twelve-digit identification number assigned to the registering organization.

If funds are awarded to an applicant organization that includes sub-recipients, those sub-recipients must provide their UEI numbers before accepting any funds.

b. System for Award Management (SAM):

The SAM is the primary registrant database for the federal government and the repository into which an entity must submit information required to conduct business as a recipient. All applicant organizations must register with SAM, and will be assigned a SAM number and a Unique Entity Identifier (UEI). All information relevant to the SAM number must be current at all times during which the applicant has an application under consideration for funding by CDC. If an award is made, the SAM information must be maintained until a final financial report is submitted or the final payment is received, whichever is later. The SAM registration process can require 10 or more business days, and registration must be renewed annually. NOFO applicants are to initiate their SAM registrations as soon as possible. Additional information about registration procedures may be found at <https://www.cdc.gov/grants/applying/sam.html>, [SAM.gov](#) and the [SAM.gov Knowledge Base](#).

c. Grants.gov:

The first step in submitting an application online is registering your organization at www.grants.gov, the official HHS E-grant Web site. Registration information is located at the "Applicant Registration" option at www.grants.gov.

All applicant organizations must register at www.grants.gov. The one-time registration process usually takes not more than five days to complete. Applicants should start the registration process as early as possible.

Cost Sharing or Matching

Cost Sharing / Matching Requirement:

No

Other

Special Requirements

Note: Title 2 of the United States Code Section 1611 states that an organization described in Section 501(c)(4) of the Internal Revenue Code that engages in lobbying activities is not eligible to receive Federal funds constituting a grant, loan, or an award.

Maintenance of Effort

Maintenance of Effort is not required for this program.

Section IV. Application and Submission Information

Address to Request Application Package

Applicants may access the application at www.grants.gov. Additional information about applying for CDC grants and cooperative agreements can be found here:

<https://www.cdc.gov/grants/applying/pre-award.html>.

If the applicant encounters technical difficulties with Grants.gov, the applicant should contact Grants.gov Customer Service. The Grants.gov Contact Center is available 24 hours a day, 7 days a week, with the exception of all Federal Holidays. The Contact Center provides customer service to the applicant community. The extended hours will provide applicants support around the clock, ensuring the best possible customer service is received any time it is needed. You can reach the Grants.gov Support Center at 1-800-518-4726 or by email at support@grants.gov. Submissions sent by email, fax, CD's or thumb drives of applications will not be accepted.

Content and Form of Application Submission

Unless specifically indicated, this announcement requires submission of the following information:

Project Abstract

A Project Abstract must be completed in the Grants.gov application forms. The Project Abstract must contain a summary of the proposed activity suitable for dissemination to the public. It should be a self-contained description of the project and should contain a statement of objectives and methods to be employed. It should be informative to other persons working in the same or related fields and insofar as possible understandable to a technically literate lay reader. This abstract must not include any proprietary/confidential information.

Project Narrative

A Project Narrative must be submitted with the application forms. The project narrative can be uploaded using PDF, Word, or Excel file formats when submitting via Grants.gov. The narrative must be submitted in the following format:

- 10: Maximum number of pages
- Font size: 12 point unreduced, Times New Roman
- Double spaced
- Page margin size: One inch
- Number of all narrative pages; not to exceed the maximum number of pages.

The narrative should address activities to be conducted over the entire Period of Performance and must include the following items in the order listed.

Background, Approach, Applicant Evaluation and Performance Measurement Plan, Organizational Capacity of Applicants to Implement the Approach, and Work Plan.

The budget and budget justification will be included as a separate attachment, not to be counted in the narrative page limit.

Budget Narrative

Applicants must submit an itemized budget narrative. When developing the budget narrative, applicants must consider whether the proposed budget is reasonable and consistent with the purpose, outcomes, and program strategy outlined in the project narrative. The budget must include:

- Salaries and wages
- Fringe benefits
- Consultant costs
- Equipment
- Supplies
- Travel
- Other categories
- Contractual costs
- Total Direct costs
- Total Indirect costs

The budget can include both direct costs and indirect costs as allowed. Indirect costs could include the cost of collecting, managing, sharing and preserving data.

Indirect costs on grants awarded to foreign organizations and foreign public entities and performed fully outside of the territorial limits of the U.S. may be paid to support the costs of compliance with federal requirements at a fixed rate of eight percent of modified total direct costs exclusive of tuition and related fees, direct expenditures for equipment, and subawards in excess of \$25,000. Negotiated indirect costs may be paid to the American University, Beirut, and the World Health Organization.

If requesting indirect costs in the budget, a copy of the indirect cost rate agreement is required. Include a copy of the current negotiated federal indirect cost rate agreement or cost allocation plan approval letter.

The applicant can obtain guidance for completing a detailed justified budget on the CDC website, at the following Internet address: <https://www.cdc.gov/grants/documents/Budget-Preparation-Guidance.docx>.

Additional information may be included in the application appendices. The appendices must be uploaded to the "Other Attachments Form" of application package in Grants.gov. Note: appendices will not be counted toward the narrative page limit. This additional information includes:

Additional information submitted via Grants.gov can be uploaded in PDF, Word, or Excel file formats, and should be named:

- Budget
- Indirect Cost Rate

10: Maximum number of allowable electronic attachments

Submission Dates and Times

This announcement is the definitive guide on application content, submission, and deadline. It supersedes information provided in the application instructions. If the application submission does not meet the deadline published herein, it will not be eligible for review and the recipient will be notified the application did not meet the submission requirements.

This section provides applicants with submission dates and times. Applications that are submitted after the deadlines will not be processed.

If Grants.gov is inoperable and cannot receive applications, and circumstances preclude advance notification of an extension, then applications must be submitted by the first business day on which Grants.gov operations resume.

Application Deadline Date

05/28/2024

Explanation of Deadlines: Application must be successfully submitted to Grants.gov by 11:59 pm Eastern Time on the deadline date.

N/A

Employee Whistleblower Rights and Protections

All recipients of an award under this NOFO will be subject to a term and condition that applies the requirements set out in 41 U.S.C. § 4712, “Enhancement of contractor protection from reprisal for disclosure of certain information” and 48 Code of Federal Regulations (CFR) section 3.9 to the award, which includes a requirement that recipients and subrecipients inform employees in writing (in the predominant native language of the workforce) of employee whistleblower rights and protections under 41 U.S.C. § 4712. For more information see: <https://oig.hhs.gov/fraud/whistleblower/>.

Copyright Interest Provisions

This provision is intended to ensure that the public has access to the results and accomplishments of public health activities funded by CDC. Pursuant to applicable grant regulations and CDC’s Public Access Policy, Recipient agrees to submit into the National Institutes of Health (NIH) Manuscript Submission (NIHMS) system an electronic version of the final, peer-reviewed manuscript of any such work developed under this award upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication. Also at the time of submission, Recipient and/or the Recipient’s submitting author must specify the date the final manuscript will be publicly accessible through PubMed Central (PMC). Recipient and/or Recipient’s submitting author must also post the manuscript through PMC within twelve (12) months of the publisher’s official date of final publication; however the author is strongly encouraged to make the subject manuscript available as soon as possible. The recipient must obtain prior approval from the CDC for any exception to this provision.

The author’s final, peer-reviewed manuscript is defined as the final version accepted for journal publication, and includes all modifications from the publishing peer review process, and all graphics and supplemental material associated with the article. Recipient and its submitting authors working under this award are responsible for ensuring that any publishing or copyright agreements concerning submitted articles reserve adequate right to fully comply with this

provision and the license reserved by CDC. The manuscript will be hosted in both PMC and the CDC Stacks institutional repository system. In progress reports for this award, recipient must identify publications subject to the CDC Public Access Policy by using the applicable NIHMS identification number for up to three (3) months after the publication date and the PubMed Central identification number (PMCID) thereafter.

Funding Restrictions

Funding Restrictions:

Restrictions, which must be taken into account while writing the budget, are as follows:

- Recipients may not use funds for research.
- Recipients may not use funds for clinical care.
- Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.
- Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.
- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Other than for normal and recognized executive-legislative relationships, no funds may be used for: publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body.

See [Additional Requirement \(AR\) 12](#) for detailed guidance on this prohibition and [additional guidance on anti-lobbying restrictions for CDC recipients](#).

The recipient can obtain guidance for completing a detailed justified budget on the CDC website, at the following Internet address:

<http://www.cdc.gov/grants/interestedinapplying/applicationprocess.html>

Other Submission Requirements

Application Submission

Submit the application electronically by using the forms and instructions posted for this funding opportunity on www.Grants.gov.

Note: Application submission is not concluded until successful completion of the validation process. After submission of your application package, recipients will receive a "submission receipt" email generated by Grants.gov. Grants.gov will then generate a second e-mail message to recipients which will either validate or reject their submitted application package.

This validation process may take as long as two (2) business days. Recipients are strongly encouraged check the status of their application to ensure submission of their application package is complete and no submission errors exists. To guarantee that you comply with the application deadline published in the Notice of Funding Opportunity, recipients are also strongly encouraged to allocate additional days prior to the published deadline to file their application. Non-validated applications will not be accepted after the published application deadline date.

In the event that you do not receive a "validation" email within two (2) business days of application submission, please contact Grants.gov. For instructions on how to track your application, refer to the e-mail message generated at the time of application submission or review the Applicants section on www.grants.gov.

Electronic Submission of Application:

Applications must be submitted electronically by using the forms and instructions posted for this notice of funding opportunity at www.grants.gov. Applicants can complete the application package using Workspace, which allows forms to be filled out online or offline. All application attachments must be submitted using a PDF file format. Instructions and training for using Workspace can be found at www.grants.gov under the "Workspace Overview" option.

Applications submitted through www.Grants.gov, are electronically time/date stamped and assigned a tracking number. The Authorized Organizational Representative (AOR) will receive an e-mail notice of receipt when HHS/CDC receives the application. The tracking number serves to document submission and initiate the electronic validation process before the application is made available to CDC for processing.

If the recipient encounters technical difficulties with Grants.gov, the recipient should contact Grants.gov Customer Service. The Grants.gov Contact Center is available 24 hours a day, 7 days a week. The Contact Center provides customer service to the recipient community. The extended hours will provide recipients support around the clock, ensuring the best possible customer service is received any time it's needed. You can reach the Grants.gov Support Center at 1-800-518-4726 or by email at support@grants.gov. Submissions sent by e-mail, fax, CD's or thumb drives of applications will not be accepted.

After consulting with the Grants.gov Support Center, if the technical difficulties remain unresolved and electronic submission is not possible to meet the established deadline, organizations may submit a request prior to the application deadline by email to the Grants Management Specialist/Officer for permission to submit a paper application. An organization's request for permission must: (a) include the Grants.gov case number assigned to the inquiry, (b) describe the difficulties that prevent electronic submission and the efforts taken with the Grants.gov Support Center (c) be submitted to the Grants Management Specialist/Officer at least 3 calendar days prior to the application deadline. Paper applications submitted without prior approval will not be considered.

Section V. Application Review Information

Eligible recipients are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the CDC-RFA-IP19-19010101SUPP24. Measures of effectiveness must relate to the performance goals stated in the "Purpose" section of this announcement. Measures of effectiveness must be objective, quantitative and measure the intended outcome of the proposed program. The measures of effectiveness must be included in the application and will be an element of the evaluation of the submitted application.

Criteria

Eligible recipients will be evaluated against the following criteria:

Approach

Maximum Points: 60

- Describes current application programming interface (API) activities. (5 points)
- Proposes a work plan that describes, in sufficient detail, the interface development and implementation steps to support Phase 1 and Phase 2 transactions (if applicable). (20 points)
- Proposes a work plan that describes sufficient detail, the interface development and implementation steps to support Phase 3 (if applicable). (17 points)
- Presents a work plan for a dashboard that provides detailed reports of all API service results. (5 points)
- Presents a work plan that is consistent with approved software development methodologies. (5 points)
- Describes strategies and activities that are achievable and appropriate to realize the outcomes of the project. (5 points)
- Includes the completion of transactions not indicated as required for Phases 1 and 2 or Phase 3 in the work plan. (3 points)

Evaluation and Performance Measurement

Maximum Points: 25

- Proposes a strong approach to evaluating the success of the project including dashboard development. (5 points)
- Identifies potential project risks and mitigation strategies. (5 points)
- Describes a project management plan for tracking progress on activities and proposed outcomes. (10 points)
- Describes clear monitoring and evaluation procedures that will be incorporated into planning and implementation of activities. (5 points)

Organizational Capacity

Maximum Points: 15

- Describes capacity (management, administrative, and technical) to implement and evaluate activities, adhere to deadlines, and attend required meetings and conference calls, and complete project requirements. (10 points)
- Demonstrates capacity to maintain existing partnerships and establish new collaborations that will contribute to successfully completing project goals and objectives. (3 points)
- Demonstrates that the proposed uses of funding are an efficient and effective means to implement the strategies and to attain the budget or project period outcomes. (2 points)

Review and Selection Process

Review

Eligible applications will be jointly reviewed for responsiveness by NATIONAL CENTER FOR IMMUNIZATION AND RESPIRATORY DISEASES and Office of Grants Services (OGS). Incomplete applications and applications that are non-responsive will not advance through the review process. Recipients will be notified in writing of the results. An objective review panel will evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information, subsection entitled "Criteria".

Selection

In addition, the following factors may affect the funding decision:

Applications will be funded in order by score and rank determined by the review panel. If two jurisdictions share a single jurisdiction-based IIS, only one jurisdiction will be eligible for this award. The jurisdiction with the higher score will be awarded.

CDC will provide justification for any decision to fund out of rank order.

Anticipated Announcement and Award Dates

August 1, 2024

Section VI. Award Administration Information

Award Notices

Successful recipients will receive a Notice of Award (NoA) from the CDC Office of Grants Services. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A copy of the NoA will be emailed to the recipient fiscal officer identified in the application.

Unsuccessful recipients will receive notification of the results of the application review via email.

Administrative and National Policy Requirements

Continuing Continuations -

[AR-10: Smoke-Free Workplace Requirements](#)

[AR-25: Data Management and Access](#)

[AR-32: Appropriations Act, General Provisions](#)

[AR-37: Prohibition on certain telecommunications and video surveillance services or equipment for all awards issued on or after August 13, 2020](#)

If you receive an award, you must follow all applicable nondiscrimination laws. You agree to this when you register in [SAM.gov](#). You must also submit an Assurance of Compliance ([HHS-690](#)). To learn more, see the [HHS Office for Civil Rights website](#).

Reporting

Federal Funding Accountability and Transparency Act of 2006 (FFATA), P.L. 109–282, as amended by section 6202 of P.L. 110–252 requires full disclosure of all entities and organizations receiving Federal funds including awards, contracts, loans, other assistance, and payments through a single publicly accessible Web site, <http://www.USASpending.gov>

Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by applicants: 1) information on executive compensation when not already reported through the SAM, and 2) similar information on all sub-awards/subcontracts/consortiums over \$30,000.

For the full text of the requirements under the FFATA and HHS guidelines, go to:

- <https://www.gpo.gov/fdsys/pkg/PLAW-109publ282/pdf/PLAW-109publ282.pdf>
- https://www.frs.gov/documents/ffata_legislation_110_252.pdf
- <http://www.hhs.gov/grants/grants/grants-policies-regulations/index.html#FFATA>.

Termination

CDC may impose other enforcement actions in accordance with 45 CFR 75.371- Remedies for Noncompliance, as appropriate.

The Federal award may be terminated in whole or in part as follows:

- (1) By the HHS awarding agency or pass-through entity, if the non-Federal entity fails to comply with the terms and conditions of the award;
- (2) By the HHS awarding agency or pass-through entity for cause;
- (3) By the HHS awarding agency or pass-through entity with the consent of the non-Federal entity, in which case the two parties must agree upon the termination conditions, including the effective date and, in the case of partial termination, the portion to be terminated; or
- (4) By the non-Federal entity upon sending to the HHS awarding agency or pass-through entity written notification setting forth the reasons for such termination, the effective date, and, in the case of partial termination, the portion to be terminated. However, if the HHS awarding agency or pass-through entity determines in the case of partial termination that the reduced or modified portion of the Federal award or subaward will not accomplish the purposes for which the Federal award was made, the HHS awarding agency or pass-through entity may terminate the Federal award in its entirety.

Reporting of Foreign Taxes (International/foreign projects only)

A. Valued Added Tax (VAT) and Customs Duties – Customs and import duties, consular fees, customs surtax, valued added taxes, and other related charges are hereby authorized as an allowable cost for costs incurred for non-host governmental entities operating where no applicable tax exemption exists. This waiver does not apply to countries where a bilateral agreement (or similar legal document) is already in place providing applicable tax exemptions

and it is not applicable to Ministries of Health. Successful applicants will receive information on VAT requirements via their Notice of Award.

B. The U.S. Department of State requires that agencies collect and report information on the amount of taxes assessed, reimbursed and not reimbursed by a foreign government against commodities financed with funds appropriated by the U.S. Department of State, Foreign Operations and Related Programs Appropriations Act (SFOAA) (“United States foreign assistance funds”). Outlined below are the specifics of this requirement:

1) Annual Report: The recipient must submit a report on or before November 16 for each foreign country on the amount of foreign taxes charged, as of September 30 of the same year, by a foreign government on commodity purchase transactions valued at 500 USD or more financed with United States foreign assistance funds under this grant during the prior United States fiscal year (October 1 – September 30), and the amount reimbursed and unreimbursed by the foreign government. [Reports are required even if the recipient did not pay any taxes during the reporting period.]

2) Quarterly Report: The recipient must quarterly submit a report on the amount of foreign taxes charged by a foreign government on commodity purchase transactions valued at 500 USD or more financed with United States foreign assistance funds under this grant. This report shall be submitted no later than two weeks following the end of each quarter: April 15, July 15, October 15 and January 15.

3) Terms: For purposes of this clause:

“Commodity” means any material, article, supplies, goods, or equipment;

“Foreign government” includes any foreign government entity;

“Foreign taxes” means value-added taxes and custom duties assessed by a foreign government on a commodity. It does not include foreign sales taxes.

4) Where: Submit the reports to the Director and Deputy Director of the CDC office in the country(ies) in which you are carrying out the activities associated with this cooperative agreement. In countries where there is no CDC office, send reports to VATreporting@cdc.gov.

5) Contents of Reports: The reports must contain:

- a. recipient name;
- b. contact name with phone, fax, and e-mail;
- c. agreement number(s) if reporting by agreement(s);
- d. reporting period;
- e. amount of foreign taxes assessed by each foreign government;
- f. amount of any foreign taxes reimbursed by each foreign government;
- g. amount of foreign taxes unreimbursed by each foreign government.

6) Subagreements. The recipient must include this reporting requirement in all applicable subgrants and other subagreements.

Section VII. Agency Contacts

CDC encourages inquiries concerning this announcement.

For **programmatic technical assistance and general inquiries**, contact:

First Name:

Randi

Last Name:

Tolstyk

Project Officer

Department of Health and Human Services

Centers for Disease Control and Prevention

Street 1:

Street 2:

City:

State:

Zip:

Telephone:

770-488-5114

Email:

KKQ9@cdc.gov

For **financial, grants management, budget assistance and general inquiries**, contact:

Address:

First Name:

Kathy

Last Name:

Raible

Grants Management Specialist

Department of Health and Human Services

Office of Grants Services

Street 1:

Street 2:

City:

State:

Zip:

Telephone:

770-488-2045

Email:

kcr8@cdc.gov

Section VIII. Other Information

Other CDC Notice of Funding Opportunities can be found at www.grants.gov.